

SCI E&C JOINT STOCK COMPANY

THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No.: 01/2025/CDL

Hanoi, 23rd January 2025

LIST OF STATE SHAREHOLDERS AND MAJOR SHAREHOLDERS
To: Hanoi Stock Exchange

Stock code: SCI

Closing date of ownership list: 25/10/2024

No.	Name of individual / organization (representative)	Classification of shareholders		ID card number	Date of issue	Number of shares held	Percentage of shares held (%)	Note
		State shareholders	Major shareholder					
1	2	3	4	5	6	7	8	9
1	SCI Joint stock company		x	0101405355	03/06/2020	15.550.789	51,00%	

Organization representative

Legal Representative / Authorized Person for Information Disclosure
(Signature, full name (position, seal - if it is an organization))



CHỦ TỊCH HĐQT

Phạm Thanh Hải



No.:/CBTT-HCNS

Hanoi, January , 2025

REPORT
Corporate Governance Situation
(year 2024)

To:

- The State Securities Commission;
- The Stock Exchange.

- Name of company: SCI E&C Joint Stock Company
- Address of head office: 3rd floor, Tower C, Golden Palace Building, Me Tri Street, Nam Tu Liem District, Hanoi
- Phone number: 02433 868 243 Email: enc@scigroup.vn
- Charter capital: 304.914.090.000 VND
- Securities code: SCI
- Corporate Governance Model:
 - + Shareholders' General Meeting, Board of Directors, CEO, and an Audit Committee under the Board of Directors.
- Regarding the implementation of internal audit functions: It has been carried out.

I. Activities of the General Meeting of Shareholders

Information on meetings and resolutions/ decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders adopted in the form of written comments):

No.	Resolution/ Decision No.	Date	Content
1	01/2024/NQ- SCIEC-ĐHĐCĐ	12/04/2024	Approval of: (1) Approval of key performance indicators for the business results of 2023 and the plan for 2024; (2) Approval of the 2023 activity report and the 2024 activity plan of the Board of Directors; (3) Approval of the 2023 activity report of the Audit Committee; (4) Approval of the audited financial statements for 2023 (separate and consolidated), profit distribution, and Board of Directors' remuneration; (5) Approval of the policy to issue bonus shares under the employee stock option program; (6) Approval of the plan to issue shares for dividend payment; (7) Approval of the plan to offer additional shares to the public for existing shareholders; (8) Approval and authorization for the Board of Directors to decide on certain matters within the shareholders' meeting's jurisdiction;

No.	Resolution/ Decision No.	Date	Content
			(9) Approval of the plan for investment in machinery and equipment to serve construction activities.

II. The Board of Directors (Year 2024):

1. Information about the members of the Board of Directors (BOD):

No.	BOM's member	Position (Independent BOD member, Non-executive BOD member)	Start date/End date as BOD member/Independent BOD member	
			Appointment date	Dismissal date
1	Mr. Phan Thanh Hải	Chairman of the BOD	19/04/2021	
2	Mr. Nguyễn Chính Đại	Vice Chairman of the BOD	29/12/2022	
3	Mr. Nguyễn Tài Sơn	Independent BOD Member	18/04/2019	
4	Mr. Nguyễn Quang Thiện	Independent BOD Member	12/04/2021	
5	Mr. Lưu Minh Thành	BOD Member	22/08/2022	

2. Board of Directors Meetings:

No.	BOM's member	Number of BOD Meetings Attended	Attendance Rate (%)	Reason for Absence
1	Mr. Phan Thanh Hải	19/19	100	
2	Mr. Nguyễn Chính Đại	19/19	100	
3	Mr. Nguyễn Tài Sơn	19/19	100	
4	Mr. Nguyễn Quang Thiện	19/19	100	
5	Mr. Lưu Minh Thành	19/19	100	

3. Board of Directors' Oversight of the Executive Board:

The Board of Directors has consistently monitored closely, provided timely support, and ensured the effective operational management of the Executive Board, the management team assisting the CEO, and representatives of the company's capital in other invested businesses. Through this, the Executive Board has built and implemented the execution of tasks such as: (1) Developing business plans, implementing them flexibly and consistently across work units, assessing business operations, forecasting the market, and sharing information regularly every month and quarter; controlling costs and building solutions to enhance business efficiency; (2) Continuously monitoring activities, checking financial and accounting operations, verifying compliance with the internal control system, and evaluating all units in the system; (3) Implementing KPI labor productivity assessments to improve operational efficiency, labor productivity, and business results. Checking the implementation of regulations issued by the Board of Directors and ensuring compliance with state regulations and those from regulatory bodies.

4. Activities of the Committees under the Board of Directors (if any):

5. Resolutions/Decisions of the Board of Directors (2024):

**SCI E&C JOINT STOCK COMPANY**

3rd floor, Tower C, Golden Palace Building, Me Tri Street, Nam Tu Liem District, Hanoi

Tel: 024 3386 8243

Mail: enc@scigroup.vnWebsite: www.scigroup.vn

No.	Resolution/ Decision No.	Date	Content	Approval Rate (%)
1	01.2024.NQ.SCIEC.HĐQT	17/01/24	240117 - 01.2024.NQ.SCIEC.HĐQT - Request to suspend the consideration of the registration dossier for the share issuance and additional share offering of SCI E&C Joint Stock Company	100
2	01.1.2024.NQ.SCIEC.HĐQT	19/01/24	240119 - 02.1.2024.NQ.SCIEC.HĐQT - Resolution of the First Quarter Meeting of 2024	100
3	02.2024.NQ.SCIEC.HĐQT	02/02/24	240202 - 02.2024.NQ.SCIEC.HĐQT - Organization of the 2024 Annual General Meeting of Shareholders	100
4	03.2024.NQ.SCIEC.HĐQT	29/02/24	240229 - 03.2024.NQ.SCIEC.HĐQT - Organization of the 2024 Annual General Meeting of Shareholders (change of meeting schedule)	100
5	04.2024.NQ.SCIEC.HĐQT	29/02/24	240229 - 04.2024.NQ.SCIEC.HĐQT - Approval of the 2024 investment project to enhance construction equipment capacity	100
6	05.2024.NQ.SCIEC.HĐQT	01/04/24	240401 - 05.2024.NQ.SCIEC.HĐQT - Approval of the 2024 investment project to enhance construction equipment capacity	100
7	05.1.2024.NQ.SCIEC.HĐQT	12/04/24	240512 - 05.1.2024.NQ.SCIEC.HĐQT - Resolution of the Second Quarter Meeting of 2024	100
8	06.2024.NQ.SCIEC.HĐQT	06/05/24	240506 - 06.2024.NQ.SCIEC.HĐQT - Approval of the joint venture agreement and contract for executing the EPC package: Design, supply, installation of equipment, and construction of the Nam Sam 3A hydropower project	100
9	07.2024.NQ.SCIEC.HĐQT	06/05/24	240506 - 07.2024.NQ.SCIEC.HĐQT - Approval of the joint venture agreement and contract for executing the EPC package: Design, supply, installation of equipment, and construction of the Nậm Mô 1 hydropower project	100
10	07.1.2024.NQ.SCIEC.HĐQT	27/05/24	240527 - 07.1.2024.NQ.SCIEC.HĐQT - Approval of the 2024 investment project to enhance construction equipment capacity	100
11	08.2024.NQ.SCIEC.HĐQT	29/05/24	240529 - 08.2024.NQ.SCIEC.HĐQT - Selection of an auditing firm for the 2024 financial statements	100
12	09.2024.NQ.SCIEC.HĐQT	29/05/24	240529 - 09.2024.NQ.SCIEC.HĐQT - Disposal of assets: 03 excavators, 08 forklifts	100

No.	Resolution/ Decision No.	Date	Content	Approval Rate (%)
13	10.2024.NQ.SCIEC.HĐQT	31/05/24	240529 - 10.2024.NQ.SCIEC.HĐQT - Disposal of assets: 02 cars, 01 excavator	100
14	10.1.2024.NQ.SCIEC.HĐQT	06/06/24	240606 - 10.1.2024.NQ.SCIEC.HĐQT - Approval of the 2024 investment project to enhance construction equipment capacity	100
15	11.2024.NQ.SCIEC.HĐQT	05/07/24	240705 - 11.2024.NQ.SCIEC.HĐQT - Amendment of Resolution No. 05.2024	100
16	11.1.2024.NQ.SCIEC.HĐQT	05/07/24	240705 - 11.1.2024.NQ.SCIEC.HĐQT - Resolution of the Third Quarter 2024 Meeting	100
17	12.2024.NQ.SCIEC.HĐQT	11/07/24	240711 - 12.2024.NQ.SCIEC.HĐQT - 2024 production plan implementation and approval of the loan plan from BIDV – Ha Dong Branch	100
18	13.2024.NQ.SCIEC.HĐQT	12/09/24	240912 - 13.2024.NQ.SCIEC.HĐQT - Implementation of the share issuance plan for dividend payment according to the 2024 Annual General Meeting resolution	100
19	14.2024.NQ.SCIEC.HĐQT	10/10/24	241010 - 14.2024.NQ.SCIEC.HĐQT - Approval of the record date for share issuance to pay dividends	100
20	14.2024.NQ.SCIEC.HĐQT	15/10/24	241015 - 14.1.2024.NQ.SCIEC.HĐQT - Resolution of the Fourth Quarter Meeting of 2024	100
21	15.2024.NQ.SCIEC.HĐQT	11/11/24	241111 - 15.2024.NQ.SCIEC.HĐQT - Amend the Charter, adjust the Business Registration Certificate, change the securities registration certificate, and list additional shares issued for the 2023 dividend payment	100
22	16.2024.NQ.SCIEC.HĐQT	31/12/24	241231 - 16.2024.NQ.SCIEC.HĐQT - Dismissal and appointment of members of the Company's Board of Directors	100

III. Audit Committee (2024):

1. Information about the members of the Audit Committee:

No.	Audit Committee Member	Position	Start Date as Audit Committee Member	Profession Qualification
1	Mr. Nguyễn Quang Thiện	Chairman of the Audit Committee	21/05/21	Bachelor's degree in Accounting

2	Mr. Nguyễn Tài Sơn	Member of the Audit Committee	21/05/21	Bachelor's degree in Economics
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2. Audit Committee Meetings

No.	Audit committee Member	Number of Meetings Attended	Attendance Rate (%)	Voting Rate (%)	Reason for Absence
1	Mr. Nguyễn Quang Thiện	2/2	100	100	
2	Mr. Nguyễn Tài Sơn	2/2	100	100	

3. Oversight Activities of the Audit Committee on the Board of Directors, Executive Board, and Shareholders:

Based on the resolutions of the 2024 Annual General Meeting of Shareholders and the Board of Directors' Resolutions, the Audit Committee regularly monitors the implementation results compared to the set resolutions.

4. Cooperation between the Audit Committee and the Board of Directors, Executive Board, and other management personnel:

In the company's business and production activities, the Audit Committee collaborates with relevant departments to regularly evaluate various aspects of the company's operations and participate in providing feedback during meetings, contributing to helping the Board of Directors and the Executive Board operate more efficiently.

5. Other activities of the Supervisory Board/Audit Committee (if any):

IV. Executive Board

No.	Members of the Executive Board	Date of birth	Professional Qualification	Appointment Date as Executive Board Member
1	Mr. Lưu Minh Thành (CEO)	25/07/1991	Construction Engineer	01/05/2022
2	Mr. Nguyễn Công Hòa (Deputy CEO)	26/12/1980	Construction Engineer	18/07/2019
3	Ms. Mai Thị Vân Anh (Deputy CEO)	20/02/1989	Bachelor's degree in Finance and Banking	01/05/2022
4	Mr. Nguyễn Chí Tuyển (Deputy CEO)	23/10/1983	Hydraulics Engineer	15/05/2023
5	Mr. Bùi Chí Giang (Deputy CEO)	23/10/1981	Irrigation Engineer	26/06/2023

V. Chief Accountant

Name	Date of Birth	Professional Qualification	Appointment/Termination
Mr. Cao Lữ Phi Hùng	12/01/1987	Master's degree in Finance-Accounting	01/06/2020

VI. Person in Charge of Corporate Governance

Name	Date of Birth	Professional Qualification	Appointment/Termination
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Mail: enc@scigroup.vnWebsite: www.scigroup.vn

Mr. Cao Lữ Phi Hùng	12/01/1987	Master's degree in Finance-Accounting	01/06/2020
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VII. Corporate Governance Training:

The corporate governance training courses that members of the Board of Directors, Audit Committee members, CEO, other management personnel, and the company secretary have attended, as required by corporate governance regulations.

PHI HUNG

VII. List of Related Persons of the Public Company (2024) and Transactions of Related Parties with the Company**1. List of Related Persons of the Company**

No.	Name of Organization/ Individual	Securities Trading Account (if any)	Position at the Company (if any)	ID number, Date of Issuance, Place of Issuance	Head Office Address/ Contact Address	Start Date as Related Party	End Date as Related Party	Reason	Relationship with the Company
1	Phan Thanh Hải	002C166699	Chairman of the Board of Directors	040084000004		15/11/18			Internal Person
2	Nguyễn Chính Đại	009C048290	BOD Member and CEO	010078007444		24/04/15			Internal Person
3	Nguyễn Tài Sơn		Independent BOD Member	010388352		18/04/19			Internal Person
4	Nguyễn Quang Thiện	009C152948 026C550099	Independent BOD Member and Chairman of the Audit Committee	001088023840		12/04/21			Internal Person
5	Nguyễn Công Hòa	058C122680	Deputy CEO	197091006		18/07/19			Internal Person
6	Lưu Minh Thành		CEO	045091003365		01/05/22			Internal Person
7	Mai Thị Vân Anh	105C226073	Deputy CEO	038189023502		01/05/22			Internal Person
8	Cao Lữ Phi Hùng	002C125842	Chief Accountant and Person in Charge of Corporate Governance	035087000602		01/06/20			Internal Person
9	Nguyễn Chí Tuyên	002C017687	Deputy CEO	035083004748		15/05/23			Internal Person
10	Bùi Chí Giang	547530	Deputy CEO	036081020777		26/06/23			Internal Person

No.	Name of Organization/ Individual	Securities Trading Account (if any)	Position at the Company (if any)	ID number, Date of Issuance, Place of Issuance	Head Office Address/ Contact Address	Start Date as Related Party	End Date as Related Party	Reason	Relationship with the Company
11	Nguyễn Đức Duy	0026C260350	Head of Internal Audit Department	036092002590		21/05/21			Internal Person
12	Lê Tuấn Anh	058C014190	Deputy Head of Internal Audit Department	012754532		21/05/21			Internal Person
13	Nguyễn Văn Hùng	002C321886	BOD Member of Internal Audit Department	001086022668		21/05/21			Internal Person
14	SCI Joint Stock Company	072C405355		0101405355, Issued on 28/12/2007, Issued by Hanoi Department of Planning and Investment	3rd Floor, Tower C, Golden Palace Building, Mỹ Trì Street, Nam Từ Liêm District, Hanoi	30/09/15			Parent Company
15	SCI Nghệ An Joint Stock Company			2901147160 Issued on 01/12/2009, Issued by Nghệ An Department of Planning and Investment	Huoi Tho Village, Kiem Commune, Kỳ Sơn District, Nghệ An Province	24/04/15			Related Organization
16	SCI Lai Châu Joint Stock Company			6200085107 Issued on 26/10/2015, Issued by Lai Châu Department of Planning and Investment	Nà Cung Village, Lang Village Commune, Phong Tho District, Lai Châu Province	26/10/15			Related Organization

No.	Name of Organization/ Individual	Securities Trading Account (if any)	Position at the Company (if any)	ID number, Date of Issuance, Place of Issuance	Head Office Address/ Contact Address	Start Date as Related Party	End Date as Related Party	Reason	Relationship with the Company
17	SCI Consulting Joint Stock Company			0107652061 Issued on 01/12/2016, Issued by Hanoi Department of Planning and Investment	3rd Floor, Tower C, Golden Palace Building, Mỹ Trì Street, Nam Từ Liêm District, Hanoi	01/12/16			Related Organization
18	SCI Energy Co., Ltd.			0110761127 Issued on 21/06/2024, Issued by Quang Trì Department of Planning and Investment	3rd Floor, Tower C, Golden Palace Building, Mỹ Trì Street, Nam Từ Liêm District, Hanoi	21/06/24			Related Organization
19	SCI E&C Northern Co., Ltd			6200102708 Issued on 13/05/2019, Issued by Lai Chau Department of Planning and Investment	Na Cung Village, Lang Village Commune, Phong Tho District, Lai Chau Province, Vietnam	13/05/19			Subsidiary Company

2. Transactions Between the Company and Related Parties or Between the Company and Major Shareholders, Internal Persons, and Their Related Parties.

No.	Name of Organization/ Individual	Relationship with the company	ID Number, Date of Issuance, Place of Issuance	Head Office Address/Contact Address	Transaction Date with the Company	Resolution/Decision Number of the Shareholders' Meeting/Board of Directors	Resolution/Decision Number of the Shareholders' Meeting/Board of Directors		Note
1	SCI Joint Stock Company	Parent company	0101405355 Issued on 28/12/2007, Issued by Hanoi Department of Planning and Investment	3rd Floor, Tower C, Golden Palace Building, Me Tri Street, Nam Tu Liem District, Hanoi	Until 31/12/24		Sales and service revenue	795,587,481,919	
							Revenue from construction of Nam Sam 3 Hydroelectric Plant	372,759,637,048	
							Revenue from construction of Nam Mo 2 Hydroelectric Plant	422,827,844,871	
							<i>Purchase of goods and services</i>	16,439,830,548	
							Office rental	2,418,381,002	
							Electricity, water, and office service fees	303,917,447	
							Equipment rental for construction	1,506,636,363	

No.	Name of Organization/ Individual	Relationship with the company	ID Number, Date of Issuance, Place of Issuance	Head Office Address/Contact Address	Transaction Date with the Company	Resolution/Decision Number of the Shareholders' Meeting/Board of Directors	Resolution/Decision Number of the Shareholders' Meeting/Board of Directors		Note
							Electricity for construction at Nam Sam 3 Hydroelectric Plant	4,856,257,067	
							Electricity for construction at Nam Mo 2 Hydroelectric Plant	7,354,638,669	
2	SCI E&C Northern Co., Ltd.	Subsidiary Company	6200102708 Issued on 13/05/2019, Issued by Lai Chau Department of Planning and Investment	Na Cung Village, Lang Village Commune, Phong Tho District, Lai Chau Province, Vietnam	Until 31/12/24		No transactions		

3. Transactions Between Internal Persons, Their Related Parties with the Subsidiaries or Companies Controlled by the Parent Company.

Stt	Person Conducting the Transaction	Relationship with Internal Person	Position	ID Number/Passport, Date of Issuance, Place of Issuance	Address	Name of Subsidiary or Company Controlled by the	Transaction Date	Transaction Details, Quantity, Total Transaction Value	Note
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						Parent Company			

4. Transactions Between the Company and Other Entities

4.1. Transactions Between the Company and a company in which a related person of a member of the Board of Directors, a member of the Supervisory Board, the Director (General Directors), and Other Managers Have Been or Are Currently Founding Members, Board Members, or the Director (General Directors) in the Past Three (03) Years (as of the date of the report).

No.	Name of Organization/Individual	Relationship with the Company	ID Number/Passport, Date of Issuance, Place of Issuance	Registered Address / Contact Address	Time of Transaction with the Company	Resolution/Decision Number of the General Shareholders Meeting/Board of Directors	Transaction Details, Quantity, Total Transaction Value		N
1	SCI Joint Stock Company	Parent Company	0101405355 Issued on 28/12/2007 Issued by Hanoi Department of Planning and Investment	3rd Floor, Tower C, Golden Palace Building, Me Tri Street, Nam Tu Liem District, Hanoi	Until 31/12/24		Revenue from sales and services	795,587,481,919	
							Revenue from the construction of Nam Sam 3 Hydropower Plant	372,759,637,048	
							Revenue from the construction of Nam Mo 2 Hydropower Plant	422,827,844,871	

No.	Name of Organization/Individual	Relationship with the Company	ID Number/Passport, Date of Issuance, Place of Issuance	Registered Address / Contact Address	Time of Transaction with the Company	Resolution/Decision Number of the General Shareholders Meeting/Board of Directors	Transaction Details, Quantity, Total Transaction Value		N
							Purchase of goods and services	16,439,830,548	
							Office rent	2,418,381,002	
							Electricity, water, and service fees for the office	303,917,447	
							Equipment rental for construction	1,506,636,363	
							Electricity for construction at Nam Sam 3 Hydroelectric Plant	4,856,257,067	
							Electricity for construction at Nam Mo 2 Hydroelectric Plant	7,354,638,669	
2	SCI Nghe An Joint Stock Company	Related Organization	2901147160 Issued on 01/12/2009	Huoi Tho Village, Kiem	Until 31/12/24		Revenue from sales and services	25,318,579,963	

No.	Name of Organization/Individual	Relationship with the Company	ID Number/Passport, Date of Issuance, Place of Issuance	Registered Address / Contact Address	Time of Transaction with the Company	Resolution/Decision Number of the General Shareholders Meeting/Board of Directors	Transaction Details, Quantity, Total Transaction Value		N
		of Internal person	Issued by Nghe An Department of Planning and Investment	Commune, Ky Son District, Nghe An Province.			Revenue from the renovation of some items at CN1 and CN2 plants	25,318,579,963	
3	SCI Lai Chau Joint Stock Company	Related Organization of Internal person	6200085107 Issued on 26/10/2015 Issued by Lai Chau Department of Planning and Investment	Na Cung Village, Lang Village Commune, Phong Tho District, Lai Chau Province	Until 31/12/24		Revenue from sales and services	84,535,312,983	
							Revenue from the repair of Nam Lùm 2 Hydropower	5,316,990,048	
							Revenue from the repair of Nam Xe Hydropower	9,678,119,720	
							Revenue from the repair of Nam Lùm 1 Hydropower	69,540,203,215	
							Purchase of goods and services	290,693,333	

No.	Name of Organization/Individual	Relationship with the Company	ID Number/Passport, Date of Issuance, Place of Issuance	Registered Address / Contact Address	Time of Transaction with the Company	Resolution/Decision Number of the General Shareholders Meeting/Board of Directors	Transaction Details, Quantity, Total Transaction Value		N
							Electricity and management costs at Nam Xe Hydropower	50,172,288	
							Electricity and management costs at Nam Lùm Hydropower	240,521,045	
4	Na Cung, Ban Lang Commune, Phong Tho District, Lai Chau Province	Related Organization of Internal person	0107652061 Issued on 01/12/2016 Issued by Hanoi Department of Planning and Investment		Until 31/12/24		<i>Revenue from sales and services</i>	4,603,833	
5	SCI Quang Tri Joint Stock Company	Related Organization of Internal person	3200742452 Issued on 26/04/2024 Issued by Quang Tri Department of Planning and Investment		Until 31/12/24			173,718,876,712	
							Loan revenue	173,000,000,000	
							Interest payable	718,876,712	

4.2. Transactions between the company and a company in which a related person of a member of the Board of Directors, a member of the Supervisory Board, the Director (General Director), and other managers is a member of the Board of Directors, the Director (General Director) of operations.

None.

4.3. Other transactions of the company (if any) may bring material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the Director (General Director), and other managers.

None.

VIII. Transactions of Shares by Internal persons and Their Related Persons (2024)

List of Internal persons and their related persons

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID/ Passport No., date if issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Phan Thanh Hải	002C166699	Chairman of the BOD	040084000004		25.856	0.084%	
	Phan Thanh Cường			045057006350		0	0	
	Nguyễn Tuyết Thu			186520521		0	0	
	Phan Khánh Linh			182557002		0	0	
	Phan Thành Nam			040092006840		0	0	
	Đỗ Thị Thu Hoài			036185000014		0	0	
	Phan Hải Phong			None		0	0	
	Phan Đỗ Hoài An			None		0	0	
	Đỗ Văn Ninh			036054010409		0	0	
	Mai Đại Phương			040082034821		0	0	
	Tô Thị Thanh Huyền			040195009899		0	0	
2	Nguyễn Chính Đại	009C048290	Vice chairman of the BOD	010078007444		25	0%	
	Nguyễn Đức Chính			None		0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID/ Passport No., date if issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
	Nguyễn Tân Huyền			012033460		0	0	
	Nguyễn Quang Anh			None		0	0	
	Nguyễn Hải Long			None		0	0	
	Nguyễn Chí Dũng			None		0	0	
	Nguyễn Quang Minh			015084001178		0	0	
	Nguyễn Thị Lan			001189004738		0	0	
3	Nguyễn Tài Sơn		Independent Board Member – Audit Committee Member	026086005303		22.822	0.074%	
	Nguyễn Trà My			011686699		0	0	
	Nguyễn Tài Nam			012782905		0	0	
	Nguyễn Tài Minh			001099002725		0	0	
	Nguyễn Hiền Tâm			None		0	0	
4	Nguyễn Quang Thiện	009C152948, 026C550099	Independent Board Member – Chairman of the Audit Committee	001088023840		0	0%	
	Nguyễn Quang Phúc			112333142		0	0	
	Nguyễn Thị Thanh			110395967		0	0	
	Quách Văn Oanh			001057014435		0	0	
	Nguyễn Thị Hương			001165005833		0	0	
	Quách Thị Thanh Thủy			001188013214		0	0	
	Nguyễn Quang Bách			None		0	0	
	Nguyễn Quang Hải			None		0	0	
	Nguyễn Quang Đức			001078024816		0	0	
	Nguyễn Quang Tiến			111593771		0	0	
	Nguyễn Thị Yến			001183027972		0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID/ Passport No., date if issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
	Nguyễn Thị Nhạn			001186020972		0	0	
	Nguyễn Văn Toàn			001082023439		0	0	
	Tạ Duy Minh			001085024650		0	0	
	Trần Thị Mai Anh			012202825		0	0	
5	Lưu Minh Thành		Director	045091003365		7.320	0.024%	
	Trần Thị Ái Thùy			197230489		0	0	
	Lưu Ái Linh			None		0	0	
	Trần Thị Định			045159000427		0	0	
	Lưu Đức Trung			197077245		0	0	
	Trần Viết Phong			045066000885		0	0	
	Đoàn Thị Mỹ			045168001250		0	0	
6	Mai Thị Vân Anh	105C226073	Deputy Director	038189023502		0	0%	
	Mai Hữu Tâm			038062002412		0	0	
	Phan Thị Nghin			038163029193		0	0	
	Mai Thị Tuấn			025531395		0	0	
	Trịnh Xuân Phúc			079080014168		0	0	
	Châu Văn Thanh			040051008649		0	0	
	Trương Thị Lan			035154008258		0	0	
	Mai Thế Anh			038091000015		0	0	
	Phan Thùy Trang			038192008539		0	0	
	Châu Văn Thành			038079002383		0	0	
	Châu Hà Linh			None		0	0	
7	Nguyễn Chí Tuyển	002C017687	Deputy Director	035083004748		0	0%	
	Nguyễn Quang Tuyển			168422650		0	0	
	Đinh Thị Nhự			168483853		0	0	
	Nguyễn Quốc Toàn			035088005146		0	0	
	Lê Thị Ngọc lan			035190005855		0	0	
	Nguyễn Thị Chiên			034190008348		0	0	
	Nguyễn Thị Nhung			035186007870		0	0	
	Nguyễn Minh Tiến			None		0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID/ Passport No., date if issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
	Nguyễn Minh Anh			None		0	0	
	Nguyễn Đức Hy			034065000098		0	0	
	Đoàn Thị Bích			034170017386		0	0	
8	Bùi Chí Giang	547530	Deputy Director	036081020777		0	0%	
	Bùi Chí Thiệu			036056000741		0	0	
	Đỗ Thị Dinh			036160008587		0	0	
	Bùi Thị Ninh			036184013465		0	0	
	Bùi Chí San			036086009635		0	0	
	Bùi Khánh Vân			036186019102		0	0	
	Bùi Như thảo			None		0	0	
	Bùi Chí Phong			None		0	0	
	Bùi Đăng Khanh			036067011855		0	0	
	Nguyễn Thị Hường			036165015646		0	0	
	Bùi Mạnh Khuyến			036089009539		0	0	
	Bùi Hồng Khuyến			036195014372		0	0	
9	Nguyễn Công Hòa	058C122680	Deputy Director	197091006		24.240	0.079%	
	Nguyễn Thị Tuyết			173074791		0	0	
	Nguyễn Mai Anh			None		0	0	
	Nguyễn Mai Linh			None		0	0	
	Nguyễn Công Hiếu			None		0	0	
	Nguyễn Công Trữ			045045000140		0	0	
	Phan Thị Thuyền			045155000258		0	0	
	Nguyễn Công Hùng	058C210878	Member of the BOD	045078001497		25.801	0.084%	
	Hoàng Lệ Hằng			017468819		0	0	
	Nguyễn Thị Thu Hường			197091013		0	0	
	Bùi Hữu Tuấn			197128021		0	0	
	Nguyễn Trung Trọng			038057016442		0	0	
	Nguyễn Thị Quế			038159025028		0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID/ Passport No., date if issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
10	Cao Lữ Phi Hùng	002C125842	Chief Accountant and Company Governance Officer	035087000602		13.800	0.045%	
	Cao Lữ Hoàng			197256646		0	0	
	Nguyễn Thị Phương			197255648		0	0	
	Trần Phương Anh			045187000013		0	0	
	Cao Lữ Hà An			None		0	0	
	Cao Lữ Nhật Lam			None		0	0	
	Cao Lữ Thanh Long			045089004336		0	0	
	Nguyễn Thị Hồng Hạnh			079192033731		0	0	
	Trần Kim Đức			045060001002		0	0	
	Phan Thị Hồng Phượng			045163000525		0	0	
	Trần Nam Khánh			045090000524		0	0	
11	Nguyễn Đức Duy	0026C260350	Head of the Audit Committee	036092002590		0	0%	
	Nguyễn Văn Hòa			162750634		0	0	
	Trần Thanh Thủy			036167004137		0	0	
	Nguyễn Thanh Lan			036197002586		0	0	
	Trịnh Mỹ Linh			013341849		0	0	
	Nguyễn Ngọc Chi Mai			None		0	0	
	Nguyễn Thị Thu Hường			036171002378		0	0	
	Trịnh Văn Thành			036060004799		0	0	
12	Lê Tuấn Anh	058C014190	Deputy Head of the Audit Committee	012754532		2	0%	
	Phan Trà My			031195014964		0	0	
	Phan Thị Hải Sâm			011129590		0	0	
	Lê Quang Ninh			011435664		0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID/ Passport No., date if issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
	Lê Nhật Minh			None		0	0	
	Phạm Minh Châu			031159006356		0	0	
	Phan Viết Quý			031059007408		0	0	
13	Nguyễn Văn Hùng	002C321886	Member of the Audit Committee	001086022668		6.000	0.02%	
	Nguyễn Văn Quyền			110181718		0	0	
	Nguyễn Thị Hồng			017163188		0	0	
	Nguyễn Thị Hương			001189001368		0	0	
	Nguyễn Xuân Huy			001089007319		0	0	
	Nguyễn Thị Huyền Trang			001191002709		0	0	
	Nguyễn Gia Huy			None		0	0	
	Nguyễn Phú Hà			001067003775		0	0	
	Trần Thu Hồng			112237036		0	0	

2. Transactions of internal person and affiliated person on shares of the company

No.	Person conducting the Transaction	Relationship with Internal person	Number of Shares Owned at the Beginning of the Period		Number of Shares Owned at the End of the Period		Reason for Change (Purchase, Sale, Conversion, Bonus, etc.)
			Number of Shares	Percentage	Number of Shares	Percentage	
1	Nguyễn Đức Duy	Head of Audit Committee	10.000	0.032%	0	0%	Small-scale transactions

IX. Other significant issues

To:

- As to;
- Save office.



CHAIRMAN OF THE BOD

CHỦ TỊCH HĐQT

Phạm Thanh Hải