

HOSE-Index Series

Data as at: 26 Feb 2021

HOSE-Index is a market-capitalization weighted investable index series comprised of companies listed on the Hochiminh Stock Exchange (HOSE). The constituents are screened for eligibility, free-float and liquidity. HOSE-Index Series covers over 90% total traded value and 80% HOSE's market capitalization.

HOSE-Index Structure

VNAllshare is a market-capitalization weighted index comprises all HOSE-listed companies that passed screening for eligibility, free-float and liquidity.

VN30 is a market-capitalization weighted index which measures the performance of 30 large market-capitalization and high liquidity stocks from VNAllshare.

VNMidcap is a market-capitalization weighted index which measures the performance of 70 medium market-capitalization companies from VNAllshare.

VN100 is a market-capitalization weighted index combining constituents from both VN30 and VNMidcap.

VNSmallcap is a market-capitalization weighted index and designed to measure the performance of small market-capitalization companies from VNAllshare.

VNAllshare Sector Indices is a series of sector index comprised of constituents from VNAllshare classified according to the Global Industry Classification Standard (GICS®).

Features

Objective

The indices are designed for use in the creation of index track funds, derivatives and as a performance benchmark.

Investability

Stocks are selected and calculated by free-float to ensure index is investable.

Liquidity

Stocks are screened to ensure index is tradable.

Transparency

The indices use a transparent, rules-based construction process. Index Rules are freely available on the HOSE website.

Availability

The indices are calculated in real-time or end of day.

Review Dates

Semi-annually in January and July.

Capping Limit

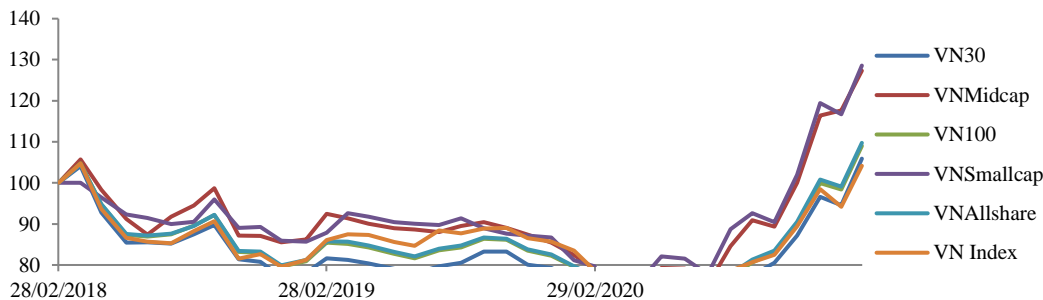
10% (Not applied for VNAllshare Sector)

Index Methodology

Free-float adjusted market-capitalization weighted index.

Industry Classification Benchmark
Index constituents are classified in accordance with the Global Industry Classification Standard (GICS®).

Indices performance from 28/02/2018 to 26/02/2021



* Indices values are simulatedly rebased to 100 at 28/02/2018

Indices Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VNAllShare	13.66%	3.40%	21.25%	10.76%	21.29%	40.99%	41.88%	9.74%	99.20%	3.15%	14.78%
VN30	14.10%	2.73%	20.73%	11.95%	21.50%	42.44%	41.06%	5.90%	105.66%	1.93%	15.51%
VNMidcap	14.65%	1.82%	35.92%	8.20%	27.25%	50.55%	61.08%	27.32%	85.77%	8.38%	13.19%
VN100	13.23%	3.43%	20.69%	10.80%	21.22%	41.15%	41.29%	8.99%	101.77%	2.91%	15.07%
VNSmallcap	18.77%	1.72%	37.64%	10.14%	26.07%	44.92%	61.32%	28.57%	67.71%	8.74%	10.89%
VN-Index	10.27%	7.76%	14.19%	10.59%	16.49%	32.53%	32.45%	4.18%	108.89%	1.38%	15.87%

Indices Volatility

	3 months	6 months	1 year	3 years	5 years
VNAllShare	15.10%	16.26%	26.54%	34.82%	38.89%
VN30	15.70%	16.98%	27.23%	36.70%	41.45%
VNMidcap	14.55%	15.89%	25.08%	33.32%	37.50%
VN100	15.29%	16.50%	26.42%	35.20%	39.43%
VNSmallcap	13.32%	14.49%	23.07%	27.49%	31.66%
VN Index	14.51%	15.62%	25.79%	34.77%	39.57%

Correlation of Indices with VN Index

	3 months	6 months	1 year	3 years	5 years
VNAllShare	99.40%	99.04%	97.82%	97.36%	96.62%
VN30	98.94%	98.51%	98.28%	97.40%	96.63%
VNMidcap	92.84%	89.49%	90.43%	86.69%	83.36%
VN100	99.35%	99.02%	99.06%	98.12%	97.26%
VNSmallcap	90.80%	86.16%	87.79%	80.76%	76.70%

Indices Characteristics

	VNAllshare	VN30	VNMidcap	VN100	VNSmallcap
Number of Constituents	249	30	70	100	149
Full Market-Capitalization (Billion VND)	3,700,963	3,108,950	430,782	3,539,732	161,231
Free-float adjusted Market-Capitalization (Billion VND)	1,371,727	1,077,234	218,433	1,295,667	76,059
Average	5,465	35,908	3,120	12,957	504
Max	129,040	129,040	17,053	129,040	3,828
Min	0	1,930	119	119	0
Median	658	28,850	2,235	3,257	328
Weighted capitalization of largest company	9.41%	11.98%	7.81%	9.96%	5.03%
Weighted capitalization of top-10 companies	54.20%	69.01%	42.85%	57.38%	27.05%

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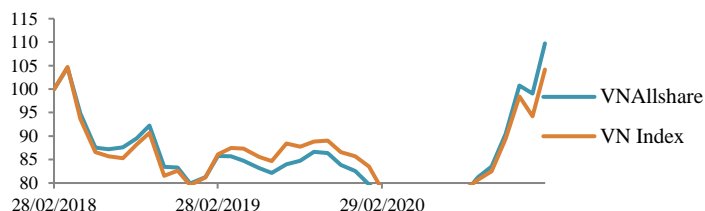
Index Characteristics

Number of Constituents	249
Full Market-Capitalization (Billion VND)	3,700,963
Free-float adjusted Market-Capitalization (Billion VND)	1,371,727
Average	5,465
Max	129,040
Min	0
Median	658
Weighted capitalization of largest company	9.41%
Weighted capitalization of top-10 companies	54.20%

Information

Base Date: 24/01/2014
Base Value: 560.19
Availability: Price and Total Return
Frequency:
 - VNAllshare: every 5 seconds.
 - VNAllshareTRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 28/02/2018 to 26/02/2021



* Indices values are simulatedly rebased to 100 at 28/02/2018

Index Volatility

	3 months	6 months	1 year	3 years	5 years
VNAllshare	15.10%	16.26%	26.54%	34.82%	38.89%

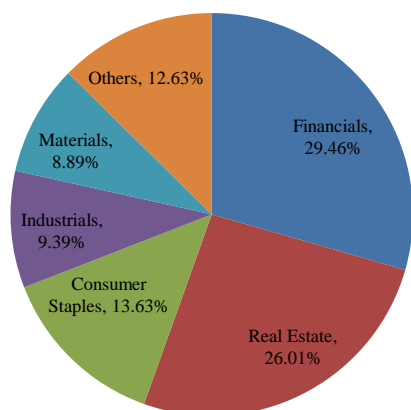
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VNAllshare	99.40%	99.04%	97.82%	97.36%	96.62%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VNAllshare	13.66%	3.40%	21.25%	10.76%	21.29%	40.99%	41.88%	9.74%	99.20%	3.15%	14.78%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	VIC	Real Estate	129,040	9.41%
2	VNM	Consumer Staples	109,497	7.98%
3	TCB	Financials	89,419	6.52%
4	VHM	Real Estate	83,554	6.09%
5	HPG	Materials	83,097	6.06%
6	VPB	Financials	73,642	5.37%
7	FPT	Information Technology	47,787	3.48%
8	MBB	Financials	46,431	3.38%
9	MWG	Consumer Discretionary	40,902	2.98%
10	VCB	Financials	40,063	2.92%
	Total		743,433	54.20%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Financials	23	404,067	29.46%
Real Estate	38	356,808	26.01%
Consumer Staples	22	186,921	13.63%
Industrials	67	128,743	9.39%
Materials	39	121,959	8.89%

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VN30 is a market-capitalization weighted index which measures the performance of 30 large cap and high liquidity stocks from VNAllshare.

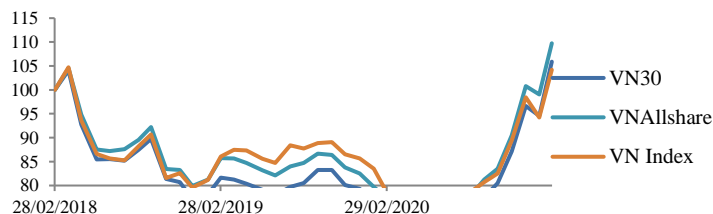
Index Characteristics

Number of Constituents	30
Full Market-Capitalization (Billion VND)	3,108,950
Free-float adjusted Market-Capitalization (Billion VND)	1,077,234
Average	35,908
Max	129,040
Min	1,930
Median	28,850
Weighted capitalization of largest company	11.98%
Weighted capitalization of top-10 companies	69.01%

Information

Base Date: 02/01/2009
Base Value: 313.34
Availability: Price and Total Return
Frequency:
 - VN30: every 5 seconds.
 - VN30TRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 28/02/2018 to 26/02/2021



* Indices values are simulatedly rebased to 100 at 28/02/2018

Index Volatility

	3 months	6 months	1 year	3 years	5 years
VN30	15.70%	16.98%	27.23%	36.70%	41.45%

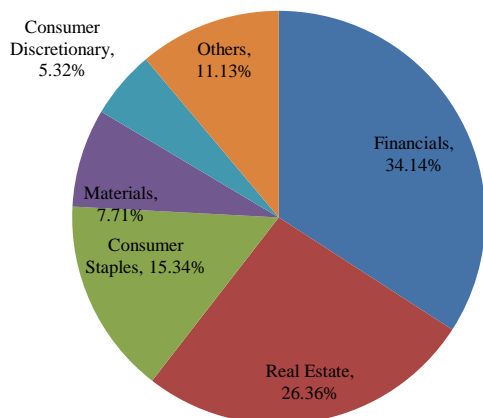
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VN30	98.94%	98.51%	98.28%	97.40%	96.63%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VN30	14.10%	2.73%	20.73%	11.95%	21.50%	42.44%	41.06%	5.90%	105.66%	1.93%	15.51%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	VIC	Real Estate	129,040	11.98%
2	VNM	Consumer Staples	109,497	10.16%
3	TCB	Financials	89,419	8.30%
4	VHM	Real Estate	83,554	7.76%
5	HPG	Materials	83,097	7.71%
6	VPB	Financials	73,642	6.84%
7	FPT	Information Technology	47,787	4.44%
8	MBB	Financials	46,431	4.31%
9	MWG	Consumer Discretionary	40,902	3.80%
10	VCB	Financials	40,063	3.72%
	Total		743,433	69.01%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Financials	10	367,723	34.14%
Real Estate	5	283,998	26.36%
Consumer Staples	4	165,242	15.34%
Materials	1	83,097	7.71%
Consumer Discretionary	2	57,316	5.32%

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VNMidcap is a market-capitalization weighted index which measures the performance of 70 medium market-capitalization companies from VNAllshare.

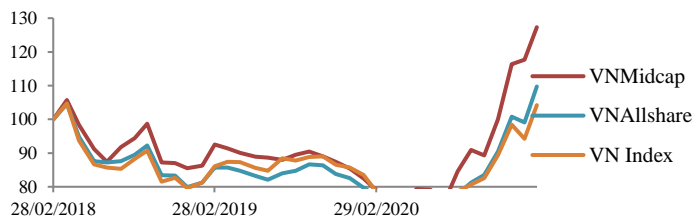
Index Characteristics

Number of Constituents	70
Full Market-Capitalization (Billion VND)	430,782
Free-float adjusted Market-Capitalization (Billion VND)	218,433
Average	3,120
Max	17,053
Min	119
Median	2,235
Weighted capitalization of largest company	7.81%
Weighted capitalization of top-10 companies	42.85%

Information

Base Date: 24/01/2014
Base Value: 560.19
Availability: Price and Total Return
Frequency:
 - VNMidcap: every 5 seconds.
 - VNMidcapTRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 28/02/2018 to 26/02/2021



* Indices values are simulated rebased to 100 at 28/02/2018

Index Volatility

	3 months	6 months	1 year	3 years	5 years
VNMidcap	14.55%	15.89%	25.08%	33.32%	37.50%

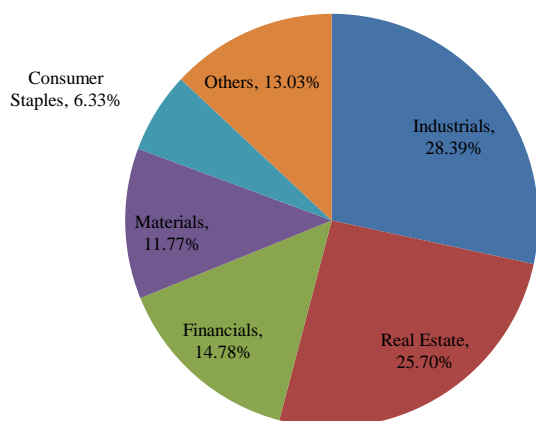
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VNMidcap	92.84%	89.49%	90.43%	86.69%	83.36%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VNMidcap	14.65%	1.82%	35.92%	8.20%	27.25%	50.55%	61.08%	27.32%	85.77%	8.38%	13.19%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	TPB	Financials	17,053	7.81%
2	KBC	Real Estate	12,824	5.87%
3	DXG	Real Estate	10,353	4.74%
4	PDR	Real Estate	9,983	4.57%
5	HSG	Materials	9,419	4.31%
6	GMD	Industrials	9,087	4.16%
7	GEX	Industrials	7,119	3.26%
8	VCI	Financials	6,236	2.86%
9	NLG	Real Estate	5,979	2.74%
10	HPX	Real Estate	5,542	2.54%
	Total		93,596	42.85%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Industrials	14	62,011	28.39%
Real Estate	18	56,136	25.70%
Financials	6	32,278	14.78%
Materials	9	25,717	11.77%
Consumer Staples	5	13,824	6.33%

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VN100 is a market-capitalization weighted index combining constituents from both VN30 and VNMidcap.

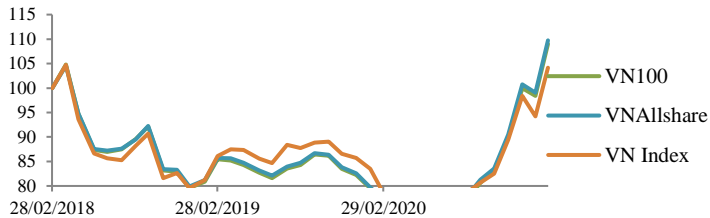
Index Characteristics

Number of Constituents	100
Full Market-Capitalization (Billion VND)	3,539,732
Free-float adjusted Market-Capitalization (Billion VND)	1,295,667
Average	12,957
Max	129,040
Min	119
Median	3,257
Weighted capitalization of largest company	9.96%
Weighted capitalization of top-10 companies	57.38%

Information

Base Date: 24/01/2014
Base Value: 560.19
Availability: Price and Total Return
Frequency:
 - VN100: every 5 seconds.
 - VN100TRI: End of day.
Capping limit: 10%
Calculation Method: Free-float adjusted market-capitalization weighted index.
Currency: VND

Indices performance from 28/02/2018 to 26/02/2021



* Indices values are simulatedly rebased to 100 at 28/02/2018

Index Volatility

	3 months	6 months	1 year	3 years	5 years
VN100	15.29%	16.50%	26.42%	35.20%	39.43%

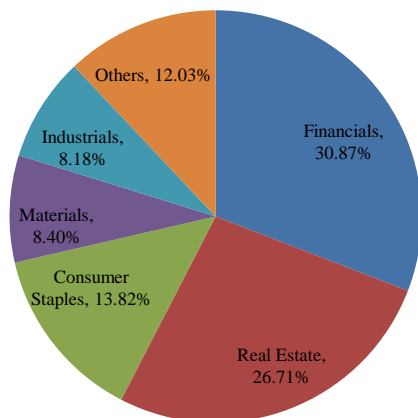
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VN100	99.35%	99.02%	99.06%	98.12%	97.26%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VN100	13.23%	3.43%	20.69%	10.80%	21.22%	41.15%	41.29%	8.99%	101.77%	2.91%	15.07%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	VIC	Real Estate	129,040	9.96%
2	VNM	Consumer Staples	109,497	8.45%
3	TCB	Financials	89,419	6.90%
4	VHM	Real Estate	83,554	6.45%
5	HPG	Materials	83,097	6.41%
6	VPB	Financials	73,642	5.68%
7	FPT	Information Technology	47,787	3.69%
8	MBB	Financials	46,431	3.58%
9	MWG	Consumer Discretionary	40,902	3.16%
10	VCB	Financials	40,063	3.09%
	Total		743,433	57.38%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Financials	16	400,000	30.87%
Real Estate	19	346,010	26.71%
Consumer Staples	9	179,066	13.82%
Materials	10	108,814	8.40%
Industrials	22	105,958	8.18%

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VNSmallcap Index

Data as at: 26 Feb 2021

VNSmallcap is a market-capitalization weighted index and designed to measure the performance of small market-capitalization companies from VNAllshare.

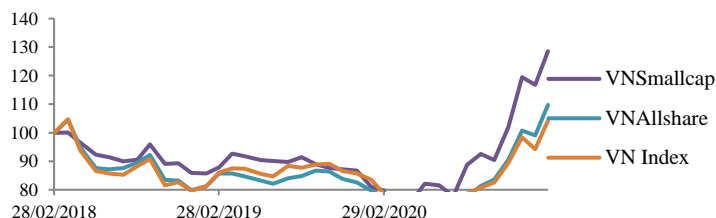
Index Characteristics

Number of Constituents	149
Full Market-Capitalization (Billion VND)	161,231
Free-float adjusted Market-Capitalization (Billion VND)	76,059
Average	504
Max	3,828
Min	0
Median	328
Weighted capitalization of largest company	5.03%
Weighted capitalization of top-10 companies	27.05%

Information

Base Date: 24/01/2014
 Base Value: 560.19
 Availability: Price and Total Return
 Frequency:
 - VNSmallcap: every 5 seconds.
 - VNSmallcapTRI: End of day.
 Capping limit: 10%
 Calculation Method: Free-float adjusted market-capitalization weighted index.
 Currency: VND

Indices performance from 28/02/2018 to 26/02/2021



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Index Volatility

	3 months	6 months	1 year	3 years	5 years
VNSmallcap	13.32%	14.49%	23.07%	27.49%	31.66%

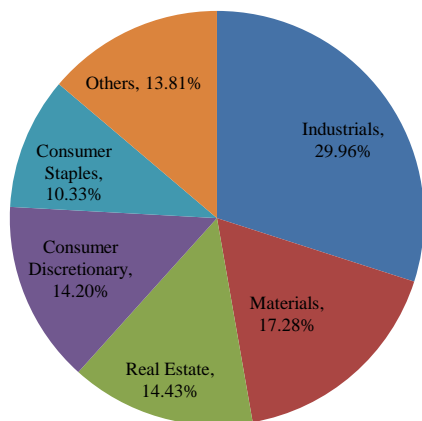
Correlation with VN Index

	3 months	6 months	1 year	3 years	5 years
VNSmallcap	90.80%	86.16%	87.79%	80.76%	76.70%

Index Performance

	Performance (%)									Annualized Performance (%)	
	2018	2019	2020	1 month	3 months	6 months	1 year	3 years	5 years	3 years	5 years
VNSmallcap	18.77%	1.72%	37.64%	10.14%	26.07%	44.92%	61.32%	28.57%	67.71%	8.74%	10.89%

Sector Breakdown



Top-10 Constituents of Market Capitalization

	Stock	Sector	Free-float adjusted market-cap (Billion VND)	Weight (%)
1	DBC	Consumer Staples	3,828	5.03%
2	VSC	Industrials	2,518	3.31%
3	TCM	Consumer Discretionary	2,438	3.21%
4	NKG	Materials	1,973	2.59%
5	DGW	Information Technology	1,831	2.41%
6	HDC	Real Estate	1,628	2.14%
7	SZC	Industrials	1,628	2.14%
8	GIL	Consumer Discretionary	1,626	2.14%
9	CVT	Materials	1,596	2.10%
10	BCG	Industrials	1,510	1.98%
	Total		20,576	27.05%

Top-5 Sectors of Market Capitalization

Sector	Number of Companies	Free-float adjusted market-cap (Billion VND)	Weight (%)
Industrials	45	22,786	29.96%
Materials	29	13,145	17.28%
Real Estate	21	10,973	14.43%
Consumer Discretionary	19	10,798	14.20%
Consumer Staples	13	7,855	10.33%

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SUMMARY OF HOSE-INDEX'S METHODOLOGY

Selection Criteria:

1. Eligibility:

- Must be listed and traded on HOSE for at least 6 (six) months prior Review Date.
- Must not violate disclosure requirement or subjected to controlled, special control or suspended at least 3 months prior Review Date.

2. **Free-float:** Companies must have free-float ratio minimum of 10%.

3. **Liquidity:** Turnover ratio must be at least 0.05%.

Constituents Selection:

1. **VNAllshare:** Comprises the universe of all constituents that passed Selection Criteria.

2. VN30:

- **Eligibility constituents for VN30:** Must belong to top-listed accumulated 90% of traded value of VNAllshare.
- 20 largest companies by market-capitalization are chosen. The remaining 10 companies are selected from 21st to 40th ranked in market-capitalization with priority given to current constituent(s) of VN30.

3. VNMidcap:

- Excluding the VN30 constituents, 40 largest companies by market-capitalization from the remaining pool will be chosen.
- 30 Stocks from the 41st to 80th of market-capitalization are select with priority given to current constituent(s) of VNMidcap index.

4. **VN100:** Comprises all constituents of VN30 and VNMidcap.

5. **VNSmallcap:** Comprises all constituents of VNAllshare excluding VN100.

GLOBAL INDUSTRY CLASSIFICATION STANDARD (GICS®)

GICS (Global Industry Classification Standard) is the industry classification standard for securities market which developed and managed by MSCI and S&P. Information regarding to GICS classification shall be managed and disclosed by MSCI and S&P.

Securities listing on HOSE shall be classified by MSCI based on GICS standard. Information on listed companies is published on HOSE's website: www.hsx.vn.

Industrial classification update of constituent stocks shall be made semi-annually on the same date as Review Date.

