

Hanoi, January 24th, 2025

No: 258/BC-HĐQT

## REPORT ON CORPORATE GOVERNANCE FOR 2024

Attention: - The State Securities Commission;  
- Hanoi Stock Exchange.

- Name of company: **VINACOMIN - NORTHERN COAL TRADING JOINT STOCK COMPANY.**

- Address of head office: No 5, Phan Dinh Giot Street, Phuong Liet Ward, Thanh Xuan District, Hanoi Capital.

- Telephone: 024.38642793; Fax: 024.38642792; Email: [thanmienbac@yahoo.com](mailto:thanmienbac@yahoo.com);  
Website: [www.thanmienbac.vn](http://www.thanmienbac.vn)

- Charter capital: 150 billion VND

- Stock symbol: TMB

- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director.

- The implementation of internal audit: Implemented.

### I. ACTIVITIES OD THE GENERAL MEETING OF SHAREHOLDERS

No	Date	Content
1	26/4/2024	Approval for Regulations of The Congress.
2		Approval for agenda of The Congress.
3		Approval for reports of the results of production and business for 2023, business plans for 2024.
4		Approval for Audited Financial Statements for 2023; profit distribution scheme, fund allocation, Dividend payouts for 2023.
5		Approval for reports of remuneration grade, wage and other profits for BOD, BOS and Company's Secretary for 2023; The pan of remuneration, wage and other profits for BOD, BOS and Company's Secretary for 2024.
6		Approval for report Appraisal of BOD: reports of the results of production and business and Audited Financial Statements for 2023; Reports of BOS's business for 2023.
7		Approval for selecting Audit's company to inspect Financial Statement for 2024.
8		Approval for results of BOD's business for 2023.
10		Approval for authorizing BOD to sign the contracts, transactions with those specified in Article 167 of the Law on Enterprises.
No		Date

11	26/4/2024	Approval for authorizing BOD to proactively decide to renovate the plan of business production for 2024 and construction investment plan for 2024 as Vinacomin's business cooperation plans to adjust properly with reality of marketplace, business environment và process of implementing investment and construction.
12		Approval for Report and Resolution of The Congress.

## II. BOARD OF DIRECTORS:

### 1. Information on the Board of Directors (BOD) Members:

No.	BOD's member	Position	Start/End date	
			Appointment date	Dismissal date
1	Mr Pham Tuan Ngoc	Chairman	01/03/2020	
2	Mr Ngo Quang Trung	Member of BOD	01/06/2018	
3	Mr Nguyen Minh Hai	Member of BOD	01/01/2007	
4	Mr Pham Ngoc Bao	Member of BOD	26/4/2022	
5	Mr Đinh Cong Nga	Independent member of BOD	26/4/2022	

### 2. Meetings of the BOD:

No.	BOD's member	Number of Meetings attended	Attendance rate	Reasons for absence
1	Mr Pham Tuan Ngoc	61/61	100%	
2	Mr Ngo Quang Trung	61/61	100%	
3	Mr Nguyen Minh Hai	61/61	100%	
4	Mr Pham Ngoc Bao	61/61	100%	
5	Mr Đinh Cong Nga	61/61	100%	

### 3. Supervisor activities of the BOD over the Executive Board:

- The BOD of Company has 2/5 members participate in the Company's management, including the Board of Directors members who are the General Director and Deputy General Director. The provision of information and reports to other non-executive members is carried out regularly and fully to promptly serve the direction and supervision of the Board of Directors with the Board of Directors.

- The BOD always closely monitor the activities of the General Director and the Executive Board, receive and promptly resolve arising issues under the authority of the BOD to facilitate the operations of the General Director and the Executive Board.

- By the period of supervising, the BOD allight to report the results of bussiness activities for 2023 and business plan for 2024 of the Company's Executive Board at the 2024 Annual General Meeting of Shareholders; acknowledge the efforts of the Board of Directors and the Executive Board in strictly implementing the resolutions of the General Meeting of Shareholders, resolutions and decisions of the Board of Directors and the provisions of law. The Board of Directors has been united, proactive in promptly reporting and flexibly adjusting the implementation process of the production and business plan in accordance with actual market developments; increasing profits, stabilizing jobs and income for employees.

### 4. Activities of subcommittees under the BOD: Not available.

### 5. Resolutions/Decisions of the BOD:

No	Date	Content	Approval Rate
1	22/01/2024	Commenting on the merger proposal of Vinh Phu Coal Trading Company and Hanoi Coal Trading Company affiliated with Vinacomin – Northern coal trading joint stock company.	100%
2	25/01/2024	Approval for the plan to support the operating costs of the Vietnam Coal Supply Corporation's retirement clubs, the Company's agency retirement clubs and visiting retired cadres who were former civil servants of the Vietnam Coal Supply Corporation.	100%
3	30/01/2024	Provisionally deduct salary fund and account for expenses of business activities for 2023 of Vinacomin – Northern coal trading joint stock company.	100%
4	05/02/2024	Establishment of Steering Committee on Investment and Construction Project: Inland Waterway Port of Vinacomin – Northern coal trading joint stock company to supply coal to serve the needs of thermal power plants (Dong Hai Port) at Dong Tra commune, Tien Hai District, Thai Binh province.	100%
5	22/02/2024	Approval for the promulgation of the Regulation on investment and construction management of Vinacomin – Northern coal trading joint stock company.	100%
6	23/02/2024	Approval for the promulgation of the Regulations on implementing democracy at the bases of Vinacomin – Northern coal trading joint stock company (more).	100%
7	28/02/2024	Approval for reviewing the plan for rearranging and handling houses and land Vinacomin - Northern coal trading joint stock company	100%
8	06/3/2024	Agreed to assign Mr Ngo Quang Trung – BOD's member, General Director of Vinacomin – Northern coal trading joint stock company to collaborating in Australia to implement the directives from TKV in document No. 362/QĐ-TKV dated 23/02/2024	100%
9	11/3/2024	Preparing to Organize the Annual General Meeting of Shareholders of Vinacomin – Northern coal trading joint stock company for 2024	100%
10	25/3/2024	Approval for the salary fund to be accounted for in expenses of Business activities for 2023 of Vinacomin – Northern coal trading joint stock company.	100%
11	25/3/2024	Approval for the policy of "Increasing charter capital through issuing shares to pay dividends, issuing shares from equity capital of Vinacomin – Northern coal trading joint stock company".	100%
12	25/3/2024	- Remuneration settlement for part-time members and independent members BOD, BOS and Company's Secretary for 2023; - Salary settlement for 2023 for the Company's full-time Union Chairman;	100%
13	01/4/2024	Evaluate business performance in the first quarter of 2024 and develop a business plan for the second quarter of 2024.	100%
14	04/4/2024	Agreed to assign Mr Ngo Quang Trung – BOD's member, General Director và Mr Pham Ngoc Bao – BOD's member of Vinacomin – Northern coal trading joint stock company to collaborating in Lao to implement the directives from TKV in document 614/QĐ-TKV dated 04/4/2024.	100%
15	12/4/2024	Approval for reviewing the plan for rearranging and handling houses and land Vinacomin - Northern coal trading joint stock company.	100%
16	16/4/2024	Building the opinion on the official vote to approve and decide on the appointment Mr Hoang Minh Long positioned at General Deputy Manager of Vinacomin – Northern coal trading joint stock company with five years term from April 16, 2024.	100%
17	19/4/2024	Approval for reviewing the status of management and use of nursing facilities of Vinacomin – Northern coal trading joint stock company	100%

18	23/4/2024	Approval for policy of requesting to use working place of Vinacomin – Northern coal trading joint stock company at No 226 Le Duan Street and implement the project of coal transfer, processing and blending warehouse in Quang Tri of Vinacomin – Northern coal trading joint stock company.	100%
19	24/4/2024	Agree on the contents to organize the annual General Meeting of Shareholders in the year 2024 of Vinacomin – Northern coal trading joint stock company.	100%
20	25/4/2024	Approval for “Procedures for extending land lease contract of Vinacomin – Northern coal trading joint stock company (Address: Đoi Cung Ward, Vinh city, Nghe An province)”	100%
21	06/5/2024	Comments on organizing a meeting and exchange program to review the glorious tradition of TKV and combine a visit to Cua Lo - Uncle Ho's hometown (Nghe An) for members of the TKV Retired Club on the occasion of the 30th anniversary of the founding of Vietnam National Coal Corporation, now known as VIETNAM COAL AND MINERALS INDUSTRIAL GROUP -VINACOMIN (10/10-1994 - 10/10/2024)”	100%
22	14/5/2024	Payment of bonus to the Union Chairman in 2023	100%
23	14/5/2024	Approval for the promulgation of Regulations on selecting Suppliers of goods, products and services to ensure continuity of production, business and procurement activities in order to maintain regular activities using production and business capital của Vinacomin - Northern coal trading joint stock company	100%
24	31/5/2024	- Approving the policy of organizing overseas tours and tourism in the summer of 2024 for the staff of Vinacomin - Northern coal trading joint stock company	100%
25	03/6/2024	Deploying TKV's documents on "Deploying investment procedures for the Quang Tri coal transit, processing and blending warehouse project" and "Adjusting the investment plan for 2024".	100%
26	05/6/2024	Approval of payment of cash dividends in 2023 to shareholders after the 2024 Annual General Meeting of Shareholders of Vinacomin - Northern coal trading joint stock company	100%
27	06/6/2024	Through the plan to organize a meeting, exchange, review the glorious tradition of TMB and combine visits to places of revolutionary tradition and historical relics for former leaders of the TMB Retired Club through the periods on the occasion of the 30th anniversary of the founding of the Vietnam National Coal - Mineral Industries Group (October 10, 1994 - October 10, 2024) and 30 years of establishment of Vinacomin - Northern coal trading joint stock company (April 1, 1995 - April 1, 2025).	100%
28	17/6/2024	Comments on "Supporting funding for construction of projects to upgrade the cemetery grounds of martyrs in Thanh Chi commune, Thanh Chuong district, Nghe An and the cemetery of martyrs in An Luu ward, Kinh Mon town, Hai Duong province"	100%
29	03/7/2024	Evaluate business performance in the second quarter of 2024 and develop a business plan for the third quarter of 2024.	100%
30	04/7/2024	Agree to send Mr. Ngo Quang Trung - Member of the Board of Directors, General Director of Northern Coal Trading Joint Stock Company - Vinacomin on a business trip to the United States, Colombia, Dominica according to Decision No 1259/QĐ-TKV dated July 4, 2024.	100%
31	05/7/2024	Approval for the policy for Northern Coal Trading Joint Stock Company - Vinacomin (TMB) to temporarily use 01 floor (6th floor) of the Group's 7-storey building at 226 Le Duan, Trung Phung ward, Dong Da district, Hanoi city for an expected period of 01 year as a business location and assigning the task to Hanoi Coal Trading Company to carry out some related contents.	100%
32	11/7/2024	Approval for the promulgation of "Regulations on selecting Suppliers of goods, products and services in coal trading activities of Northern Coal Trading Joint Stock Company - Vinacomin"	100%
33	02/8/2024	Approval for the promulgation of "Regulations on management of materials of Northern Coal Trading Joint Stock Company - Vinacomin" and "Regulations on management and use of fixed assets of Northern Coal Trading Joint Stock Company - Vinacomin"	100%

34	08/8/2024	Approving the contribution plan for "Funding for the 2024 National Mining Science and Technology Conference" and "Supporting Agent Orange victims on the 63rd anniversary of the Agent Orange disaster in Vietnam (August 10, 1961 - August 10, 2024)"	100%
35	08/8/2024	Approved the "Regulations on Health Check-ups, Longevity Wishes, and Celebratory Practices for Former Leadership and Management Personnel of Northern Coal Trading Joint Stock Company – Vinacomin."	100%
36	16/8/2024	Approved the requirement for the use of buildings and land by Northern Coal Trading Joint Stock Company – Vinacomin, in accordance with Official Letter No. 4259/TKV-ĐT dated July 26, 2024, issued by TKV.	100%
37	22/8/2024	Approved the "2025 Business Operations Plan, the projected implementation report for the 2021-2025 Five-Year Plan, and the development of the 2026-2030 Five-Year Plan of Northern Coal Trading Joint Stock Company – Vinacomin" as a basis for registration with TKV.	100%
38	28/8/2024	Provide opinions on supporting funding for the construction of the Biếu Chánh Village Cultural House in Phước Hưng Commune, Tuy Phước District, Bình Định Province.	100%
39	30/8/2024	Approved the responses to Official Document No. 200/ANĐT dated July 10, 2024, from the Phú Thọ Provincial Police.	100%
40	04/9/2024	Approved the salary plan for the Company's management personnel and the dedicated Trade Union Chairman of Northern Coal Trading Joint Stock Company – Vinacomin, effective from July 1, 2024.	100%
41	12/9/2024	Implement Document No. 5119/TKV-ĐT dated September 12, 2024, issued by the Vietnam National Coal – Mineral Industries Group regarding the "Adjustment and Transition of the 2024 Investment Plan," and approve the development of the 2025 Investment Plan of the Northern Coal Trading Joint Stock Company – Vinacomin.	100%
42	16/9/2024	Provide opinions on "Supporting the families of employees who have suffered severe property damage due to the effects of Typhoon Yagi".	100%
43	30/9/2024	Evaluate the production and business operations for Q3 2024 and develop the production and business plan for Q4 2024.	100%
44	04/10/2024	Approved the organization of the "Vietnamese Women's Day 20/10/2024" program.	100%
45	08/10/2024	Approved the plan "Supporting the Faculty of Economics and Business Administration – Hanoi University of Mining and Geology in Celebration of Its 25th Anniversary."	100%
46	11/10/2024	Approved the delegation of Mr. Ngô Quang Trung – Member of the Board of Directors and General Director of Northern Coal Trading Joint Stock Company – Vinacomin – to go on a business trip to the Russian Federation in accordance with Official Letter No. 5685/TKV-TCNS dated October 10, 2024.	100%
47	11/10/2024	Approved the plan for providing financial support to construct a charity house for one household in Ngọc Trạo Ward, Thanh Hóa City, Thanh Hóa Province.	100%
48	01/11/2024	Approved the issuance of the "Financial Supervision and Performance Evaluation Regulations of the Northern Coal Trading Joint Stock Company – Vinacomin and its subordinate units."	100%
49	01/11/2024	Approved the issuance of the "Salary Scale System and Payroll of Northern Coal Trading Joint Stock Company – Vinacomin."	100%
50	01/11/2024	Implement Document No. 5994/TKV-ĐT dated October 30, 2024, from TKV regarding the "Notification of Adjustments and Transition of the 2024 Investment Plan of Northern Coal Trading Joint Stock Company – Vinacomin."	100%
51	01/11/2024	Provide opinions on supporting the A9 Emergency Center – Bach Mai Hospital, Hanoi.	100%
52	07/11/2024	Implement Official Letter No. 6178/TKV-TN dated November 6, 2024, from the Vietnam National Coal – Mineral Industries Group regarding the establishment of a coal	100%

		processing and blending warehouse in Tan Tien Commune, Bac Giang City, Bac Giang Province.	
53	27/11/2024	Approved the delegation of Mr. Ngô Quang Trung – Member of the Board of Directors and General Director of Northern Coal Trading Joint Stock Company – Vinacomin – to go on a business trip to Singapore and Japan in December 2024.	100%
54	05/12/2024	Approved the plan for purchasing physical gifts for employees of Northern Coal Trading Joint Stock Company – Vinacomin on the occasion of Tet Nguyên Đán Ất Tỵ in 2025.	100%
55	09/12/2024	Approved the salary reclassification and grade increase plan for management personnel and the dedicated Trade Union Chairman of Northern Coal Trading Joint Stock Company – Vinacomin, effective from July 1, 2024, and implemented pursuant to Decision No. 3067/QĐ-HĐQT dated November 1, 2024.	100%
56	09/12/2024	Approved the "Amended 2024 Investment Plan" of the Northern Coal Trading Joint Stock Company – Vinacomin and the "2025 Investment Plan" of the Northern Coal Trading Joint Stock Company – Vinacomin.	100%
57	26/12/2024	By approving the issuance of the "Labor and Salary Management Regulations of the Northern Coal Trading Joint Stock Company – Vinacomin" (note: meeting times overlap; the HR Department has not yet signed).	100%
58	26/12/2024	By providing financial support for poor households, near-poor households, and individuals facing hardships on the occasion of Xuân Ất Tỵ 2025 in Thái Thọ Commune, Thái Thụy District, Thái Bình Province.	100%
59	30/12/2024	Evaluate the production and business activities for Q4 and 2024; temporarily assign the key targets for the 2025 Production and Business Plan and develop the Q1 2025 Production and Business Plan.	100%
60	31/12/2024	By providing financial support to assist the "Tet for the Poor" initiative on the occasion of Xuân Ất Tỵ 2025 in Quảng Trị Province.	100%
61	31/12/2024	By authorizing the General Director of the Northern Coal Trading Joint Stock Company – Vinacomin to decide on and directly sign loan contracts, guarantee agreements, and letters of credit, with the aim of securing funding and proactively and smoothly facilitating the management of the company's production and business operations in 2025.	100%

### III. BOARD OF SUPERVISORS/ AUDIT COMMITTEE

#### 1. Information about members of Board of Supervisors or Audit Committee (BKS):

No.	Members of Board of Supervisors	Position	The date becoming/ceasing to be the member of the Board of Supervisor	Qualification
1	Đặng Thị Hải Hà	BOS leader	01/01/2007	Bachelor of Accounting and BA at National Economics University
2	Cao Thị Nhung	Member	01/12/2017	Bachelor of Business Administration
3	Bùi Thị Phương Thảo	Member	21/04/2017	Bachelor of Business Accounting, Masters of Economic

#### 2. Meetings of Board of Supervisors or Audit Committee

No	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Đặng Thị Hải Hà	15	100%	100%	
2	Cao Thị Nhung	15	100%	100%	
3	Bùi Thị Phương Thảo	15	100%	100%	

### **3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors or Audit Committee:**

The Supervisory Board has operated in strict accordance with the items approved at the 2023 and 2024 Annual General Meetings of Shareholders, as well as the provisions set forth in the Company's Charter and the law:

- Monitor the implementation of the production and business plans..
- Monitor the management and utilization of state capital and state assets within the Company.
- Monitor the results of the company's production and business activities.
- Monitor compliance with laws on investment, management, and utilization of state capital in the enterprise, as well as the promulgation and implementation of the enterprise's financial management regulations.
- Monitor the implementation of wage policies, remuneration, bonuses, responsibility allowances, and other benefits for employees, enterprise managers, controllers, and representatives of the enterprise's share capital in accordance with the Labor Code.

### **4. The coordination among the Board of Supervisors, Audit Committee, the Board of Management, Board of Directors and other managers**

- The Supervisory Board receives support from the Board of Directors, the CEO, and the company's management team in carrying out its duties; it participates in meetings of the Board of Directors, the Executive Board, and other company meetings (when invited) with a spirit of responsibility, constructiveness, and cooperation.

- It proactively coordinates with the company's specialized departments and its branches/affiliated units in implementing its tasks.

- It regularly maintains communication between the members of the Supervisory Board and the Board of Directors, the Executive Board, and the company's shareholders.

### **5. Other activities of the Board of Supervisors and Audit Committee: Non**

## **IV. BOARD OF MANAGEMENT**

No.	Member of Board of Management	Date of Birth	Qualifications	Date of appointment/dismissal of members of the Board of Management
1	Ngô Quang Trung General Director	03/10/1971	Economics and Business Administration Engineer	01/06/2018
2	Nguyễn Minh Hải Deputy General Director	13/01/1972	Bachelor of Economic in Accounting, Master of Business Administration	26/04/2016
3	Nguyễn Thành Nam Deputy General Director	27/9/1974	Bachelor of Economics, Foreign Languages, and Political Science	17/02/2023
4	Hoàng Minh Long Deputy General Director	26/02/1979	Mining Engineer, Master of Political Science	16/4/2024

## V. CHIEF ACCOUNTANT

Name	Date of Birth	Qualifications	Date of appointment/dimissal
Nguyễn Đức Vinh	19/09/1987	Bachelor of Financial Informatics, Master of Economics	01/04/2018

## VI. CORPORATE GOVERNANCE TRAINING:

Members of the Board of Directors, Supervisory Board, and the Company's Executive Board have participated in training courses provided by the Vietnam Coal and Mineral Industries Group – Vinacomin.

## VII. THE LIST OF AFFILIATED PERSONS OF THE PUBLIC COMPANY AND TRANSACTIONS OF AFFILIATED PERSONS OF THE COMPANY

### 1. The list of affiliated persons of the Company.

No.	Name	Securities trading account	Position at the Company	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the Company
1	Phạm Tuấn Ngọc		Chairman	034068000051 Issue Date 10/6/2014 at Police Department of Social Affairs and Humanities Managemnt	No 157 Nguyễn Ngọc Nại Str, Phuong Mai Ward, Thanh Xuan Dist, Hanoi	01/03/2020			Internal member
2	Ngô Quang Trung		Member of the Board of Directors  General Director	040071034067 Issue Date: 23/06/2021 at Police Departmnt of Social Affairs and Humanities Management	Ad 9-37 Anh Đào, Vinhome Riverside, Viet Hung Ward, Long Bien Dist, Hanoi	01/06/2018			Internal member

3	Nguyễn Minh Hải	Member of the Board of Directors	013055437 Issue Date: 19/03/2008 at Hanoi city's public security	Room. 306 - VP 2, peninsula LinhDam, Phuong Liet Ward, Hoang Mai Dist, Hanoi	01/01/2007			Internal member
		Deputy General Director						
		Member						
4	Phạm Ngọc Bảo	Member of the Board of Directors	022067000017 Issue Date: 10/7/2021 at Police Department of Social Affairs and Humanities Management	No 47A Alley 121 Thai Ha Str, Trung Liet Ward, Dong Da Dist, Hanoi	26/4/2022			Internal member
5	Đình Công Nga	Directly Subordinate Member of the Board of Directors	001053008893 Issue Date: 24/7/2021 at Police Department of Social Affairs and Humanities Management	No 11, Phan Dinh Giot Str, Phuong Liet Ward, Thanh Xuan Dist, Hanoi	26/4/2022			Internal member
6	Nguyễn Thành Nam	Deputy General Director	022074002881 Issue Date: 15/4/2021 at Police Department of Social Affairs and Humanities Management	Cluster 5, Me Tri Ward, Nam Tu Liem Dist, Hanoi	17/02/2023			Internal member

7	Hoàng Minh Long		Deputy General Director	022079004442 Issue Date: 10/8/2021 at Police Department of Social Affairs and Humanities Management	Cluster 4, 4B Area, Hong Hai Ward, Ha Long City, Quang Ninh	16/4/2024		App	Internal member
8	Nguyễn Đức Vinh		Chief Accountant	036087012631 Issue Date: 15/06/2020 at Police Department of Social Affairs and Humanities Management	No 7/241 Chien Thang Str, Van Quan Ward, Ha Dong Dist, Hanoi	01/04/2018			Internal member
9	Đặng Thị Hải Hà		Leadership member	001171021575 Issue Date: 14/01/2020 at Police Department of Social Affairs and Humanities Management	No 12/ Alley 1/44 Phan Dinh Giot Str, Phuong Liet Ward, Thanh Xuan Dist, Hanoi	01/01/2007			Internal member

10	Cao Thị Nhung		Member	013475338 Issue date: 27/10/2011 at Hanoi city's public security	Phap Van Urban Area, Hoang Liet Ward, Hoang Mai District, Hanoi	01/12/2017			Internal member
11	Bùi Thị Phương Thảo		Member	013216021 Issue date: 12/06/2009 at Hanoi city's public security	CT1-2, P 502, Me Tri Ha Urban Area, Me Tri Ward, Nam Tu Liem Dist, Hanoi	21/4/2017			Internal member
12	Vũ Huy Phương		Administrative Officer – Company's secretary	022073004041 Issue date: 15/04/2021 at Police Department of Social Affairs and Humanities Management	P.1209B- HH02, No 32 Dai Tu Str, Dai Kim Ward, Hoang Mai Dis, Hanoi	01/10/2018			Internal member
13	Trần Minh Tâm		Internal Auditor	034181001150 Issue date: 03/05/2015 tại Police Department of Social Affairs and Humanities Management	No 8 No 1 Alley Phan Dinh Giot Str, Phuong Liet Ward, Thanh Xuan Dist, Ha noi	01/4/2021			Internal member

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons: Non

3. Transactions between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: Non

4. Transactions between the Company and other objects: không có

**VIII. SHARE TRASACTIONS OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS.**

1. The list of internal persons and their affiliated persons: Above attachments.
  2. Transactions of internal persons and affiliated persons with shares of the Company: Non.
- IX. OTHER SIGNIFICANT ISSUES:** Non.

**Recipients:** ✓

- As attention;
- Member of the BOD and BOS (e-copy);
- Deputy General Managers (e-copy);
- Chief Accountant (e-copy);
- Archived at Office, Secretary of company.

**ON BE HALF OF THE BOARD OF  
DIRECTORS  
CHAIRMAN**



  
**Phạm Tuan Ngoc**

# CIRRICULLUM VITAE OF COMPANY GOVERNANCE

**Reporting period: 6 months/year**

No.	Questions	Answers
1	Securities symbol	<b>TMB</b>
2	The Ownership ratio of State shareholder (%)	67%
3	Company model (1 or 2) - 1: According to point a, clause 1, Article 137 of the Law on Enterprises 2020. - 2: According to point b, clause 1, Article 137 of the Law on Enterprises 2020.	1
4	How many legal representative of the company ?	1
5	Amended Charter under Enterprise Law 2020 (Yes/No)?	Có
6	The Company issues Internal Regulations on Corporate Governance in accordance with the Enterprise Law 2020 and Decree No. 155/2020/ND-CP dated December 31, 2020	Có
7	Date of annual general meeting of shareholders	26/04/2024
8	Date of posting annual shareholders' meeting documents on the company's website	05/04/2024
9	Date of information and documents disclosure of the Annual General Meeting of Shareholders for the Stock Exchange	05/04/2024
10	Date of announcement of Resolution and Minutes of Annual General Meeting of Shareholders with the Stock Exchange	26/04/2024
11	How many times has the company successfully held its annual general meeting of shareholders (1/2/3)?	1
12	Does the company include the remuneration of each member of the Board of Directors as a separate item in the company's Annual Financial Statement (Yes/No)	Có
13	Does the company report the remuneration of each member of the Board of Directors at the Annual General Meeting of Shareholders (Yes/No)?	Có
14	Does the company include the salary of the General Director (Director) and other managers as a separate item in the company's Annual Financial Statement (Yes/No)?	Không
15	Does the company report the salaries of the General Director (Director) and other managers at the Annual General Meeting of Shareholders (Yes/No)?	Không
16	Does the company invite a representative of an approved auditing organization to audit the company's annual financial statements to attend the annual shareholders' meeting in case the audit report of the company's annual financial statements contains material exceptions, rejections, or contradictions?	Có
17	Has the company been sued regarding the organization of the Annual General Meeting of Shareholders and the Resolution of the Annual General Meeting of Shareholders? (Yes/No)	Không
18	Date of Extraordinary General Meeting of Shareholders	
19	Date of posting documents of extraordinary shareholders' meeting on the company's website	
20	Date of disclosing information on documents of extraordinary shareholders' meeting for the Stock Exchange	

**General information**

**General meeting of shareholders  
(During the reporting period)**

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21		Date of announcement of Resolution and Minutes of Extraordinary General Meeting of Shareholders with the Stock Exchange	
22		How many times has the company successfully held an extraordinary general meeting of shareholders (1/2/3)?	
23		Has the company been sued for organizing the General Meeting of Shareholders or the Resolution of the Extraordinary General Meeting of Shareholders? (Yes/No)	
24		Number of times the Stock Exchange reminded and noted regarding the organization and disclosure of information about the General Meeting of Shareholders	0
25		Number of times the State Securities Commission reminded and noted regarding the organization and disclosure of information about the General Meeting of Shareholders	0
26	Board of Directors	The quantity of members of Board of Directors	5
27		The quantity of independent members of Board of Directors.	1
28		Chairman of the Board of Directors concurrently holds the position of General Director/Director (Yes/No)	Không
29		Number of Board of Directors meetings during the reporting period	61
30		Subcommittee under the Board of Directors (Yes/No)	Không
31		Names of subcommittees under the Board of Directors	
32		Has the company appointed a person in charge of corporate governance.	Có
33	Board of Supervisors	Does the Chief Supervisor work full-time at the company? <b>(Question for companies operating under model 1)</b>	Có
34		Number of members Board of Supervisors <b>(Question for companies operating under model 1)</b>	3
35		Number of supervisors who are related persons of Board Members, Director/General Director and other managers (List names of supervisors who are related persons, if any) <b>(Question for companies operating under model 1)</b>	
36		Number of supervisors holding management positions (List and position, if any) <b>(Question for companies operating under model 1)</b>	
37		Number of supervisors who are people in the financial accounting department or employees of the auditing company who have audited the company <b>within 3 years before being elected</b> to the Board of Supervisors (Yes/No) <b>(Question for companies operating under model 1)</b>	Không
38		The quantity of meetings of Board of Supervisor <b>(Question for companies operating under model 1)</b>	15
39	Audit Committee	Is the audit committee part of the Board of Directors? <b>(Question for companies operating under model 2)</b>	
40		Number of Audit Committee members <b>(Question for companies operating under model 2)</b>	

**LIST OF INTERNAL PEOPLE AND PERSONS/ORGANIZATIONS RELATED TO INTERNAL PERSONS ATTACHED TO THE CORPORATE GOVERNANCE REPORT ACCORDING TO THE REGULATIONS IN CLAUSE 1 SECTION VIII APPENDIX V REPORT ON CORPORATE GOVERNANCE ISSUED WITH THE CIRCULAR NO. 96/2020/TT-BTC**

Mã chứng khoán:

TMB

Tên Công ty:

CTCP Kinh doanh than miền Bắc-Vinacomin

Ngày chốt:

24/01/2025

No.	Full name	Securities trading accounts	Position at the company	Type of documents (ID/Passport/ Business Registration Certificate)	NSH No.	Date of issue	Place of issue	Address/Head office address	Number of shares owned at the current period	Percentage of shares owned at the current period	Note
1	Pham Tuan Ngoc		1	ID	034068000051	10/06/2014	Department of National Population Registration and Management	No 157 Nguyen Ngoc Nai, Phuong Mai ward, Thanh Xuan district, Hanoi city	10.116.000	67,44%	
1.1	Pham Ngoc Quy			ID	1511996526	06/10/2008	The public security of Thai Binh				- The relative to Mr Pham Tuan Ngoc - Full blood father
1.2	Phan Nhu Hoa			ID	022173000018	10/06/2014	Department of National Population Registration and Management	No 157 Nguyen Ngoc Nai, Phuong Mai ward, Thanh Xuan district, Hanoi city			- The relative to Mr Pham Tuan Ngoc - Wife
1.3	Pham Ngoc Ha Linh			ID	022198000009	10/06/2014	Department of National Population Registration and Management	No 157 Nguyen Ngoc Nai, Phuong Mai ward, Thanh Xuan district, Hanoi city			- The relative to Mr Pham Tuan Ngoc - Full blood child
1.4	Pham Phan Ngoc Khanh			ID	022302000258	01/03/2017	Department of National Population Registration and Management	No 157 Nguyen Ngoc Nai, Phuong Mai ward, Thanh Xuan district, Hanoi city			- The relative to Mr Pham Tuan Ngoc - Full blood child
1.5	Pham Tuan Dung			ID	022072003322	15/01/2020	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mr Pham Tuan Ngoc - Full blood brother
1.6	Pham Thu Huong			ID	24945065	26/06/2008	Ho Chi Minh City				- The relative to Mr Pham Tuan Ngoc - Full blood sister
2	Ngo Quang Trung		2,3,4	ID	040071034067	23/06/2021	Department of Police for Administrative Management of Social Order and Safety	Ad 9-37 - Anh Dao Vinhome Riverside, Viet Hung ward, Long Bien district, Hanoi city	3.582	0,02%	
2.1	Ly Thi Cu			ID	100059983	23/08/2013	Quang Ninh	Ad 9-37 - Anh Dao Vinhome Riverside, Viet Hung ward, Long Bien district, Hanoi city			- The relative to Mr Ngo Quang Trung - Full blood mother
2.2	Duong Thuy Linh			ID	101307347	23/08/2013	Quang Ninh	Ad 9-37 - Anh Dao Vinhome Riverside, Viet Hung ward, Long Bien district, Hanoi city			- The relative to Mr Ngo Quang Trung - Wife
2.3	Ngo Thuy Anh							Ad 9-37 - Anh Dao Vinhome Riverside, Viet Hung ward, Long Bien district, Hanoi city			- The relative to Mr Ngo Quang Trung - Full blood child - Reason for not having NSH certificate: Immature
2.4	Ngo Anh Thu							Ad 9-37 - Anh Dao Vinhome Riverside, Viet Hung ward, Long Bien district, Hanoi city			- The relative to Mr Ngo Quang Trung - Full blood child - Reason for not having NSH certificate: Immature
2.5	Ngo Linh Anh							Ad 9-37 - Anh Dao Vinhome Riverside, Viet Hung ward, Long Bien district, Hanoi city			- The relative to Mr Ngo Quang Trung - Full blood child - Reason for not having NSH certificate: Immature
2.6	Ngo Thi Mai Chi			ID	022174001564	12/05/2017	Department of National Population Registration and Management	Ha Long DC Apartment, 25/4 Street, Hon Gai ward, Ha Long city, Quang Ninh province			- The relative to Mr Ngo Quang Trung - Full blood sister

No.	Full name	Securities trading accounts	Position at the company	Type of documents (ID/Passport/ Business Registration Certificate)	NSH No.	Date of issue	Place of issue	Address/Head office address	Number of shares owned at the current period	Percentage of shares owned at the current period	Note
2.7	Ngo Thu Trang			ID	022179002157	26/10/2018	Department of National Population Registration and Management	Sunrise City Central Apartment 25 Nguyen Huu Tho, Tan Hung, District 7, Ho Chi Minh City			- The relative to Mr Ngo Quang Trung - Full blood sister
2.8	Nguyen Truong Son			ID	022074001411	04/10/2021	Department of Police for Administrative Management of Social Order and Safety	Ha Long DC Apartment, 25/4 Street, Hon Gai ward, Ha Long city, Quang Ninh province			- The relative to Mr Ngo Quang Trung - Brother in Law
2.9	Hoang Thanh Tung			ID	022079003151	17/12/2021	Department of Police for Administrative Management of Social Order and Safety	Sunrise City Central Apartment 25 Nguyen Huu Tho, Tan Hung, District 7, Ho Chi Minh City			- The relative to Mr Ngo Quang Trung - Brother in Law
3	Nguyen Minh Hai		2,5,10	ID	031072009037	13/04/2021	Department of Police for Administrative Management of Social Order and Safety	Room No. 306, VP 2 Linh Dambuilding, Hoang Liet ward, Hoang Mai district, Hanoi city	12.372	0,08%	
3.1	Ngo Thi Kim Dung			ID	13055436	19/03/2008	Ha Noi	Room No. 306, VP 2 Linh Dambuilding, Hoang Liet ward, Hoang Mai district, Hanoi city	7.608	0,05%	- The relative to Mr Nguyen Minh Hai - Wife
3.2	Nguyen Tung Duong			ID	022202000611	25/05/2017	Department of National Population Registration and Management	Room No. 306, VP 2 Linh Dambuilding, Hoang Liet ward, Hoang Mai district, Hanoi city			- The relative to Mr Nguyen Minh Hai - Full blood child
3.3	Nguyen Tuan Minh			ID	022205001951	25/05/2017	Department of National Population Registration and Management	Room No. 306, VP 2 Linh Dambuilding, Hoang Liet ward, Hoang Mai district, Hanoi city			- The relative to Mr Nguyen Minh Hai - Full blood child
3.4	Nguyen Thi Thu Ha			ID	101140924	02/02/2010	Quang Ninh	Group 1 Area 6, Thanh Son ward, Uong Bi city, Quang Ninh province	1.434	0,01%	- The relative to Mr Nguyen Minh Hai - Full blood sister
4	Pham Ngoc Bao	011C056251	2	ID	022067000017	10/07/2021	Department of Police for Administrative Management of Social Order and Safety	No 47A alley 121, Thai Ha streets, Trung Liet ward, Dong Da district, Hanoi city	7.524	0,05%	
4.1	Nguyen Thi Thu Hang			ID	022168000023	10/07/2021	Department of Police for Administrative Management of Social Order and Safety	No 47A alley 121, Thai Ha streets, Trung Liet ward, Dong Da district, Hanoi city			- The relative to Mr Pham Ngoc Bao - Wife
4.2	Pham Ngoc Thu Trang			ID	022192005594	11/04/2021	Department of Police for Administrative Management of Social Order and Safety	No 47A alley 121, Thai Ha streets, Trung Liet ward, Dong Da district, Hanoi city			- The relative to Mr Pham Ngoc Bao - Full blood child
4.3	Pham Viet Hoang			ID	01200010443	08/05/2021	Department of Police for Administrative Management of Social Order and Safety	No 47A alley 121, Thai Ha streets, Trung Liet ward, Dong Da district, Hanoi city			- The relative to Mr Pham Ngoc Bao - Full blood child
4.4	Dao Thanh Tung			ID	001093030800	24/07/2021	Department of Police for Administrative Management of Social Order and Safety	No 47A alley 121, Thai Ha streets, Trung Liet ward, Dong Da district, Hanoi city			- The relative to Mr Pham Ngoc Bao - Son in law
5	Dinh Cong Nga		2	ID	001053008893	24/07/2021	Department of Police for Administrative Management of Social Order and Safety	No 11, Phan Dinh Giot Street, Phuong Liet ward, Thanh Xuan district, Hanoi city	11.826	0,08%	
5.1	Do Thi Lieu			ID	001158002573	08/04/2021	Department of Police for Administrative Management of Social Order and Safety	No 11, Phan Dinh Giot Street, Phuong Liet ward, Thanh Xuan district, Hanoi city			- The relative to Mr Dinh Cong Nga - Wife
5.2	Dinh Thi Hai Van			ID	001182008586	18/12/2021	Department of Police for Administrative Management of Social Order and Safety	No 11, Phan Dinh Giot Street, Phuong Liet ward, Thanh Xuan district, Hanoi city			- The relative to Mr Dinh Cong Nga - Full blood child
5.3	Dinh Hoang Anh			ID	001083005956	26/01/2015	Department of Police for Administrative Management of Social Order and Safety	No 11, Phan Dinh Giot Street, Phuong Liet ward, Thanh Xuan district, Hanoi city			- The relative to Mr Dinh Cong Nga - Full blood child

No.	Full name	Securities trading accounts	Position at the company	Type of documents (ID/Passport/Business Registration Certificate)	NSH No.	Date of issue	Place of issue	Address/Head office address	Number of shares owned at the current period	Percentage of shares owned at the current period	Note
5.4	Dinh Thi Thuy			ID	001184025095	24/07/2021	Department of Police for Administrative Management of Social Order and Safety	No 11, Phan Dinh Giot Street, Phuong Liet ward, Thanh Xuan district, Hanoi city			- The relative to Mr Dinh Cong Nga - Full blood child
5.5	Dinh Cong Phan			ID	001059007575	07/10/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mr Dinh Cong Nga - Full blood brother
5.6	Dinh Thi Phuong			ID	001163050300	07/10/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mr Dinh Cong Nga - Full blood sister
5.7	Dinh Thi Huong			ID	001167006814	11/01/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mr Dinh Cong Nga - Full blood sister
5.8	Nguyen Thi Nguyet			ID	001158002919	22/11/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mr Dinh Cong Nga - Sister in law
5.9	Luu Ba Tien			ID	001057002778	22/11/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mr Dinh Cong Nga - Brother in law
6	Nguyen Thanh Nam		5	ID	022074002881	15/04/2021	Department of Police for Administrative Management of Social Order and Safety	Residential Group No. 5 Me Tri, Nam Tu Liem District, Hanoi			
6.1	Tran Thi Thuy An			ID	042135000830	15/04/2021	Department of Police for Administrative Management of Social Order and Safety	Residential Group No. 5 Me Tri, Nam Tu Liem District, Hanoi			- The relative to Mr Nguyen Thanh Nam - Full blood mother
6.2	Ngo Thi Thu Thuy			ID	036178010345	15/04/2021	Department of Police for Administrative Management of Social Order and Safety	Residential Group No. 5 Me Tri, Nam Tu Liem District, Hanoi			- The relative to Mr Nguyen Thanh Nam - Wife
6.3	Nguyen Thanh Long			ID	001206031387	15/04/2021	Department of Police for Administrative Management of Social Order and Safety	Residential Group No. 5 Me Tri, Nam Tu Liem District, Hanoi			- The relative to Mr Nguyen Thanh Nam - Full blood child
6.4	Nguyen Thanh Hung			ID	001211068304	15/04/2021	Department of Police for Administrative Management of Social Order and Safety	Residential Group No. 5 Me Tri, Nam Tu Liem District, Hanoi			- The relative to Mr Nguyen Thanh Nam - Full blood child
6.5	Nguyen Thi Bich Thuy			ID	022172000059	22/11/2021	Department of Police for Administrative Management of Social Order and Safety	C13 alley 109 Truong Chinh street, Phuong Liet ward, Thanh Xuan district, Hanoi city			- The relative to Mr Nguyen Thanh Nam - Full blood sister
7	Hoang Minh Long		5	ID	022079004442	10/08/2021	Department of Police for Administrative Management of Social Order and Safety	Group 4, Area 4B, Hong Hai ward, Ha Long city, Quang Ninh province			
7.1	Nguyen Thi Dinh			ID	022150000752	22/12/2021	Department of Police for Administrative Management of Social Order and Safety	Group 123, area 10, Cua Ong ward, Cam Pha city, Quang Ninh province			- The relative to Mr Hoang Minh Long - Full blood mother
7.2	Ha Thi Huyen Phuong			ID	022181005875	25/04/2021	Department of Police for Administrative Management of Social Order and Safety	Group 4, Area 4B, Hong Hai ward, Ha Long city, Quang Ninh province			- The relative to Mr Hoang Minh Long - Wife
7.3	Hoang Ha Duy			ID	022203002583	13/05/2021	Department of Police for Administrative Management of Social Order and Safety	Group 4, Area 4B, Hong Hai ward, Ha Long city, Quang Ninh province			- The relative to Mr Hoang Minh Long - Full blood child

No.	Full name	Securities trading accounts	Position at the company	Type of documents (ID/Passport/ Business Registration Certificate)	NSH No.	Date of issue	Place of issue	Address/Head office address	Number of shares owned at the current period	Percentage of shares owned at the current period	Note
7.4	Hoang Ha Phuong Uyen							Group 4, Area 4B, Hong Hai ward, Ha Long city, Quang Ninh province			- The relative to Mr Hoang Minh Long - Full blood child - Reason for not having NSH certificate: Immature
7.5	Hoang Gia Khanh							Group 4, Area 4B, Hong Hai ward, Ha Long city, Quang Ninh province			- The relative to Mr Hoang Minh Long - Full blood child - Reason for not having NSH certificate: Immature
7.6	Hoang Minh Thai			ID	022071002186	25/04/2021	Department of Police for Administrative Management of Social Order and Safety	Group 123, area 10, Cua Ong ward, Cam Pha city, Quang Ninh province			- The relative to Mr Hoang Minh Long - Full blood brother
7.7	Hoang Minh Phu			ID	022073001032	10/08/2021	Department of Police for Administrative Management of Social Order and Safety	No 22, Alley 465, Group 73, Area 7, Cua Ong ward, Cam Pha city, Quang Ninh province			- The relative to Mr Hoang Minh Long - Full blood brother
7.8	Ha Van Sinh			ID	022054001170	12/08/2021	Department of Police for Administrative Management of Social Order and Safety	Group 4, Area 4B, Hong Hai ward, Ha Long city, Quang Ninh province			- The relative to Mr Hoang Minh Long - Father in law
7.9	Chu Thi Dai			ID	022154001095	12/08/2021	Department of Police for Administrative Management of Social Order and Safety	Group 4, Area 4B, Hong Hai ward, Ha Long city, Quang Ninh province			- The relative to Mr Hoang Minh Long - Mother in law
7.10	Ha Kieu Chinh			ID	022178000680	07/01/2022	Department of Police for Administrative Management of Social Order and Safety	Cowa Apartment Alley199, Ho Tung Mau street, Cau Dien ward, Nam Tu Liem district, Hanoi city			- The relative to Mr Hoang Minh Long - Sister in law
8	Nguyen Duc Vinh		6	ID	036087012631	15/06/2020	Department of Police for Administrative Management of Social Order and Safety	No 7/241 Chien Thang street, Van Quan ward, Ha Dong district, Hanoi city	3.000	0,02%	
8.1	Nguyen Thi Hong Duong			ID	036188000085	29/04/2021	Department of Police for Administrative Management of Social Order and Safety	No 7/241 Chien Thang street, Van Quan ward, Ha Dong district, Hanoi city			- The relative to Mr Nguyen Duc Vinh - Wife
8.2	Nguyen Van Tuc			ID	036062007704	08/09/2020	Department of Police for Administrative Management of Social Order and Safety	235 Mac Thi Buoï street, Nam Dinh city, Nam Dinh province			- The relative to Mr Nguyen Duc Vinh - Full blood father
8.3	Bui Thi Tuyen			ID	036162007543	17/08/2020	Department of Police for Administrative Management of Social Order and Safety	235 Mac Thi Buoï street, Nam Dinh city, Nam Dinh province			- The relative to Mr Nguyen Duc Vinh - Full blood mother
8.4	Nguyen Duc An							No 7/241 Chien Thang street, Van Quan ward, Ha Dong district, Hanoi city			- The relative to Mr Nguyen Duc Vinh - Full blood child - Reason for not having NSH certificate: Immature
8.5	Nguyen Duc Danh							No 7/241 Chien Thang street, Van Quan ward, Ha Dong district, Hanoi city			- The relative to Mr Nguyen Duc Vinh - Full blood child - Reason for not having NSH certificate: Immature
8.6	Nguyen Manh Dung			ID	036063001487	11/08/2023	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mr Nguyen Duc Vinh - Father in law

No.	Full name	Securities trading accounts	Position at the company	Type of documents (ID/Passport/Business Registration Certificate)	NSH No.	Date of issue	Place of issue	Address/Head office address	Number of shares owned at the current period	Percentage of shares owned at the current period	Note
8.7	Nguyen Thi Phuong			ID	036163001424	13/08/2023	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mr Nguyen Duc Vinh - Mother in law
8.8	Nguyen Ngoc Huong			ID	036189006087	01/07/2022	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mr Nguyen Duc Vinh - Full blood sister
8.9	Nguyen Huu Truong			ID	001090027513	27/12/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mr Nguyen Duc Vinh - Brother in law
8.10	Nguyen Phuong Dung			ID	036191007896	13/01/2023	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mr Nguyen Duc Vinh - Sister in law
9	Dang Thi Hai Ha		7	ID	001171021575	14/01/2020	Department of Police for Administrative Management of Social Order and Safety	No 12/ Alley 1 Phan Dinh Giot, Phuong Liet, Thanh Xuan, Ha Noi	11.916	0,08%	
9.1	Dang Dinh Giang			ID	040044000087	12/08/2016	Department of Police for Administrative Management of Social Order and Safety	No 12/ Alley 1 Phan Dinh Giot, Phuong Liet, Thanh Xuan, Ha Noi			- The relative to Mrs Dang Thi Hai Ha - Full blood father
9.2	Ngo Thi Hoi			ID	001147005672	04/01/2019	Department of Police for Administrative Management of Social Order and Safety	No 12/ Alley 1 Phan Dinh Giot, Phuong Liet, Thanh Xuan, Ha Noi			- The relative to Mrs Dang Thi Hai Ha - Full blood mother
9.3	Hoang Quoc Trung			ID	001070000794	04/03/2014	Department of Police for Administrative Management of Social Order and Safety	No 12/ Alley 1 Phan Dinh Giot, Phuong Liet, Thanh Xuan, Ha Noi			- The relative to Mrs Dang Thi Hai Ha - Husband
9.4	Hoang Ha Vy			ID	001305010550	09/01/2020	Department of Police for Administrative Management of Social Order and Safety	No 12/ Alley 1 Phan Dinh Giot, Phuong Liet, Thanh Xuan, Ha Noi			- The relative to Mrs Dang Thi Hai Ha - Full blood child
9.5	Dang Thi Hong Ha			ID	001174000411	31/05/2013	Department of National Population Registration and Management				- The relative to Mrs Dang Thi Hai Ha - Full blood sister
9.6	Dang Viet Ha			ID	001075043017	10/10/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mrs Dang Thi Hai Ha - Brother in law
9.7	Le Tien Dong			ID	001074010640	25/07/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mrs Dang Thi Hai Ha - Brother in law
9.8	Nguyen Thi Thuy Van			ID	022177008310	10/10/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mrs Dang Thi Hai Ha - Sister in law
10	Cao Thi Nhung		8	ID	030182015606	19/08/2021	Department of Police for Administrative Management of Social Order and Safety	Phap Van Urban area, Hoang Liet ward, Hoang Mai district, Hanoi city	1.200	0,01%	
10.1	Nguyen Van Manh			ID	030077020421	19/08/2021	Department of Police for Administrative Management of Social Order and Safety	Phap Van Urban area, Hoang Liet ward, Hoang Mai district, Hanoi city			- The relative to Mrs Cao Thi Nhung - Husband
10.2	Nguyen Minh Tu			ID	001207038152	21/04/2022	Department of Police for Administrative Management of Social Order and Safety	Phap Van Urban area, Hoang Liet ward, Hoang Mai district, Hanoi city			- The relative to Mrs Cao Thi Nhung - Full blood child

No.	Full name	Securities trading accounts	Position at the company	Type of documents (ID/Passport/Business Registration Certificate)	NSH No.	Date of issue	Place of issue	Address/Head office address	Number of shares owned at the current period	Percentage of shares owned at the current period	Note
10.3	Nguyen Quy Duc							Phap Van Urban area, Hoang Liet ward, Hoang Mai district, Hanoi city			- The relative to Mrs Cao Thi Nhung - Full blood child - Reason for not having NSH certificate: Immature
10.4	Cao Van Tien			ID	030055011345	10/08/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mrs Cao Thi Nhung - Full blood father
10.5	Nguyen Thi Nhat			ID	030157015851	25/06/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mrs Cao Thi Nhung - Full blood mother
10.6	Cao Van Tinh			ID	030087006069	11/01/2018	Department of National Population Registration and Management				- The relative to Mrs Cao Thi Nhung - Full blood brother
11	<b>Bui Thi Phuong Thao</b>		8	ID	022188006711	04/10/2021	Department of Police for Administrative Management of Social Order and Safety	CT1-2, P.502, Me Tri Ha urban area, Me Tri ward, Nam Tu Liem district, Hanoi city			
11.1	Nguyen Van Giang			ID	030081009931	04/04/2021	Department of Police for Administrative Management of Social Order and Safety	CT1-2, P.502, Me Tri Ha urban area, Me Tri ward, Nam Tu Liem district, Hanoi city			- The relative to Mrs Bui Thi Phuong Thao - Husband
11.2	Nguyen Quang Minh							CT1-2, P.502, Me Tri Ha urban area, Me Tri ward, Nam Tu Liem district, Hanoi city			- The relative to Mrs Bui Thi Phuong Thao - Full blood child - Reason for not having NSH certificate: Immature
11.3	Nguyen Minh Anh							CT1-2, P.502, Me Tri Ha urban area, Me Tri ward, Nam Tu Liem district, Hanoi city			- The relative to Mrs Bui Thi Phuong Thao - Full blood child - Reason for not having NSH certificate: Immature
11.4	Bui Quang Bay			ID	013296143	16/04/2010	Ha Noi	CT1-2, P.502, Me Tri Ha urban area, Me Tri ward, Nam Tu Liem district, Hanoi city			- The relative to Mrs Bui Thi Phuong Thao - Full blood father
11.5	Le Thi Thanh Huong			ID	013216021	12/06/2009	Ha Noi	CT1-2, P.502, Me Tri Ha urban area, Me Tri ward, Nam Tu Liem district, Hanoi city			- The relative to Mrs Bui Thi Phuong Thao - Full blood mother
11.6	Bui Phuong Cuc			ID	013330731	08/07/2010	Ha Noi	CT1-2, P.502, Me Tri Ha urban area, Me Tri ward, Nam Tu Liem district, Hanoi city			- The relative to Mrs Bui Thi Phuong Thao - Full blood sister
11.7	Dang Van Bac			ID	035090005060	31/12/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mrs Bui Thi Phuong Thao - Brother in law
12	<b>Vu Huy Phuong</b>		9,12	ID	022073004041	15/04/2021	Department of Police for Administrative Management of Social Order and Safety	P.1209B-HH02, 32 Dai Tu street, Dai Kim ward, Hoang Mai district, Hanoi city			
12.1	Mac Thi Thanh Van			ID	030176011342	16/04/2022	Department of Police for Administrative Management of Social Order and Safety	P.1209B-HH02, 32 Dai Tu street, Dai Kim ward, Hoang Mai district, Hanoi city			- The relative to Mr Vu Huy Phuong - Wife
12.2	Vu Huy Long			ID	019200010846	28/01/2023	Department of Police for Administrative Management of Social Order and Safety	P.1209B-HH02, 32 Dai Tu street, Dai Kim ward, Hoang Mai district, Hanoi city			- The relative to Mr Vu Huy Phuong - Full blood child
12.3	Vu Hoang Lan			ID	019205000028	15/04/2021	Department of Police for Administrative Management of Social Order and Safety	P.1209B-HH02, 32 Dai Tu street, Dai Kim ward, Hoang Mai district, Hanoi city			- The relative to Mr Vu Huy Phuong - Full blood child

No.	Full name	Securities trading accounts	Position at the company	Type of documents (ID/Passport/Business Registration Certificate)	NSH No.	Date of issue	Place of issue	Address/Head office address	Number of shares owned at the current period	Percentage of shares owned at the current period	Note
12.4	Ho Thi Luat			ID	038149007380	17/12/2021	Department of Police for Administrative Management of Social Order and Safety	Chua Hang ward, Thai Nguyen city, Thai Nguyen province			- The relative to Mr Vu Huy Phuong - Full blood mother
12.5	Vu Thi Hoang Yen			ID	022176002937	22/12/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mr Vu Huy Phuong - Full blood sister
12.6	Cao Canh Son			ID	022068002057	04/05/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mr Vu Huy Phuong - Brother in law
12.7	Vu Huy Thanh			ID	022084011063	10/08/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mr Vu Huy Phuong - Full blood brother
12.8	Tran Thi Huyen			ID	01918401117	10/08/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mr Vu Huy Phuong - Sister in law
12	Tran Minh Tam		14	ID	034181001150	25/04/2021	Department of Police for Administrative Management of Social Order and Safety	No 8 Alley 1 Phan Dinh Giot street, Phuong Liet ward, Thanh Xuan district, Hanoi city	5.432	0,04%	
12.1	Tran Duc Thuan			ID	036052000021	25/04/2021	Department of Police for Administrative Management of Social Order and Safety	No 8 Alley 1 Phan Dinh Giot street, Phuong Liet ward, Thanh Xuan district, Hanoi city			- The relative to Mrs Tran Minh Tam - Full blood father
12.2	Nguyen Thi Tuoi			ID	034158004242	25/04/2021	Department of Police for Administrative Management of Social Order and Safety	No 8 Alley 1 Phan Dinh Giot street, Phuong Liet ward, Thanh Xuan district, Hanoi city			- The relative to Mrs Tran Minh Tam - Full blood mother
12.3	Vu Khanh Linh			ID	001307001145	08/04/2021	Department of Police for Administrative Management of Social Order and Safety	No 8 Alley 1 Phan Dinh Giot street, Phuong Liet ward, Thanh Xuan district, Hanoi city			- The relative to Mrs Tran Minh Tam - Full blood child
12.4	Vu Hong Khoa							No 8 Alley 1 Phan Dinh Giot street, Phuong Liet ward, Thanh Xuan district, Hanoi city			- The relative to Mrs Tran Minh Tam - Full blood child - Reason for not having NSH certificate: Immature
12.5	Tran Minh Ngan			ID	001184017831	25/04/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mrs Tran Minh Tam - Full blood sister
12.6	Tran Khanh Duy			ID	033074002794	25/04/2021	Department of Police for Administrative Management of Social Order and Safety				- The relative to Mrs Tran Minh Tam - Brother in law

CHAIRMAN OF THE BOARD OF DIRECTORS





Pham Tuan Ngoc