**DNY: Corporate Governance report (2015)**

**CORPORATE GOVERNANCE REPORT**

**(Year 2015)**

Company: DANA-Y Steel Joint Stock Company

Address: 11B Thanh Vinh Industrial Zone, Lien Chieu district, Da Nang City

Tel.: (08) 511 3841000 - 3731444

Email: [www.thepdana-y.com](http://www.thepdana-y.com)

Charter capital: VND 269,995,170,000

Stock code: DNY

**I. BOD Activities (2015)**

Meetings:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No. | Members of BOD | Title | Attendance | Rate | Reasons for not attending |
| 1 | Mr. Huynh Van Tan | Chair of Board of Directors | 04 | 100 % |  |
| 2 | Mr. Dang Thanh Binh | Deputy Chair of Board of Directors | 01 | 25 % | Business trip |
| 3 | Mr. Ho Nghia Tin | Member of Board of Directors | 04 | 100 % |  |
| 4 | Mr. Phan Thi Thao Suong | Member of Board of Directors | 04 | 100 % |  |
| 5 | Mr. Phan Xuan Thien | Member of Board of Directors | 04 | 100% |  |

**II. Board Resolutions (first 6 months of 2015)**

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board Resolution No. | Date | Content |
| 1 | 315/ 2015/ NQHDQT | 26 Apr 2015 | Annual General Meeting of Shareholders 2015 |

**III. Change in connected persons/ institutions**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| No. | Name of connected persons/institutions | Trading account (if any) | Position of PDMR at the company (if any) | Date to become connected persons/ institutions | Date no longer connected persons/ institutions | Reasons |
|  |  |  |  |  |  |  |

**IV. Transactions of PDMRs and connected persons/ institutions**

1. List of PDMRs and connected persons

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| No. | Name | Trading account (if any) | Position of PDMR at the company (if any) | Shareholding at the end of the term | Shareholding percentage at the end of the term | Note |
| 1 | Thanh Loi Investment Joint Stock Company |  | Shareholder | 10,853,999 | 40.2% |  |
| 2 | Huynh Van Tan |  | Chair of Board of Directors | 1,349,999 | 5% |  |
| 3 | Phan Thi Thao Suong |  | Deputy of General Director | 4,333,499 | 16.05% |  |
| 4 | Dang Thanh binh |  | Deputy Chair of BOD | 87,479 | 0.32% |  |
| 5 | Ho Tin Nghia |  | Deputy General Director | 323,999 | 1.2% |  |
| 6 | Phan Xuan Thien |  | Head of Material Department | 16,199 | 0.05% |  |
| 7 | Phan Thi Thuy Lieu |  | Connected person | 298,349 | 1.1% |  |
| 8 | Phan Thi Thuy Van |  | Connected person | 404,999 | 1.5% |  |
| 9 | Phan Thi Thuy Ai |  | Connected person | 32,399 | 0.1% |  |
| 10 | Phan Xuan Kien |  | Connected person | 52,325 | 0.2% |  |
| 11 | Phan Xuan Loc |  | Connected person | 85,184 | 0.3% |  |

2. Transactions of PDMRs and connected persons/ institutions

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name | Relation with PDMR | Shareholding at the beginning of the term | | Shareholding at the end of the term | | Reason (buy, sell, transfer, dividend, bonus share...) |
| Share | Percentage | Share | Percentage |
|  |  |  |  |  |  |  |  |

3. Other transactions: None

**V. Other issues:**

Mr. Nguyen Huu Toan withdrawed 161,999 shares from VSD

Stock transferred freely: 61,999 shares

Stock limited for transfer: 100,000 shares