CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX *VIX SECURITIES JOINT STOCK COMPANY*	CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM Độc lập - Tự do - Hạnh phúc *THE SOCIALIST REPUBLIC OF VIETNAM* *Independence - Freedom - Happiness*
Số/No: 528/2026/VIX-CBTT	Hà Nội, ngày 24 tháng 07 năm 2026 *Hanoi, July 24, 2026*

CÔNG BỐ THÔNG TIN TRÊN CỔNG THÔNG TIN ĐIỆN TỬ CỦA ỦY BAN CHỨNG KHOÁN NHÀ NƯỚC VÀ SỞ GIAO DỊCH CHỨNG KHOÁN
DISCLOSURE OF INFORMATION ON THE ELECTRONIC INFORMATION PORTAL OF THE STATE SECURITIES COMMISSION AND THE STOCK EXCHANGE

Kính gửi:
- **Ủy Ban Chứng khoán Nhà nước**
  The State Securities Commission
- **Sở Giao dịch Chứng khoán Việt Nam**
  Vietnam Stock Exchange
- **Sở Giao dịch Chứng khoán thành phố Hồ Chí Minh**
  Ho Chi Minh Stock Exchange

Tên Công ty: CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX
Mã chứng khoán/*Ticker*: **VIX**
Địa chỉ trụ sở chính: Tầng 22, số 52 phố Lê Đại Hành, phường Hai Bà Trưng, TP. Hà Nội.
Address: *Floor 22, No. 52 Le Dai Hanh Street, Hai Ba Trung Ward, Hanoi City.*
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Người thực hiện công bố thông tin: Dư Văn Toàn
Person authorized to disclose information: Du Van Toan
Email: info@vixs.vn
Loại thông tin công bố/*Type of information disclosure:*

☐ 24 giờ/ *24 hours* ☐ 72 giờ/ *72 hours* ☐ 07 ngày */ 07 days* ☐ bất thường/ *Irregular* ☐ theo yêu cầu/ *upon request* ☒ định kỳ / *periodic*

Nội dung thông tin công bố/*Information content disclosed:*:
Công ty cổ phần chứng khoán VIX ("VIX"), xin công bố thông tin định kỳ về: **Báo cáo tình hình quản trị công ty bán niên năm 2026**.
VIX Securities Joint Stock Company ("VIX") periodically discloses the following information:
The Corporate governance report for the first half of 2026.
Thông tin chi tiết đã được công bố trên trang thông tin điện tử của VIX vào ngày 24/07/2026 theo đường dẫn sau/*Detailed information has been published on VIX's website on July 24, 2026 at the following link:*

https://vixs.vn/bao-cao

Chúng tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.
We hereby declare to be responsible for the accuracy and completeness of the disclosed information.

Nơi nhận/**Recipients**:
- *Như trên/As above;*
- *Lưu VT, VIX/DOC Dept/VIX.*

CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX

Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX
DN: cn=CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX, c=VN, email=quangpt@vnpt.vn
Reason: I am the author of this document
Date: 2026.07.24 17:19:06 +07'00'

NGƯỜI ĐƯỢC UỶ QUYỀN CÔNG BỐ THÔNG TIN
PERSON AUTHORIZED TO DISCLOSE INFORMATION

CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX
P. HAI BÀ TRƯNG - T.P HÀ NỘI

DƯ VĂN TOÀN

VIX SECURITIES
JOINT STOCK COMPANY

No.: 531/2026/VIX-BC

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

Hanoi, July 24, 2026

CORPORATE GOVERNANCE REPORT OF THE LISTED COMPANY

Reporting period: Semi-annual 2026

To:
- **State Securities Commission of Vietnam (SSC)**
- **Vietnam Exchange (VNX)**
- **Ho Chi Minh Stock Exchange (HOSE)**

- **Company name: VIX Securities Joint Stock Company**
- **Head office address:** Floor 22, No. 52 Le Dai Hanh Street, Hai Ba Trung Ward, Hanoi.
- **Telephone:** 024.4456 8888 Fax: 024.39785380 Email: info@vixs.vn.
- **Charter capital:** VND 24,502,877,720,000.
  (In words: Twenty-four thousand five hundred and two billion, eight hundred and seventy-seven million, seven hundred and twenty thousand dong)
- **Ticker symbol: VIX**
- **Corporate governance model:** General Meeting of Shareholders, Board of Supervisors, Board of Directors, and CEO.
- **Internal audit function:** Implemented

I. Activities of the General Meeting of Shareholders (GMS)

No.	Resolution/Decision No.	Date	Content
1	01/2026/VIX/NQ-ĐHCĐ	26/06/2026	***The 2026 Annual General Meeting of Shareholders approved the following matters:*** - The audited 2025 Financial Statements, the 2025 business results report, and the 2025 profit distribution plan. - The report of the Board of Directors (BOD) on corporate governance and performance of the BOD and each member for 2025. - The report of the Board of Supervisors on the Company's business results and the performance of the BOD and the Executive Management for 2025. - The 2025 self-assessment report on the performance of the Board of Supervisors and each member. - Approval of the remuneration and other benefits for BOD and BOS members for 2025, and the remuneration plan for the BOD and BOS for 2026. - The 2026 business plan. - Selection of the auditing firm for auditing the 2026 Financial Statements.

			- The issuance plan for payment of 2025 dividends. - Approval of the provision of securities clearing and settlement services under the Central Counterparty Mechanism. - Approval of the amendments and supplements to the Company Charter. - Approval of the dismissal of BOD/BOS members upon completion of their term, and the election of BOD/BOS members for the 2026-2031 term.

II. Board of Directors (BOD)

1. Information on BOD Members

No.	BOD Member	Position	Date of Commencement / Cessation as BOD Member / Independent BOD Member	
			Date of Appointment	Date of Dismissal
1	Ha Huy Hung (*)	Chairman of the Board of Directors	23/05/2025	
2	Nguyen Tuan Dung	BOD Member – CEO	26/06/2026	
3	Do Ngoc Dinh	BOD Member – Deputy CEO	23/05/2025	
4	Cao Thi Hong	BOD Member	26/06/2026	
5	Phan Duc Linh	Independent BOD Member	28/11/2025	

(*) Mr. Ha Huy Hung was elected Chairman of the Board of Directors from 29/06/2026.

2. BOD Meetings:

No.	BOD Member	Meetings Attended / Total Meetings	Attendance Rate	Voting Rate	Reason for Absence
1	Ha Huy Hung	31/31	100%	100%	
2	Nguyen Tuan Dung	31/31	100%	100%	
3	Do Ngoc Dinh	31/31	100%	100%	
4	Cao Thi Hong	31/31	100%	100%	
5	Phan Duc Linh	31/31	100%	100%	

3. Supervisory activities of the BOD over the Executive Management

The BOD supervised the Executive Management in organising and implementing the Resolutions of the GMS and the BOD; and supervised the administration of business operations, governance, and

compliance with the law, the Company Charter, and internal regulations, in order to ensure that the decisions of the GMS and the BOD were implemented fully, promptly, and effectively.

4. Activities of BOD sub-committees

There is no BOD sub-committees.

5. Resolutions of the BOD

Details are provided in Appendix I attached hereto.

III. Board of Supervisors (BOS)

1. Information on BOS Members

No.	BOS Member	Position	Date of Commencement / Cessation as BOS Member	Professional Qualifications
1	Tran Hong Van	Head of the BOS	26/06/2026	Bachelor of Economics
2	Trinh Thi My Le	Member	26/06/2026	Bachelor of Accounting
3	Nguyen Thi Duyen	Member	26/06/2026	Bachelor of Accounting

2. BOS Meetings

No.	BOS Member	Position	Meetings Attended / Total Meetings	Attendance Rate	Voting Rate	Reason for Absence
1	Tran Hong Van	Head of the BOS	2/2	100%	100%	
2	Trinh Thi My Le	Supervisor	2/2	100%	100%	
3	Nguyen Thi Duyen	Supervisor	2/2	100%	100%	

3. Supervisory Activities of the BOS over the BOD, the Executive Management, and Shareholders

During the reporting period, the Board of Supervisors carried out supervisory activities over the BOD and the Executive Management, with the results as follows:

- Supervision of the BOD's activities:
  - The BOD performed its functions, duties, and powers in accordance with the Law on Enterprises, the Law on Securities, the Company Charter, and other relevant legal regulations.

- The BOD organised the implementation of matters under the Resolutions of the GMS, and issued resolutions and decisions within its authority to provide direction and guidance and to create a basis for the Executive Management to administer the Company's operations.
- Meetings, the collection of opinions, and the issuance of resolutions of the Board of Directors were carried out in accordance with the proper order, procedures, and authority; BOD members participated fully and responsibly in reviewing, discussing, and deciding on matters within their authority.
- In the assessment of the BOS, the BOD effectively performed its governance role, ensuring a proper distinction between the governance function and the executive function; the BOD's decisions were duly issued within itsauthority, contributing to enhanced operational efficiency and serving the interests of the Company and its shareholders.

• Supervision of the Executive Management's activities:

- Supervision of the Executive Management's organisation and implementation of the Resolutions of the GMS and the BOD, and confirmation that the administration of business operations was carried out in line with the objectives, plans, and directions approved by the competent authority.
- Supervision of the preparation of the Company's Financial Statements and business performance reports, which showed that the reports were prepared in compliance with Vietnamese accounting standards and relevant legal regulations, ensuring accuracy, transparency, and completeness.
- Supervision of compliance with information disclosure obligations, which showed that, during the period, the Company made full, timely, and proper information disclosures in accordance with regulations.
- Supervision of the organisation and operation of the risk management, internal control, and compliance systems, which found that the Executive Management had duly directed the implementation of risk governance and control measures and ensured financial safety in administering the Company's operations.

In the assessment of the BOS, the Executive Management fully performed its functions, duties, and powers in accordance with the law, the Company Charter, and the resolutions and decisions of the GMS and the BOD. The division of duties among the members of the Executive Management was carried out clearly, in line with each member's function and area of responsibility; regular briefing meetings and periodic reporting were consistently maintained, contributing to improved governance and administration efficiency and the timely handling of emerging issues.

4. Coordination between the BOS and the BOD, the Executive Management, and Other Managers

During the reporting period, the BOS consistently received close, active, and responsible cooperation from the BOD, the Executive Management, and the Company's managers in carrying out its inspection and supervisory functions as required.

- The BOD, the Executive Management, and specialised departments provided timely and complete information and documents relating to the Company's business operations, and designated focal-point personnel to coordinate with, provide explanations to, and support the BOS as required.

- The BOS sent representatives to attend all meetings of the BOD in full, ensuring the direct and continuous performance of its supervisory function.
- The BOS proactively prepared and notified the BOD and the Executive Management of its working plan and its inspection and supervision plan for the period, and cooperated effectively with functional departments in carrying out its duties.

5. Other activities of the BOS

- The BOS regularly updated on and studied newly issued policies and regulations to ensure the full performance of its rights and responsibilities as required.
- Fully performed other duties of the Board of Supervisors in accordance with the Law on Enterprises, the Company Charter, and other relevant legal regulations.

IV. Executive Management

No.	Executive Management Member	Date of Birth	Professional Qualifications	Date of Appointment/ Dismissal
1	Nguyen Tuan Dung - CEO	16/09/1977	Bachelor of Economics	29/06/2026
2	Do Ngoc Dinh - Deputy CEO	30/01/1983	Bachelor of Economics	17/07/2017

V. Chief Accountant

No.	Full Name	Date of Birth	Professional Qualifications	Date of Appointment/ Dismissal
1	Nguyen Thi Thu Hang	05/08/1983	Bachelor of Finance and Accounting	23/05/2018

VI. Corporate governance training

- During the first 6 months of 2026: There was no corporate governance training course.

VII. List of related persons of the listed company and transactions between the Company's related persons and the company

1. List of the Company's related persons *(Appendix II attached)*
2. Transactions between the Company and the Company's related persons, major shareholders, insiders, and related persons of insiders: *(None)*.
3. Transactions between the Company's insiders or related persons of insiders and subsidiaries, or companies controlled by the Company: *(None)*.
4. Transactions between the Company and other related parties:
   - Transactions between the Company and companies in which members of the BOD, members of the Board of Supervisors, the CEO, and other managers have been or are currently founding

members, BOD members, or General Director (Director) within the preceding three (03) years (as at the time of preparing this report): *(None).*

- Transactions between the Company and companies in which related persons of BOD members, members of the Board of Supervisors, the CEO, and other managers are BOD members or General Director (Director): *(None)*
- Other transactions of the Company (if any) that may bring material or non-material benefits to members of the BOD, members of the Board of Supervisors, the CEO, and other managers: *(None)*

VIII. Share transactions of insiders and related persons of insiders during the Period:

1. List of insiders and related persons of insiders (Appendix III attached)
2. Share transactions by insiders and related persons in respect of the Company's VIX shares:

Transacting Person	Relationship with the Insider Shareholder	Shares Held at the Beginning of the Period		Shares Held at the End of the Period		Reason for Increase/Decrease (purchase, sale, conversion, bonus shares...)
		Number of Shares	Ratio (%)	Number of Shares	Ratio (%)	
Nguyen Tuan Dung	BOD Member – CEO	623,651	0.041%	997,841	0.041%	Exercise of right to purchase additional shares
Do Ngoc Dinh	BOD Member, Deputy CEO	530,586	0.035%	848,937	0.035%	Exercise of right to purchase additional shares
Cao Thi Hong	BOD Member	105,000	0.007%	168,000	0.007%	Exercise of right to purchase additional shares
Nguyen Thi Thu Hang	Chief Accountant	2,289,000	0.149%	3,662,400	0.149%	Exercise of right to purchase additional shares
Vu Thi Ngoc Mai	Internal Audit Specialist	52,500	0.003%	84,000	0.003%	Exercise of right to purchase additional shares
Tran Bich Thuy	Elder sister of Ms. Tran Hong Van - Head of the BOS	15,405	0.001%	24,648	0.001%	Exercise of right to purchase additional shares

IX. Other Matters Requiring Attention: *(None)*

CHAIRMAN OF THE BOARD OF DIRECTORS

S.G.P: 70 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX
P. HAI BÀ TRƯNG - T.P HÀ NỘI

HA HUY HUNG

APPENDIX I

LIST OF BOARD OF DIRECTORS' RESOLUTIONS FOR THE FIRST HALF OF 2026

(Attached to the 2026 Semi-Annual Corporate Governance Report)

No.	Resolution No.	Date Issued	Content	Approval Rate
1	01/2026/VIX/NQ-HĐQT	16/01/2026	Approval of the Offering Period and the Proposed Plan for the Use of Proceeds from the 2025 Offering of Shares to Existing Shareholders	100%
2	02/2026/VIX/NQ-HĐQT	16/01/2026	Supplemented the criteria for selecting investors to purchase odd-lot shares and remaining shares under the 2025 rights offering to existing shareholders	100%
3	03/2026/VIX/NQ-HĐQT	16/01/2026	Approval of the Registration Dossier for the 2025 Rights Offering to Existing Shareholders	100%
4	04/2026/VIX/NQ-HĐQT	16/01/2026	Approval in Principle of the Implementation of the Artificial Intelligence Information Technology Project and Issuance of the Internal Audit Regulations	100%
5	05/2026/VIX/NQ-HĐQT	11/02/2026	Approval of the Plan for the Additional Purchase of Shares in Vietnam Export Import Commercial Joint Stock Bank (Eximbank)	100%
6	06/2026/VIX/NQ-HĐQT	13/02/2026	Approval of the Finalization of the List of Shareholders Entitled to Exercise the Right to Purchase Additional Shares	100%
7	07/2026/VIX/NQ-HĐQT	06/03/2026	Approval of the extension of the timeframe for holding Annual General Meeting of Shareholders for 2026 of VIX Securities Joint Stock Company to June 2026	100%
8	08/2026/VIX/NQ-HĐQT	12/03/2026	Authorized attendance at the 2026 Annual General Meeting of Shareholders of GEX	100%
9	09/2026/VIX/NQ-HĐQT	16/03/2026	Approval of the Nomination of Personnel for Election to the Board of Directors of GELEX Group Joint Stock Company and Eximbank	100%
10	10/2026/VIX/NQ-HĐQT	23/03/2026	Authorized attendance at the 2026 Annual General Meeting of Shareholders of GEE	100%

No.	Resolution No.	Date Issued	Content	Approval Rate
11	11/2026/VIX/NQ-HĐQT	24/03/2026	Approval of the Plan for the Additional Purchase of EIB Shares	100%
12	12/2026/VIX/NQ-HĐQT	25/03/2026	Approval of the Plan for the Purchase of SHB Shares	100%
13	13/2026/VIX/NQ-HĐQT	13/04/2026	Approval of the Credit Limit at Vietnam Maritime Commercial Joint Stock Bank (MSB)	100%
14	14/2026/VIX/NQ-HĐQT	14/04/2026	Approval of the Plan for the Additional Purchase of VPX Shares	100%
15	15/2026/VIX/NQ-HĐQT	16/04/2026	Approval of the plan for handling the remaining unsubscribed shares from the 2025 rights offering to existing shareholders	100%
16	16/2026/VIX/NQ-HĐQT	17/04/2026	Authorized attendance at the 2026 Annual General Meeting of Shareholders of VPX, PET, and EIB	100%
17	17/2026/VIX/NQ-HĐQT	21/04/2026	Approval of the results of the 2025 public offering and the amendment, supplemention of the Company's Charter	100%
18	18/2026/VIX/NQ-HĐQT	22/04/2026	Approval of the Plan for the Purchase of VSC Shares	100%
19	19/2026/VIX/NQ-HĐQT	23/04/2026	Approval of the Credit Limit at Eximbank	100%
20	20/2026/VIX/NQ-HĐQT	06/05/2026	Approval of the Convening of the 2026 Annual General Meeting of Shareholders	100%
21	21/2026/VIX/NQ-HĐQT	07/05/2026	Approval of the Credit Limit at Vietnam Prosperity Joint Stock Commercial Bank (VPBank)	100%
22	22/2026/VIX/NQ-HĐQT	08/05/2026	Approval of the Registration of Changes to the Enterprise Registration Information Resulting from the Change in the Company's Charter Capital	100%
23	23/2026/VIX/NQ-HĐQT	13/05/2026	Approval of the Plan for the Additional Purchase of Shares in VPBank Securities Joint Stock Company (VPX)	100%
24	24/2026/VIX/NQ-HĐQT	19/05/2026	Approval of the Credit Limit at EVF	100%

No.	Resolution No.	Date Issued	Content	Approval Rate
25	25/2026/VIX/NQ-HĐQT	27/05/2026	Approval of the Transaction Plan for the Purchase of VHM Shares	100%
26	26/2026/VIX/NQ-HĐQT	05/06/2026	Approval of the Meeting Documents for the 2026 Annual General Meeting of Shareholders	100%
27	27/2026/VIX/NQ-HĐQT	05/06/2026	Approval of the Establishment of the Shareholder Eligibility Verification Board	100%
28	28/2026/VIX/NQ-HĐQT	08/06/2026	Approval of the Plan for the Additional Purchase of VIC Shares	100%
29	29/2026/VIX/NQ-HĐQT	08/06/2026	Approval of the Nomination of Personnel for Election to the Board of Directors of Eximbank for the 2025–2030 Term	100%
30	30/2026/VIX/NQ-HĐQT	09/06/2026	Approval of the Plan for the Purchase of Shares in Techcombank (TCB)	100%
31	31/2026/VIX/NQ-HĐQT	10/06/2026	Approval of the Credit Limit at BIDV – Ha Thanh Branch	100%
32	32/2026/VIX/NQ-HĐQT	22/06/2026	Authorization for Attendance at the 2026 Annual General Meeting of Shareholders of GELEX Infrastructure Joint Stock Company	100%
33	33/2026/VIX/NQ-HĐQT	29/06/2026	Approval of the Resignation of Mr. Nguyen Tuan Dung from the Position of Chairman of the Board of Directors	100%
34	34/2026/VIX/NQ-HĐQT	29/06/2026	Election of the Chairman of the Board of Directors for the 2026–2031 Term	100%
35	35/2026/VIX/NQ-HĐQT	29/06/2026	Dismissal of Mr. Truong Ngoc Lan from the Position of Chief Executive Officer	100%
36	36/2026/VIX/NQ-HĐQT	29/06/2026	Appointment of Mr. Nguyen Tuan Dung as Chief Executive Officer and Legal Representative	100%
37	37/2026/VIX/NQ-HĐQT	29/06/2026	Approval of the Delegation of Authority in the Company's Governance and Administration	100%
38	38/2026/VIX/NQ-HĐQT	29/06/2026	Approval of the Assignment of Duties and Remuneration to Members of the Board of Directors and the Board of Supervisors	100%

No.	Resolution No.	Date Issued	Content	Approval Rate
39	39/2026/VIX/NQ-HĐQT	29/06/2026	Approval of the Terms of the Chief Executive Officer's Employment Contract	100%
40	40/2026/VIX/NQ-HĐQT	29/06/2026	Termination of the Employment Contract with Mr. Truong Ngoc Lan, Chief Executive Officer	100%
41	41/2026/VIX/NQ-HĐQT	29/06/2026	Approval of the Assignment and Delegation of Authority for the Company's Governance and Management	100%
42	42/2026/VIX/NQ-HĐQT	30/06/2026	Approval of the Execution of the Audit Engagement Agreement for the Audit of the 2026 Financial Statements	100%

Hanoi, July 24, 2026

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN

S.G.P: 70 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX
P. HAI BÀ TRƯNG - T.P HÀ NỘI

HA HUY HUNG

VIX SECURITIES
JOINT STOCK COMPANY

APPENDIX II - LIST OF RELATED PERSONS OF THE COMPANY

(Attached to the Semi-Annual 2026 Corporate Governance Report)

No.	Name of Organisation/Individual	Securities Trading Account	Position at the Company (if any)	ID Document Number	Date of Issue	Place of Issue	Registered Office Address/Contact Address	Date of Becoming a Related Person	Date of Ceasing to Be a Related Person	Reason for Change	Relationship with the Insider
1	2	3	4	5	6	7	8	9	10	11	12
1	**Ha Huy Hung**		**Chairman of the Board of Directors**					**23/05/2025**			
1.1	*Ha Huy Huong*							*23/05/2025*			*Father*
1.2	*Ho Thi Yen*							*23/05/2025*			*Mother*
1.3	*Ha Thi Hoai*							*23/05/2025*			*Sibling*
1.4	*Tran Van Chung*							*23/05/2025*			*Brother-in-law*
1.5	*Ha Thi Thom*							*23/05/2025*			*Sibling*
1.6	*Nguyen Quang Van*							*23/05/2025*			*Brother-in-law*
1.7	*Ha Thi Hong Tham*							*23/05/2025*			*Sibling*
1.8	*Vo Van Mau*							*23/05/2025*			*Brother-in-law*
1.9	*Ha Thi Thiet*							*23/05/2025*			*Sibling*
1.10	*Nguyen Manh Hung*							*23/05/2025*			*Brother-in-law*
1.11	*Ha Huy Viet*							*23/05/2025*			*Sibling*
1.12	*Le Thi Anh*							*23/05/2025*			*Sister-in-law*

1.13	*Pham Thi Thu Trang*							*23/05/2025*			*Wife*
1.14	*Ha Huy Anh*							*23/05/2025*			*Child*
1.15	*Ha Huy Minh*							*23/05/2025*			*Child*
1.16	*Pham Manh Khoe*							*23/05/2025*			*Father-in-law*
1.17	*Tran Thi Dan*							*23/05/2025*			*Mother-in-law*
1.18	*Pham Quoc Viet*							*23/05/2025*			*Brother-in-law*
2	**Nguyen Tuan Dung**		**Member of the Board of Directors and Chief Executive Officer (CEO)**					**26/06/2026**			
2.1	*Dao Thi Tam Khanh*							*26/06/2026*			*Wife*
2.2	*Nguyen Dao Tam Anh*							*26/06/2026*			*Child*
2.3	*Nguyen Ngoc Hieu Anh*							*26/06/2026*			*Child*
2.4	*Nguyen Ngoc Lam*							*26/06/2026*			*Father*
2.5	*Vu Thi Xuan*							*26/06/2026*			*Mother*
2.6	*Nguyen Thi Thu Thuy*							*26/06/2026*			*Sibling*
2.7	*Nguyen Tien Thanh*							*26/06/2026*			*Sibling*
2.8	*Nguyen Thanh Tien*							*26/06/2026*			*Sibling*
2.9	*Nguyen Thi Duc Hanh*							*26/06/2026*			*Sibling*
2.10	*Dao Tuan*							*26/06/2026*			*Father-in-law*

2.11	*Vu Thi Nhung*							*26/06/2026*			*Mother-in-law*
2.12	*Nguyen Trong Thanh*							*26/06/2026*			*Brother-in-law*
2.13	*Nguyen Linh*							*26/06/2026*			*Brother-in-law*
2.14	*Dao Thi Thu*							*26/06/2026*			*Sister-in-law*
2.15	*Dao Thi Theu*							*26/06/2026*			*Sister-in-law*
3	**Do Ngoc Dinh**		**BOD Member – Deputy CEO**					**17/07/2017**			
3.1	*Nguyen Thuy Nga*							*17/07/2017*			*Wife*
3.2	*Do Duc Anh*							*17/07/2017*			*Child*
3.3	*Do Duc Hung*							*17/07/2017*			*Child*
3.4	*Do Ngoc Doa*							*17/07/2017*			*Father*
3.5	*Pham Thi Kich*							*17/07/2017*			*Mother*
3.6	*Do Ngoc Dap*							*17/07/2017*			*Brother*
3.7	*Nguyen Mau Luc*							*17/07/2017*			*Father-in-law*
3.8	*Bui Thi Chao*							*17/07/2017*			*Mother-in-law*
3.9	*Giang Thi Hao*							*17/07/2017*			*Sister-in-law*
3.10	*VIX Crypto Assets Exchange Joint Stock Company (VIXEX)*							*26/08/2025*			*Member of the Board of Directors*
4	**Cao Thi Hong**		**BOD Member**					**26/06/2026**			

4.1	*Le Thi Minh*							*26/06/2026*			*Mother*
4.2	*Cao Thi Gam*							*26/06/2026*			*Sister*
4.3	*Cao Long Bien*							*26/06/2026*			*Sibling*
4.4	*Cao Van Long*							*26/06/2026*			*Sibling*
4.5	*Le Gia Thien*							*26/06/2026*			*Child*
4.6	*Le Gia Bao*							*26/06/2026*			*Child*
4.7	*Le Bao Ngoc*							*26/06/2026*			*Child*
4.8	*Vo Song Hy*							*26/06/2026*			*Brother-in-law*
4.9	*Hoang Thanh Mai*							*26/06/2026*			*Sister-in-law*
4.10	*To Nhat Ha*							*26/06/2026*			*Sister-in-law*
5	**Phan Duc Linh**		**BOD Member**					**28/11/2025**			
5.1	*Nguyen Thi Thieu*							*28/11/2025*			*Mother*
5.2	*Phan Thi Thanh*							*28/11/2025*			*Sister*
5.3	*Nguyen Van Hai*							*28/11/2025*			*Brother-in-law*
5.4	*Phan Thi Thanh*							*28/11/2025*			*Sister*
5.5	*Nguyen Viet Quang*							*28/11/2025*			*Brother-in-law*
5.6	*Nguyen Thanh Nga*							*28/11/2025*			*Wife*
5.7	*Phan Diep Chi*							*28/11/2025*			*Child*

5.8	*Phan Minh Duong*							*28/11/2025*			*Child*
5.9	*Nguyen Hong Thai*							*28/11/2025*			*Father-in-law*
5.10	*Nguyen Thi Tuyet Mai*							*28/11/2025*			*Mother-in-law*
5.11	*Nguyen Thu Hien*							*28/11/2025*			*Sister-in-law*
6	**Truong Ngoc Lan**		**General Director**					**19/10/2022**	**29/06/2026**	**Dismissed**	
6.1	*Truong Moc Lam*							*19/10/2022*	*29/06/2026*		*Father*
6.2	*Tran Thi Hoa Cam*							*19/10/2022*	*29/06/2026*		*Mother*
6.3	*Truong Van Tuyen*							*19/10/2022*	*29/06/2026*		*Father-in-law*
6.4	*Huynh Thi Tu Han*							*19/10/2022*	*29/06/2026*		*Mother-in-law*
6.5	*Truong My Hanh*							*19/10/2022*	*29/06/2026*		*Wife*
6.6	*Truong Kim Long*							*19/10/2022*	*29/06/2026*		*Son*
6.7	*Truong Bao Chau*							*19/10/2022*	*29/06/2026*		*Daughter*
6.8	*Truong Thi Dieu Linh*							*19/10/2022*	*29/06/2026*		*Sister*
6.9	*Vu Dinh Thuyen*							*19/10/2022*	*29/06/2026*		*Brother-in-law*
7	**Nguyen Thi Thu Hang**		**Chief Accountant**					**23/05/2018**			
7.1	*Tran Khanh Hiep*							*23/05/2018*			*Husband*
7.2	*Tran Khanh Van Nhi*							*23/05/2018*			*Child*
7.3	*Tran Khanh Tue Nhi*							*23/05/2018*			*Child*

7.4	*Tran Khanh Nam Phong*							*23/05/2018*			*Child*
7.5	*Nguyen Van Dai*							*23/05/2018*			*Father*
7.6	*Nguyen Thi Loan*							*23/05/2018*			*Mother*
7.7	*Nguyen Van Long*							*23/05/2018*			*Brother-in-law*
7.8	*Nguyen Thi Hai Lan*							*23/05/2018*			*Sister*
7.9	*Nguyen Thi Thanh Nga*							*23/05/2018*			*Sister*
7.10	*Le Manh Cuong*							*23/05/2018*			*Brother-in-law*
7.11	*Nguyen Thi Ha*							*23/05/2018*			*Mother-in-law*
7.12	*VIX Crypto Assets Exchange Joint Stock Company (VIXEX)*							*05/09/2025*			*Chief Accountant*
8	**Du Van Toan**		**Person Responsible for Corporate Governance, Information Disclosure Officer**					**30/08/2014**			
8.1	*Vu Thi Lan Phuong*							*30/08/2014*			*Wife*
8.2	*Du Tra My*							*30/08/2014*			*Child*
8.3	*Du Vu Tuan Khang*							*30/08/2014*			*Child*
8.4	*Du Thuy Dung*							*30/08/2014*			*Child*
8.5	*Ngo Thanh Duong*							*30/08/2014*			*Son-in-law*

8.6	*Du Thi Nhan*							*30/08/2014*			*Sister*
8.7	*Du Van Nhan*							*30/08/2014*			*Brother*
8.8	*Nguyen Thi He*							*30/08/2014*			*Sister-in-law*
8.9	*Du Xuan Thuc*							*30/08/2014*			*Brother*
8.10	*Du Quang Duc*							*30/08/2014*			*Brother*
8.11	*Dinh Thi My*							*30/08/2014*			*Sister-in-law*
8.12	*Du Thi Thuy*							*30/08/2014*			*Sister*
8.13	*Tran Dinh Luong*							*30/08/2014*			*Brother-in-law*
8.14	*Du Van Hoan*							*30/08/2014*			*Brother*
8.15	*Dinh Thi Hoat*							*30/08/2014*			*Sister-in-law*
8.16	*Vu Huu Chan*							*30/08/2014*			*Father-in-law*
8.17	*Vu Thi Toat*							*30/08/2014*			*Mother-in-law*
9	**Tran Hong Van**		**Head of the Board of Supervisors (BOS)**					**26/06/2026**			
9.1	*Nguyen Thi Phuc*							*26/06/2026*			*Mother*
9.2	*Tran Bich Thuy*							*26/06/2026*			*Sister*
9.3	*Tran Thanh Hieu*							*26/06/2026*			*Sibling*
9.4	*Ha Van Anh*							*26/06/2026*			*Child*
9.5	*Ha Quynh Anh*							*26/06/2026*			*Child*

9.6	*Ha Thi Quy*							*26/06/2026*			*Mother-in-law*
9.7	*Ha Van Than*							*26/06/2026*			*Husband*
9.8	*Dang Xuan Khoa*							*26/06/2026*			*Brother-in-law*
10	**Trinh Thi My Le**		**BOS Member**					**26/06/2026**			
10.1	*Nghiem Xuan Hoa*							*26/06/2026*			*Husband*
10.2	*Nghiem Khanh Chi*							*26/06/2026*			*Child*
10.3	*Nghiem Quang Minh*							*26/06/2026*			*Child*
10.4	*Trinh Van Duong*							*26/06/2026*			*Father*
10.5	*Nguyen Thi Ninh*							*26/06/2026*			*Mother*
10.6	*Trinh Ngoc Manh*							*26/06/2026*			*Sibling*
10.7	*Nghiem Van Pha*							*26/06/2026*			*Father-in-law*
11	**Nguyen Thi Duyen**		**BOS Member**					**26/06/2026**			
11.1	*Nguyen Ba Yen*							*26/06/2026*			*Father*
11.2	*Nguyen Thi Nhan*							*26/06/2026*			*Mother*
11.3	*Nguyen Ba Hien*							*26/06/2026*			*Sibling*
11.4	*Nguyen Ba Hoa*							*26/06/2026*			*Sibling*
11.5	*Nguyen Ngoc Le Minh*							*26/06/2026*			*Child*
11.6	*Nguyen Ngoc Minh Ha*							*26/06/2026*			*Child*
11.7	*Nguyen Van Huong*							*26/06/2026*			*Father-in-law*

11.8	*Le Thi Loi*							*26/06/2026*			*Mother-in-law*
11.9	*Le Thi Thom*							*26/06/2026*			*Sister-in-law*
12	**Vu Thi Ngoc Mai**		**Internal Audit Specialist**					**24/11/2023**			
12.1	*Du Thi Nhan*							*24/11/2023*			*Mother*
12.2	*Vu Van Phong*							*24/11/2023*			*Brother*
12.3	*Quach Thi Tuyen*							*24/11/2023*			*Sister-in-law*
12.4	*Vu Thi Huong*							*24/11/2023*			*Sister*
12.5	*Vu Van Hung*							*24/11/2023*			*Brother*
12.6	*Hoang Thi Van*							*24/11/2023*			*Sister-in-law*
12.7	*Vu Van Hoa*							*24/11/2023*			*Brother*
12.8	*Dinh Thi Hong Tam*							*24/11/2023*			*Sister-in-law*

Hanoi, July 24, 2026

CHAIRMAN OF THE BOARD OF DIRECTORS

S.G.P: 70 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX
P. HAI BÀ TRƯNG - T.P HÀ NỘI

HA HUY HUNG

VIX SECURITIES

JOINT STOCK COMPANY

APPENDIX III: LIST OF INSIDERS AND RELATED PERSONS OF INSIDERS

AS AT 30 JUNE 2026

(Attached to the 2026 Semi-Annual Corporate Governance Report)

No.	Full Name	Securities Trading Account	Position at the Company	ID Document Number (Citizen ID Card, Passport)	Date of Issue	Place of Issue	Contact Address	Number of Shares Held at End of Period	Share Ownership Ratio at End of Period (%)	Notes
1	2	3	4	5	6	7	8	9	10	11
1	**Ha Huy Hung**		**Chairman of the Board of Directors**							
1.1	*Ha Huy Huong*									*Father*
1.2	*Ho Thi Yen*									*Mother*
1.3	*Ha Thi Hoai*									*Younger Sibling*
1.4	*Tran Van Chung*									*Brother-in-law*
1.5	*Ha Thi Thom*									*Younger Sibling*
1.6	*Nguyen Quang Van*									*Brother-in-law*
1.7	*Ha Thi Hong Tham*									*Younger Sibling*
1.8	*Vo Van Mau*									*Brother-in-law*
1.9	*Ha Thi Thiet*									*Younger Sibling*
1.10	*Nguyen Manh Hung*									*Brother-in-law*
1.11	*Ha Huy Viet*									*Younger Sibling*
1.12	*Le Thi Anh*									*Sister-in-law*
1.13	*Pham Thi Thu Trang*									*Wife*

1.14	*Ha Huy Anh*									*Child*
1.15	*Ha Huy Minh*									*Child*
1.16	*Pham Manh Khoe*									*Father-in-law*
1.17	*Tran Thi Dan*									*Mother-in-law*
1.18	*Pham Quoc Viet*									*Sibling-in-law*
2	**Nguyen Tuan Dung**		**Member of the Board of Directors – General Director**					**997,841**	**0.041%**	
2.1	*Dao Thi Tam Khanh*									*Wife*
2.2	*Nguyen Dao Tam Anh*									*Child*
2.3	*Nguyen Ngoc Hieu Anh*									*Child*
2.4	*Nguyen Ngoc Lam*									*Father*
2.5	*Vu Thi Xuan*									*Mother*
2.6	*Nguyen Thi Thu Thuy*									*Younger Sibling*
2.7	*Nguyen Tien Thanh*									*Younger Sibling*
2.8	*Nguyen Thanh Tien*									*Younger Sibling*
2.9	*Nguyen Thi Duc Hanh*									*Younger Sibling*
2.10	*Dao Tuan*									*Father-in-law*
2.11	*Vu Thi Nhung*									*Mother-in-law*
2.12	*Nguyen Trong Thanh*									*Brother-in-law*
2.13	*Nguyen Linh*									*Brother-in-law*

2.14	*Dao Thi Thu*									*Sister-in-law*
2.15	*Dao Thi Theu*									*Sister-in-law*
3	**Do Ngoc Dinh**		**Member of the Board of Directors – Deputy General Director**					**848,937**	**0.035%**	
3.1	*Nguyen Thuy Nga*									*Wife*
3.2	*Do Duc Anh*									*Child*
3.3	*Do Duc Hung*									*Child*
3.4	*Do Ngoc Doa*									*Father*
3.5	*Pham Thi Kich*									*Mother*
3.5	*Do Ngoc Dap*									*Older Brother*
3.7	*Nguyen Mau Luc*									*Father-in-law*
3.8	*Bui Thi Chao*									*Mother-in-law*
3.9	*Giang Thi Hao*									*Sister-in-law*
3.10	*VIX Crypto Assets Exchange Joint Stock Company (VIXEX)*									*Member of the Board of Directors*
4	**Cao Thi Hong**		**Member of the Board of Directors**					**168,000**	**0.007%**	
4.1	*Le Thi Minh*									*Mother*
4.2	*Cao Thi Gam*									*Older Sister*
4.3	*Cao Long Bien*									*Younger Sibling*

4.4	*Cao Van Long*									*Younger Sibling*
4.5	*Le Gia Thien*									*Child*
4.6	*Le Gia Bao*									*Child*
4.7	*Le Bao Ngoc*									*Child*
4.8	*Vo Song Hy*									*Brother-in-law*
4.9	*Hoang Thanh Mai*									*Sister-in-law*
4.10	*To Nhat Ha*									*Sister-in-law*
5	**Phan Duc Linh**		**Member of the Board of Directors**							
5.1	*Nguyen Thi Thieu*									*Mother*
5.2	*Phan Thi Thanh*									*Older Sister*
5.3	*Nguyen Van Hai*									*Brother-in-law*
5.4	*Phan Thi Thanh*									*Older Sister*
5.5	*Nguyen Viet Quang*									*Brother-in-law*
5.6	*Nguyen Thanh Nga*									*Wife*
5.7	*Phan Diep Chi*									*Child*
5.8	*Phan Minh Duong*									*Child*
5.9	*Nguyen Hong Thai*									*Father-in-law*
5.10	*Nguyen Thi Tuyet Mai*									*Mother-in-law*
5.11	*Nguyen Thu Hien*									*Sister-in-law*

6	**Nguyen Thi Thu Hang**		**Chief Accountant**					**3,662,400**	**0.150%**	
6.1	*Tran Khanh Hiep*									*Husband*
6.2	*Tran Khanh Van Nhi*									*Child*
6.3	*Tran Khanh Tue Nhi*									*Child*
6.4	*Tran Khanh Nam Phong*									*Child*
6.5	*Nguyen Van Dai*									*Father*
6.6	*Nguyen Thi Loan*									*Mother*
6.7	*Nguyen Van Long*									*Older Sister*
6.8	*Nguyen Thi Hai Lan*									*Brother-in-law*
6.9	*Nguyen Thi Thanh Nga*									*Older Sister*
6.10	*Le Manh Cuong*									*Brother-in-law*
6.11	*Nguyen Thi Ha*									*Mother-in-law*
6.12	*VIX Crypto Assets Exchange Joint Stock Company (VIXEX)*									*Chief Accountant*
7	**Du Van Toan**		**Person in Charge of Corporate Governance and Information Disclosure Officer**							
7.1	*Vu Thi Lan Phuong*									*Wife*
7.2	*Du Tra My*									*Child*
7.3	*Du Vu Tuan Khang*									*Child*

7.4	*Du Thuy Dung*									*Child*
7.5	*Ngo Thanh Duong*									*Son-in-law*
7.6	*Du Thi Nhan*									*Older Sister*
7.7	*Du Van Nhan*									*Older Brother*
7.8	*Nguyen Thi He*									*Sister-in-law*
7.9	*Du Xuan Thuc*									*Older Brother*
7.10	*Du Quang Duc*									*Older Brother*
7.11	*Dinh Thi My*									*Sister-in-law*
7.12	*Du Thi Thuy*									*Older Sister*
7.13	*Tran Dinh Luong*									*Brother-in-law*
7.14	*Du Van Hoan*									*Older Brother*
7.15	*Dinh Thi Hoat*									*Sister-in-law*
7.16	*Vu Huu Chan*									*Father-in-law*
7.17	*Vu Thi Toat*									*Mother-in-law*
8	**Tran Hong Van**		**Head of the Supervisory Board**							
8.1	*Nguyen Thi Phuc*									*Mother*
8.2	*Tran Bich Thuy*							**24,648**	**0.001%**	*Older Sister*
8.3	*Tran Thanh Hieu*									*Younger Sibling*
8.4	*Ha Van Anh*									*Child*

8.5	*Ha Quynh Anh*									*Child*
8.6	*Ha Thi Quy*									*Mother-in-law*
8.7	*Ha Van Than*									*Husband*
8.8	*Dang Xuan Khoa*									*Brother-in-law*
9	**Trinh Thi My Le**		**Member of the Supervisory Board**							
9.1	*Nghiem Xuan Hoa*									*Husband*
9.2	*Nghiem Khanh Chi*									*Child*
9.3	*Nghiem Quang Minh*									*Child*
9.4	*Trinh Van Duong*									*Father*
9.5	*Nguyen Thi Ninh*									*Mother*
9.6	*Trinh Ngoc Manh*									*Younger Sibling*
9.7	*Nghiem Van Pha*									*Father-in-law*
10	**Nguyen Thi Duyen**		**Member of the Supervisory Board**							
10.1	*Nguyen Ba Yen*									*Father*
10.2	*Nguyen Thi Nhan*									*Mother*
10.3	*Nguyen Ba Hien*									*Younger Sibling*
10.4	*Nguyen Ba Hoa*									*Younger Sibling*
10.5	*Nguyen Ngoc Le Minh*									*Child*
10.6	*Nguyen Ngoc Minh Ha*									*Child*

10.7	*Nguyen Van Huong*								*Father-in-law*
10.8	*Le Thi Loi*								*Mother-in-law*
10.9	*Le Thi Thom*								*Sister-in-law*
11	**Vu Thi Ngoc Mai**		**Internal Audit Specialist**					**84,000**	**0.003%**	
11.1	*Du Thi Nhan*								*Mother*
11.2	*Vu Van Phong*								*Older Brother*
11.3	*Quach Thi Tuyen*								*Sister-in-law*
11.4	*Vu Thi Huong*								*Older Sister*
11.5	*Vu Van Hung*								*Older Brother*
11.6	*Hoang Thi Van*								*Sister-in-law*
11.7	*Vu Van Hoa*								*Older Brother*
11.8	*Dinh Thi Hong Tam*								*Sister-in-law*

Hanoi, July 24, 2026

CHAIRMAN OF THE BOARD OF DIRECTORS

S.G.P: 70 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN VIX
P. HAI BÀ TRƯNG - T.P HÀ NỘI

HA HUY HUNG