

**YENBAI JOINT-STOCKS FOREST  
AGRICULTURAL PRODUCTS AND  
FOODSTUFF COMPANY**

**Stock code: CAP**

**THE SOCIALIST REPUBLIC OF VIETNAM**  
**Independence – Freedom – Happiness**

No.: 25/CBTT

*Regarding information disclosure*

*Yen Bai, January 30, 2026*

To:

- State Securities Commission;
- Hanoi Stock Exchange (HNX).

1. Company's name: YENBAI JOINT-STOCKS FOREST AGRICULTURAL PRODUCTS AND FOODSTUFF COMPANY
2. Stock code: CAP
3. Head office address: House No. 279, Nguyen Phuc Street, Yen Bai Ward, Lao Cai Province
4. Phone: 02163.862.278 - Fax: 02163.862.804
5. Information disclosure person: Luong Quoc Quyen
6. Phone: 0978.131.246
7. Information disclosure type: ☐ 24 hours ☐ 72 hours ☐ Unusual ☐ On request  
☒ Periodic
8. Information disclosure content: 2025 Corporate Governance Report.
9. We also address the entire Report at Website [www.yfatuf.com.vn](http://www.yfatuf.com.vn)

We hereby commit that the information disclosed above is true and take full legal responsibility for the content of the disclosed information.

***Recipient:***

- As above;
- Archived: Office.

**INFORMATION DISCLOSURE PERSON**



**Luong Quoc Quyen**

No.: 33./HDQT

*Yen Bai, January 29, 2026*

## **2025 REPORT ON CORPORATE GOVERNANCE**

To:

- State Securities Commission;
- Hanoi Stock Exchange.
- Company name: **Yenbai Joint-stocks Forest Agricultural Products and Foodstuff Company**
- Head office address: No. 279, Nguyen Phuc Street, Yen Bai Ward, Lao Cai Province
- Phone: 0216.3862.278 Fax: 0216.3862.804 Email: yfatuf@gmail.com
- Charter capital : **152.699.650.000 VND** (One hundred and fifty-two billion, six hundred and ninety-nine million, six hundred and fifty thousand VND)
- Stock code : **CAP**
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, Board of Managements.
- The implementation of internal audit: Implemented.

### **I. Activities of the General Meeting of Shareholders:**

The Company's 2025 Annual General Meeting of Shareholders was held on November 22, 2025. At the meeting, Resolution 30/NQ-DHCD was adopted with the following contents:

No.	Resolution/ Decision Number	Day	Content
1	No. 30/NQ-DHCD	22/11/ 2025	<ul style="list-style-type: none"><li>- Approval of the Board of Directors' activity report for the 2024-2025 fiscal year and the operational plan for the 2025-2026 fiscal year.</li><li>- Approval of the Independent Board Member's Activity Report for the 2024-2025 fiscal year and the activity plan for the 2025-2026 fiscal year.</li><li>- Approval of the Executive Board's Report on Production and Business Results for the 2024-2025 fiscal year and the Production and Business Plan for the 2025-2026 fiscal year.</li><li>- Approval of the consolidated financial report for the fiscal year 2024-2025 ending September 30, 2025, audited by Nhan Tam Viet</li></ul>

No.	Resolution/ Decision Number	Day	Content
			<p>Auditing Company Limited.</p> <ul style="list-style-type: none"> <li>- Approval of the Supervisory Board's activity report for the 2024-2025 fiscal year and the work program for the 2025-2026 fiscal year.</li> <li>- Approval of the proposal regarding profit distribution and dividend payment for the 2024-2025 fiscal year.</li> <li>- Approval of the dismissal of a member of the Board of Directors for the 2024-2029 term.</li> <li>- Approval of the proposal to amend the Company Charter; the Internal Regulations on Company Governance; and the Regulations on the Operation of the Company's Board of Directors.</li> <li>- Approval of the proposal to select the auditing firm for the 2025-2026 financial year.</li> </ul>

## II. Board of Directors 2025

### 1. Information about Board of Directors (BOD) members

No.	Board Member	Position	Date of starting/cease being a member of the Board of Directors/Independent Board of Directors	
			Date of appointment	Dismissal Date
1	Mr. Truong Ngoc Bien	Chairman of the Board of Directors - Executive Member	24/11/2019	
2	Mr. Le Long Giang	Executive Board of Directors Member - Director	21/12/2021	01/10/2025
3	Mr. Nguyen Huy Thong	Executive Board of Directors Member – Deputy Director	30/11/2023	
4	Mr: Nguyen Van Tru	Executive Board of Directors Member – Deputy Director	25/11/2024	
5	Mr. Nguyen Quoc Trinh	Non-executive Board Member	24/11/2019	
6	Mrs. Hoang Thi Binh	Non-executive Board Member	24/11/2019	
7	Mr. Nguyen Xuan Hong	Independent Non-Executive Board Member	21/12/2021	
8	Mr. Tran Thanh Ha	Independent Non-Executive Board Member	30/11/2023	

### 2. Board of Directors meetings



No.	Board Member	Number of Board of Directors meetings attended	Meeting attendance rate (%)	Reason for not attending the meeting
1	Mr. Truong Ngoc Bien	6/6	100	
2	Mr. Le Long Giang	4/6	66	Dismiss since 01/10/2025
3	Mr. Nguyen Huy Thong	6/6	100	
4	Mr: Nguyen Van Tru	6/6	100	
5	Mr. Nguyen Quoc Trinh	6/6	100	
6	Mrs. Hoang Thi Binh	6/6	100	
7	Mr. Nguyen Xuan Hong	6/6	100	
8	Mr. Tran Thanh Ha	6/6	100	

### **3. Supervisory activities of the Board of Directors over the Board of Managements**

The Board of Directors regularly inspects and supervises the following issues in the production and business operations of the Company's Board of Managements:

- The Board of Directors monitors and supervises the direction and management of production and business activities, ensuring the fulfillment of basic targets and the achievement of set objectives.

- The Chairman of the Board of Directors attends and gives directions at the regular working meetings of the Board of Managements.

- The Chairman of the Board of Directors has assigned tasks to the members of the Board of Directors in charge of each area of the Company's operations, enhancing the responsibility of each member of the Board of Directors in supervising the management and operation activities, organizing the implementation of Resolutions, Decisions, and directions of the Board of Directors in accordance with the provisions of the Company's Charter and the internal regulations on corporate governance that have been issued.

- The Chairman of the Board of Directors directs the activities of the Board of Directors within his/her authority, implementing and completing the goals approved by the General Meeting of Shareholders and the Resolutions of the Board of Directors .



- Timely make decisions or give quick instructions when there are changes in company leadership personnel and factories to ensure stable production and business activities.

- Supervise the implementation of the production and business plan according to the Resolution of the 2024 Annual General Meeting of Shareholders and the Resolutions of the Board of Directors.

- The Board of Directors has issued timely Resolutions for the Board of Management to implement the contents approved by the 2024 Annual General Meeting of Shareholders .

- Direct research to improve machinery and equipment to reduce costs.

- Direct the Board of Directors to research plans and projects to develop the Company.

- The Board of Directors coordinates with the Board of Supervisors to organize inspection and supervision activities for production and business activities, inspect the implementation and compliance with the provisions of the Enterprise Law, the Company Charter and current legal provisions of the State.

#### **4. Activities of subcommittees under the Board of Directors: None**

#### **5. Resolutions/Decisions of the Board of Directors in 2025**

No.	Resolution/Decision Number	Time	Content	Approval rate
1	13/NQ-HĐQT	28/3/2025	<ul style="list-style-type: none"> <li>- Approval of the 6-month production and business results of the 2024-2025 fiscal year and the production and business plan for the second quarter of 2025;</li> <li>- Approval of the Proposal on the renovation plan of Van Yen cassava factory.</li> </ul>	100%
2	16/NQ-HĐQT	29/5/2025	<ul style="list-style-type: none"> <li>- Approval of the Personnel organization work.</li> </ul>	100%
3	17/NQ-HĐQT	28/6/2025	<ul style="list-style-type: none"> <li>- Approval of the 9-month production and business results of the 2024-2025 fiscal year and the production and business plan for the third quarter of</li> </ul>	100%

No.	Resolution/Decision Number	Time	Content	Approval rate
			2025; - Personnel organization work.	
4	21/NQ-HĐQT	29/9/2025	<ul style="list-style-type: none"> <li>- Approval of the 12-month production and business performance for the 2024–2025 fiscal year and the production and business plan for the 2025–2026 fiscal year;</li> <li>- Approval of the pre-feasibility investment plan for the renovation and upgrading of equipment at the paper mills;</li> <li>- Approval of the investment plan for upgrading the wastewater treatment system of the Van Yen cassava processing plant;</li> <li>- Matters relating to the organization of the 2025 Annual General Meeting of Shareholders;</li> <li>- Personnel affairs;</li> </ul>	
5	24/NQ-HĐQT	20/11/2025	Approval of the time, agenda, and documentation for organizing the 2025 Annual General Meeting of Shareholders;	
6	32/NQ-HĐQT	31/12/2025	Approval of the production and business results for the first quarter of the 2025–2026 fiscal year and the production and business plan for the second quarter of the 2025–2026 fiscal year.	

### III. Board of Supervisors

#### 1. Information about the members of the Board of Supervisors (BOS)

No.	Board Member	Position	Date of commencement as a member of the Board of Supervisors	Professional qualifications
1	Ms. Nguyen Thi Thu Hang	Head of Supervisory Board	30/11/2020	Bachelor of Accounting
2	Mr. Tran Sy Lam	Supervisory Board Member	24/11/2019	Electrical Engineer
4	Mr. Pham Tu Linh	Supervisory Board Member	30/11/2020	Bachelor of Accounting

## **2. Board of Supervisors meeting**

No.	Board Member	Number of meetings attended	Meeting attendance rate	Voting ratio	Reason for not attending the meeting
1	Ms. Nguyen Thi Thu Hang	4/4	100%	100%	
2	Mr. Tran Sy Lam	4/4	100%	100%	
3	Mr. Pham Tu Linh	4/4	100%	100%	

## **3. Supervisory activities of the Board of Supervisors towards the Board of Directors, Board of Management and shareholders**

- The Board of Supervisors performs the function of supervising the management and operation of the company.

The Board of Directors, the Board of Management and the Company's production and business activities through attending all meetings of the Board of Directors and contributing opinions and recommendations in the inspection and supervision of the Company's production and business activities. Carrying out internal control rounds according to the quarterly and annual programs, according to the topics to provide early warning of risks in the Company's production and business activities and conducting surprise inspections when problems arise.

- Inspect and supervise the activities of the Board of Directors and the Board of Management of the Company in complying with the provisions of the Enterprise Law and the Company's Charter in the process of managing and operating production and business activities. Especially implementing the Resolutions of the



Annual General Meeting of Shareholders and the Resolutions of the Board of Directors.

- Review the validity of decisions of the Board of Directors and the Board of Management in management, production and business operations, investment... to ensure compliance with legal regulations, the Company Charter and the interests of shareholders.

- For shareholders: In 2025 , the Company's Board of Supervisors did not receive any requests or complaints from shareholders or groups of shareholders.

#### **4. Coordination of activities between the Supervisory Board and the Board of Directors, Board of Management and other management staff**

- The Supervisory Board has actively coordinated closely with the Board of Directors and the Board of Management of the Company in inspecting and supervising all production and business activities of the Company in the fiscal year 2024-2025, Quarter I of fiscal year 2025-2026 regularly exchanging information. Every quarter, the Supervisory Board reports the results of monitoring and inspecting previous proposals and the results of the control during the period and sends the report to the members of the Board of Directors and the Company's Director.

- The Supervisory Board has made many contributions and suggestions to the Board of Directors and the Board of Management of the Company on the implementation of production and business plans, investment projects, as well as financial management of units in the whole Company.

- The Board of Directors has provided all Resolutions and Decisions of the Board of Directors to the Board of Supervisors.

- The Board of Directors and the Board of Management always create favorable conditions for the Supervisory Board to collect information and documents related to the Company's production and business activities so that the Supervisory Board can perform its assigned tasks well.

- The Board of Directors, Board of Supervisors, Board of Management and the Company's management staff have had a close coordination relationship in the operation and management of production and business activities.

- The Board of Supervisors has attended all meetings of the Board of Directors, coordinated well with the Board of Directors, supervised all activities of the Company to ensure compliance with the law, the Company Charter and the rights and interests of shareholders.

**5. Other activities of the Board of Directors: None**

**IV. Board of Management**

No.	Board Member	Date of birth	Professional qualifications	Date of appointment
1	Director: Mr. <b>Nguyen Huy Thong</b>	16/05/1977	Master of Economic Management	01/01/2025
2	Deputy Director: Mr. <b>Nguyen Van Tru</b>	19/08/1977	LS Processing Engineer	10/10/2018
3	Deputy Director: Mr. <b>Ha Hai Yen</b>	16/01/1978	Electrical Engineer	01/01/2023
4	Deputy Director: Mr. <b>Nguyen Thanh Son</b>	21/07/1981	Master of Accounting	01/07/2025

**V. Chief Accountant**

No.	Full name	Date of birth	Professional qualifications	Date of appointment
1	Mr. <b>Luong Quoc Quyen</b>	15/12/1981	Master of Accounting	01/4/2024

**VI. Corporate governance training:**Corporate governance training courses attended by members of the Board of Directors, members of the Supervisory Board, the Executive Director, other managers and the Company Secretary in accordance with regulations on corporate governance: None

**VII. The list of affiliated persons of the public and transactions of affiliated persons of the Company**



### 1. List of related parties of the Company

No.	Full name	Securities trading account	Position at the Company	NSH Type (ID card, citizen identification card, passport)	NSH number	Date of Issue	Place of Issue	Address	The date on which a person becomes a related party	The date on which a person ceases to be a related party	Note
1	Truong Ngoc Bien		Chairman of the Board of Directors								
2	Hoang Thi Binh		Board of Directors								
3	Nguyen Huy Thong		Board of Directors, Director								
4	Nguyen Quoc Trinh		Board of Directors								
5	Nguyen Xuan Hong		Board of Directors								
6	Tran Thanh Ha		Board of Directors								
7	Nguyen Van Tru		Board Member, Deputy Director								
8	Ha Hai Yen		Deputy Director								



No.	Full name	Securities trading account	Position at the Company	NSH Type (ID card, citizen identification card, passport)	NSH number	Date of Issue	Place of Issue	Address	The date on which a person becomes a related party	The date on which a person ceases to be a related party	Note
9	Nguyen Thanh Son		Deputy Director								
10	Nguyen Thi Thu Hang		Head of the Supervisory Board								
11	Tran Sy Lam		Supervisory Board								
12	Pham Tu Linh		Supervisory Board								
13	Luong Quoc Quyen		Chief Accountant, Company Administrator, Secretary,								

**2. Transactions between company and its related parties; or between company and major shareholders, insiders, or related parties of insiders:** None

**3. Transactions between company insiders, related parties of insiders, and subsidiaries or companies controlled by the company:** None

**4. Transactions between the company and other parties:** None



## VIII. Stock transactions of insiders and related parties of insiders

### 1. List of insiders and related persons of insiders

No.	Stock Code	Full name	Securities trading account	Position at the Company	Relationships	NSH TYPE: ID CARD			Address	Number of shares held at	Ending stock	Notes (regarding)
						NSH number	Date issued	Place issued				
1	2	3	4	5	6	7	8	9	10	11	12	13
1	CAP	Truong Ngoc Bien		Chairman						1.034.855	6,78	
	CAP	Truong Ngoc Bien										
1.1	CAP	Hoang Thi Binh		Board of Directors	Mr. Bien's wife					1.788.116	11,71	
1.2	CAP	Truong Thi Hoang Yen			Mr. Bien's daughter					764.640	5,01	
1.3	CAP	Truong Thi Hoang Anh			Mr. Bien's daughter					757.416	4,96	
1.4	CAP	Vu Thanh Trung			Mr. Bien's son-in-law							
1.5	CAP	Nguyen Thanh Tra			Mr. Bien's son-in-law							
1.6	CAP	Truong Ngoc Hoan			Mr. Bien's younger brother							
1.7	CAP	Truong Ngoc Nguyen			Mr. Bien's younger brother							
1.8	CAP	Truong Ngoc Binh			Mr. Bien's younger brother							



1.9	CAP	Truong Thi Duyen	Head of Business Developme	Ms. Bien's younger sister			85.460	0,56
1.10	CAP	Do Thi Thuy Hong		Mr. Bien's sister-in-law				
1.11	CAP	Bui Thi Huong Giang		Mr. Bien's sister-in-law				
1.12	CAP	Van Thi Hoa Anh		Mr. Bien's sister-in-law				
1.13	CAP	Nguyen Quoc Truong		Mr. Bien's brother-in-law				
2	CAP	Hoang Thi Binh	Board of Directors				1.788.116	11,71
2.1	CAP	Truong Ngoc Bien	Chairman	Ms. Binh's husband			1.034.855	6,78
2.2	CAP	Truong Thi Hoang Yen		Ms. Binh's daughter (Mr. Bien)			764.640	5,01
2.3	CAP	Truong Thi Hoang Anh		Ms. Binh's daughter (Mr. Bien)			757.416	4,96
2.4	CAP	Vu Thanh Trung		Son-in-law				
2.5	CAP	Nguyen Thanh Tra		Ms. Binh (Mr. Bien)				
2.6	CAP	Hoang Thi Lieu		Son-in-law				
				Ms. Binh (Mr. Bien)				
				Ms. Binh's older sister				















5.8	CAP	Nguyen Thi Nguyet						Mr. Hong's sister					
5.9	CAP	Nguyen Xuan Phuong						Mr. Hong's younger brother					
5.10	CAP	Nguyen Van Phan						Mr. Hong's younger brother					
5.11	CAP	Nguyen Thi Mai						Mr. Hong's younger sister					
5.12	CAP	Nguyen Thi Dao						Mr. Hong's younger sister					
5.13	CAP	Truong Thi Trang						Mr. Hong's sister-in-law					
5.14	CAP	Nguyen Thi Kim Lien						Mr. Hong's sister-in-law					
5.15	CAP	Nguyen Xuan Sinh						Mr. Hong's brother-in-law					
5.16	CAP	Nguyen Xuan Vac						Mr. Hong's brother-in-law					
6	CAP	Tran Thanh Ha					Board of Directors					10.500	0,07
6.1	CAP	Nguyen Thi Hue						Mr. Ha's biological mother					
6.2	CAP	Pham Thi Kim Hoa						Mr. Ha's wife					







7	CAP	Nguyen Van Tru	Board of Director, Deputy				84.227	0.55
	CAP	Nguyen Van Tru						
7.1	CAP	Vu Thi Lien	Mr. Tru's biological mother					
7.2	CAP	Cao Thi Tho	Mr. Tru's mother-in-law					
7.3	CAP	Le Thi Quyen	Mr. Tru's wife					
7.4	CAP	Nguyen Le Minh	Mr. Tru's son					
7.5	CAP	Nguyen Minh Chau	Mr. Tru's daughter					
7.6	CAP	Nguyen The Tung	Mr. Tru's younger brother					
7.7	CAP	Nguyen Van Tinh	Mr. Tru's younger brother					
7.8	CAP	Nguyen Van Tu	Mr. Tru's younger brother					
7.9	CAP	Doan Thi Ngan.	Mr. Tru's sister-in-law					
7.10	CAP	Vu Thi Hien.	Mr. Tru's sister-in-law					



8	CAP	Ha Hai Yen	Deputy Director	Mr. Yen's biological mother			45.802	0,30
8.1	CAP	Le Thi Hanh		Mr. Yen's father-in-law				
8.2	CAP	Nguyen Van Thanh		Mr. Yen's Mother-in-Law				
8.3	CAP	Tran Thi Lan		Mr. Yen's wife			5.100	0,03
8.4	CAP	Nguyen Thi Thu Huong		Mr. Yen's biological child				
8.5	CAP	Ha Hai Nam		Mr. Yen's biological child				
8.6	CAP	Ha Hai Lam		Mr. Yen's brother				
8.7	CAP	Ha Hai Anh						
9	CAP	Nguyen Thanh Son	Deputy Director	Mr. Son's biological mother			52.000	0,34
9.1	CAP	Nguyen Thi Chinh		Mr. Son's father-in-law				
9.2	CAP	Pham Van Minh		Mr. Son's mother-in-law				
9.3	CAP	Chu Thi Phuong						



9.4	CAP	Pham Thi Hanh					Mr. Son's wife					
9.5	CAP	Nguyen Tien Duc					Mr. Son's son					
9.6	CAP	Nguyen Thanh Xuan					Mr. Son's older brother					
9.7	CAP	Nguyen Van Dong					Mr. Son's older brother					
9.8	CAP	Nguyen Van Truong					Mr. Son's older brother					
9.9	CAP	Nguyen Hai Lam					Mr. Son's younger brother					
9.10	CAP	Nguyen Thi Thu					Mr. Son's sister-in-law					
9.11	CAP	Pham Hai Yen					Mr. Son's sister-in-law					
9.12	CAP	Nguyen Hong Lan					Mr. Son's sister-in-law					
9.13	CAP	Doan Thi Thanh					Mr. Son's sister-in-law					
10	CAP	Nguyen Thi Thu Hang				Head of the Supervisor					65,000	0,43
	CAP	Nguyen Thi Thu Hang										



10.1	CAP	Nguyen Thi Cham					Ms. Hang's biological mother				
10.2	CAP	Tran Thi Hoi					Ms. Hang's mother-in-law				
10.3	CAP	Nguyen Thang Thieu					Ms. Hang's husband	6.900	0,05		
10.4	CAP	Nguyen Minh Phu					Ms. Hang's son				
10.5	CAP	Nguyen Thien Nhan					Ms. Hang's son				
10.6	CAP	Nguyen Thanh Trung					Ms. Hang's younger brother				
10.7	CAP	Nguyen Thuy Linh					Ms. Hang's sister-in-law				
10.8	CAP	Nguyen Thi Hanh					Ms. Hang's sister				
11	CAP	Tran Sy Lam					Supervisory Board	23.800	0,16		
11.1	CAP	Nguyen Thi Ngoan					Mr. Lam's biological mother				
11.2	CAP	Cao Van Trong					Mr. Lam's father-in-law				
11.3	CAP	Cao Thi Thuy					Mr. Lam's wife				



11.4	CAP	Tran Thi Hoang Anh					Mr. Lam's daughter						
11.5	CAP	Tran Duc Minh					Mr. Lam's son						
11.6	CAP	Tran Manh Hung					Mr. Lam's older brother						
11.7	CAP	Tran Thi Loan					Mr. Lam's younger sister						
11.8	CAP	Tran Thi Cuc					Mr. Lam's younger sister						
11.9	CAP	Dinh Minh Tien					Mr. Lam's brother-in-law						
11.10	CAP	Tran Van Quyet					Mr. Lam's brother-in-law						
11.11	CAP	Nguyen Thi Ly					Mr. Lam's sister-in-law						
11.12	CAP	Nguyen Thi Hang					Mr. Lam's sister-in-law						
12	CAP	Pham Tu Linh					Supervisory Board					11.997	0,08
12.1	CAP	Pham Van Hai					Mr. Linh's biological father						
12.2	CAP	Nguyen Thi Mai					Mr. Linh's biological mother						



12.3	CAP	Trinh Cong Luyen				Mr. Linh's father-in-law						
12.4	CAP	Nguyen Thi Mai				Mr. Linh's mother-in-law						
12.5	CAP	Trinh Thi Thu Ha				Mr. Linh's wife						
12.6	CAP	Pham Khanh Ngoc				Mr. Linh's daughter						
12.7	CAP	Pham Khanh Huyen				Mr. Linh's daughter						
12.8	CAP	Pham Thi Thu Hien				Mr. Linh's sister						
12.9	CAP	Duong Cong Trinh				Mr. Linh's brother-in-law						
12.10	CAP	Pham Thi Thu Huong				Mr. Linh's sister						
12.11	CAP	Dinh Viet Khanh				Mr. Linh's brother-in-law						
13	CAP	Luong Quoc Quyen				Chief Accountant,					23.162	0,15
13.1	CAP	Luong Minh Dot				Mr. Quyen's biological father						
13.2	CAP	Nguyen Thi Nhan				Mr. Quyen's biological mother						







## 2. Transactions of internal persons and affiliated persons with shares of the company

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage (%)	Number of shares	Percentage (%)	
1	Truong Ngoc Bien	Chairman	1.014.955	6,65	1.034.855	6,78	Buy
2	Hoang Thi Binh	BoD, Mr. Bien's Wife	1.788.116	11,71	1.788.116	11,71	
3	Truong Thi Hoang Yen	Mr. Bien, Ms. Binh's Daughter	764.640	5,01	764.640	5,01	
4	Truong Thi Hoang Anh	Mr. Bien, Ms. Binh's Daughter	757.416	4,96	757.416	4,96	
5	Truong Thi Duyen	Mr. Bien's Sister	82.460	0,54	85.460	0,56	Buy
6	Nguyen Quoc Trinh	BoD	730.969	4,79	730.969	4,79	
7	Tran Thi Binh	Mr. Trinh's Wife	404.422	2,65	404.422	2,65	
8	Nguyen Thuy Tien	Mr. Trinh's Daughter	54.645	0,36	54.645	0,36	
9	Pham Trung Tam	Mr. Trinh's Son in law	8.005	0,05	10.005	0,07	Buy
10	Nguyen Xuan Hong	Independence BoD	21.101	0,14	23.901	0,16	Buy
11	Tran Thanh Ha	Independence BoD	3.700	0,02	10.500	0,07	Buy
12	Nguyen Van Tru	Deputy Director	78.727	0,52	84.227	0,55	Buy
13	Nguyen Huy Thong	Deputy Director	115.327	0,76	126.727	0,83	Buy
14	Ngo Thi Hoai	Mr. Thong's Wife	442	0,00	442	0,00	
15	Ha Hai Yen	Deputy Director	43.702	0,29	45.802	0,30	Buy
16	Nguyen Thanh Son	Deputy Director	48.390	0,32	52.000	0,34	Buy
17	Nguyen Thi Thu Huong	Mr. Yen's Wife	0	0,00	5.100	0,03	Buy
18	Nguyen Thi Thu Hang	Head of Supervisory Board	50.634	0,33	65.000	0,43	Buy
19	Nguyen Thang Thieu	Ms. Hang's Husband	3.300	0,02	6.900	0,05	Buy
20	Tran Sy Lam	Supervisory Board	20.000	0,13	23.800	0,16	Buy
21	Pham Tu Linh	Supervisory Board	9.987	0,07	11.997	0,08	Buy
22	Luong Quoc Quyen	Chief Accountant, Company Administrator	15.462	0,10	23.162	0,15	Buy
23	Nguyen Huong Lan	Mr. Quyen's Wife	3.000	0,02	6.500	0,04	Buy

### IX. Other significant issues: None

#### Recipients:

- HNX, SSC;
- Archived: Office, Board of Directors, Board of Supervisors.



CHAIRMAN

(Sign, print full name and stamp)

*Ths. Trương Ngọc Biên*