**CTA: Corporate Governance report (2015)**

**CORPORATE GOVERNANCE REPORT**

**(2015)**

Company: Vinavico Joint Stock Company

Address: No. 28, Lot 1B, Trung Yen Urban, Trung Hoa Ward, Cau Giay District, Ha Noi

Stock code: CTA

**I. BOD Activities (2015)**

Meetings:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No. | Members of BOD | Title | Attendance | Rate | Reasons for not attending |
| 1 | Mai Hong Bang | Chair of BOD | 01 | 100 % |  |
| 2 | Nguyen Thanh Hoan | Director | 01 | 100 % |  |
| 3 | Nguyen Thach Quy | Director | 01 | 100 % |  |
| 4 | Mai Van Son | Director | 01 | 100 % |  |
| 5 | Nguyen Quang Hung | Director | 01 | 100 % |  |

**II. Board Resolutions (2015)**

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board Resolution No. | Date | Content |
| 1 | 56/ NQ - HDQT/ VNVC /2015 | 17 March 2015 | - Pause the operation of Tham Then Mine  - Divest from Pha Xun Mine  - Contribute the capital to invest into Vinavico Mineral Joint Stock Company |

**III. Change in connected persons/ institutions**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| No. | Name of connected persons/institutions | Trading account (if any) | Position of PDMR at the company (if any) | Date to become connected persons/ institutions | Date no longer connected persons/ institutions | Reasons |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

**IV. Transactions of PDMRs and connected persons/ institutions**

1. List of PDMRs and connected persons

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| No. | Name | Trading account (if any) | Position of PDMR at the company (if any) | Shareholding at the end of the term | Shareholding percentage at the end of the term | Note |
| 1 | Mai Hong Bang |  | General Manager | 1,844,355 | 19.1% |  |
| 2 | Nguyen Thanh Hoan |  | Director | 527 |  |  |
| 3 | Mai Van Son |  | Director | 6,000 |  |  |
| 4 | Nguyen Thach Quy |  | Director | 0 |  |  |
| 5 | Nguyen Quang Hung |  | Director | 0 |  |  |
| 6 | Vnstone Joint Stock Company |  | Connected person | 601,565 | 6.5% |  |
| 7 | Pham Thi Ngoc Lan |  | Connected person |  |  |  |
| 8 | Mai Thu Trang |  | Connected person |  |  |  |
| 9 | Mai Thi Viet Hung |  | Connected person |  |  |  |
| 10 | Mai Pham Khoi Nguyen |  | Connected person |  |  |  |
| 11 | Nguyen Ngoc Quynh Trang |  | Connected person | 0 |  |  |
| 12 | Tran Thi Thu Huong |  | Connected person |  |  |  |
| 13 | Nguyen Thuong Hien |  | Connected person |  |  |  |
| 14 | Do Thi Bich Ngoc |  | Connected person | 0 |  |  |
| 15 | Nguyen Thach Bao Quoc |  | Connected person | 0 |  |  |
| 16 | La Thi Thuy Hanh |  | Connected person |  |  |  |
| 17 | Mai Duc Viet |  | Connected person | 0 |  |  |
| 18 | Mai Duc Tien |  | Connected person |  |  |  |
| 19 | Nguyen Thi Thuy Hang |  | Connected person | 0 |  |  |
| 20 | Nguyen Tue Minh |  | Connected person |  |  |  |

2. Transactions of PDMRs and connected persons/ institutions

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name | Relation with PDMR | Shareholding at the beginning of the term | | Shareholding at the end of the term | | Reason (buy, sell, transfer, dividend, bonus share...) |
| Share | Percentage | Share | Percentage |
|  |  |  |  |  |  |  |  |

3. Other transactions:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name | Relation with PDMR | Shareholding at the beginning of the term | | Shareholding at the end of the term | | Reason (buy, sell, transfer, dividend, bonus share...) |
| Share | Percentage | Share | Percentage |
|  |  |  |  |  |  |  |  |

**V. Other issues: None**