**DHT: Corporate Governance report of the first six months 2016**

**CORPORATE GOVERNANCE**

- Company: Ha Tay Pharmaceutical Joint Stock Company

- Address: No. 10 A QuangTrung, QuangTrung Ward, Ha Dong District, Hanoi

- Tel: 04 22143368                              Fax: 04 33829054

- Email: [hataphar@fpt.vn](mailto:hataphar@fpt.vn)

- Charter capital: VND 62,826,020,000

- Stock code: DHT

**I. BOD’s activities (**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **No.** | **Member of BOD** | **Title** | **Attendance** | **Rate** | **Reason for not attending** |
| 1 | Mr. Le Van Lo | Chairman of Board of Directors | 4 | 100% |  |
| 2 | Mr. Hoang Trong Nguyen | D. Chairman | 3 | 75% | Go business |
| 3 | Mr. Hoang Van Tue | Director | 4 | 100% |  |
| 4 | Mr. Le Xuan Thang | Director | 4 | 100% |  |
| 5 | Mr. Nguyen Ba Lai | Director | 4 | 100% |  |
| 6 | Mr. Le AnhTrung | Director | 4 | 100% |  |
| 7 | Mr. Nguyen CanhThang | Director | 4 | 100% |  |

**II. Board resolution (The first six months 2016)**

|  |  |  |  |
| --- | --- | --- | --- |
| **No.** | **Board resolution no.** | **Date** | **Contents** |
| 1 |  | 18/3/2016 | - Decide on holding Annual General Meeting of Shareholders on 01/04/2016  - Approve documents and the agenda of the Meeting:  + The report of BOD on the operation results 2015 and the plan for 2016  + The profit distribution 2015  + The remuneration for Board of Directors, Supervisory Board 2016 |
| 2 |  | 01/4/2016 | - Appoint Mr. Hoang Trong Nguyen as Deputy Chair of BOD in the term 2016 – 2021  - Appoint Mr. Le Xuan Thang – Member of BOD as General Manager of the Company  - Approve the modification in the working rule of General Manager and Deputy General Managers |
| 3 |  | 06/5/2016 | Board of Director s and Supervisory Board hold Meeting to consider decide following contents:  - The report on the operation result of Quarter I/2016  - Consider recruiting the personnel  - Discuss the debt collections of Medical representative  - The report on the situation of signing the contract on hiring land |
| 4 |  | 28/5/2016 | Board of Directors and Head of Supervisory Board discuss on the plan on hiring land for building Production Factory of the Company |

**III. Change in connected persons/institutions: No change**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **No.** | **Institutions/ individuals** | **Trading account (if any)** | **Title (if any)** | **Starting moment as connected persons** | **Moment to no longer be connected persons** | **Reasons** |
|  |  |  |  |  |  |  |

**IV. Transactions of PDMRs and connected persons/institutions**

1. List of PDMRs and connected persons/institutions

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| No. | Name | Trading account (if any) | Position of PDMR at the company (if any) | Shareholding at the end of the term | Shareholding percentage at the end of the term (%) | Note |
| 1 | Le Van Lo |  | **Chairman of Board of Directors** | 349,509 | 5.56 |  |
| 2 | Nguyen ThiHanh |  |  | 20,116 | 0.320 | Connected person |
| 3 | Le Thi Hong Viet |  |  | 90,197 | 1.435 | Connected person |
| 4 | Le Viet Linh |  |  | 511,700 | 8.144 | Connected person |
| 5 | Le AnhTrung |  | **Director** | 383,669 | 6.106 | **Connected person** |
| 6 | Nguyen Thi Minh Hau |  |  | 294,000 | 4.679 |  |
| 7 | Le Xuan Thang |  | Director | 445,615 | 7.092 | Connected person |
| 8 | Vu ThiAnh Dao |  |  | 57 | 0.0009 | Connected person |
| 9 | Le Van Thanh |  |  | 88,642 | 1.410 |  |
| 10 | Nguyen CanhThang |  | Director | 0 | 0 | Connected person |
| 11 | Nguyen NhuHoa |  |  | 305,100 | 4.856 |  |
| 12 | Hoang Trong Nguyen |  | Deputy Chair of Board of Directors | 360,000 | 5.730 |  |
| 13 | Nguyen Ba Lai |  | Director | 68,729 | 1.093 |  |
| 14 | Hoang Van Tue |  | **Director** | 328,899 | 5.235 |  |
| 15 | Ngo Van Chinh |  | Chief of Supervisory Board | 203,378 | 3.237 |  |
| 16 | Ngo Thi Xuan |  |  | 1,600 | 0.025 |  |
| 17 | Dang Dinh Du |  | **Member of Supervisory Board** | 16,158 | 0.257 |  |
| 18 | Bui Thao Nguyen |  | **Member of Supervisory Board** | 9,828 | 0.156 |  |
| 19 | Ha Tay Medical Equipment andPharmaceutical Joint Stock Company |  |  | 271,000 | 4.313 |  |

2. Transactions of PDMRs and connected persons/institutions (none)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **No.** | **Name** | **Relation to PDMRs** | **Shareholding at the beginning of the term** | | **Shareholding at the end of the term** | | **Reasons for increase/decrease (selling, buying, transferring, bonus …)** |
| **Shares** | **Ratio (%)** | **Shares** | **Ratio (%)** |
|  |  |  |  |  |  |  |  |

3. Other transactions (none)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **No.** | **Name** | **Relation to PDMRs** | **Shareholding at the beginning of the term** | | **Shareholding at the end of the term** | | **Reasons for increase/decrease (selling, buying, transferring, bonus …)** |
| **Shares** | **Ratio (%)** | **Shares** | **Ratio (%)** |
|  |  |  |  |  |  |  |  |

**V. Other notices: None**