SDU: Corporate Governance Report (2014)

CORPORATE GOVERNANCE

(2014)

Company: Song Da Urban Investment Construction and Development JSC

Address: Floor 6, SongDa Urban Tower, Km10, Tran Phu Street, Van Quan Ward, Ha Dong District, Ha Noi

Tel: 043 552 6354

Fax: 043 552 6348

Charter capital: VND 200,000,000,000

Stock code: SDU

1. BOD activities:
2. Meetings:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No. | Members of BOD | Title | Attendance | Rate | Reasons for not attending |
| 1 | Hoang Van Anh | Chair of BOD | 04 | 100% |  |
| 2 | Le Tung Hoa | Member of BOD | 04 | 100% |  |
| 3 | Vu Hien  | Member of BOD | 04 | 100% |  |
| 4 | Do Thanh Luong | Member of BOD | 04 | 100% |  |
| 5 | Nguyen The Loi | Member of BOD | 04 | 100% |  |

1. BOD Resolutions:

| No. |  Board Resolution No. | Date | Contents |
| --- | --- | --- | --- |
| 1 | 01/NQ - HDQT | 21/01/2014 | Approve documents of package “ Constructing the foundation of the basement of SDU Building – 143 Tran Phu, Ha Dong, Ha Noi in the social housing project “ |
| 2 | 01-A/NQ-HDQT | 15/02/2014 | Approve estimate the project: Social housing – SDU Building – 143 Tran Phu, Ha Dong, Ha Noi |
| 3 | 02/NQ - HDQT | 27/01/2014 | Approve the results of package “Constructing the foundation of the basement of SDU Building – 143 Tran Phu, Ha Dong, Ha Noi in the social housing project” |
| 4 | 02A/NQ - HDQT | 05/3/2014 | Approve the business plan for the social housing project - SDU Building – 143 Tran Phu, Ha Dong, Ha Noi |
| 5 | 03/NQ - HDQT | 25/3/2014 | Approve the issues at the first Board Meeting 2014 |
| 6 | 04/NQ - HDQT | 22/5/2014 | Approve auditor to audit financial statement 2014 |
| 7 | 05/NQ - HDQT | 07/3/2014 | Approve plan for bidding the social housing project - SDU Building – 143 Tran Phu, Ha Dong, Ha Noi |
| 8 | 06/NQ - HDQT | 02/4/2014 | Approve the documents of package of constructing the body structure of SDU Building – 143 Tran Phu, Ha Dong, Ha Noi |
| 9 | 05.1A/NQ - HDQT | 15/4/2014 | Approve contractor choosing and signing contract on package of constructing the body structure of SDU Building – 143 Tran Phu, Ha Dong, Ha Noi |
| 10 | 05.1B/NQ - HDQT | 11/3/2014 | Approve documents of construction supervising consultancy on body structure and equipment installation  |
| 11 | 05.2/NQ - HDQT | 18/3/2014 | Approve documents on equipment supply and installation of electric systems |
| 12 | 05.3/NQ - HDQT | 18/3/2014 | Approve documents on equipment supply and installation of water supply and drainage system for SDU Building – 143 Tran Phu, Ha Dong, Ha Noi |
| 13 | 05.1C/NQ - HDQT | 04/4/2014 | Approve the selection of supervising consultancy for construction of the body and equipment installation |
| 14 | 05.4/NQ - HDQT | 25/4/2014 | Approve the bidding documents on supplying and installing air-conditioner system |
| 15 | 07/NQ - HDQT | 25/4/2014 | Resolution of AGM at meeting in 25/04/2014 |
| 16 | 05.3A/NQ - HDQT | 06/5/2014 | Approve the tender documents on supplying equipment and constructing and installing the system of ventilation and lighting system |
| 17 | 05.4A/NQ-HĐQT | 06/5/2014 | Approve the tender documents on supplying and installing air-conditioner system |
| 18 | 05.5/NQ-HĐQT | 14/5/2014 | Approve the bidding documents on supplying and installing elevators |
| 19 | 05.6/NQ-HĐQT | 14/5/2014 | Approve the on supplying equipment and constructing and installing the fire protection system, ventilation and lighting system |
| 20 | 05.5A/NQ-HĐQT | 15/5/2014 | Approve the tender documents on supplying and installing elevator system |
| 21 | 05.7/NQ-HĐQT | 29/5/2014 | Approve the documents of package: supplying equipment and installing sound system, camera system |
| 22 | 05.8/NQ-HĐQT | 30/5/2014 | Approve the documents of package: supplying and installing metal doors and fire-resistant doors |
| 23 | 05.9/NQ-HĐQT | 31/5/2014 | Approve the documents of package: supplying and installing wooden doors |
| 24 | 06.1/NQ-HĐQT | 03/6/2014 | Approve documents on design consultancy for project of renovating and rebuilding X1-26 Lieu Giai Collective Quarter |
| 25 | 06.2/NQ-HĐQT | 06/6/2014 | Approve documents on construction, installation of completion part of project SDU Building – 143 Tran Phu |
| 26 | 06.3/NQ-HĐQT | 09/6/2014 | Approve documents of package supervising consulting on completing the body, basement, other completions and installation equipment |
| 27 | 08/NQ-HĐQT | 14/6/2014 | Approve the expense and plan for compensation, movement support, temporary settlement and resettlement for project of renovating and rebuilding X1-26 Lieu Giai Collective Quarter |
| 28 | 05.5B/NQ-HĐQT | 16/6/2014 | Approve the selection on supplying and installation elevator system |
| 29 | 05.9A/NQ-HĐQT | 16/6/2014 | Approve the selection on supplying and installation wooden doors system |
| 30 | 05.3B/NQ-HĐQT | 19/6/2014 | Approve the selection on supplying and installation ventilation and lightning system |
| 31 | 05.6A/NQ-HĐQT | 24/6/2014 | Approve the results of selection on supplying equipment and constructing and installing the fire protection system |
| 32 | 05.7A/NQ-HĐQT | 25/6/2014 | Approve the results of selection on supplying equipment and installing sound system, camera system |
| 33 | 05.4B/NQ-HĐQT | 26/6/2014 | Approve the selection on supplying and installing elevator system |
| 34 | 06.2A/NQ-HĐQT | 27/6/2014 | Approve the results of selection on construction the completion part |
| 35 | 06.3A/NQ-HĐQT | 27/6/2014 | Approve the results of selection on supervising consulting on completing the body, basement, other completions and installation equipment |
| 36 | 09/NQ-HĐQT | 08/7/2014 | Approve the total adjusted investments for project of renovating and rebuilding X1-26 Lieu Giai Collective Quarter |
| 37 | 05.2A/NQ-HĐQT | 28/7/2014 | Approve the results of selection on supplying and installing electric, water system  |
| 38 | 10/NQ-HĐQT | 04/9/2014 | Approve contents of annual Quarter3/2014 meeting of BOD |
| 39 | 11/NQ-HĐQT | 16/12/2014 | Approve the results of operation and prepare plans 2015 |
| 40 | 12/NQ-HĐQT | 22/12/2014 | Approve the investment termination of some projects |

1. Change in connected persons/ institutions
2. Transactions of PDMRs and connected persons/ institutions
	1. List of PDMRs and connected persons

| No. | Name | Trading account (if any) | Position of PDMR at the company (if any) | Shareholding at the end of the term | Shareholding percentage at the end of the term | Note |
| --- | --- | --- | --- | --- | --- | --- |
|
| 1 | Song Da Corporation |  |  | 6,000,000 | 30.00% |  |
| 2 | Do Quang Thang |  |  | 600,000 | 3.00% |  |
| 3 | Bui Thi Chen |  |  | 10,000 | 0.05% |  |
| 4 | Hoang Van Anh |  | Chair of BOD | 452,000 | 2.26% |  |
| 5 | Hoang Viet Tuong |  |  | 300,000 | 1.50% |  |
| 6 | Hoang Van Ke |  |  | 6,000 | 0.03% |  |
| 7 | Pham Thi Hai |  |  |  | 0.00% |  |
| 8 | Hoang Thi Phuong Thuy |  |  | 210,000 | 1.05% |  |
| 9 | Vu Hien |  | Member of BOD | 970,000 | 4.85% |  |
| 10 | Do Thanh Huong |  | Member of BOD |  | 0.00% |  |
| 11 | Nguyen The Loi |  | Member of BOD | 400,000 | 2.00% |  |
| 12 | Le Tung Hoa |  | Member of BOD – Deputy General Director  | 20,000 | 0.10% |  |
| 13 | Dao Xuan Tuan |  | Chief of SB | 8,000 | 0.04% |  |
| 14 | Dai Ngan Ha |  | Member of SB | 271,400 | 1.36% |  |
| 15 | Lu Thi Cam Van |  |  | 700 | 0.004% |  |
| 16 | Dai Thi Viet Lan |  |  | 300 | 0.002% |  |
| 17 | Nguyen Luu Thuy |  |  | 970,000 | 4.85% |  |
| 18 | Nguyen Van Nghia |  | Member of SB | 4,000 | 0.02% |  |
| 19 | Le Hong Son |  | Chief Accountant |  | 0.00% |  |

1. Stock Transactions: None
2. Other transactions:
3. Other Issues: None