

HOSE-Index is a market-capitalization weighted investable index series comprised of companies listed on the Hochiminh Stock Exchange (HOSE). The constituents are screened for eligibility, free-float and liquidity. HOSE-Index Series covers over 90% total traded value and 80% HOSE's market capitalization.

HOSE-Index Structure

VNAllshare is a market-capitalization weighted index comprises all HOSE-listed companies that passed screening for eligibility, free-float and liquidity.

VN30 is a market-capitalization weighted index which measures the performance of 30 large market-capitalization and high liquidity stocks from VNAllshare.

VNMidcap is a market-capitalization weighted index which measures the performance of 70 medium market-capitalization companies from VNAllshare.

VN100 is a market-capitalization weighted index combining constituents from both VN30 and VNMidcap.

VNSmallcap is a market-capitalization weighted index and designed to measure the performance of small market-capitalization companies from VNAllshare.

VNAllshare Sector Indices is a series of sector index comprised of constituents from VNAllshare classified according to the Global Industry Classification Standard (GICS®).

Features

Objective

The indices are designed for use in the creation of index track funds, derivatives and as a performance benchmark.

Investability

Stocks are selected and calculated by free-float to ensure index is investable.

Liquidity

Stocks are screened to ensure index is tradable.

Transparency

The indices use a transparent, rules-based construction process. Index Rules are freely available on the HOSE website.

Availability

The indices are calculated in real-time or end of day.

Review Dates

Semi-annually in January and July.

Capping Limit

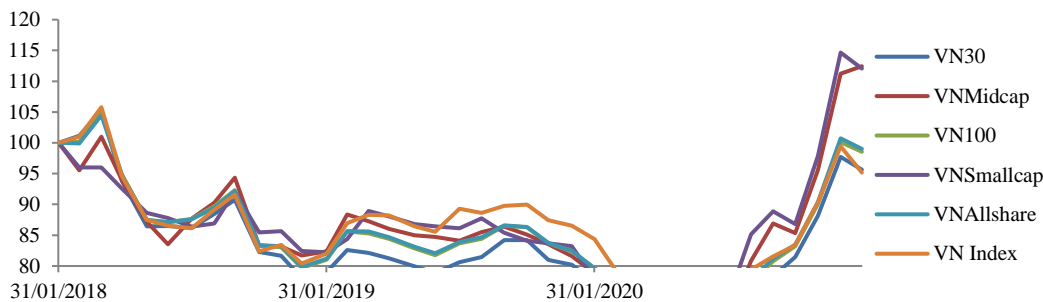
10%(Not applied for VNAllshare Sector)

Index Methodology

Free-floatadjusted market-capitalization weighted index.

Industry Classification Benchmark
Index constituents are classified in accordance with the Global Industry Classification Standard (GICS®).

Indices performance from 31/01/2018 to 29/01/2021



* Indices values are simulatedly rebased to 100 at 31/01/2018

Indices Performance

	Performance (%)									Annualized Performance (%)	
	2017	2018	2019	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VNAllShare	48.27%	-12.02%	3.32%	-1.69%	18.61%	41.05%	24.30%	-1.00%	84.13%	-0.33%	12.99%
VN30	53.89%	-12.36%	2.82%	-2.10%	17.45%	41.52%	23.53%	-4.34%	86.66%	-1.47%	13.30%
VNMidcap	32.38%	-12.78%	-0.10%	1.10%	31.73%	56.60%	42.74%	12.43%	78.54%	3.98%	12.29%
VN100	50.21%	-11.68%	3.35%	-1.59%	18.39%	40.95%	23.71%	-1.47%	86.08%	-0.49%	13.22%
VNSmallcap	22.54%	-15.81%	0.93%	-2.27%	29.12%	50.16%	43.88%	12.05%	59.33%	3.87%	9.76%
VN-Index	46.46%	-9.32%	7.67%	-4.28%	14.17%	32.34%	12.81%	-4.84%	93.78%	-1.64%	14.15%

Indices Volatility

	3 months	6 months	1 year	3 years	5 years
VNAllShare	12.73%	14.16%	25.66%	34.65%	37.95%
VN30	13.22%	14.87%	26.32%	36.54%	40.51%
VNMidcap	12.51%	14.16%	24.46%	33.31%	36.65%
VN100	12.87%	14.34%	25.49%	35.03%	38.47%
VNSmallcap	11.69%	13.35%	22.81%	27.29%	30.95%
VN Index	12.26%	13.61%	24.89%	34.97%	38.76%

Correlation of Indices with VN Index

	3 months	6 months	1 year	3 years	5 years
VNAllShare	99.07%	98.80%	97.60%	97.30%	96.46%
VN30	98.68%	98.21%	98.08%	97.36%	96.49%
VNMidcap	91.44%	87.62%	90.07%	86.54%	82.83%
VN100	99.03%	98.78%	98.94%	98.07%	97.13%
VNSmallcap	91.14%	85.54%	87.42%	80.74%	76.15%

Indices Characteristics

	VNAllshare	VN30	VNMidcap	VN100	VNSmallcap
Number of Constituents	249	30	70	100	149
Full Market-Capitalization (Billion VND)	3,290,011	2,766,088	382,151	3,148,239	141,772
Free-float adjusted Market-Capitalization (Billion VND)	1,209,872	951,126	191,983	1,143,109	66,763
Average	4,820	31,704	2,743	11,431	442
Max	117,201	117,201	15,072	117,201	3,300
Min	0	2,367	105	105	0
Median	569	26,017	2,060	2,895	293
Weighted capitalization of largest company	9.69%	12.32%	7.85%	10.25%	4.94%
Weighted capitalization of top-10 companies	53.54%	68.11%	42.16%	56.67%	27.49%

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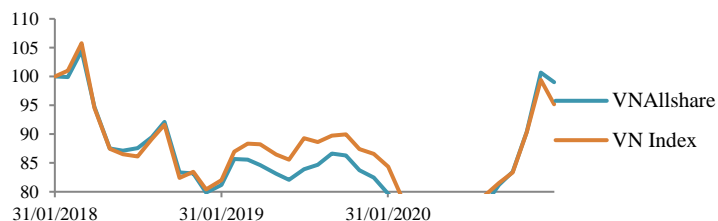
Index Characteristics

Number of Constituents	249
Full Market-Capitalization (Billion VND)	3,290,011
Free-float adjusted Market-Capitalization (Billion VND)	1,209,872
Average	4,820
Max	117,201
Min	0
Median	569
Weighted capitalization of largest company	9.69%
Weighted capitalization of top-10 companies	53.54%

Information

Base Date: 24/01/2014
Base Value: 560.19
Availability: Price and Total Return
Frequency:
 - VNAllshare: every 5 seconds.
 - VNAllshareTRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 31/01/2018 to 29/01/2021



* Indices values are simulatedly rebased to 100 at 31/01/2018

Index Volatility

	3 months	6 months	1 year	3 years	5 years
VNAllshare	12.73%	14.16%	25.66%	34.65%	37.95%

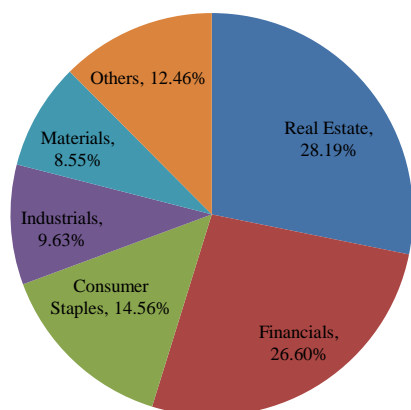
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VNAllshare	99.07%	98.80%	97.60%	97.30%	96.46%

Index Performance

	Performance (%)									Annualized Performance (%)	
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Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	VIC	Real Estate	117,201	9.69%
2	VNM	Consumer Staples	107,303	8.87%
3	TCB	Financials	73,358	6.06%
4	VHM	Real Estate	72,616	6.00%
5	HPG	Materials	69,885	5.78%
6	VPB	Financials	57,073	4.72%
7	FPT	Information Technology	39,822	3.29%
8	VCB	Financials	37,167	3.07%
9	MBB	Financials	36,944	3.05%
10	MWG	Consumer Discretionary	36,440	3.01%
	Total		647,810	53.54%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Real Estate	23	341,047	28.19%
Financials	38	321,863	26.60%
Consumer Staples	22	176,202	14.56%
Industrials	67	116,567	9.63%
Materials	39	103,405	8.55%

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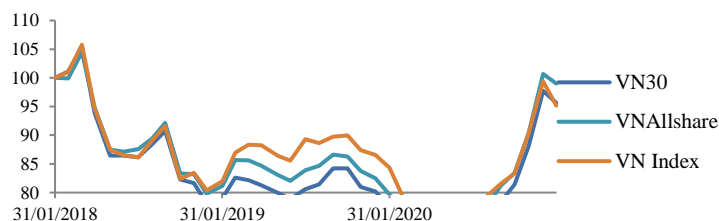
Index Characteristics

Number of Constituents	30
Full Market-Capitalization (Billion VND)	2,766,088
Free-float adjusted Market-Capitalization (Billion VND)	951,126
Average	31,704
Max	117,201
Min	2,367
Median	26,017
Weighted capitalization of largest company	12.32%
Weighted capitalization of top-10 companies	68.11%

Information

Base Date: 02/01/2009
Base Value: 313.34
Availability: Price and Total Return
Frequency:
 - VN30: every 5 seconds.
 - VN30TRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 31/01/2018 to 29/01/2021



* Indices values are simulatedly rebased to 100 at 31/01/2018

Index Volatility

	3 months	6 months	1 year	3 years	5 years
VN30	13.22%	14.87%	26.32%	36.54%	40.51%

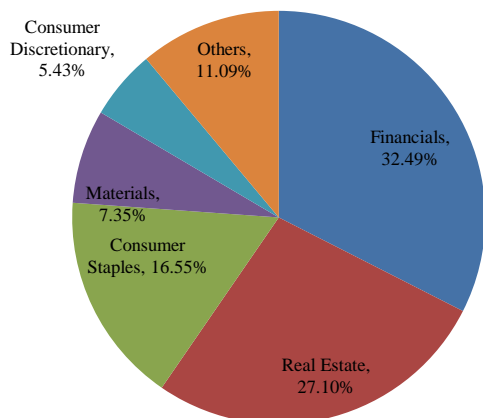
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VN30	98.68%	98.21%	98.08%	97.36%	96.49%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2017	2018	2019	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VN30	53.89%	12.36%	2.82%	-2.10%	17.45%	41.52%	23.53%	-4.34%	86.66%	-1.47%	13.30%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	VIC	Real Estate	117,201	12.32%
2	VNM	Consumer Staples	107,303	11.28%
3	TCB	Financials	73,358	7.71%
4	VHM	Real Estate	72,616	7.63%
5	HPG	Materials	69,885	7.35%
6	VPB	Financials	57,073	6.00%
7	FPT	Information Technology	39,822	4.19%
8	VCB	Financials	37,167	3.91%
9	MBB	Financials	36,944	3.88%
10	MWG	Consumer Discretionary	36,440	3.83%
	Total		647,810	68.11%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Financials	10	308,978	32.49%
Real Estate	5	257,773	27.10%
Consumer Staples	4	157,368	16.55%
Materials	1	69,885	7.35%
Consumer Discretionary	2	51,674	5.43%

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VNMidcap is a market-capitalization weighted index which measures the performance of 70 medium market-capitalization companies from VNAllshare.

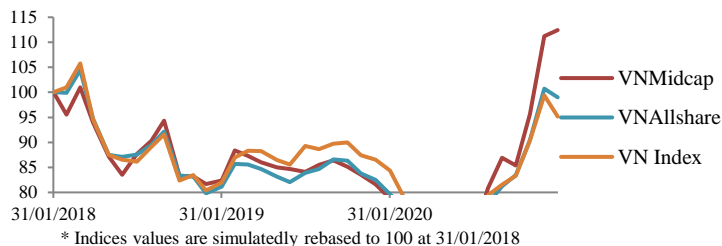
Index Characteristics

Number of Constituents	70
Full Market-Capitalization (Billion VND)	382,151
Free-float adjusted Market-Capitalization (Billion VND)	191,983
Average	2,743
Max	15,072
Min	105
Median	2,060
Weighted capitalization of largest company	7.85%
Weighted capitalization of top-10 companies	42.16%

Information

Base Date: 24/01/2014
Base Value: 560.19
Availability: Price and Total Return
Frequency:
 - VNMidcap: every 5 seconds.
 - VNMidcapTRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 31/01/2018 to 29/01/2021



Index Volatility

	3 months	6 months	1 year	3 years	5 years
VNMidcap	12.51%	14.16%	24.46%	33.31%	36.65%

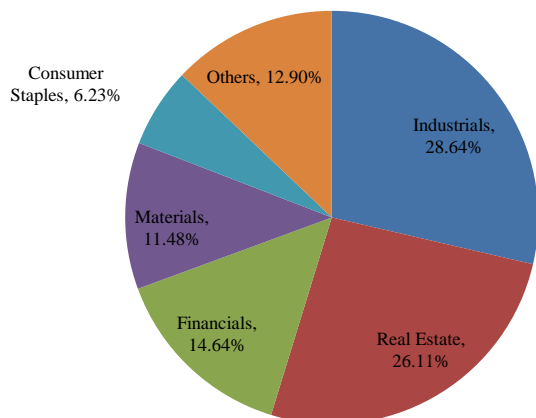
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VNMidcap	91.44%	87.62%	90.07%	86.54%	82.83%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2017	2018	2019	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VNMidcap	32.38%	-12.78%	-0.10%	1.10%	31.73%	56.60%	42.74%	12.43%	78.54%	3.98%	12.29%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	TPB	Financials	15,072	7.85%
2	KBC	Real Estate	11,279	5.87%
3	PDR	Real Estate	8,637	4.50%
4	DXG	Real Estate	8,040	4.19%
5	HSG	Materials	7,820	4.07%
6	GMD	Industrials	7,416	3.86%
7	GEX	Industrials	6,360	3.31%
8	HPX	Real Estate	5,521	2.88%
9	VCI	Financials	5,518	2.87%
10	NLG	Real Estate	5,285	2.75%
	Total		80,946	42.16%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Industrials	14	54,981	28.64%
Real Estate	18	50,126	26.11%
Financials	6	28,109	14.64%
Materials	9	22,039	11.48%
Consumer Staples	5	11,960	6.23%

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VN100 is a market-capitalization weighted index combining constituents from both VN30 and VNMidcap.

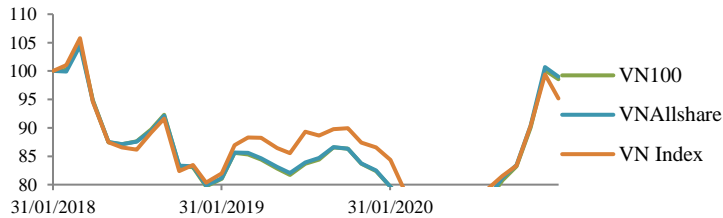
Index Characteristics

Number of Constituents	100
Full Market-Capitalization (Billion VND)	3,148,239
Free-float adjusted Market-Capitalization (Billion VND)	1,143,109
Average	11,431
Max	117,201
Min	105
Median	2,895
Weighted capitalization of largest company	10.25%
Weighted capitalization of top-10 companies	56.67%

Information

Base Date: 24/01/2014
Base Value: 560.19
Availability: Price and Total Return
Frequency:
 - VN100: every 5 seconds.
 - VN100TRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 31/01/2018 to 29/01/2021



* Indices values are simulatedly rebased to 100 at 31/01/2018

Index Volatility

	3 months	6 months	1 year	3 years	5 years
VN100	12.87%	14.34%	25.49%	35.03%	38.47%

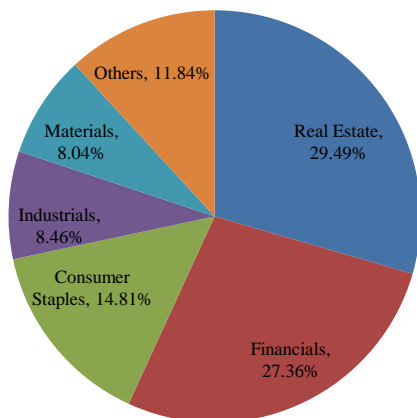
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VN100	99.03%	98.78%	98.94%	98.07%	97.13%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2017	2018	2019	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VN100	50.21%	-11.68%	3.35%	-1.59%	18.39%	40.95%	23.71%	-1.47%	86.08%	-0.49%	13.22%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	VIC	Real Estate	117,201	10.25%
2	VNM	Consumer Staples	107,303	9.39%
3	TCB	Financials	73,358	6.42%
4	VHM	Real Estate	72,616	6.35%
5	HPG	Materials	69,885	6.11%
6	VPB	Financials	57,073	4.99%
7	FPT	Information Technology	39,822	3.48%
8	VCB	Financials	37,167	3.25%
9	MBB	Financials	36,944	3.23%
10	MWG	Consumer Discretionary	36,440	3.19%
	Total		647,810	56.67%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Real Estate	16	337,087	29.49%
Financials	19	312,754	27.36%
Consumer Staples	9	169,328	14.81%
Industrials	22	96,666	8.46%
Materials	10	91,924	8.04%

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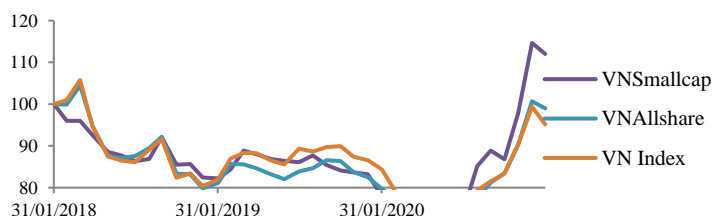
Index Characteristics

Number of Constituents	149
Full Market-Capitalization (Billion VND)	141,772
Free-float adjusted Market-Capitalization (Billion VND)	66,763
Average	442
Max	3,300
Min	0
Median	293
Weighted capitalization of largest company	4.94%
Weighted capitalization of top-10 companies	27.49%

Information

Base Date: 24/01/2014
Base Value: 560.19
Availability: Price and Total Return
Frequency:
 - VNSmallcap: every 5 seconds.
 - VNSmallcapTRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 31/01/2018 to 29/01/2021



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Index Volatility

	3 months	6 months	1 year	3 years	5 years
VNSmallcap	11.69%	13.35%	22.81%	27.29%	30.95%

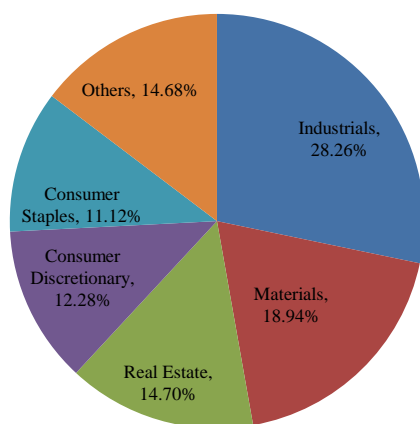
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VNSmallcap	91.14%	85.54%	87.42%	80.74%	76.15%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2017	2018	2019	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VNSmallcap	22.54%	-15.81%	0.93%	-2.27%	29.12%	50.16%	43.88%	12.05%	59.33%	3.87%	9.76%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	DBC	Consumer Staples	3,300	4.94%
2	VSC	Industrials	2,501	3.75%
3	TCM	Consumer Discretionary	2,364	3.54%
4	NKG	Materials	1,616	2.42%
5	SZC	Industrials	1,564	2.34%
6	FIT	Financials	1,519	2.27%
7	CVT	Materials	1,412	2.11%
8	HDC	Real Estate	1,368	2.05%
9	DGW	Information Technology	1,366	2.05%
10	GAB	Materials	1,346	2.02%
	Total		18,355	27.49%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Industrials	45	19,901	29.81%
Materials	29	11,481	17.20%
Real Estate	21	9,870	14.78%
Consumer Discretionary	19	9,109	13.64%
Consumer Staples	13	6,874	10.30%

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SUMMARY OF HOSE-INDEX'S METHODOLOGY

Selection Criteria:

1. Eligibility:

- Must be listed and traded on HOSE for at least 6 (six) months prior Review Date.
- Must not violate disclosure requirement or subjected to controlled, special control or suspended at least 3 months prior Review Date.

2. **Free-float:** Companies must have free-float ratio minimum of 10%.

3. **Liquidity:** Turnover ratio must be at least 0.05%.

Constituents Selection:

1. **VNAllshare:** Comprises the universe of all constituents that passed Selection Criteria.

2. VN30:

- **Eligibility constituents for VN30:** Must belong to top-listed accumulated 90% of traded value of VNAllshare.
- 20 largest companies by market-capitalization are chosen. The remaining 10 companies are selected from 21st to 40th ranked in market-capitalization with priority given to current constituent(s) of VN30.

3. VNMidcap:

- Excluding the VN30 constituents, 40 largest companies by market-capitalization from the remaining pool will be chosen.
- 30 Stocks from the 41st to 80th of market-capitalization are select with priority given to current constituent(s) of VNMidcap index.

4. **VN100:** Comprises all constituents of VN30 and VNMidcap.

5. **VNSmallcap:** Comprises all constituents of VNAllshare excluding VN100.

GLOBAL INDUSTRY CLASSIFICATION STANDARD (GICS®)

GICS (Global Industry Classification Standard) is the industry classification standard for securities market which developed and managed by MSCI and S&P. Information regarding to GICS classification shall be managed and disclosed by MSCI and S&P.

Securities listing on HOSE shall be classified by MSCI based on GICS standard. Information on listed companies is published on HOSE's website: www.hsx.vn.

Industrial classification update of constituent stocks shall be made semi-annually on the same date as Review Date.

