VIETNAM THUONG TIN JOINT STOCK COMMERCIAL BANK
Số: 1780./2025/CV-VB
No.: 1780/2025/CV-VB

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom – Happiness

*Thành phố Cần Thơ, ngày 28 tháng 7 năm 2025*
*Can Tho City, Day 28 Month 7 Year 2025*

# CÔNG BỐ THÔNG TIN
# *INFORMATION DISCLOSURE*

**Kính gửi/** ***To:***

- **Ủy ban chứng khoán Nhà nước/ State Securities Commission**
- **Sở Giao dịch Chứng khoán Hà Nội/ Hanoi Stock Exchange**

1. Tên tổ chức/*Name of organization:* Ngân hàng Thương mại cổ phần Việt Nam Thương Tín/ *Vietnam Thuong Tin Joint Stock Commercial Bank:*
   - Mã chứng khoán/Mã thành viên/ *Stock code/ Broker code:* VBB
   - Địa chỉ/Address: 47 Trần Hưng Đạo, Phường 3, Thành phố Sóc Trăng, tỉnh Sóc Trăng (nay là 47 Trần Hưng Đạo, Phường Phú Lợi, Thành phố Cần Thơ)/*47 Tran Hung Dao, Ward 3, Soc Trang City, Soc Trang Prov (now 47 Tran Hung Dao, Phu Loi Ward, Can Tho City)*
   - Điện thoại liên hệ/Tel.: (079) 3621454 Fax: (079) 3621858 E-mail:
2. Nội dung thông tin công bố/*Contents of disclosure:*
   - Báo cáo tính hình quản trị công ty 6 tháng đầu năm 2025/*Report on corporate governance situation for the first 6 months of 2025.*
3. Thông tin này được công bố trên trang thông tin điện tử của Công ty vào ngày 28/7/2025/This information is published on the Company's website on July 28, 2025: *https://www.vietbank.com.vn/nha-dau-tu/cong-bo-thong-tin.*

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/ *We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

*Tài liệu đính kèm/Attached documents:*

- *Báo cáo tính hình quản trị công ty 6 tháng đầu năm 2025/Report on corporate governance situation for the first 6 months of 2025.*

**NGƯỜI ĐẠI DIỆN THEO PHÁP LUẬT/**
**LEGAL REPRESENTATIVE**
**TỔNG GIÁM ĐỐC**
**CHIEF EXECUTIVE OFFICE**

NGÂN HÀNG THƯƠNG MẠI CỔ PHẦN VIỆT NAM THƯƠNG TÍN

**TRẦN TUẤN ANH**

NGÂN HÀNG THƯƠNG MẠI CỔ PHẦN VIỆT NAM THƯƠNG TÍN

Digitally signed by NGÂN HÀNG THƯƠNG MẠI CỔ PHẦN VIỆT NAM THƯƠNG TÍN
DN: C=VN, L=SÓC TRĂNG, CN=NGÂN HÀNG THƯƠNG MẠI CỔ PHẦN VIỆT NAM THƯƠNG TÍN, OID.0.9.2342.19200300.100.1.1=MST:2200269805, E=VIETBANK@VIETBANK.COM.VN
Reason: I am the author of this document
Location: your signing location here
Date: 2025-07-29 17:06:18
Foxit Reader Version: 9.7.0

VIETNAM THUONG TIN
COMMERCIAL JOINT STOCK BANK
No.: ..33.../2025/BC-HĐQT

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

Can Tho, Day 28 Month 7 Year 2025

# REPORT
## ON CORPORATE GOVERNANCE
**(06 months of 2025)**

To:

**- State Securities Commission of Vietnam;**

**- Hanoi Stock Exchange**

- Company name: **VIETNAM THUONG TIN COMMERCIAL JOINT STOCK BANK**
- Head office address: 47 Tran Hung Dao, Phu Loi Ward, Can Tho City (formerly: 47 Tran Hung Dao, Ward 3, Soc Trang City, Soc Trang Province).
- Telephone: 0299 3621454 Fax: 0299 3621858 Email: vietbank.com.vn
- Charter capital: **VND 7,139,413,290,000** (*Seven trillion one hundred thirty-nine billion four hundred thirteen million two hundred ninety thousand Vietnamese dong*).
- Stock code: **VBB**
- Corporate governance model: General Meeting of Shareholders, Board of Directors, Supervisory Board, and Chief Executive Officer.
- On the implementation of internal audit function: Implemented.

## I. Activities of the General Meeting of Shareholders:

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions of the General Meeting of Shareholders adopted in the form of written consultation):

| No. | Resolution/Decision No. | Date | Content |
|---|---|---|---|
| 1 | 01/2025/NQ-ĐHĐCĐ | 26/4/2025 | - Report on business performance in 2024 and Business Plan orientation for 2025<br>- Audited financial statements for 2024<br>- Report of the Board of Directors on the performance of the Board of Directors and the performance evaluation of members of the Board of Directors, Committees, and Subcommittees under the Board of Directors<br>- Report of the Supervisory Board on: Vietbank's |

| No. | Resolution/Decision No. | Date | Content |
|---|---|---|---|
| | | | business results, performance of the Board of Directors and Chief Executive Officer; self-assessment of the Supervisory Board's performance and performance evaluation of its members<br>- Report on the results of the charter capital increase and the listing of Vietbank's shares on the stock market in accordance with Resolution No. 01/2024/NQ-ĐHĐCĐ dated 26/4/2024<br>- Submission on the 2024 profit distribution plan<br>- Submission on the 2025 charter capital increase plan<br>- Report on the progress and results of public bond issuance under the 2021 Resolution of General Meeting of Shareholders<br>- Report on credit extensions to subjects as prescribed in Clause 1 Article 135 of the Law on Credit Institutions<br>- Report on debt classification, off-balance-sheet commitments, risk provisioning, and use of provisions to handle risks in accordance with Clause 3 Article 13 of Circular 31/2024/TT-NHNN<br>- Submission for approval of remuneration, bonuses, and other benefits of Members of the Board of Directors, Members of the Supervisory Board, and the operating budget of the Board of Directors and Supervisory Board for 2025<br>- Report on the amendment and supplementation of the executive organizational structure as authorized by the General Meeting of Shareholders in Resolution No. 01/2024/NQ-ĐHĐCĐ<br>- Submission on the selection of an independent auditing firm (pursuant to Article 59 of the Law on Credit Institutions)<br>- Submission on the policy to participate in the restructuring of People's Credit Funds<br>- Submission for approval of the proposed remedial plan in the event of early intervention at Vietbank |

II.

## III. Board of Directors

1. Information on the Board of Directors:

| No. | Member of the Board of Directors | **Position / (Independent BOD Member, Non-executive BOD Member)** | **Date of Commencement / Cessation as BOD Member / Independent BOD Member** | |
|---|---|---|---|---|
| | | | Date of Appointment | Date of Dismissal |
| 1 | Mr. Duong Nhat Nguyen | Chairman of the Board of Directors, term 2021 – 2025 (*Non-executive Member of the Board of Directors)* | 26/4/2021 | |
| 2 | Mr. Nguyen Huu Trung | Vice Chairman of the Board of Directors cum Independent Member of the Board of Directors, term 2021 – 2025 *(Non-executive Member of the Board of Directors)* | 26/4/2021 | |
| 3 | Mrs. Quach To Dung | Member of the Board of Directors, term 2021 – 2025 (*Non-executive Member of the Board of Directors)* | 26/4/2021 | |
| 4 | Mrs. Le Thi Xuan Lan | Member of the Board of Directors, term 2021 – 2025 (*Non-executive Member of the Board of Directors)* | 26/4/2021 | |
| 5 | Mrs. Luong Thi Huong Giang | Member of the Board of Directors, term 2021 – 2025 (*Non-executive Member of the Board of Directors)* | 26/4/2021 | |

Meetings of the Board of Directors (06 months of 2025):

| **No.** | **Member of the Board of Directors** | **Number of BOD meetings attended** | **Attendance rate** | **Reason for non-attendance** |
|---|---|---|---|---|
| 1 | Mr. Duong Nhat Nguyen | 56/56 | 100% | - |
| 2 | Mr. Nguyen Huu Trung | 56/56 | 100% | - |
| 3 | Mrs. Quach To Dung | 56/56 | 100% | - |

| No. | Member of the Board of Directors | Number of BOD meetings attended | Attendance rate | Reason for non-attendance |
|---|---|---|---|---|
| 4 | Mrs. Le Thi Xuan Lan | 56/56 | 100% | - |
| 5 | Mrs. Luong Thi Huong Giang | 56/56 | 100% | - |

2. Supervisory activities of the Board of Directors over the Executive Board:

- In the first 06 months of 2025, in addition to the quarterly BOD meetings to discuss and comment on the Executive Board's operational performance and the implementation of resolutions and decisions of the Board of Directors, the Board of Directors also reviewed monthly reports submitted by the Executive Board and provided feedback so that the Executive Board could make timely and appropriate business adjustments. For issues beyond the authority of the CEO, the Board of Directors convened online meetings or collected written opinions to promptly issue decisions/resolutions.
- On a monthly basis, the Standing Committee of the Board of Directors held extended coordination meetings with the Executive Board and Divisions/Departments/Units, regional branches, and Business Centers across the system to timely grasp and resolve difficulties and obstacles in operations.
- Based on the business results for the first 06 months of 2025, the Board of Directors assessed that the Executive Board fulfilled its duties.

3. Activities of the BOD Committees (if any):

In addition to the activities of the Board of Directors, based on applicable legal provisions and Vietbank's actual operations and human resources situation, during the first 06 months of 2025, the Board of Directors supplemented and adjusted the composition of Councils and Committees to assist the Board of Directors in advising on banking-related matters such as macroeconomic policies, market trend forecasts, interest rates, risk management, human resources, business strategy issues, risk resolution, investment, procurement of assets – services – goods, network development, etc.

4. Resolutions/Decisions of the Board of Directors (first 06 months of 2025):

a. BOD Resolutions: 99 Resolutions (Details in the attached List – Appendix 1)

b. BOD Decisions: 114 Decisions (Details in the attached List – Appendix 2)

5. Other activities of the Board of Directors (if any):

To enhance the business capacity of the system and optimize operations from Head Office to Business Centers (TTKD), and to strengthen coordination between the Head Office Divisions and Business Centers with a customer-centric operational orientation, the Board of Directors resolutely directed the implementation of the restructuring of the business operating model along vertical lines from the Head Office to Business Centers throughout the system, in accordance with the Resolution approved by the Board of Directors at the end of 2024, thereby creating significant positive shifts in business operations.

## IV. Supervisory Board

1. Information on Members of the Supervisory Board (SB):

| No. | Supervisory Board Member | Position | Date of Commencement/Termination as SB Member | Professional Qualifications |
|---|---|---|---|---|
| 1 | Mr. Hua Ngoc Nghia | Head of the Supervisory Board | Term 2021 – 2025: April 26, 2021 | Bachelor of Economics |
| 2 | Mr. Mac Huu Danh | Member of the Supervisory Board | Term 2021 – 2025: April 26, 2021 | Bachelor of Economics |
| 3 | Mrs. Nguyen Do Xuan Dung | Member of the Supervisory Board | Term 2021 – 2025: April 26, 2021 | Bachelor of Economics |

2. Meetings of the Supervisory Board (06 months of 2025):

| No. | Supervisory Board Member | Number of meetings attended | Attendance rate | Reason for non-attendance |
|---|---|---|---|---|
| 1 | Mr. Hua Ngoc Nghia | 02/02 | 100% | - |
| 2 | Mr. Mac Huu Danh | 02/02 | 100% | - |
| 3 | Mrs. Nguyen Do Xuan Dung | 02/02 | 100% | - |

3. Supervisory activities of the Supervisory Board over the Board of Directors, Executive Board, and Shareholders:

The Supervisory Board performs its supervisory function over the activities of the Board of Directors, Executive Board, and Shareholders in accordance with its roles and responsibilities as stipulated by the Law on Credit Institutions, the Law on Enterprises, the Law on Securities, and the relevant Decrees and Circulars guiding public company governance; the Bank's Charter; the Bank's internal governance regulations; and the organizational and operational regulations of the Supervisory Board.

Accordingly, the supervisory work over the management and executive bodies is conducted through meetings, consultations, and discussions on specific matters; internal information reporting mechanisms; periodic/unscheduled audits conducted by the Internal Audit Department; and the system of processes and regulations on which operations and transactions are based.

4. Coordination between the Supervisory Board and the Board of Directors, Executive Board, and other management staff:

The coordination mechanism between the Supervisory Board and the Board of Directors and Executive Board is seamless and continuous, with information exchanged and interacted based

on the respective roles and responsibilities of each body. Representatives of the Supervisory Board attend BOD meetings and the Executive Board's monthly briefings, where their opinions and consultations are recorded and considered in addressing key agenda items.

5. Other activities of the Supervisory Board (if any):

The Supervisory Board submitted a report to the State Bank of Vietnam (SBV) regarding preparation for the meeting with Supervisory Boards of credit institutions as requested by the SBV.

## V. Executive Board:

| No. | Executive Board Member | Date of Birth | Professional Qualifications | Date of Appointment/Dismissal as Executive Board Member |
|---|---|---|---|---|
| 1 | Mrs. Tran Tuan Anh – Chief Executive Officer | 27/03/1976 | - Master of Laws, major in Economic Law<br>- Bachelor of Finance – Credit | 14/08/2023 |
| 2 | Mr. Pham Danh – Deputy Chief Executive Officer | 10/11/1980 | - Master's degree in Banking<br>- Bachelor's degree in Finance – Monetary – Credit | 13/11/2017 |
| 3 | Mr. Nguyen Tien Sy – Deputy Chief Executive Officer | 08/12/1977 | - Master's degree in Business Administration | 08/03/2021 |
| 4 | Mrs. Pham Thi My Chi – Deputy Chief Executive Officer | 20/04/1964 | - Bachelor's degree in Finance and Credit | 07/11/2023 |
| 5 | Mr. Pham Linh – Deputy Chief Executive Officer | 27/10/1974 | - Doctorate – major in Business Administration<br>- Master's degree – major in Finance and Banking<br>- Bachelor's degree – major in Finance and Credit | 15/01/2025 |
| 6 | Mr. Le Thanh Quy Ngoc – Deputy Chief Executive Officer | 11/12/1984 | - Master's degree – major in Business Administration<br>- Bachelor's degree – major in Finance and Banking | 10/6/2025 |

## VI.

## VII. Chief Accountant:

| No. | Full name | Date of Birth | Professional Qualifications | Date of Appointment/Dismissal as Executive Board Member |
|---|---|---|---|---|
| 1 | Mrs. Pham Thi My Chi | 20/04/1964 | Bachelor's degree in Finance and Credit | 28/6/2024 (Date of Dismissal 28/6/2025) |
| 2 | Mrs. Nguyen Thi Thuy Minh | 19/11/1979 | Bachelor's degree in Accounting | 28/6/2025 |

## VIII. Corporate Governance Training:

Corporate governance training programs attended by members of the Board of Directors, members of the Supervisory Board, the Chief Executive Officer, other management personnel, and the Corporate Secretary during the first 06 months of 2025 in accordance with corporate governance regulations:

- Management training program for senior bank officers organized by the State Bank of Vietnam (sponsored by SECO – Switzerland).
- Seminar on Promoting the AI Revolution in Finance – Banking: Solutions from NVIDIA and GreenNode.
- Roundtable discussion with the Ministry of Public Security on money laundering and terrorist financing risk assessment with the Banking Supervision and Inspection Agency – SBV.
- Conference on Draft Law on Personal Data Protection.
- Conference on the Implementation of the Outbound Remittance Code of Conduct
- Supervisory Review and Evaluation Process (SREP) framework under Pillar 2 of the Basel Committee.
- Risk management in card payments and bank accounts.
- Training workshop on the implementation of Circular 58/2024/TT-NHNN providing guidelines on handling counterfeit and suspected counterfeit currency in the banking sector, including practical exercises in distinguishing genuine from counterfeit notes.

## IX. List of Related Parties of the Public Company and Transactions between Related Parties and the Company:

1. List of related parties of the company: detailed in the attached list – Appendix 3.
2. Transactions between the company and its related parties; or between the company and major shareholders, insiders, related persons of insiders: detailed in the attached lists – Appendices 4 and 5.
3. Transactions between insiders, related persons of insiders, and subsidiaries or entities controlled by the company: None incurred.

4. Transactions between the company and other parties;

- Transactions between the company and any enterprise in which members of the Board of Directors, Supervisory Board, Chief Executive Officer, or other management personnel have served or currently serve as founding members or members of the Board of Directors or CEO within the past three (03) years (as of the date of this report): None incurred.
- Transactions between the company and any enterprise in which related persons of members of the Board of Directors, Supervisory Board, Chief Executive Officer, or other management personnel are members of the Board of Directors or serve as CEO: None incurred.
- Other transactions of the company (if any) that may bring material or immaterial benefits to members of the Board of Directors, Supervisory Board, Chief Executive Officer, or other management personnel: None.

## X. Share Transactions by Insiders and Related Persons of Insiders (06 months of 2025):

1. List of insiders and related persons of insiders: detailed in the attached list – Appendix 6.
2. Transactions of insiders and related persons involving the company's shares: None incurred.

**XI. Other Notable Issues:** None.

**ON BEHALF OF THE BOARD OF DIRECTORS**
**CHAIRMAN**

NGÂN HÀNG THƯƠNG MẠI CỔ PHẦN VIỆT NAM THƯƠNG TÍN

**DUONG NHAT NGUYEN**

*Recipients:*
- As above;
- Board of Directors, Supervisory Board (for reporting);
To be filed: Office Secretariat of the Board of Directors.

VIETNAM THUONG TIN COMMERCIAL JOINT STOCK BANK

DM1

# LIST OF RESOLUTIONS OF THE BOARD OF DIRECTORS – FIRST 06 MONTHS OF 2025

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 1 | 01/2025/NQ-HĐQT | 02/01/2025 | Submission No. 725/2024/TT-TGĐ on the 2025 business plan orientation of Vietbank. | 100% |
| 2 | 02/2025/NQ-HĐQT | 09/01/2025 | Submission No. 08/2025/TT-VP.HĐQT dated 07/01/2025 from the Office of the Board of Directors on the first trading day of shares with amended trading registration. | 100% |
| 3 | 03/2025/NQ-HĐQT | 09/01/2025 | Submission No. 07/2025/TT-TGĐ dated 06/01/2025 from the CEO on the amendment and supplementation of charter capital provisions in Vietbank's Charter. | 100% |
| 4 | 04/2025/NQ-HĐQT | 10/01/2025 | Submission No. 12/2025/TT-TGĐ dated 08/01/2025 from the CEO on the selection of an independent auditing firm to audit the use of proceeds from outstanding privately placed bonds in accordance with Decree No. 153/2020/NĐ-CP and Decree No. 65/2022/NĐ-CP for the 2024 reporting period. | 100% |
| 5 | 05/2025/NQ-HĐQT | 10/01/2025 | Q4/2024 BOD Meeting (Report No. 01/2025/BC-TGĐ on business performance for December 2024 and full year 2024). | 100% |
| 6 | 06/2025/NQ-HĐQT | 10/01/2025 | Q4/2024 BOD Meeting (Report from the Office of the Board of Directors). | 100% |
| 7 | 07/2025/NQ-HĐQT | 10/01/2025 | CEO's 2024 performance evaluation report (Report No. 02/2025/BC-TGĐ). | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 8 | 08/2025/NQ-HĐQT | 10/01/2025 | Evaluation of 2024 performance of BOD members and Committees under the BOD. | 100% |
| 9 | 09/2025/NQ-HĐQT | 14/01/2025 | Submission No. 01/2025/TT-UBNS on the recruitment and appointment of Mr. Pham Linh as Deputy CEO of Vietbank. | 100% |
| 10 | 10/2025/NQ-HĐQT | 22/01/2025 | Submission No. 31/2025/TT-TGĐ dated 14/01/2025 on issuance of limits and ratios related to liquidity risk. | 100% |
| 11 | 11/2025/NQ-HĐQT | 22/01/2025 | Report No. 20/2025/BC-TT XLN dated 03/01/2025 from the Debt Settlement Center on the application of the Delegation Regulation in debt settlement activities. | 100% |
| 12 | 12/2025/NQ-HĐQT | 23/01/2025 | Submission No. 02/2025/TT-UBNS dated 22/01/2025 from the Human Resources Committee on the reappointment of Mr. Pham Danh as Deputy Chief Executive Officer of Vietbank. | 100% |
| 13 | 13/2025/NQ-HĐQT | 23/01/2025 | Submission No. 44/2025/TT-TGĐ dated 21/01/2025 from the CEO on the termination of the Smart Card Project connecting to the Public Transportation System and the repeal of related documents. | 100% |
| 14 | 14/2025/NQ-HĐQT | 24/01/2025 | Submission No. 52/2025/TT-TGĐ dated 23/01/2025 from the CEO on personnel changes to the Steering Committee for Anti-Corruption, Anti-Negativity, and Crime Prevention. | 100% |
| 15 | 15/2025/NQ-HĐQT | 24/01/2025 | Submission No. 51/2024/TT-TGĐ dated 23/01/2025 from the CEO on renaming operational units in certain internal documents issued by the Board of Directors. | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 16 | 16/2025/NQ-HĐQT | 06/02/2025 | Submission No. 03/2025/TT-UBNS dated 04/02/2025 from the Standing Human Resources Committee on additional salary payment to Mr. Pham Linh – Deputy CEO. | 100% |
| 17 | 17/2025/NQ-HĐQT | 06/02/2025 | Report No. 01/2025/BC-UBNS dated 04/02/2025 from the Standing Human Resources Committee on staff bonus allocation based on 2024 performance evaluation and classification. | 100% |
| 18 | 18/2025/NQ-HĐQT | 13/02/2025 | Submission No. 35/2025/TT-VP.HĐQT dated 11/02/2025 from the Office of the Board of Directors on the schedule and procedures for organizing the 2025 Annual General Meeting of Shareholders. | 100% |
| 19 | 19/2025/NQ-HĐQT | 14/02/2025 | Submission No. 71A/2025/TT-TGĐ dated 10/02/2025 from the CEO on internal capital adequacy assessment based on comments from the Risk Management Committee in Report No. 01/2025/BC-UBQLRR dated 12/02/2025. | 100% |
| 20 | 20/2025/NQ-HĐQT | 14/02/2025 | Submission No. 03/2025/TT-UB CL&CĐ dated 13/02/2025 from the Strategy and Transformation Committee on the implementation of the Vietbank Project for the establishment and operation of the Transformation Office and Project Management. | 100% |
| 21 | 21/2025/NQ-HĐQT | 20/02/2025 | Submission No. 89/2025/TT-TGĐ dated 18/02/2025 from the CEO on the issuance of the Decision on establishment of the Information Technology Crisis Management Subcommittee. | 100% |
| 22 | 22/2025/NQ-HĐQT | 25/02/2025 | Submission No. 05/2025/TT-UBNS dated 24/02/2025 from the Human Resources Committee on salary adjustment for the CEO of Vietbank. | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 23 | 23/2025/NQ-HĐQT | 28/02/2025 | Submission No. 71B/2025/TT-TGĐ dated 10/02/2025 from the CEO on amendments and supplements to certain documents according to the new organizational structure and annual review (including revisions to the Risk Management Policy and the Bank's Internal Capital Adequacy Assessment Framework). | 100% |
| 24 | 24/2025/NQ-HĐQT | 06/3/2025 | Submission No. 112/2025/TT-TGĐ dated 21/02/2025 from the CEO on reviewing the risk appetite for 2025. | 100% |
| 25 | 25/2025/NQ-HĐQT | 12/3/2025 | Submission No. 06/2025/TT-UBNS dated 10/03/2025 from the Standing Human Resources Committee on the proposal for reorganizing and dividing the business regions at Vietbank. | 100% |
| 26 | 26/2025/NQ-HĐQT | 13/3/2025 | Submission No. 56/2025/TT-CT HĐMSTS dated 11/03/2025 from the Chairman of the Asset Procurement Council on restructuring the procurement of assets and services at Vietbank. | 100% |
| 27 | 27/2025/NQ-HĐQT | 17/3/2025 | Submission No. 22/2025/TT-VB.AMC dated 27/02/2025 from Vietbank AMC on the application of entrusted debt recovery remuneration for Vietbank AMC. | 100% |
| 28 | 28/2025/NQ-HĐQT | 17/3/2025 | Report No. 51/2025/BC-TGĐ dated 12/03/2025 from the CEO on the results of implementing the 3P salary mechanism in accordance with Resolution No. 130/2024/NQ-HĐQT. | 100% |
| 29 | 29/2025/NQ-HĐQT | 17/3/2025 | Submission No. 149/2025/TT-TGĐ dated 13/03/2025 from the CEO on the issuance of the Regulation on Debt Trading Operations. | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 30 | 30/2025/NQ-HĐQT | 18/3/2025 | Submission No. 148/2025/TT-TGĐ dated 13/03/2025 from the CEO on the issuance of the Organizational and Operational Regulations of the Debt Trading Council. | 100% |
| 31 | 31/2025/NQ-HĐQT | 18/3/2025 | Submission No. 153/2025/TT-TGĐ dated 13/03/2025 from the CEO on the establishment of the Debt Trading Council. | 100% |
| 32 | 32/2025/NQ-HĐQT | 18/3/2025 | Submission No. 152/2025/TT-TGĐ dated 13/03/2025 from the CEO on the preparation and distribution of the Interest Rate Board, Legal Information Board, and Business Center trading hours. | 100% |
| 33 | 33/2025/NQ-HĐQT | 19/3/2025 | Submission No. 161/2025/TT-TGĐ dated 17/03/2025 from the CEO on the addition of business lines to Vietbank's business registration. | 100% |
| 34 | 34/2025/NQ-HĐQT | 24/3/2025 | Restructuring of operations of Vietbank AMC – One Member Limited Liability Company for Debt Management and Asset Recovery of Vietnam Thuong Tin Commercial Joint Stock Bank. | 100% |
| 35 | 35/2025/NQ-HĐQT | 24/3/2025 | Increase in Vietbank's capital contribution to Vietbank AMC. | 100% |
| 36 | 36/2025/NQ-HĐQT | 24/3/2025 | Submission No. 167/2025/TT-TGĐ dated 18/03/2025 from the CEO on supplementing the operating budget for the Debt Resolution Center to implement Vietbank's debt trading activities. | 100% |
| 37 | 37/2025/NQ-HĐQT | 25/3/2025 | Submission No. 176/2025/TT-TGĐ dated 20/03/2025 from the CEO on the proposal to supplement sanction policies in debt resolution activities. | 100% |
| 38 | 38/2025/NQ-HĐQT | 26/3/2025 | Submission No. 175/2025/TT-TGĐ dated 20/03/2025 from the CEO on the proposal | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| | | | to amend and supplement bonus policies to promote and improve debt resolution activities. | |
| 39 | 39/2025/NQ-HĐQT | 26/3/2025 | Submission No. 178/2025/TT-TGĐ dated 21/03/2025 from the CEO on the issuance of a replacement Regulation on Delegation of Authority in debt resolution operations. | 100% |
| 40 | 40/2025/NQ-HĐQT | 27/3/2025 | Submission No. 184/2025/TT-TGĐ dated 25/03/2025 from the CEO on the issuance of the Operating Expense Management Regulation of Vietbank. | 100% |
| 41 | 41/2025/NQ-HĐQT | 27/3/2025 | Submission No. 63/2025/TT-VP HĐQT dated 25/03/2025 from the Office of the Board of Directors on the approval of documents for organizing the 2025 Annual General Meeting of Shareholders. | 100% |
| 42 | 42/2025/NQ-HĐQT | 31/3/2025 | Submission No. 190/2025/TT-TGĐ dated 27/03/2025 from the CEO on the issuance of the Guarantee Regulation. | 100% |
| 43 | 43/2025/NQ-HĐQT | 31/3/2025 | Submission No. 68/2025/TT-VP HĐQT dated 28/03/2025 from the Office of the Board of Directors on the approval of reports and materials submitted to the 2025 General Meeting of Shareholders. | 100% |
| 44 | 44/2025/NQ-HĐQT | 1/4/2024 | Submission No. 07/2025/TT-UBNS dated 31/03/2025 from the Standing Human Resources Committee on the proposal to reorganize and divide business regions at Vietbank. | 100% |
| 45 | 45/2025/NQ-HĐQT | 2/4/2025 | Submission No. 196/2025/TT-TGĐ dated 28/03/2025 from the CEO on the approval of the Plan and Regulations for issuing Vietbank's 2025 Certificates of Deposit (CDs) for retail and corporate customers. | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 46 | 46/2025/NQ-HĐQT | 4/4/2025 | Submission No. 203/2025/TT-TGĐ dated 02/04/2025 from the CEO on the approval of the investment budget for the transformation project related to the initiation and management of corporate credit proposals. | 100% |
| 47 | 47/2025/NQ-HĐQT | 10/4/2025 | Submission No. 210/2025/TT-TGĐ dated 08/04/2025 from the CEO on the disclosure of the capital adequacy ratio as of 31/12/2024. | 100% |
| 48 | 48/2025/NQ-HĐQT | 14/4/2025 | Submission No. 218/2025/TT-TGĐ dated 11/04/2025 from the CEO on Vietbank's 2025 Certificate of Deposit (CD) Issuance – Issuance Plan. | 100% |
| 49 | 49/2025/NQ-HĐQT | 14/4/2025 | Submission No. 218/2025/TT-TGĐ dated 11/04/2025 from the CEO on Vietbank's 2025 Certificate of Deposit (CD) Issuance – Internal Authority. | 100% |
| 50 | 50/2025/NQ-HĐQT | 17/4/2025 | Submission No. 225/2025/TT-TGĐ dated 16/04/2025 from the CEO on the disclosure of Vietbank's 2024 Annual Report. | 100% |
| 51 | 51/2025/NQ-HĐQT | 18/4/2025 | Submission No. 228/2025/TT-TGĐ dated 16/04/2025 from the CEO on members of the Debt Trading Council. | 100% |
| 52 | 52/2025/NQ-HĐQT | 18/4/2025 | Submission No. 85/2025/TT-VP HĐQT dated 14/04/2025 on updates to materials and reports submitted to the 2025 Annual General Meeting of Shareholders. | 100% |
| 53 | 53/2025/NQ-HĐQT | 21/4/2025 | Submission No. 208/2025/TT-TGĐ dated 08/04/2025 from the CEO on the implementation results and proposal regarding the centralized treasury transaction model project. | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 54 | 54/2025/NQ-HĐQT | 23/4/2025 | Submission No. 01/2025/TT-UBQLRR dated 22/04/2025 from the Risk Management Committee on the addition of members to the Risk Management Committee. | 100% |
| 55 | 55/2025/NQ-HĐQT | 23/4/2025 | Submission No. 239/2025/TT-TGĐ dated 21/04/2025 from the CEO on the establishment of the Credit Institution Rating Management Board at Vietbank. | 100% |
| 56 | 56/2025/NQ-HĐQT | 24/4/2025 | Submission No. 240/2025/TT-TGĐ dated 21/04/2025 from the CEO on the approval of the plan for early repurchase of privately placed bonds issued by Vietbank – First issuance in 2023 (base issuance code VBBL2330001). | 100% |
| 57 | 57/2025/NQ-HĐQT | 25/4/2025 | Q1/2025 BOD Meeting (CEO's report on Q1 business performance). | 100% |
| 58 | 58/2025/NQ-HĐQT | 25/4/2025 | Q1/2025 BOD Meeting (Reports from the Office of the Board of Directors). | 100% |
| 59 | 59/2025/NQ-HĐQT | 28/4/2025 | Submission No. 238/2025/TT-TGĐ dated 21/04/2025 from the CEO on amendments and supplements to the organizational and operational regulations of Vietbank's executive apparatus. | 100% |
| 60 | 60/2025/NQ-HĐQT | 28/4/2025 | Submission No. 251/2025/TT-TGĐ dated 24/04/2025 from the CEO on the issuance of the Corporate Bond Business Regulation. | 100% |
| 61 | 61/2025/NQ-HĐQT | 5/5/2025 | Submission No. 261/2025/TT-TGĐ dated 29/04/2025 from the CEO on supplementing the budget for the Debt Resolution Center to support debt trading activities at Vietbank. | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 62 | 62/2025/NQ-HĐQT | 5/5/2025 | Submission No. 262/2025/TT-TGĐ dated 29/04/2025 from the CEO on expanding customer segments under Business Center Model 3 and issuing the Organizational and Operational Regulation of the Business Center. | 100% |
| 63 | 63/2025/NQ-HĐQT | 8/5/2025 | Submission No. 269/2025/TT-TGĐ dated 06/05/2025 from the CEO on issuing the Regulation on Discounting Transferable Instruments and Other Valuable Papers. | 100% |
| 64 | 64/2025/NQ-HĐQT | 8/5/2025 | Submission No. 08/2025/TT-TT UBNS dated 06/05/2025 from the Standing Human Resources Committee on the proposal to issue the Executive Regulation. | 100% |
| 65 | 65/2025/NQ-HĐQT | 9/5/2025 | Submission No. 100/2025/TT-VP HĐQT dated 06/05/2025 from the Office of the Board of Directors on the implementation of the 2025 Charter Capital Increase Plan in accordance with Resolution No. 01/2025/NQ-ĐHĐCĐ. | 100% |
| 66 | 66/2025/NQ-HĐQT | 9/5/2025 | Submission No. 100/2025/TT-VP HĐQT dated 06/05/2025 from the Office of the Board of Directors on the implementation of the 2025 Charter Capital Increase Plan in accordance with Resolution No. 01/2025/NQ-ĐHĐCĐ. | 100% |
| 67 | 67/2025/NQ-HĐQT | 9/5/2025 | Submission No. 09/2025/TT-TT UBNS dated 09/05/2025 from the Standing Human Resources Committee on the establishment of the Bank Restructuring Project Team. | 100% |
| 68 | 68/2025/NQ-HĐQT | 12/5/2025 | On the budget for the Senior Bank Management Training Program as per Submission No. 270/2025/TT-TTĐT dated 24/04/2025 from the Training Center (approved by the CEO). | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 69 | 69/2025/NQ-HĐQT | 14/5/2025 | Submission No. 07/2025/TT-UB CL&CĐ dated 08/05/2025 from the Strategy and Transformation Committee on standardizing project management procedures and establishing a supervision mechanism for the Transformation Program at Vietbank. | 100% |
| 70 | 70/2025/NQ-HĐQT | 19/5/2025 | Submission No. 10/2025/TT-TT UBNS dated 15/05/2025 from the Standing Human Resources Committee on appointment of Executive Board members at Vietbank. | 100% |
| 71 | 71/2025/NQ-HĐQT | 19/5/2025 | Submission No. 01/2025/TT-HĐ XLRR dated 15/05/2025 from the Risk Resolution Council on adjustment of personnel titles within the Council and the establishment of the Debt Management Subcommittee under the Risk Resolution Council. | 100% |
| 72 | 72/2025/NQ-HĐQT | 20/5/2025 | Submission No. 281/2025/TT-TGĐ dated 19/05/2025 from the CEO on the implementation plan for independent credit rating assessment in 2025 and approval for budget allocation for such rating assessment. | 100% |
| 73 | 73/2025/NQ-HĐQT | 22/5/2025 | Submission No. 283/2025/TT-TGĐ dated 19/05/2025 from the CEO on the approval of the plan for early repurchase of privately placed bonds issued by Vietbank in 2023 – base issuance code VBBL2330001. | 100% |
| 74 | 74/2025/NQ-HĐQT | 22/5/2025 | Submission No. 284/2025/TT-TGĐ dated 19/05/2025 on the approval of the plan for early repurchase of public bonds issued by Vietbank in the second offering of 2022 – base issuance code VBB123034. | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 75 | 75/2025/NQ-HĐQT | 23/5/2025 | Submission No. 285/2025/TT-TGĐ dated 19/05/2025 from the CEO on the approval of the issuance plan, use plan, and repayment plan for proceeds from the 2025 private placement bond issuance (plan for issuance, use, and repayment of proceeds). | 100% |
| 76 | 76/2025/NQ-HĐQT | 23/5/2025 | Submission No. 285/2025/TT-TGĐ dated 19/05/2025 from the CEO on the approval of the issuance plan, use plan, and repayment plan for proceeds from the 2025 private placement bond issuance (other related contents). | 100% |
| 77 | 77/2025/NQ-HĐQT | 23/5/2025 | Submission No. 292/2025/TT-TGĐ dated 19/05/2025 on the issuance of the Regulation on Syndicated Lending. | 100% |
| 78 | 78/2025/NQ-HĐQT | 26/5/2025 | Submission No. 11/2025/TT-UBNS dated 23/05/2025 from the Human Resources Committee on cessation of the concurrent Chief Accountant title for Ms. Pham Thi My Chi – Deputy CEO. | 100% |
| 79 | 79/2025/NQ-HĐQT | 26/5/2025 | Submission No. 12/2025/TT-UBNS dated 23/05/2025 from the Human Resources Committee on recruitment and appointment of Ms. Nguyen Thi Thuy Minh. | 100% |
| 80 | 80/2025/NQ-HĐQT | 26/5/2025 | Submission No. 13/2025/TT-UBNS dated 23/05/2025 from the Human Resources Committee on appointment of the Deputy Chief Executive Officer of Vietbank. | 100% |
| 81 | 81/2025/NQ-HĐQT | 29/5/2025 | Submission No. 08/2025/TT-UBCL&CĐ dated 28/05/2025 from the Strategy and Transformation Committee on management personnel (Head of Office) – Transformation Management Office. | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 82 | 82/2025/NQ-HĐQT | 5/6/2025 | Submission No. 124/2025/TT-VP.HĐQT dated 03/06/2025 from the Office of the Board of Directors on approval of the principles for handling odd-lot shares and the reporting dossier to the State Securities Commission regarding share issuance to increase charter capital from owner's equity. | 100% |
| 83 | 83/2025/NQ-HĐQT | 9/6/2025 | Submission No. 14/2025/TT-UBNS dated 05/06/2025 from the Human Resources Committee on the resolution of the resignation request of Ms. Pham Thi My Chi – Deputy Chief Executive Officer of Vietbank. | 100% |
| 84 | 84/2025/NQ-HĐQT | 11/6/2025 | Submission No. 317/2025/TT-TGĐ dated 06/06/2025 from the CEO on the selection of an independent audit firm to audit the use of proceeds from the public offering of shares to increase charter capital in 2023–2024. | 100% |
| 85 | 85/2025/NQ-HĐQT | 16/6/2025 | Submission No. 133/2025/TT-VP.HĐQT dated 12/06/2025 from the Office of the Board of Directors on approval of title adjustments and personnel changes in several BOD Councils and Committees. | 100% |
| 86 | 86/2025/NQ-HĐQT | 16/6/2025 | Submission No. 325/2025/TT-TGĐ dated 11/06/2025 from the CEO on the principles of authority delegation for departments and positions under the Financial Markets Division. | 100% |
| 87 | 87/2025/NQ-HĐQT | 17/6/2025 | Submission No. 267/2025/TT-TGĐ dated 05/05/2025 from the CEO on amendments and supplements to the Regulation on Collateral Acceptance. | 100% |
| 88 | 88/2025/NQ-HĐQT | 17/6/2025 | Final registration date for the list of shareholders entitled to receive shares issued from owner's equity (Submission | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| | | | No. 138/2025/TT-VP.HĐQT). | |
| 89 | 89/2025/NQ-HĐQT | 17/6/2025 | Follow-up procedures to implement the charter capital increase pursuant to Document No. 2481/UBCK-QLCB dated 16/06/2025 from the State Securities Commission regarding the share issuance reporting dossier of VBB (Submission No. 138/2025/TT-VP.HĐQT). | 100% |
| 90 | 90/2025/NQ-HĐQT | 19/6/2025 | Submission No. 15/2025/TT-UBNS dated 17/06/2025 from the Standing Human Resources Committee on the proposal to amend the Regulation on authority in personnel management. | 100% |
| 91 | 91/2025/NQ-HĐQT | 20/6/2025 | Submission No. 16/2025/TT-UBNS dated 19/06/2025 from the Standing Human Resources Committee on the proposal to issue the Code of Professional Ethics and Conduct for Vietbank staff. | 100% |
| 92 | 92/2025/NQ-HĐQT | 26/6/2025 | Change of name and location of Vietbank Nguyen Khanh Toan branch. | 100% |
| 93 | 93/2025/NQ-HĐQT | 26/6/2025 | Change of name and location of Vietbank Vinh Phuoc branch. | |
| 94 | 94/2025/NQ-HĐQT | 26/6/2025 | Change of name and location of Vietbank Binh Dang branch. | |
| 95 | 95/2025/NQ-HĐQT | 26/6/2025 | Submission No. 347/2025/TT-TGĐ dated 24/06/2025 from the CEO on the issuance of the proposed Remedial Action Plan in case of early intervention at Vietbank. | |
| 96 | 96/2025/NQ-HĐQT | 30/6/2025 | Submission No. 349/2025/TT-TGĐ dated 25/06/2025 from the CEO on amendments and supplements to credit approval authority (including the Regulation on Credit Approval Authority | |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| | | | and the Delegation Regulation for Credit Approval). | |
| 97 | 97/2025/NQ-HĐQT | 30/6/2025 | Submission No. 352/2025/TT-TGĐ dated 27/06/2025 from the CEO on the proposal to amend and supplement sanction policies in debt resolution activities. | |
| 98 | 98/2025/NQ-HĐQT | 30/6/2025 | Submission No. 351/2025/TT-TGĐ dated 27/06/2025 from the CEO on the proposal to approve the policy on restructuring the organizational structure, functions, and responsibilities of departments under the Financial Markets Division. | |
| 99 | 99/2025/NQ-HĐQT | 30/6/2025 | Submission No. 350/2025/TT-TGĐ dated 26/06/2025 from the CEO on the budget for developing the loyalty program for Vietbank retail customers. | |

VIETNAM THUONG TIN COMMERCIAL JOINT STOCK BANK

DM2

# LIST OF BOARD OF DIRECTORS' DECISIONS – FIRST 6 MONTHS OF 2025

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 1 | 01/2025/QĐ-HĐQT | 02/01/2025 | Adjustment of title for Mr. Nguyen Nhat Tuan – Assistant to the CEO cum Regional Director of Hanoi. | 100% |
| 2 | 02/2025/QĐ-HĐQT | 02/01/2025 | Adjustment of title for Mr. Tran Van Nam – Regional Director of Nghe An – Thanh Hoa. | 100% |
| 3 | 03/2025/QĐ-HĐQT | 02/01/2025 | Delegation of credit approval authority to Senior Credit Approval Expert Group B – Mr. Nguyen Huynh Quang Huy. | 100% |
| 4 | 03A/2025/QĐ-HĐQT | 02/01/2025 | Adjustment of title for Ms. Le Thi Minh Ngoc – Head of Personal Banking Division to Head of Retail Banking Division. | 100% |
| 5 | 03B/2025/QĐ-HĐQT | 02/01/2025 | Adjustment of title for Mr. Doan Ha Tuyen – Head of Credit Division to Head of Credit Appraisal and Approval Division. | 100% |
| 6 | 04/2025/QĐ-HĐQT | 03/01/2025 | Issuance of the Regulation on the organization and operation of the Debt Restructuring Council. | 100% |
| 7 | 05/2025/QĐ-HĐQT | 03/01/2025 | Establishment of the Debt Restructuring Council. | 100% |
| 8 | 06/2025/QĐ-HĐQT | 15/01/2025 | Recruitment and appointment of Mr. Pham Linh to the position of Deputy CEO. | 100% |
| 9 | 07/2025/QĐ-HĐQT | 21/01/2025 | Reassignment and appointment of Mr. Nong Thuy Hoang Phuong. | 100% |
| 10 | 08/2025/QĐ-HĐQT | 21/01/2025 | Recruitment and appointment of Mr. Le Quy Don. | 100% |
| 11 | 09/2025/QĐ-HĐQT | 22/01/2025 | Issuance of Limits and Ratios related to | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| | | | Liquidity Risk. | |
| 12 | 10/2025/QĐ-HĐQT | 22/01/2025 | Reappointment of Mr. Hoang Thanh Lam – Regional Director of the Western Region. | 100% |
| 13 | 11/2025/QĐ-HĐQT | 22/01/2025 | Reappointment of Mr. Nguyen Nhat Tuan – Assistant to the CEO cum Regional Director of the Northern Region. | 100% |
| 14 | 12/2025/QĐ-HĐQT | 22/01/2025 | Reappointment of Mr. Tran Thai Nam – Regional Director of Quang Ninh – Hai Phong. | 100% |
| 15 | 13/2025/QĐ-HĐQT | 22/01/2025 | Reappointment of Mr. Pham Duc Nhan – Regional Director of South Central – Central Highlands. | 100% |
| 16 | 14/2025/QĐ-HĐQT | 22/01/2025 | Reappointment of Mr. Nguyen Thanh Chung – Deputy Head of Finance Division cum Director of Asset and Liability Management (ALM) and Finance Department. | 100% |
| 17 | 15/2025/QĐ-HĐQT | 23/01/2025 | Reappointment of Mr. Pham Danh as Deputy CEO of Vietbank. | 100% |
| 18 | 16/2025/QĐ-HĐQT | 23/01/2025 | Termination of the Smart Card project connected to the Public Transportation System and annulment of relevant documents. | 100% |
| 19 | 17/2025/QĐ-HĐQT | 23/01/2025 | Reappointment of Mr. Do Tan Vu – Director of Quang Ngai Branch. | 100% |
| 20 | 18/2025/QĐ-HĐQT | 23/01/2025 | Reappointment of Mr. Nguyen Hong Hai – Director of Ba Ria – Vung Tau Branch. | 100% |
| 21 | 19/2025/QĐ-HĐQT | 23/01/2025 | Reappointment of Mr. Nguyen Minh Ha – Director of An Giang Branch. | 100% |
| 22 | 20/2025/QĐ-HĐQT | 23/01/2025 | Reappointment of Mr. Nguyen Anh Dao – Director of Dak Lak Branch. | 100% |
| 23 | 21/2025/QĐ-HĐQT | 23/01/2025 | Extension of reappointment for Mr. Nguyen Xuan Quang – Deputy Director in charge of | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| | | | Quang Nam Branch. | |
| 24 | 22/2025/QĐ-HĐQT | 23/01/2025 | Reappointment of Mr. Vu Dinh Trung – Deputy Director of Bac Sai Gon Branch. | 100% |
| 25 | 23/2025/QĐ-HĐQT | 23/01/2025 | Reappointment of Mr. Nguyen Van Phu – Director of Binh Dinh Branch. | 100% |
| 26 | 24/2025/QĐ-HĐQT | 23/01/2025 | Reappointment of Mr. Nguyen Cong Minh – Director of Vinh Phuc Branch. | 100% |
| 27 | 25/2025/QĐ-HĐQT | 24/01/2025 | Change in personnel of the Anti-Corruption, Anti-Negativity, and Crime Prevention Steering Committee. | 100% |
| 28 | 26/2025/QĐ-HĐQT | 24/01/2025 | Adjustment of the names of business units in several internal documents issued by the Board of Directors. | 100% |
| 29 | 26A/2025/QĐ-HĐQT | 24/01/2025 | Extension of the appointment term for Ms. Nguyen Thi Phuong Thao – Deputy Director of Risk Management Division cum Director of Debt Management Center. | 100% |
| 30 | 27/2025/QĐ-HĐQT | 10/02/2025 | Recruitment and appointment of Ms. Truong Ngoc Bich Van – Deputy Head of the Strategic Project Management Office at Vietbank. | 100% |
| 31 | 28/2025/QĐ-HĐQT | 21/2/2025 | Establishment of the Information Technology Crisis Response Subcommittee. | 100% |
| 32 | 29/2025/QĐ-HĐQT | 25/02/2025 | Salary adjustment for Ms. Tran Tuan Anh – CEO of Vietbank. | 100% |
| 33 | 30/2025/QĐ-HĐQT | 25/02/2025 | Appointment of Mr. Vu Duc Dung – Director of Quang Ninh Branch. | 100% |
| 34 | 31/2025/QĐ-HĐQT | 28/02/2025 | Appointment of Ms. Ngo Tran Doan Trinh as Expert of the Office of the Board of Directors. | 100% |
| 35 | 32/2025/QĐ-HĐQT | 28/02/2025 | Amendments and supplements to the Risk Management Policy. | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 36 | 33/2025/QĐ-HĐQT | 28/02/2025 | Amendments and supplements to the Internal Capital Adequacy Assessment Process (ICAAP) Regulations. | 100% |
| 37 | 34/2025/QĐ-HĐQT | 28/02/2025 | Adjustment of concurrent title as Director of Ho Chi Minh Area 2 for Mr. Pham Danh. | 100% |
| 38 | 35/2025/QĐ-HĐQT | 28/02/2025 | Resignation of Mr. Nguyen Hoang Anh Vu from the positions of Assistant to the CEO cum Head of Corporate Banking Division. | 100% |
| 39 | 36/2025/QĐ-HĐQT | 28/02/2025 | Recruitment and appointment of Mr. Tran Dai – Director of Quang Nam Branch. | 100% |
| 40 | 37/2025/QĐ-HĐQT | 03/3/2025 | Termination of position as Deputy Director in charge of Quang Nam Branch for Mr. Nguyen Xuan Quang. | 100% |
| 41 | 38/2025/QĐ-HĐQT | 04/3/2025 | Establishment of the Credit Council. | 100% |
| 42 | 39/2025/QĐ-HĐQT | 05/3/2025 | Salary adjustment for Ms. Ngo Tran Doan Trinh – Expert at the Office of the Board of Directors. | 100% |
| 43 | 40/2025/QĐ-HĐQT | 06/3/2025 | Issuance of Vietbank's Risk Appetite. | 100% |
| 44 | 41/2025/QĐ-HĐQT | 10/3/2025 | Reassignment and appointment of Ms. Nguyen Thi Phuong Thao – Director of the Debt Resolution Center. | 100% |
| 45 | 42/2025/QĐ-HĐQT | 17/3/2025 | Issuance of the Regulation on Debt Trading Operations of Vietbank. | 100% |
| 46 | 43/2025/QĐ-HĐQT | 18/3/2025 | Recruitment and appointment of Ms. Tran Thi Ngoc Ly – Head of Human Resources Division. | 100% |
| 47 | 44/2025/QĐ-HĐQT | 18/3/2025 | Issuance of the Regulation on the Organization and Operation of the Debt Trading Council. | 100% |
| 48 | 45/2025/QĐ-HĐQT | 18/3/2025 | Members of the Debt Trading Council of Vietbank. | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 49 | 46/2025/QĐ-HĐQT | 21/3/2025 | Reassignment of Mr. Nguyen Van Vuong – Senior Specialist at the Information Security and Policy Department under the IT Division. | 100% |
| 50 | 47/2025/QĐ-HĐQT | 21/3/2025 | Reassignment of Mr. Vo Duy Hieu – IT Specialist to the position of Senior Specialist at the Information Security and Policy Department under the IT Division. | 100% |
| 51 | 48/2025/QĐ-HĐQT | 24/3/2025 | Termination of the position of Mr. Nguyen Thanh Chung – Deputy Director in charge of the Finance Division. | 100% |
| 52 | 49/2025/QĐ-HĐQT | 24/3/2026 | Termination of the position of Senior Specialist at PMO Office for Mr. Nguyen Tho Nam. | 100% |
| 53 | 50/2025/QĐ-HĐQT | 24/3/2027 | Appointment of Mr. Nguyen Tho Nam as Deputy Director in charge of Finance Division. | 100% |
| 54 | 51/2025/QĐ-HĐQT | 25/3/2025 | Reappointment of Mr. Do Phuoc Hieu – Director of Kien Giang Branch. | 100% |
| 55 | 52/2025/QĐ-HĐQT | 27/3/2025 | Issuance of the Regulation on Delegation of Approval Authority in Debt Resolution Operations at Vietbank. | 100% |
| 56 | 53/2025/QĐ-HĐQT | 27/3/2025 | Issuance of the Regulation on Operating Expenses. | 100% |
| 57 | 54/2025/QĐ-HĐQT | 31/3/2025 | Issuance of the Regulation on Guarantees. | 100% |
| 58 | 55/2025/QĐ-HĐQT | 2/4/2025 | Amendment and supplementation of the business region allocation at Vietbank under Decision No. 231/2024/QD-HĐQT of the Board of Directors. | 100% |
| 59 | 56/2025/QĐ-HĐQT | 02/4/2025 | Appointment of Mr. Nguyen Nhat Tuan – Northern Regional Director as Director of Region 1. | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 60 | 57/2025/QĐ-HĐQT | 02/4/2025 | Appointment of Mr. Tran Thai Nam as Deputy Director of Region 1 cum Director of Hai Phong Branch. | 100% |
| 61 | 58/2025/QĐ-HĐQT | 02/4/2025 | Appointment of Mr. Tran Van Nam – Director of North Central Region as Director of Region 2. | 100% |
| 62 | 59/2025/QĐ-HĐQT | 02/4/2025 | Termination of the position of Director of Da Nang Branch for Ms. Le Thi Kim Lien. | 100% |
| 63 | 60/2025/QĐ-HĐQT | 02/4/2025 | Appointment of Ms. Le Thi Kim Lien as Director of Region 3. | 100% |
| 64 | 61/2025/QĐ-HĐQT | 02/4/2025 | Appointment of Mr. Dinh Trong Dung as Deputy Director in charge of Da Nang Branch. | 100% |
| 65 | 62/2025/QĐ-HĐQT | 02/4/2025 | Appointment of Mr. Pham Danh as Deputy CEO cum Director of Region 4 cum Director of Sai Gon Branch. | 100% |
| 66 | 63/2025/QĐ-HĐQT | 02/4/2025 | Appointment of Mr. Nguyen Hoang Anh Vu as Director of Region 5. | 100% |
| 67 | 64/2025/QĐ-HĐQT | 02/4/2025 | Appointment of Mr. Hoang Thanh Lam as Director of Region 6 cum Director of Soc Trang Branch. | 100% |
| 68 | 65/2025/QĐ-HĐQT | 02/4/2025 | Termination of the position of Director of South Central – Central Highlands Region for Mr. Pham Duc Nhan. | 100% |
| 69 | 66/2025/QĐ-HĐQT | 18/4/2025 | Members of the Vietbank Debt Trading Council. | 100% |
| 70 | 67/2025/QĐ-HĐQT | 22/4/2025 | Investment Committee personnel. | 100% |
| 71 | 68/2025/QĐ-HĐQT | 22/4/2025 | Reappointment of Mr. Nguyen Tien Nghia – Director of Dong Thap Branch. | 100% |
| 72 | 69/2025/QĐ-HĐQT | 23/4/2025 | Personnel of the Risk Management Committee. | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 73 | 70/2025/QĐ-HĐQT | 23/4/2025 | Establishment of Vietbank's Credit Institution Rating Management Board. | 100% |
| 74 | 71/2025/QĐ-HĐQT | 28/4/2025 | Delegation of credit approval authority to Senior Credit Approval Expert Group B. | 100% |
| 75 | 72/2025/QĐ-HĐQT | 28/4/2025 | Issuance of the Regulation on Corporate Bond Business. | 100% |
| 76 | 73/2025/QĐ-HĐQT | 29/4/2025 | Members of the Debt Restructuring Council. | 100% |
| 77 | 74/2025/QĐ-HĐQT | 29/4/2025 | Reappointment of Ms. Pham Thi Hong Phuc – Director of Operations Division. | 100% |
| 78 | 75/2025/QĐ-HĐQT | 05/5/2025 | Reappointment of Ms. Le Thi Minh Ngoc – Director of Retail Banking Division. | 100% |
| 79 | 76/2025/QĐ-HĐQT | 05/5/2025 | Establishment of the Asset Liquidation Council for cars at Vietbank. | 100% |
| 80 | 77/2025/QĐ-HĐQT | 09/5/2025 | Issuance of the Regulation on Executive Roles at Vietbank. | 100% |
| 81 | 78/2025/QĐ-HĐQT | 09/5/2025 | Issuance of the Regulation on Discounting Transferable Instruments and Other Valuable Papers. | 100% |
| 82 | 79/2025/QĐ-HĐQT | 09/5/2025 | Establishment of the Bank Restructuring Project Committee. | 100% |
| 83 | 80/2025/QĐ-HĐQT | 12/5/2025 | Issuance of the Regulation on Organization and Operation of the Business Center. | 100% |
| 84 | 81/2025/QĐ-HĐQT | 15/5/2025 | Establishment of the Transformation Management Office under the Strategy and Transformation Committee. | 100% |
| 85 | 82/2025/QĐ-HĐQT | 15/5/2025 | Adjustment of title for Ms. Truong Ngoc Bich Van – Deputy Head of the Transformation Management Office. | 100% |
| 86 | 83/2025/QĐ-HĐQT | 15/5/2025 | List of personnel participating in the Project to support the Transformation Management Office and Project Management at Vietbank. | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| 87 | 84/2025/QĐ-HĐQT | 15/5/2025 | Reassignment of personnel from the Strategic Project Management Office to the Transformation Management Office. | 100% |
| 88 | 85/2025/QĐ-HĐQT | 16/5/2025 | Reassignment of personnel from the Administration Department – Banking Services Center – Business Support Division to the Office of the Board of Directors. | 100% |
| 89 | 86/2025/QĐ-HĐQT | 19/5/2025 | Members of the Executive Board at Vietbank. | 100% |
| 90 | 87/2025/QĐ-HĐQT | 19/5/2025 | Personnel of the Risk Handling Council. | 100% |
| 91 | 88/2025/QĐ-HĐQT | 19/5/2025 | Personnel of the Debt Governance Board under the Risk Handling Council. | 100% |
| 92 | 89/2025/QĐ-HĐQT | 23/5/2025 | Issuance of the Regulation on Syndicated Credit. | 100% |
| 93 | 90/2025/QĐ-HĐQT | 29/5/2025 | KPMG personnel ceasing participation in the project supporting the Transformation Management Office and Project Management at Vietbank. | 100% |
| 94 | 91/2025/QĐ-HĐQT | 29/5/2025 | List of personnel participating in the Project to support the Transformation Management Office and Project Management at Vietbank. | 100% |
| 95 | 92/2025/QĐ-HĐQT | 3/6/2025 | Termination of the assignment of Mr. Le Tran Hong Phuc as Deputy Head in charge of the Financial Markets Division; assignment of new Deputy Head of Financial Markets Division. | 100% |
| 96 | 93/2025/QĐ-HĐQT | 3/6/2025 | Recruitment and appointment of Mr. Nguyen Thanh Phuc – Head of Financial Markets Division. | 100% |
| 97 | 94/2025/QĐ-HĐQT | 3/6/2025 | Establishment of the Asset Liquidation Council. | 100% |
| 98 | 95/2025/QĐ-HĐQT | 9/6/2025 | Regulation on the Organization and | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| | | | Operation of the Office of the Board of Directors. | |
| 99 | 96/2025/QĐ-HĐQT | 10/6/2025 | Appointment of Mr. Le Thanh Quy Ngoc – Deputy CEO of Vietbank. | 100% |
| 100 | 97/2025/QĐ-HĐQT | 16/6/2025 | Personnel of the Risk Management Committee. | 100% |
| 101 | 98/2025/QĐ-HĐQT | 16/6/2025 | Personnel of the Human Resources Committee. | 100% |
| 102 | 99/2025/QĐ-HĐQT | 16/6/2025 | Personnel of the Investment Committee. | 100% |
| 103 | 100/2025/QĐ-HĐQT | 16/6/2025 | Personnel of the Risk Handling Council. | 100% |
| 104 | 101/2025/QĐ-HĐQT | 16/6/2025 | Personnel of the Debt Trading Council. | 100% |
| 105 | 102/2025/QĐ-HĐQT | 16/6/2025 | Personnel of the Debt Restructuring Council. | 100% |
| 106 | 103/2025/QĐ-HĐQT | 16/6/2025 | Establishment of the Debt Governance Board under the Risk Handling Council. | 100% |
| 107 | 104/2025/QĐ-HĐQT | 18/6/2025 | Amendment and supplementation of the Regulation on Collateral Acceptance issued under Decision No. 57/2023/QD-HDQT dated May 25, 2023. | 100% |
| 108 | 105/2025/QĐ-HĐQT | 19/6/2025 | Issuance of the Regulation on Delegation of Authority in Human Resource Management. | 100% |
| 109 | 106/2025/QĐ-HĐQT | 20/6/2025 | Issuance of the Code of Professional Ethics and Code of Conduct for Vietbank employees. | 100% |
| 110 | 107/2025/QĐ-HĐQT | 25/6/2025 | Amendment and supplementation of the Regulation on the organization and operation of Vietbank's executive apparatus. | 100% |
| 111 | 108/2025/QĐ-HĐQT | 26/6/2025 | Issuance of the proposed contingency plan in case of early intervention at Vietbank. | 100% |
| 112 | 109/2025/QĐ-HĐQT | 27/6/2025 | Termination of the concurrent assignment of Ms. Pham Thi My Chi as Chief Accountant of | 100% |

| NO. | RESOLUTION NO. | DATE OF ISSUANCE | CONTENT | APPROVAL RATE |
|---|---|---|---|---|
| | | | Vietbank. | |
| 113 | 110/2025/QĐ-HĐQT | 27/6/2025 | Appointment of Ms. Nguyen Thi Thuy Minh as Chief Accountant cum Head of Accounting Department – Finance Division. | 100% |
| 114 | 111/2025/QĐ-HĐQT | 30/6/2025 | Dismissal of Ms. Pham Thi My Chi from the position of Deputy CEO of Vietbank. | 100% |

VIETNAM THUONG TIN COMMERCIAL JOINT STOCK BANK

DM3

# LIST OF RELATED PARTIES OF VIETNAM THUONG TIN COMMERCIAL JOINT STOCK BANK (VIETBANK)
## AS OF JUNE 30, 2025

| No. | Name of Organization/Individual | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Start Date of Related Party Status | End Date of Related Party Status | Reason | Nature of Relationship with the Company |
|---|---|---|---|---|---|---|---|---|---|
| 1 | Mr. Duong Nhat Nguyen | | Chairman of the Board of Directors | | | 26/4/2021 | | Clause 45, Article 4 of the Securities Law | Corporate insider |
| 2 | Mr. Nguyen Huu Trung | | Vice Chairman of the Board cum Independent Member of the Board | | | 26/04/2021 | | Clause 45, Article 4 of the Securities Law | Corporate insider |
| 3 | Mrs. Quach To Dung | | Member of the Board of Directors | | | 26/4/2021 | | Clause 45, Article 4 of the Securities Law | Corporate insider |

| No. | Name of Organization/Individual | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Start Date of Related Party Status | End Date of Related Party Status | Reason | Nature of Relationship with the Company |
|---|---|---|---|---|---|---|---|---|---|
| 4 | Mrs. Le Thi Xuan Lan | | Member of the Board of Directors | | | 26/4/2021 | | Clause 45, Article 4 of the Securities Law | Corporate insider |
| 5 | Mrs. Luong Thi Huong Giang | | Member of the Board of Directors | | | 26/4/2021 | | Clause 45, Article 4 of the Securities Law | Corporate insider |
| 6 | Mr. Hua Ngoc Nghia | | Head of the Supervisory Board | | | 26/4/2021 | | Clause 45, Article 4 of the Securities Law | Corporate insider |
| 7 | Mr. Mac Huu Danh | | Full-time Member of the Supervisory Board | | | 26/4/2021 | | Clause 45, Article 4 of the Securities Law | Corporate insider |
| 8 | Mrs. Nguyen Do Xuan Dung | | Full-time Member of the Supervisory | | | 26/4/2021 | | Clause 45, Article 4 of the | Corporate insider |

| No. | Name of Organization/Individual | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Start Date of Related Party Status | End Date of Related Party Status | Reason | Nature of Relationship with the Company |
|---|---|---|---|---|---|---|---|---|---|
| | | | Board | | | | | Securities Law | |
| 9 | Mrs. Le Thi Tuyet Chinh | | Corporate Governance Officer cum Chief of the Office of the Board cum Secretary to the Board of Directors | | | 31/5/2021 | | Clause 45, Article 4 of the Securities Law | Corporate insider |
| 10 | Mrs. Tran Tuan Anh | | Chief Executive Officer / Legal Representative | | | 14/8/2023 | | Clause 45, Article 4 of the Securities Law | Corporate insider |
| 11 | Mr. Pham Danh | | Deputy Chief Executive Officer | | | 13/11/2017 | | Clause 45, Article 4 of the Securities Law | Corporate insider |
| 12 | Mr. Nguyen Tien Sy | | Deputy CEO / Authorized | | | 08/03/2021 | | Clause 45, Article 4 of | Corporate insider |

| No. | Name of Organization/Individual | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Start Date of Related Party Status | End Date of Related Party Status | Reason | Nature of Relationship with the Company |
|---|---|---|---|---|---|---|---|---|---|
| | | | Information Disclosure Officer | | | | | the Securities Law | |
| 14 | Mrs. Pham Thi My Chi | | Deputy Chief Executive Officer | | | 07/11/2023 | | Clause 45, Article 4 of the Securities Law | Corporate insider |
| 15 | Mr. Pham Linh | | Deputy Chief Executive Officer | | | 15/1/2025 | | Clause 45, Article 4 of the Securities Law | Corporate insider |
| 16 | Mr. Le Thanh Quy Ngoc | | Deputy Chief Executive Officer | | | 10/6/2025 | | Clause 45, Article 4 of the Securities Law | Corporate insider |
| 17 | Mrs. Nguyen Thi Thuy Minh | 020C134997 | Chief Accountant | | | 28/6/2025 | | Clause 45, Article 4 of the Securities Law | Corporate insider |

| No. | Name of Organization/Individual | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Start Date of Related Party Status | End Date of Related Party Status | Reason | Nature of Relationship with the Company |
|---|---|---|---|---|---|---|---|---|---|
| 18 | Mr. Truong Ngoc Phu | | Head of Internal Audit | | | 1/1/2011 | | Clause 45, Article 4 of the Securities Law | Corporate insider |
| 19 | Mr. Hoang Dung | | Deputy Head of Internal Audit | | | 25/11/2014 | | Clause 45, Article 4 of the Securities Law | Corporate insider |
| 20 | VIETBANK ASSET MANAGEMENT COMPANY | | Subsidiary of Vietbank | | | 06/06/2011 | | Clause 46, Article 4 of the Securities Law | Corporate insider |
| 21 | Mrs. Dang Hong Thi | | Authorized Representative of Vietbank and Chairman of Vietbank AMC | | | 04/05/2021 | | Clause 46, Article 4 of the Securities Law | Related person of Vietbank |
| 22 | Mr. Vo Tien Luat | | Acting CEO of Vietbank AMC | | | 2/5/2024 | 25/3/2025 | Clause 46, Article 4 of | |

| No. | Name of Organization/Individual | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Start Date of Related Party Status | End Date of Related Party Status | Reason | Nature of Relationship with the Company |
|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | the Securities Law | |
| 23 | Mr. Tran Van Hoang | | CEO of Vietbank AMC | | | 25/3/2025 | | Clause 46, Article 4 of the Securities Law | Related person of Vietbank |

*Ghi chú/Note: số Giấy NSH*: số CMND/Hộ chiếu (đối với cá nhân) hoặc Số Giấy chứng nhận đăng ký doanh nghiệp, Giấy phép hoạt động hoặc giấy tờ pháp lý tương đương (đối với tổ chức)/NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organisations)*

VIETNAM THUONG TIN COMMERCIAL JOINT STOCK BANK

DM4

# TRANSACTIONS BETWEEN THE COMPANY AND RELATED PERSONS OF THE COMPANY, OR BETWEEN THE COMPANY AND MAJOR SHAREHOLDERS, INSIDERS, RELATED PERSONS OF INSIDERS

**(As of 30/06/2025)**

| NO. | Target group | Deposit balance (VND) | Card/Loan/Guarantee (VND) | Note |
|---|---|---|---|---|
| 1 | Board of Directors and related persons | 196.400.408.863 | 4.735.672.846 | |
| 2 | Supervisory Board and related persons | 485.597.733 | 499.266.105 | |
| 3 | Executive Board and related persons | 38.965.899.924 | 31.880.745.129 | |
| 4 | Internal Audit Board and related persons | 462.475.938 | 6.026.396.220 | |
| 5 | Person in charge of corporate governance and related persons | 10.393.922.082 | 160.799.374.186 | |
| 6 | Chief Accountant | 59.777.174 | - | |
| 7 | Subsidiary of Vietbank | 196.601.133.377 | - | |
| 8 | Executive Board of Vietbank's subsidiary | 96.771.837.139 | 259.029.991.576 | |

VIETNAM THUONG TIN COMMERCIAL JOINT STOCK BANK

DM5

# TRANSACTIONS BETWEEN THE COMPANY AND RELATED PARTIES

## (as of 30/6/2025)

| No. | Name of Organization/Individual | Relationship with the company | NSH Certificate Number*, date of issuance, place of issuance of NSH | Head Office Address / Contact Address | Transaction Time with the Company | Resolution/Decision Number of the GMS/BOD... (if any, specify date of issuance) | Content, quantity, total transaction value |
|---|---|---|---|---|---|---|---|
| 1 | VIETBANK ASSET MANAGEMENT COMPANY (VIETBANK – AMC) | Company wholly owned by Vietbank (subsidiary of Vietbank) | | | 01/2025 | Resolution No. 27/2025/NQ-HĐQT dated March 17, 2025. | Agreement on the application of debt recovery remuneration for the debts authorized or entrusted to Vietbank AMC, as follows: |

| Indicator | Remuneration rate applied |
|---|---|
| Principal recovery | 10% |
| Interest recovery (on-time) | 10% |
| Overdue interest recovery | 25% |
| Provision reversal income | 25% |
| Other income | 50% |

| No. | Name of Organization/Individual | Relationship with the company | NSH Certificate Number*, date of issuance, place of issuance of NSH | Head Office Address / Contact Address | Transaction Time with the Company | Resolution/Decision Number of the GMS/BOD... (if any, specify date of issuance) | Content, quantity, total transaction value |
|---|---|---|---|---|---|---|---|
| 2 | VIETBANK ASSET MANAGEMENT COMPANY (VIETBANK – AMC) | Company wholly owned by Vietbank (subsidiary of Vietbank) | | | 3/2025 | Resolution No. 35/2025/NQ-HĐQT dated March 24, 2025. | Approval of the capital increase of Vietbank in Vietbank AMC, specifically:<br>Additional capital contributed by Vietbank to Vietbank AMC: VND 195,000,000,000 (In words: One hundred ninety-five billion VND only) |

VIETNAM THUONG TIN COMMERCIAL JOINT STOCK BANK

DM6

# LIST OF CORPORATE INSIDERS AND RELATED PERSONS OF CORPORATE INSIDERS

AS OF JUNE 30, 2025

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 1 | Mr. Duong Nhat Nguyen | | Chairman of the Board of Directors | | | 34.864.940 | 4,88 | |
| 1.1 | Mr. Duong Ngoc Hoa (Father) | | None | | | 27.177.600 | 3,81 | |
| 1.2 | Mrs. Tran Thi Lam (Mother) | | None | | | 142.500 | 0,02 | |
| 1.3 | Duong Nhat Khoi (Biological son) | | None | | | - | - | |
| 1.4 | Duong Nhat An (Biological son) | | None | | | - | - | |
| 1.5 | Duong Nhat Khang (Biological son) | | None | | | - | - | |
| 1.6 | Mr. Duong Chi Vien (Younger brother) | | None | | | - | - | |
| 1.7 | Ms. Duong Mai Anh (Younger | | None | | | 12.585.600 | 1,76 | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| | sister) | | | | | | | |
| 1.8 | Ms. Duong Bao Anh (Younger sister) | | None | | | 10.155.120 | 1,42 | |
| 1.9 | Ms. Duong Van Anh (Younger sister) | | None | | | - | - | |
| 1.10 | Viet Vmicro Electronics Corporation<br>(An organization in which the related person (mother) is the Chairwoman of the Board of Directors, the declarant and father, as well as sisters, each hold 5% or more of the charter capital, and the sister (Duong Mai Anh) is a member of the Board of Directors) | | None | | | - | - | |
| 1.12 | HOA LAM CONSULTANCY INVESTMENT COMPANY LIMITED<br>(An organization in which the related person (sister holding 90%) owns 5% or more of the charter capital and serves as | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| | Chairwoman of the Members' Council) | | | | | | | |
| 1.13 | GIA THINH INVESTMENT TECHNOLOGY COMPANY LIMITED<br>(An organization in which the related person (sister holding 40%) owns 5% or more of the charter capital and serves as Chairwoman of the Members' Council) | | None | | | - | - | |
| 1.14 | VIDIVA TECHNOLOGY JOINT STOCK COMPANY<br>(An organization in which the related person (sister) owns 5% or more of the charter capital) | | None | | 22nd Floor, Lim Tower 3, 29A Nguyen Dinh Chieu, Da Kao Ward, District 1, Ho Chi Minh City, Vietnam | - | - | |
| 1.16 | HOA LAM PHARMACEUTICAL COMPANY LIMITED<br>(An organization in which the related person (biological mother) owns 5% or more of the charter capital) | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 1.17 | Gia An Digital Medical Technology Company Limited (An organization in which Mr. Nguyen owns 90% of the charter capital) | | None | | | - | - | |
| 2 | Mr. Nguyen Huu Trung | | Vice Chairman of the Board cum Independent Member of the Board | | | - | - | |
| 2.1 | Mrs. Nguyen Tran Mai (Wife) | | None | | | - | - | |
| 2.2 | Mr. Nguyen Huu Minh Tri (Biological son) | | None | | | - | - | |
| 2.3 | Mrs. Nguyen Thi Minh Tam (Biological daughter) | | None | | | - | - | |
| 2.4 | Mr. Alexandre Kieffer (Son-in-law) | | None | | | - | - | |
| 2.5 | Mr. Nguyen Huu Thiet (Older brother) | | None | | | - | - | |
| 2.6 | Mr. Nguyen Huu Tin (Younger brother) | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 2.7 | Mrs. Nguyen Thi Thu Huong (Sister-in-law) | | None | | | - | - | |
| 2.8 | Mrs. Nguyen Thi Mong Thuy (Younger sister) | | None | | | - | - | |
| 2.9 | Mr. Nguyen Huy Nhu (Brother-in-law) | | None | | | - | - | |
| 2.10 | Mr. Nguyen Huu Toan (Younger brother) | | None | | | - | - | |
| 2.11 | Mrs. Nguyen Thi Oanh (Sister-in-law) | | None | | | - | - | |
| 2.12 | Mrs. Trinh Middleton (Older sister) | | None | | | - | - | |
| 2.13 | Mr. Bruce Middleton (Brother-in-law) | | None | | | - | - | |
| 3 | Mrs. Quach To Dung | | Member of the Board of Directors | | | - | - | |
| 3.1 | Mr. Phan The Trung Nguyen (Son) | | None | | | - | - | |
| 3.2 | Ms. Nguyen Ngoc Linh Chi | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| | (Daughter-in-law) | | | | | | | |
| 3.3 | Mr. Phan The Khoi Nguyen (Son) | | None | | | - | - | |
| 3.4 | Ms. Vo Thuy Thanh Nhan (Daughter-in-law) | | None | | | - | - | |
| 3.5 | Ms. Tran So Nga (Sister) | | None | | | - | - | |
| 3.6 | Ms. Tran To Dung (Sister) | | None | | | - | - | |
| 3.7 | Ms. Quach To Quynh (Sister) | | None | | | - | - | |
| 3.8 | Mr. Trang Hieu (Brother-in-law) | | None | | | - | - | |
| 3.9 | Sy Phat Company Limited (Enterprise where Ms. Quach To Dung is Chairwoman of the Members' Council) | | None | | | 34.357.777 | 4,81 | |
| 3.10 | Hoa Phat Real Estate Company Limited (Enterprise where Ms. Quach To Dung is a capital-contributing member holding 20% of charter capital) | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 4 | Mrs. Le Thi Xuan Lan | | Member of the Board of Directors | | | - | - | |
| 4.1 | Mr. Le Tri Thanh (Elder Brother) | | None | | | - | - | |
| 4.2 | Ms. Le Thi Kim Thu (Younger Sister) | | None | | | - | - | |
| 4.3 | Mr. Le Thanh Long (Younger Brother) | | None | | | - | - | |
| 4.4 | Ms. Nguyen Hong Mai (Sister-in-law) | | None | | | - | - | |
| 4.5 | Ms. Tran Bich Nga (Sister-in-law) | | None | | | - | - | |
| 5 | Mrs. Luong Thi Huong Giang | | Member of the Board of Directors | | | 8.550.000 | 1,20 | |
| 5.1 | Mr. Luong Duy Kien (Father) | | None | | | - | - | |
| 5.2 | Mrs. Nguyen Thi Thich (Mother) | | None | | | - | - | |
| 5.3 | Do Hoang Nhat Mai (Child) | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 5.4 | Do Hoang Nhat Anh (Child) | | None | | | - | - | |
| 5.5 | Mrs. Luong Thi Bich Thao (Sister) | | None | | | - | - | |
| 5.6 | Mr. Tran Duc Hai (Brother-in-law) | | None | | | - | - | |
| 5.7 | Mr. Luong Xuan Truong (Brother) | | None | | | - | - | |
| 5.8 | Mrs. Nguyen Quynh Mai (Sister-in-law) | | None | | | - | - | |
| 5.9 | Mr. Luong Chi Thanh Long (Younger brother) | | None | | | - | - | |
| 5.10 | Mrs. Bui Hong Trang (Younger brother's wife) | | None | | | - | - | |
| 6 | Mr. Hua Ngoc Nghia | | Head of the Supervisory Board | | | - | - | |
| 6.1 | Mrs. Nguyen Pham Diem Khue (Wife) | | None | | | - | - | |
| 6.2 | Hua Nguyen Gia Minh (Child) | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 6.3 | Hua Nguyen Gia Vinh (Child) | | None | | | - | - | |
| 6.4 | Mr. Hua Ngoc Nha (Father) | | None | | | - | - | |
| 6.5 | Mrs. Ly Thi Ngoc Mai (Mother) | | None | | | - | - | |
| 6.6 | Mr. Hua Ngoc Minh (Younger Brother) | | None | | | - | - | |
| 6.7 | Mr. Hua Ngoc Thang (Younger Brother) | | None | | | - | - | |
| 6.8 | Mr. Nguyen Trong Quy (Father-in-law) | | None | | | - | - | |
| 6.9 | Mrs. Pham Bich Lien (Mother-in-law) | | None | | | - | - | |
| 7 | Mr. Mac Huu Danh | | Full-time Member of the Supervisory Board | | | - | - | |
| 7.1 | Mrs. Truong Thi Hoang Kim (Wife) | | None | | | - | - | |
| 7.2 | Mac Dang Khoa (Son) | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 7.3 | Mac Gia Bao (Son) | | None | | | - | - | |
| 7.4 | Mrs. Nguyen Thi Bich Van (Sister) | | None | | | - | - | |
| 7.5 | Mr. Quan Vy Cao (Brother-in-law) | | None | | | - | - | |
| 7.6 | Mr. Mac Ryan (Brother) | | None | | | - | - | |
| 7.7 | Mrs. Lien Nha (Sister-in-law) | | None | | | - | - | |
| 7.8 | Mrs. Nguyen Thi Bich Lien (Younger sister) | | None | | | - | - | |
| 7.9 | Mr. Andrew Thomas Yayne (Younger sister's husband) | | None | | | - | - | |
| 7.10 | Mr. Truong Cong Thanh (Father-in-law) | | None | | | - | - | |
| 7.11 | Mrs. Le Thi Hoang Ly (Mother-in-law) | | None | | | - | - | |
| 8 | Mrs. Nguyen Do Xuan Dung | | Full-time Member of the Supervisory Board | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 8.1 | Mr. Nguyen Viet Thuan (Father) | | None | | | - | - | |
| 8.2 | Mrs. Do Thi Hong Phuong (Mother) | | None | | | - | - | |
| 8.3 | Mr. Nguyen Do Minh Quang (Younger brother) | | None | | | - | - | |
| 9 | Mrs. Le Thi Tuyet Chinh | | Corporate Governance Officer cum Chief of the Office of the Board cum Secretary to the Board of Directors | | | 92.767 | 0,01 | |
| 9.1 | Mrs. Sai Thi Thanh (Mother) | | None | | | - | - | |
| 9.2 | Mr. Pham Tien Cuong (Husband) | | None | | | - | - | |
| 9.3 | Pham Gia Hoang (Biological child) | | None | | | - | - | |
| 9.4 | Pham Quang Vinh (Biological child) | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 9.5 | Mrs. Le Hai Yen (Older sister) | | None | | | - | - | |
| 9.6 | Mr. Hoang Duc Dat (Brother-in-law) | | None | | | - | - | |
| 9.7 | Mr. Le Duc Quyen (Younger brother) | | None | | | - | - | |
| 9.8 | Mrs. Tran Thi Kim Ngan (Younger brother's wife) | | None | | | - | - | |
| 9.9 | Mr. Le Nguyen Nghia (Younger brother) | | None | | | - | - | |
| 10 | Mrs. Tran Tuan Anh | | Chief Executive Officer / Legal Representative | | | - | - | |
| 10.1 | Mr. Tran Dinh Tai (Father) | | None | | - | - | - | |
| 10.2 | Mrs. Nguyen Thi Sau (Mother) | | None | | - | - | - | |
| 10.3 | Mrs. Tran Lan Anh (Sister) | | None | | - | - | - | |
| 10.4 | Mrs. Tran Kieu Ly (Younger Sister) | | None | | - | - | - | |
| 10.5 | Mrs. Tran Hanh Dung | | None | | - | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| | (Younger Sister) | | | | | | | |
| 10.6 | Mr. Tran Dinh Tu (Younger Brother) | | None | | - | - | - | |
| 10.7 | Mr. Vu Van Thu (Brother-in-law) | | None | | - | - | - | |
| 10.8 | Mr. Do Minh Duc (Brother-in-law) | | None | | - | - | - | |
| 10.9 | Mrs. Pham Van Anh (Sister-in-law) | | None | | - | - | - | |
| 10.10 | Mr. Nguyen Anh Tuan (Brother-in-law) | | None | | - | - | - | |
| 11 | Mr. Pham Danh | | Deputy Chief Executive Officer | | | 17.242 | 0,00 | |
| 11.1 | Mrs. Quach Phuong Thanh (wife) | | None | | | - | - | |
| 11.2 | Pham Truong Khang (biological son) | | None | | | - | - | |
| 11.3 | Pham Tri Nam (biological son) | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 11.4 | Pham Gia Linh (biological child) | | None | | | - | - | |
| 11.5 | Pham Phuong Linh (biological child) | | None | | | - | - | |
| 11.6 | Mr. Pham Tanh (older brother) | | None | | | - | - | |
| 11.7 | Mrs. Pham Thi Le (older sister) | | None | | | - | - | |
| 11.8 | F.I.R.S.T FAMILY COMPANY LIMITED (organization in which the related person (wife) owns 5% or more of charter capital and is the Director) | | None | | | - | - | |
| 12 | Mr. Nguyen Tien Sy | | Deputy CEO / Authorized Information Disclosure Officer | | | 8.621 | 0,00 | |
| 12.1 | Mrs. Vo Thi Kim Loan (Wife) | | None | | | - | - | |
| 12.2 | Nguyen Bao Han (Daughter) | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 12.3 | Nguyen Bao Huy (Son) | | None | | | - | - | |
| 12.4 | Mr. Nguyen Hong Sinh (Father) | | None | | - | - | - | |
| 12.5 | Mrs. Doan Thi Suong (Mother) | | None | | | - | - | |
| 12.6 | Mr. Vo Thanh Son (Father-in-law) | | None | | | - | - | |
| 12.7 | Mrs. Lam Thi Sy (Mother-in-law) | | None | | | - | - | |
| 12.8 | Mrs. Nguyen Thi Hong An (Sister) | | None | | | - | - | |
| 12.9 | Mr. Hoang Quang Lan (Brother-in-law) | | None | | | - | - | |
| 12.10 | Mrs. Nguyen Thi Hong Hanh (Sister) | | None | | | - | - | |
| 12.11 | Mr. Vo Thanh Chuong (Brother-in-law) | | None | | | - | - | |
| 12.12 | Mr. Nguyen Doan Thi Sach (Brother) | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 12.13 | Mrs. Nguyen Thi Tuyet Ngan (Sister-in-law) | | None | | | - | - | |
| 12.14 | Mr. Nguyen Bac Hoc (Brother) | | None | | | - | - | |
| 12.15 | Mrs. Vu Thi Nga (Sister-in-law) | | None | | | - | - | |
| 12.16 | Mr. Nguyen Thac Sy (Brother) | | None | | | - | - | |
| 12.17 | Mrs. Nguyen Ngoc Yen (Sister-in-law) | | None | | | - | - | |
| 12.18 | Mrs. Nguyen Thi Xuan Thuy (Sister) | | None | | | - | - | |
| 12.19 | Mr. Le Thanh Tung (Brother-in-law) | | None | | | - | - | |
| 12.20 | Mr. Nguyen Nguyen Hoang (Younger Brother) | | Deputy Chief of the Board of Directors' Office | | | 1.425 | 0,00 | |
| 12.21 | Mrs. Bui Nu Doan Dung (Sister-in-law) | | None | | | - | - | |
| 13 | Mrs. Pham Thi My Chi | | Deputy General | | | - | - | Retired from |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| | | | Director and Chief Accountant | | | | | holding the position of Chief Executive Officer from June 28, 2025. Resigned from the position of Deputy General Director from July 1, 2025. |
| 13.1 | Mr. Pham Van Ngo (Father – Deceased) | | None | | | - | - | |
| 13.2 | Mrs. Lam Thi Anh (Mother – Deceased) | | None | | | - | - | |
| 13.3 | Mr. Le Van Xe (Father-in-law – Deceased) | | None | | | - | - | |
| 13.4 | Mrs. Huynh Thi Hoa (Mother-in-law – Deceased) | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 13.5 | Mr. Le Ngoc Tien (Husband) | | None | | | - | - | |
| 13.6 | Mr. Le Ngoc Tan (Son) | | None | | | - | - | |
| 13.7 | Mr. Le Ngoc Tuan (Son) | | None | | | - | - | |
| 13.8 | Mrs. Pham Thi My Dung (Elder Sister) | | None | | | - | - | |
| 13.9 | Mrs. Pham Thi My Le (Elder Sister) | | None | | | - | - | |
| 13.10 | Mrs. Pham Thi My Phuong (Elder Sister) | | None | | | - | - | |
| 13.11 | Mrs. Pham Thi My Yen (Elder Sister) | | None | | | - | - | |
| 13.12 | Mr. Pham Van Hung (Younger Brother) | | None | | | - | - | |
| 13.13 | Mr. Pham Van Thoi (Younger Brother) | | None | | | - | - | |
| 13.14 | Mrs. Pham Thi Thinh (Younger Sister) | | None | | | - | - | |
| 13.15 | Mr. Pham Van Thanh (Younger Brother) | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 13.16 | Mr. Pham Tran Phan (Older Brother) | | None | | | - | - | |
| 13.17 | Mrs. Huynh Thi To Quynh (Sister-in-law) | | None | | | - | - | |
| 13.18 | Mr. Nguyen Van Cuong (Brother-in-law) | | None | | | - | - | |
| 14 | Mr. Pham Linh | | Deputy Chief Executive Officer | | | - | - | |
| 14.1 | Mr. Pham Toa (Father) | | | | | - | - | |
| 14.2 | Mrs. Phan Thi Hong (Mother) | | | | | - | - | |
| 14.3 | Mrs. Le Thi Kim Loan (Wife) | | | | | - | - | |
| 14.4 | Pham Le Anh Thu (Biological Child) | | | | | - | - | |
| 14.5 | Pham Nguyen Chuong (Biological Child) | | | | | - | - | |
| 14.6 | Mrs. Pham Hong Thuy (Sibling) | | | | | - | - | |
| 14.7 | Mr. Le Hua (Father-in-law – Deceased) | | | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 14.8 | Mrs. Tran Thi Vien (Mother-in-law) | | | | | - | - | |
| 15 | Mr. Le Thanh Quy Ngoc | | Deputy Chief Executive Officer | | | - | - | |
| 15.1 | Mrs. Tran Thi Thanh Xuan (Mother) | | | | | - | - | |
| 15.2 | Mr. Hoang Huu Khue (Stepfather) | | | | | - | - | |
| 15.3 | Mr. Hoang Thien An (Younger Brother) | | | | | - | - | |
| 15.4 | Le Huynh Ba Khoa (Child) | | | | | - | - | |
| 15.5 | Mr. Le Thanh Tuan (Biological Father – Deceased) | | | | | - | - | |
| 16 | Mrs. Nguyen Thi Thuy Minh | | Chief Accountant | | | - | - | Appointment of Chief Accountant from June 28, 2025 |
| 16.1 | Mr. Nguyen Van Ninh | | | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| | (Father) | | | | | | | |
| 16.2 | Mrs. Ngo Thi Nuong (Mother) | | | | | - | - | |
| 16.3 | Mr. Le Trung Tinh (Father-in-law) | | | | | - | - | |
| 16.4 | Mrs. Pham Thi Chuoi (Mother-in-law) | | | | | - | - | |
| 16.5 | Mr. Le Bao Toan (Husband) | | | | | - | - | |
| 16.6 | Le Nguyen Thuy Vy (Child) | | | | | - | - | |
| 16.7 | Le Nguyen Bao Vy (Child) | | | | | - | - | |
| 16.8 | Le Nguyen Thao Vy (Child) | | | | | - | - | |
| 16.9 | Mr. Nguyen Tat Quoc (Older Brother) | | | | | - | - | |
| 16.10 | Mrs. Nguyen Thi Thuy Oanh (Younger Sister – Deceased) | | | | | - | - | |
| 16.11 | Mr. Nguyen Ngoc (Younger Brother) | | | | | - | - | |
| 16.12 | Mrs. Dang Thi Kim Dao (Sister-in-law) | | | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 16.13 | Mr. Nguyen Tan Binh (Brother) | | | | | - | - | |
| 16.14 | Mrs. Nguyen Ngoc Yen (Sister-in-law) | | | | | - | - | |
| 16.15 | Mr. Nguyen Vinh Nghi (Younger Brother) | | | | | - | - | |
| 16.16 | Mrs. Pham Thi Quynh Anh (Sister-in-law) | | | | | - | - | |
| 16.17 | Mr. Nguyen Vinh Loc (Younger Brother – Deceased) | | | | | - | - | |
| 16.18 | Mr. Nguyen Vinh Thuy (Younger Brother – Deceased) | | | | | - | - | |
| 16.19 | Bao Minh Quan Co., Ltd. (Mr. Le Bao Toan – husband – is the Director) | | | | | - | - | |
| 17 | Mr. Truong Ngoc Phu | | Head of Internal Audit | | | 14.250 | 0,00 | |
| 17.1 | Mrs. Le Quynh Chi (Wife) | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 17.2 | Mr. Truong Van Thanh (Father) | | None | | | - | - | |
| 17.3 | Mrs. Nguyen Thi Gai (Mother) | | None | | | - | - | |
| 17.4 | Mrs. Huynh Thi Nam (Mother-in-law) | | None | | | - | - | |
| 17.5 | Mr. Le Duc Duong (Father-in-law) | | None | | | - | - | |
| 17.6 | Truong Tuan Minh (Biological son) | | None | | | - | - | |
| 18 | Mr. Hoang Dung | | Deputy Head of Internal Audit | | | - | - | |
| 18.1 | Mrs. Nguyen Thi Phuong (Biological mother) | | None | | | - | - | |
| 18.2 | Hoang Ba Kiet (Son) | | None | | | - | - | |
| 18.3 | Hoang Van Bao Ngoc (Daughter) | | None | | | - | - | |
| 18.4 | Mr. Hoang Van Tri (Brother) | | None | O | | - | - | |
| 18.5 | Mr. Hoang Lan (Biological father) | | None | | | - | - | |

| No. | Full Name | Securities Trading Account (if any) | Position in the Company (if any) | ID/Passport No., Date of Issue, Place of Issue | Registered Head Office / Contact Address | Number of shares held at the end of the period | Shareholding ratio at the end of the period | Notes |
|---|---|---|---|---|---|---|---|---|
| 18.6 | Mrs. Vu Thi Kim Dung (Sister-in-law) | | None | | | - | - | |