**CTB: Corporate Governance report of the first six months 2016**

**CORPORATE GOVERNANCE REPORT**

**(The first six months 2016)**

- Company: Hai Duong Pump Manufacturing Joint Stock Company

- Stock code: CTB

- Address: No. 37, Ho Chi Minh Avenue, Nguyen Trai Ward, Hai Duong City

- Tel.: 0320 3844 876 – 0320 3853 496

- Fax: 0320 3859 336

- Email: info@hapuma.com

- Charter capital: VND 35,000,000,000

- Stock code: CTB

**I. BOD’s activities (The first six months 2016)**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **No.** | **Member of BOD** | **Title** | **Attendance** | **Rate** | **Reason for not attending** |
| 1 | Mr. Nguyen Trong Tieu | Chairman | 02 | 100% |  |
| 2 | Mr. Pham Manh Ha | Director | 02 | 100% |  |
| 3 | Mr. Nguyen Trong Nam | Director | 02 | 100% |  |
| 4 | Mr. Nguyen Duc Cach | Director | 02 | 100% |  |
| 5 | Mr. Pham Tuan Anh | Director | 02 | 100% |  |

**II. Board resolution (The first six months 2016)**

|  |  |  |  |
| --- | --- | --- | --- |
| **No.** | **Board Resolution/Decision No.** | **Date** | **Contents** |
| 1 | 01-2016 | 11/01/2016 | 1. Approve holding Annual General Meeting of Shareholders 2016 and the agenda.2. Approve the advance dividend payment – first round 2015. |
| 2 | 02-2016 | 27/01/2016 | Change the date of holding Annual General Meeting of Shareholders 2016 (The expected date 26/3/2016, official date: 29/2/2016) |
| 3 | 03-2016 | 05/02/2016 | Approve some contents to submit Annual General Meeting of Shareholders 2016 and the documents to submit the Meeting for approval. |
| 4 | 04-2016 | 16/2/2016 | 1. Supplement the programs for Annual General Meeting of Shareholders.2. Approve the modified contents of the Charter of the Company to submit to the Meeting for approval. |
| 5 | 05-2016 | 20/4/2016 | Executive Board attend the Package: “Improving the drainage system in the South-west Area - Hanoi”  |
| 6 | 06-2016 | 15/6/2016 | Found Testing Center for Water Gas Equipment and Metal materials. |

**III. Change in connected persons/institutions**

**IV. Transaction of PDMRs and connected persons/ institutions**

1. List of PDMRs and connected persons/ institutions:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **No.** | **Name of shareholders** | **Title/ relationship with PDMRs** | **Number of shareholding (share)** | **Rate of shareholding (%)** | **Note** |
| 1 | Nguyen Trong Tieu | PDMR | 90,000 |  |  |
| 3 | Pham Manh Ha | PDMR | 85,000 |  |  |
| 4 | Pham Tuan Anh | PDMR | 91,345 |  |  |
| 5 | Nguyen Duc Cach | PDMR | 94,809 |  |  |
| 6 | Nguyen Trong Nam | PDMR | 137,155 |  |  |
| 7 | Dao DinhToan | PDMR | 21,237 |  |  |
| 8 | Bui Quang Tuan | PDMR | 19,912 |  |  |
| 9 | Nguyen Thi Thu Oanh | PDMR | 1,116 |  |  |
| 10 | Bui Thi Le Thuy | PDMR | 39,361 |  |  |
| 11 | Nguyen Van Sen | PDMR | 140 |  |  |
| 12 | Nguyen Thanh Thuy | PDMR | 7,000 |  |  |
| 13 | Tran Thi Chan | PDMR | 2,263 |  |  |

1. Stock transaction:

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **No.** | **Name of institutions/individuals** | **Trading account (if any)** | **Title at the Company**  | **Shareholding on 01/01/2016** | **Shareholding on 30/06/2016** | **Reason for increase/ decrease** |
| **Shares** | **Rate** | **Shares** | **Rate** |
|  |  |  |  |  |  |  |  |  |

**V. Transactions of PDMRs and connected persons/institutions**

**V. Other issues (none)**