**ASA: Corporate Governance Report (first 6 months of 2016)**

**CORPORATE GOVERNANCE REPORT**

(First 6 months of 2016)

Company: SANA WMT Joint Venture Joint Stock Company

Address: Tieu Hamlet, Dai Yen Commune, Chuong My District, Hanoi City

Tel.: 84 4 37731791 Fax: 84 4 337731783 Web: sana.vn

Charter capital: VND 100,000,000,000

Stock code: ASA

**I. Activities of annual General Meeting of Shareholders**

|  |  |  |  |
| --- | --- | --- | --- |
| No | Resolution/ Decision | Date | Content |
| 1 | No.22/ 2016/ NQ – DHDCD - ASA | 26 Apr 2016 | General Mandate 2016 |

**II. BOD Activities**

Meetings:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **No.** | **Members of BOD** | **Title** | **Attendance** | **Rate** | **Reasons for not attending** |
| 1 | Mr. Nguyen Dan Thanh | Chair of Board of Directors  | 2 | 100% |   |
| 2 | Mr. Tran Van Bau | General Director  | 2 | 100% |   |
| 3 | Mr. Duong Viet Dung | Member of Board of Directors  | 2 | 100% |   |
| 4 | Mr. Le Duy Thien | Member of Board of Directors  | 2 | 100% |   |
| 5 | Ms. Le Thi Kim Hue | Member of Board of Directors  | 2 | 100% |   |

**II. Board Resolutions (first 6 months of 2016)**

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board resolutions No. | Date  | Contents |
| 1 | No. 07 / 2016/ NQ - ASA | 26 Feb 2016 | Dividend advance for 2015 in cash |

**III. Change in connected persons/ institutions**: Nome

**IV. Transactions of PDMRs and connected persons/ institutions**

1. List of PDMRs and connected persons

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **No.** | **Name**  | **Trading account**  | **Position**  | **Shareholding at the end of the term** | **Shareholding percentage at the end of the term** | **Note** |
| **1** | **Nguyen Dan Thanh** |  | **Chair of Board of Directors**  | **640,000** | **6.4 %** |  |
|  | Nguyen Thi Thu Ha |  | Connected person | 0 | 0 % |  |
|  | Nguyen Quang Tan |  | Connected person | 0 | 0 % |  |
|  | Nguyen Thi Thanh Binh |  | Connected person | 0 | 0 % |  |
|  | Nguyen Quang Hoa |  | Connected person | 0 | 0 % |  |
|  | Nguyen Thi Kim Dung |  | Connected person | 0 | 0 % |  |
|  | Nguyen Toan Thang |  | Connected person | 0 | 0 % |  |
|  | Nguyen Quang Minh |  | Connected person | 0 | 0 % |  |
|  | Nguyen Ha Uyen Nhi |  | Connected person | 0 | 0 % |  |
| **2** | **Mr. Duong Viet Dung** |  | **Member of Board of Directors**  | **187,737** | **1.877%** |  |
|  | Ms. Duong Thi Nhan |  | Connected person | 0 | 0 % |  |
|  | Mr. Duong Viet Tien  |  | Connected person | 0 | 0 % |  |
|  | Ms. Duong ThiVinh |  | Connected person | 0 | 0 % |  |
|  | Ms, Duong ThiNinh |  | Connected person | 0 | 0 % |  |
|  | Ms. Duong ThiNhan |  | Connected person | 0 | 0 % |  |
|  | Ms. Pham Van Anh |  | Connected person | 0 | 0 % |  |
|  | Mr. Duong Viet Anh |  | Connected person | 0 | 0 % |  |
|  | Mr. Duong DucAnh |  | Connected person | 0 | 0 % |  |
| **3** | **Tran Van Bau** |  | **Member of Board of Directors cum General Director**  | **2,000** |  **0.02%** |  |
|  | Tran Thi Dung |  | Connected person | 0 | 0 % |  |
|  | Tran Khanh Giang |  | Connected person | 0 | 0 % |  |
|  | Tran Phu Hung |  | Connected person | 0 | 0 % |  |
|  | Tran Van Ngoc |  | Connected person | 0 | 0 % |  |
|  | Mr. Tran Van Ly |  | Connected person | 0 | 0 % |  |
|  | Tran Hong Minh |  | Connected person | 0 | 0 % |  |
| **4** | **Le Duy Thien** |  | **Member of Board of Directors**  | **0** | **0 %** |  |
|  | Le Duy Vien |  | Connected person | 0 | 0 % |  |
|  | Nguyen Nguyet Dung |  | Connected person | 0 | 0 % |  |
|  | Le Nguyet Han |  | Connected person | 0 | 0 % |  |
|  | Le Nguyet Ha |  | Connected person | 0 | 0 % |  |
| **5** | **Le Thi Kim Hue** |  | **Member of Board of Directors**  | **0** | **0%** |  |
|  | Vu Thi Kien |  | Connected person | 0 | 0 % |  |
|  | Le Thi Thanh Huyen |  | Connected person | 0 | 0 % |  |
|  | Le Ngoc Kien |  | Connected person | 0 | 0 % |  |
|  | Nguyen Hai Linh |  | Connected person | 0 | 0 % |  |
|  | Nguyen Huyen Linh |  | Connected person | 0 | 0 % |  |
| **6** | **Pham Thi Thanh Van** |  | **Head of Supervisory Board** | **15,727** | **0.15%** |  |
|  | Pham Ngoc Mai |  | Connected person | 0 | 0 % |  |
|  | Nguyen Thi Thu |  | Connected person | 0 | 0 % |  |
|  | Pham Thi Thanh Huyen |  | Connected person | 0 | 0 % |  |
|  | Pham Minh Tuan |  | Connected person | 0 | 0 % |  |
|  | Tran Ngoc Lam |  | Connected person | 0 | 0 % |  |
|  | Tran Quang Nghi |  | Connected person | 0 | 0 % |  |
|  | Tran Ngoc Gia Bao |  | Connected person | 0 | 0 % |  |
| **7** | **Pham Thi Anh Thu** |  | **Member of Supervisory Board** | **10,000** | **0.1%** |  |
|  | Lam Thi Kim Thoa |  | Connected person | 0 | 0 % |  |
|  | Pham Thi Minh Phuong |  | Connected person | 0 | 0 % |  |
| **8** | **Nguyen Thi Trinh** |  | **Member of Supervisory Board**  | **10,000** | **0.1%** |  |
|  | Nguyen Duc Trung |  |  |  |  |  |
| **9** | **Pham Thi Kim Nhan** |  | **Chief Accountant**  | **10,000** | **0.1 %** |  |
|  | Pham Thi Thu |  | Connected person | 0 | 0 % |  |
|  | Bui Tam Diep |  | Connected person | 0 | 0 % |  |
|  | Bui Thi Dieu |  | Connected person | 0 | 0 % |  |
|  | Bui Thi Hai |  | Connected person | 0 | 0 % |  |
|  | Bui Anh Hoang |  | Connected person | 0 | 0 % |  |
|  | Duong Hai Tung |  | Connected person | 0 | 0 % |  |
|  | Duong Hai Anh |  | Connected person | 0 | 0 % |  |
|  | Duong Ha My |  | Connected person | 0 | 0 % |  |

2.Stock transaction:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No. | Name | Relation with PDMR | Shareholding at the beginning of the term | Shareholding at the end of the term | Reason (buy, sell, transfer, dividend, bonus share...) |
| Share | Percentage | Share | Percentage |
|  |  |  |  |  |  |  |  |

3. Other transaction:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No. | Name | Relation with PDMR | Shareholding at the beginning of the term | Shareholding at the end of the term | Reason (buy, sell, transfer, dividend, bonus share...) |
| Share | Percentage | Share | Percentage |
|  |  |  |  |  |  |  |  |

**V. Other issues**