

Số/No: 2907.01/2026/CBTT-VBSE12

Hà Nội, ngày 29 tháng 07 năm 2026

Hanoi, July 29, 2026

CÔNG BỐ THÔNG TIN TRÊN CỔNG THÔNG TIN ĐIỆN TỬ
DISCLOSURE OF INFORMATION ON THE PORTAL

Kính gửi/To: - Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Stock Exchange*
- Sở Giao dịch Chứng khoán Tp.Hồ Chí Minh/ *HoChiMinh Stock Exchange*
- Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*

1. Tên tổ chức: Công ty Cổ phần Chứng khoán VietinBank

Name of organization: VietinBank Securities Joint Stock Company

2. Mã chứng khoán/ *Security Symbol*: CTS

3. Địa chỉ trụ sở chính: Tầng 1 đến Tầng 4, Tòa nhà N02-T2 Khu Đoàn Ngoại giao, Phường Xuân Đình, Thành phố Hà Nội.

Address: 1st to 4th Floor, Building N02-T2 Diplomatic Corps Area, Xuan Dinh Ward, Hanoi.

4. Điện thoại/ *Telephone*: 024. 3974 1771

Fax: 024. 3974 1760

5. Website: www.vbse.vn

Email: congbothongtin@vbse.vn

6. Người thực hiện công bố thông tin/ *Submitted by*:

- Họ và tên/ *Full Name*: **Trần Phúc Vinh / *Tran Phuc Vinh***

- Chức vụ/ *Position*: Chủ tịch Hội đồng quản trị / *Chairman of the Board of Directors*

- Địa chỉ: Tầng 1 đến Tầng 4, Tòa nhà N02-T2 Khu Đoàn Ngoại giao, Phường Xuân Đình, Thành phố Hà Nội.

Address: 1st to 4th Floor, Building N02-T2 Diplomatic Corps Area, Xuan Dinh Ward, Hanoi.

- Điện thoại/ *Tel*: 024. 3974 1771

Fax: 024. 3974 1760

7. Loại thông tin công bố: ☒ Định kỳ ☐ Bất thường ☐ Theo yêu cầu

Information disclosure type: ☒ *Periodic* ☐ *Irregular* ☐ *On demand*

8. Nội dung thông tin công bố/ *Content of Information disclosure*:

Công ty Cổ phần Chứng khoán VietinBank công bố thông tin Báo cáo tình hình quản trị công ty 06 tháng đầu năm 2026.

VietinBank Securities Joint Stock Company announces the Report on Corporate Governance for the first 06 months of 2026.

Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 29/07/2026 tại đường dẫn: <https://www.vbse.vn/co-dong/vbse-cbtt-bao-cai-tinh-hinh-quan-tri-cong-ty-06-thang-dau-nam-2026-vbse-announces-the-report-on-corporate-governance-for-the-first-06-months-of-2026/>

This information was published on the Company's website on July 29, 2026, as in the link: <https://www.vbse.vn/co-dong/vbse-cbtt-bao-cai-tinh-hinh-quan-tri-cong-ty-06-thang-dau-nam-2026-vbse-announces-the-report-on-corporate-governance-for-the-first-06-months-of-2026/>

Chúng tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./.

We hereby certify that the information provided is true and correct and we bear full responsibility to the law./.

Tài liệu kèm theo/ Attached documents:

- Báo cáo tình hình quản trị công ty 06 tháng đầu năm 2026/ Report on Corporate Governance for the first 06 months of 2026.

Nơi nhận/ Recipients:

- Như K/g/ As To;
- Lưu/ Archives TCHC, VP. HĐQT/ HR & Administration Department, BOD Office.

ĐẠI DIỆN TỔ CHỨC
ORGANIZATION REPRESENTATIVE
NGƯỜI ĐẠI DIỆN THEO PHÁP LUẬT
LEGAL REPRESENTATIVE
CHỦ TỊCH HỘI ĐỒNG QUẢN TRỊ
CHAIRMAN OF THE BOARD OF DIRECTORS



Trần Phúc Vinh / Tran Phuc Vinh



**REPORT ON
CORPORATE GOVERNANCE
(First 6 months of 2026)**

To:

- The State Securities Commission**
- Vietnam Stock Exchange**
- HoChiMinh Stock Exchange**
- Hanoi Stock Exchange**

- Name of company: **VIETINBANK SECURITIES JOINT STOCK COMPANY**
- Address of head office: 1st to 4th Floor, Building N02-T2 Diplomatic Corps Area, Xuan Dinh Ward, Hanoi, Vietnam.
- Telephone: 024.39741771; Fax: 024.39741760; Email: vanphong@vbse.vn
- Charter capital (*as at June 30, 2026, according to the Company's Q2/2026 Financial Statements*): **VND 2,126,934,380,000** (*Two trillion, one hundred twenty-six billion, nine hundred thirty-four million, three hundred eighty thousand dong*).
- Current Charter capital: **VND 2,722,449,390,000** (*Two trillion, seven hundred twenty-two billion, four hundred forty-nine million, three hundred ninety thousand dong*).
- Stock symbol: **CTS**
- Corporate governance model: General Meeting of Shareholders (GMS), Board of Directors (BOD), Board of Supervisors (BOS) and General Director.
- The implementation of internal audit: Implemented (The Company has an Internal Audit Department under the BOD).

I. Activities of the General Meeting of Shareholders:

In the first 6 months of 2026, VietinBank Securities Joint Stock Company (“**the Company**”) held 01 General Meeting of Shareholders. In which, the 2026 Annual General Meeting (AGM) of Shareholders was held in person by the Company at 07:30 A.M on April 21, 2026, on the 4th Floor, Building N02-T2, Diplomatic Corps Area, Xuan Dinh Ward, Hanoi.

The Resolution of the Company's 2026 AGM of Shareholders takes effect from April 21, 2026.

The Resolution, Meeting Minutes of the 2026 AGM of Shareholders had been disclosed by the Company in accordance with regulations.

Specifically, the contents approved by the 2026 AGM of Shareholders are as follows:

No.	Resolution/Decision No.	Date	Content
1	01/2026/NQ-DHĐCĐ-VBSE	April 21, 2026	<p>Main contents of the Resolution of the 2026 AGM of Shareholders:</p> <ol style="list-style-type: none"> 1. Approval of the BOD’s Report on the Company’s business management in 2025, the governance and the performance of the BOD and its members in 2025, and the business orientation in 2026. 2. Approval of the BOS’ Report on its activities and the results of its assigned duties and powers in 2025, and the activity orientation for 2026. 3. Approval of the 2025 Financial Statements of the Company audited by PwC (Vietnam) Company Limited. 4. Approval of the 2025 Profit Distribution Plan. 5. Approval of the 2026 Business Plan, Profits, and Dividends of the Company. The GMS authorized the BOD of the Company to proactively review, research, and adjust the business plan, profits, and dividends for 2026 in accordance with the actual situation and to disclose information as required by legal provisions. 6. Approval of the List of independent auditing firms and authorize the Company's BOD to decide on the selection of 01 (one) independent auditing firm from the list of auditing firms approved by the State Securities Commission to audits public interest entities in the securities sector in 2026 (as per the attached list in the 2026 AGM Proposal on

No.	Resolution/Decision No.	Date	Content
			<p>selection of an independent audit for 2026), updated as of the time of selection, to review/audit the Financial Statements and semi-annual/annual financial safety ratio reports of the Company for 2026, ensuring quality, efficiency at a reasonable cost and in compliance with provisions law.</p> <p>7. Approval of the total remuneration, bonuses, and other benefits of the BOD, and the salary, remuneration, bonuses, other benefits and operating budget of the BOS in 2026.</p> <p>8. Approval of Plan of increasing charter capital through the issuance of shares for dividend payments of the Company as follow.</p> <ul style="list-style-type: none"> - Approve the <i>“Plan of increasing charter capital through the issuance of shares for dividend payments from undistributed after-tax profits by the end of 2025”</i> of the Company. - Approve the amendment of the Company's Charter on Organization and Operation in accordance with the updated charter capital and the increased number of shares. - Approve the adjustment of the registered securities quantity at Vietnam Securities Depository and Clearing Corporation (VSDC), registration of additional listing of shares issued according to the above issuance plan at the Ho Chi Minh City Stock Exchange (HOSE). - The GMS authorized and assigned to the Company's BOD to: <ul style="list-style-type: none"> + Decide, adjust and complete the Company's <i>“Plan of increasing charter capital through shares issuance for dividend payments from undistributed realized after-tax profits as of the end of 2025”</i> based on the approval of the competent authority/request of the competent authority (if any).

No.	Resolution/Decision No.	Date	Content
			<ul style="list-style-type: none"> + Approve the revised content, consolidate the full text, and promulgate the Charter of Organization and Operation of the Company in accordance with the updated charter capital and the increased number of shares. + Decide, approve the contents and implement all necessary tasks and procedures to implement: increase charter capital, issue shares to pay dividends, change the Company's Establishment and Operation License and Business Registration Certificate, adjustments to the registered securities quantity at VSDC, register additional listing of shares at HOSE and other procedures as prescribed by law. + Decide on other issues related to increasing the Company's charter capital. <p>9. Approval of the amendments, supplements to, and the consolidated full text of the Charter on Organization and Operation and the Regulations on Operation of the Board of Directors of the Company.</p> <p>Authorization of the competent person to sign and promulgate the Charter on Organization and Operation and the Regulations on Operation of the Board of Directors, incorporating all amendments and supplements approved by the General Meeting of Shareholders.</p> <p>10. Approval of the Regulation on procedures and sequences for convening and voting at the Company's General Meeting of Shareholders.</p> <p>Authorization of the competent person(s) to sign Regulation on procedures and sequences for convening and voting at the Company's General Meeting of Shareholders</p>



II. Board of Directors (2026 Semi-annual report):

1. Information about the members of Board of Directors:

No.	BOD's members	Position	The date of becoming/ceasing to be member of the BOD/ an Independent member of the BOD	
			Date of appointment	Date of dismissal
1	Mr. Tran Phuc Vinh	Chairman of the BOD	November 11, 2021 ⁽¹⁾	-
2	Mr. Vu Duc Manh	Member of the BOD	January 10, 2022	-
3	Ms. Tran Thu Trang	Member of the BOD	November 18, 2025	-
4	Ms. Ho Thi Thu Hien	Member of the BOD	April 27, 2020	-
5	Mr. Pham Viet Hung	Independent Member of the BOD	April 24, 2025	-

(1) Mr. Tran Phuc Vinh was elected by the Company's 2020 AGM of Shareholders as a member of the Company's BOD from April 27, 2020 and was elected by the Company's BOD to hold the position of Chairman of the BOD from November 11, 2021 (term until April 9, 2024); and was continuously elected by 2024 AGM of Shareholders of the Company as a member of the Company's BOD and elected by the Company's BOD to hold the position of Chairman of the BOD for a term of 05 (five) years started from April 10, 2024.

2. Meetings of the Board of Directors ⁽²⁾:

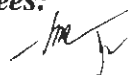
No.	Board of Directors' member	Number of meetings attended by Board of Directors	Meeting attendance rate	Reasons for absence
1	Mr. Tran Phuc Vinh	13/13	100%	-
2	Mr. Vu Duc Manh	13/13	100%	-
3	Ms. Tran Thu Trang	13/13	100%	-
4	Ms. Ho Thi Thu Hien	13/13	100%	-
5	Mr. Pham Viet Hung	13/13	100%	-

(2) Excluding cases of written opinion.

3. Supervising Board of Management by the Board of Directors:

- In the first 6 months of 2026, The BOD of the Company has supervised the Board of Management to exercise the rights and duties, goals, target plans assigned in accordance with the provisions of law, the Charter of Organization and Operation of the Company (hereinafter referred to as "**the Company's Charter**"), Regulation on the Supervisory Activities of the Board of Directors of the Company and the Resolutions of the GMS/BOD, ensuring the legitimate rights and interests of the Company and shareholders.
- The BOD's supervision of the General Director and other Executives in the management of the Company's business and daily operations is carried out through specific resolutions and directions of the BOD for the General Director, Deputy General Directors and other Executives of the Company at regular/extraordinary BOD's meetings when they arise and in Resolutions approved by the BOD by the method of obtaining written opinions for each specific case, and at the same time, supervision is carried out through channels such as through the reporting mechanism of the General Director to the BOD, through the activities of the Internal Audit Department under the BOD, ...
- The BOD of the Company has regularly supervised and promptly directed the Board of Management to organize the implementation of the business plan objectives and targets assigned by the GMS, as well as the implementation of the Company's action plans; supervised the management and operation of business on the basis of the Company's Charter, the Enterprise Law, the Securities Law and amendments, supplements, guiding documents as well as regulations and instructions of competent management agencies. The BOD has also requested the Board of Management of the Company to periodically/unscheduledly report on the implementation of business operations, management and risk management of the Company's activities, thereby the BOD has promptly directed to handle difficulties as well as have appropriate orientations for the Company's business activities, management and operation and risk management at each time/in each period.
- The BOD has promulgated/approved the issuance, amendment and supplementation of regulations, rules and made relevant decisions in the process of implementing governance work in accordance with the provisions of the Company Charter, Internal regulation on corporate governance and the BOD' regulation on operation approved by the GMS; at the same time, it supervises the implementation and compliance by the Board of Management with regulations, rules, decisions/resolutions of the GMS/BOD to ensure the safety and effectiveness of the Company's operations and compliance with current laws.

4. Activities of the Board of Directors' subcommittees:



The BOD does not establish subcommittees under the BOD. However, to perform the functions and tasks of the BOD, the BOD/Chairman of the BOD has:

- Maintain the operating structure of the Company's BOD (in which at least 1/3 of the total number of BOD members are non-executive members and at least 01 BOD member is an independent member) to ensure independence and objectivity in the BOD' operations and compliance with the provisions of the Law.
- Assign duties to members of the BOD in charge of and supervise specific areas of operation to perform the duties and powers of the BOD comprehensively and fully, in compliance with the provisions of the Law, the Company's Charter and the Company's internal regulations.
- Continue to maintain and strengthen the role of the Internal Audit Department under the BOD. The Internal Audit Department at the Company performs its functions and tasks in accordance with the provisions of Decree No. 05/2019/ND-CP dated January 22, 2019 of the Government on Internal Audit, Circular No. 66/2020/TT-BTC dated July 10, 2020, issued by the Ministry of Finance, promulgates the model regulations on internal auditing applicable to enterprises and Circular No. 121/2020/TT-BTC dated December 31, 2020 of the Ministry of Finance regulating the activities of securities companies, in addition, performing specific tasks assigned by the BOD. Currently, the Internal Audit Department under the Company's BOD has 01 person as Department Head.

5. Resolutions/Decisions of the Board of Directors (2026 Semi-annual report):

No.	Resolution/Decision Number	Date	Content	Approval rate
1	01/2026/NQ-HĐQT-VBSE	January 09, 2026	Approval of the investment portfolio and the plan for investment and procurement of fixed assets for 2026	100%
2	02/2026/NQ-HĐQT-VBSE	January 14, 2026	Approval of the 2026 Internal Audit Plan	100%
3	03/2026/NQ-HĐQT-VBSE	January 15, 2026	Increasing the number of margin loan extensions for clients	100%
4	03A/2026/NQ-HĐQT-VBSE	January 15, 2026	Approval of margin loan extension fees for clients	100%
5	04/2026/NQ-HĐQT-VBSE	January 15, 2026	Approval of the proposal from the Issuing Organization regarding the bonds in the Company's investment portfolio	100%
6	05/2026/NQ-HĐQT-VBSE	January 20, 2026	Approval of the trading plan for the stocks	100%

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No.	Resolution/Decision Number	Date	Content	Approval rate
7	06/2026/NQ-HĐQT-VBSE	January 21, 2026	Approval of the Financial Plan Assignment for Units in 2026	100%
8	07/2026/NQ-HĐQT-VBSE	January 21, 2026	Evaluation and classification of 2025 task completion for Units	100%
9	08/2026/NQ-HĐQT-VBSE	January 23, 2026	Approval of the trading plan for the stocks	100%
10	09/2026/NQ-HĐQT-VBSE	January 27, 2026	Approval of the trading plan for the stocks	100%
11	10/2026/NQ-HĐQT-VBSE	January 27, 2026	Approval of credit facility	100%
12	11/2026/NQ-HĐQT-VBSE	January 30, 2026	Disbursement from the Reward Funds	100%
13	12/2026/NQ-HĐQT-VBSE	January 30, 2026	Evaluation and classification of 2025 task completion for staff under the personnel management authority of the Board of Directors	100%
14	13/2026/NQ-HĐQT-VBSE	February 04, 2026	Approval of credit facility	100%
15	14/2026/NQ-HĐQT-VBSE	February 09, 2026	Change of tender package name and approval of the Contractor Selection Plan for the tender package: Procurement of firewall and load balancing equipment for VietinBank Securities Joint Stock Company	100%
16	15/2026/NQ-HĐQT-VBSE	February 09, 2026	Change of tender package name and approval of the Contractor Selection Plan for the tender package: Investment in the procurement of information technology equipment for VietinBank Securities Joint Stock Company.	100%
17	16/2026/NQ-HĐQT-VBSE	February 09, 2026	Approval of procurement for "Clients documents scanning and storage services on electronic inventory management software"	100%
18	17/2026/NQ-HĐQT-VBSE	February 10, 2026	Implementing the contents at the BOD's meeting in February 2026	100%
19	18/2026/NQ-HĐQT-VBSE	February 11, 2026	Approval of the trading plan for the stocks	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
20	19/2026/NQ-HĐQT-VBSE	February 12, 2026	Disbursement from the Welfare Fund	100%
21	20/2026/NQ-HĐQT-VBSE	February 26, 2026	Approval of credit facility	100%
22	21/2026/NQ-HĐQT-VBSE	March 04, 2026	Approval of the trading plan for the stocks	100%
23	22/2026/NQ-HĐQT-VBSE	March 04, 2026	Approval of the trading plan for the stocks	100%
24	23/2026/NQ-HĐQT-VBSE	March 04, 2026	Holding the 2026 Annual General Meeting of Shareholders	100%
25	24/2026/NQ-HĐQT-VBSE	March 04, 2026	Approval of credit facility	100%
26	25/2026/NQ-HĐQT-VBSE	March 04, 2026	Re-approval and implementation of several new policies on stock margin lending for clients	100%
27	26/2026/NQ-HĐQT-VBSE	March 09, 2026	Establishment of an appraisal committee of the Board of Directors (Authorized person)	100%
28	27/2026/NQ-HĐQT-VBSE	March 09, 2026	Approval of credit facility	100%
29	28/2026/NQ-HĐQT-VBSE	March 12, 2026	Approval of credit facility	100%
30	29/2026/NQ-HĐQT-VBSE	March 13, 2026	Change the name of Ba Trieu Transaction Office	100%
31	30/2026/NQ-HĐQT-VBSE	March 13, 2026	Increase the total margin lending limit for the entire company.	100%
32	31/2026/NQ-HĐQT-VBSE	March 17, 2026	Approval of the adjustment of the trading plan for the stocks.	100%
33	32/2026/NQ-HĐQT-VBSE	March 18, 2026	Approval of the policy on the list of personnel to be removed from/added to the personnel planning for the period 2021-2026	100%
34	33/2026/NQ-HĐQT-VBSE	March 24, 2026	Re-approving and implementing new policies for equity margin trading loans for clients.	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
35	34/2026/NQ-HĐQT-VBSE	March 24, 2026	Re-approving and implementing new policies for equity margin trading loans for clients.	100%
36	35/2026/NQ-HĐQT-VBSE	March 25, 2026	Approval of the Interest Rate Framework for Advance Payments on Securities Sale from the Company and the Interest Rate Framework for Margin Trading Loans applicable in Q2/2026	100%
37	36/2026/NQ-HĐQT-VBSE	March 25, 2026	Approval of the trading plan for the stocks	100%
38	37/2026/NQ-HĐQT-VBSE	March 26, 2026	Approval of the adjustment of the loan limit	100%
39	38/2026/NQ-HĐQT-VBSE	March 27, 2026	Approval of the 2026 staffing plan for IT personnel at VietinBank Securities Joint Stock Company	100%
40	39/2026/NQ-HĐQT-VBSE	March 30, 2026	The rate for calculating Affiliate Service Fees applicable in Q2/2026	100%
41	40/2026/NQ-HĐQT-VBSE	March 30, 2026	Approval of credit facility	100%
42	41/2026/NQ-HĐQT-VBSE	March 30, 2026	Approval of credit facility	100%
43	42/2026/NQ-HĐQT-VBSE	March 30, 2026	Approval of the Investment and Business Plan for Bonds	100%
44	43/2026/NQ-HĐQT-VBSE	March 31, 2026	Approval of credit facility	100%
45	44/2026/NQ-HĐQT-VBSE	March 31, 2026	Termination of labor contracts with employees	100%
46	45/2026/NQ-HĐQT-VBSE	March 31, 2026	Re-approving and implementing new policies on margin trading loans for clients	100%
47	46/2026/NQ-HĐQT-VBSE	March 31, 2026	Increase of the number of margin trading loan extensions and approval of extension fees for clients	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
48	47/2026/NQ-HDQT-VBSE	March 31, 2026	Approval of the Company's Board of Directors' 2026 Monitoring Plan	100%
49	48/2026/NQ-HDQT-VBSE	March 31, 2026	Approval of the Bond Holding Plan	100%
50	49/2026/NQ-HDQT-VBSE	March 31, 2026	Personnel work	100%
51	50/2026/NQ-HDQT-VBSE	April 02, 2026	Approval of credit facility	100%
52	51/2026/NQ-HDQT-VBSE	April 02, 2026	Approval of the adjustment of the credit facility	100%
53	52/2026/NQ-HDQT-VBSE	April 03, 2026	Approval of credit facility at Vietnam International Commercial Bank (VIB).	100%
54	53/2026/NQ-HDQT-VBSE	April 03, 2026	Approval of the trading plan for the stocks	100%
55	54/2026/NQ-HDQT-VBSE	April 06, 2026	Approval of credit facility	100%
56	55/2026/NQ-HDQT-VBSE	April 06, 2026	Approval of adjustments to the investment portfolio and investment plan, and the procurement of fixed assets for 2026.	100%
57	56/2026/NQ-HDQT-VBSE	April 09, 2026	Approval of the Feasibility Study Report for the Project: "Unicore System Upgrade: Addition of Carbon Trading System"	100%
58	57/2026/NQ-HDQT-VBSE	April 16, 2026	Approval of credit facility	100%
59	58/2026/NQ-HDQT-VBSE	April 20, 2026	Approval of Agenda, the content of the materials for the 2026 Annual General Meeting of Shareholders of the Company and related matters will be discussed	100%
60	59/2026/NQ-HDQT-VBSE	April 20, 2026	Implementation of the contents of the Board of Directors meeting in April 2026	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
61	60/2026/NQ-HĐQT-VBSE	April 20, 2026	Approval of credit facility	100%
62	61/2026/NQ-HĐQT-VBSE	April 20, 2026	Approval of credit facility	100%
63	62/2026/NQ-HĐQT-VBSE	April 21, 2026	Approval of the content of the draft amendment and approval of the Regulations on Cooperation in Developing Clients for Securities Brokerage Activities at VietinBank Securities Joint Stock Company.	100%
64	63/2026/NQ-HĐQT-VBSE	April 21, 2026	Approval of the Policy on Handling Collateral Assets of Bonds	100%
65	64/2026/NQ-HĐQT-VBSE	April 22, 2026	Approval of the content of the draft and approval of the promulgation of the "Regulations on payment of salaries to employees, and payment of salaries and remuneration to managers of VietinBank Securities Joint Stock Company	100%
66	65/2026/NQ-HĐQT-VBSE	April 23, 2026	Approval of the Feasibility Study Report for the Project: "Procurement of equipment and licenses for the data center (DC) and Ba Trieu Branch Office"	100%
67	66/2026/NQ-HĐQT-VBSE	April 23, 2026	Approval of the Feasibility Study Report for the Project: Procurement of software, licenses, and equipment for database upgrade	100%
68	67/2026/NQ-HĐQT-VBSE	April 23, 2026	Approval of the Feasibility Study Report for the Project: Procurement of Software Licenses and Information Technology Equipment	100%
69	68/2026/NQ-HĐQT-VBSE	April 24, 2026	Increase of the number of margin trading loan extensions and approval of extension fees for clients	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
70	69/2026/NQ-HĐQT-VBSE	April 24, 2026	Division of the Reward Fund and the Welfare Fund	100%
71	70/2026/NQ-HĐQT-VBSE	April 29, 2026	Approval of the invalidation of Board of Directors Resolutions/Decisions regarding personnel matters	100%
72	71/2026/NQ-HĐQT-VBSE	April 29, 2026	Disbursement from the Reward Funds	100%
73	72/2026/NQ-HĐQT-VBSE	May 11, 2026	Closing the Ba Trieu Branch Office business location	100%
74	73/2026/NQ-HĐQT-VBSE	May 11, 2026	Implementation of the Company's Plan on shares issuance for dividend payments in accordance with Resolution of the 2026 Annual General Meeting	100%
75	74/2026/NQ-HĐQT-VBSE	May 15, 2026	Approval of the trading plan for the stocks	100%
76	75/2026/NQ-HĐQT-VBSE	May 15, 2026	Approval of the Feasibility Study Report for the Project: "Procurement of a Fund Certificate Distribution Management System"	100%
77	76/2026/NQ-HĐQT-VBSE	May 19, 2026	Staffing planning for 2026	100%
78	76A/2026/NQ-HĐQT-VBSE	May 19, 2026	Approval of the policy on the investment plan for fund certificates	
79	77/2026/NQ-HĐQT-VBSE	May 21, 2026	Approval of the Contractor Selection Plan for the Project: Upgrading the Unicore System to Add a Carbon Credit Trading System	100%
80	78/2026/NQ-HĐQT-VBSE	May 21, 2026	Approval of the Contractor Selection Plan for the Project: Procurement of equipment and licenses for the data center (DC) and Ba Trieu Branch Office.	100%
81	79/2026/NQ-HĐQT-VBSE	May 25, 2026	Approval of credit facility	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
82	80/2026/NQ-HĐQT-VBSE	May 25, 2026	Approval of credit facility	100%
83	81/2026/NQ-HĐQT-VBSE	May 25, 2026	Transactions with related persons	100%
84	82/2026/NQ-HĐQT-VBSE	May 25, 2026	Approval of the Business Plan for Bonds	100%
85	83/2026/NQ-HĐQT-VBSE	June 02, 2026	Approval of the Record Date for the shares issuance for dividend payments from undistributed realized after-tax profits up to the end of 2025 of the Company	100%
86	84/2026/NQ-HĐQT-VBSE	June 05, 2026	Personnel work	100%
87	85/2026/NQ-HĐQT-VBSE	June 05, 2026	Revocation of the right to use the first floor at 306 Ba Trieu Street, Hai Ba Trung Ward, Hanoi	100%
88	85A/2026/NQ-HĐQT-VBSE	June 05, 2026	Cessation of Implementing the Board of Directors' Resolution.	100%
89	86/2026/NQ-HĐQT-VBSE	June 09, 2026	Approval of the Contractor Selection Plan for the Project "Procurement of Software Licenses and Information Technology Equipment"	100%
90	87/2026/NQ-HĐQT-VBSE	June 10, 2026	Approval of credit facility	100%
91	88/2026/NQ-HĐQT-VBSE	June 11, 2026	Establishment of Hanoi Branch and adjustment of the Company's organizational structure	100%
92	89/2026/NQ-HĐQT-VBSE	June 11, 2026	Approval of credit and guarantees facilities at Vietnam Technological and Commercial Joint Stock Bank (Techcombank).	100%
93	90/2026/NQ-HĐQT-VBSE	June 11, 2026	Approval of the granting of usage rights for the 1 st and 3 rd floors of the building at 304 and 306 Ba Trieu Street, Hai Ba Trung Ward, Hanoi	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
94	91/2026/NQ-HĐQT-VBSE	June 12, 2026	Reorganization of Certain Departments at the Ho Chi Minh City Branch	100%
95	92/2026/NQ-HĐQT-VBSE	June 12, 2026	Approval of the principles for constructing KPI scorecards and the weighting of indicators within those scorecards for each unit	100%
96	93/2026/NQ-HĐQT-VBSE	June 12, 2026	Establishment of an Appraisal Committee of the Board of Directors (Authorized Person) for the Project “Expanding the integration features of Bravo 8 software and Procurement of integrated management software (payment management, asset and equipment management, human resource management)”.	100%
97	94/2026/NQ-HĐQT-VBSE	June 15, 2026	Approval of the Contractor Selection Plan for the Project: Procurement of a Fund Certificate Distribution Management System	100%
98	95/2026/NQ-HĐQT-VBSE	June 16, 2026	Approval of credit facility	100%
99	96/2026/NQ-HĐQT-VBSE	June 16, 2026	Participation in nominating candidates for the Board of Directors of an Investee Company	100%
100	97/2026/NQ-HĐQT-VBSE	June 18, 2026	Approval of credit facility at Asia Commercial Joint Stock Bank – Dinh Cong Branch	100%
101	98/2026/NQ-HĐQT-VBSE	June 23, 2026	Approval of results on shares issuance for dividend payments from undistributed realized after-tax profit up to the end of 2025 of the Company	100%
102	99/2026/NQ-HĐQT-VBSE	June 23, 2026	Approval of credit facility at Vietnam Thuong Tin Commercial Joint Stock Bank	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
103	100/2026/NQ-HĐQT-VBSE	June 23, 2026	Approval of credit and guarantee facilities at Joint Stock Commercial Bank for Investment and Development of Vietnam - Thanh Xuan Branch	100%
104	101/2026/NQ-HĐQT-VBSE	June 25, 2026	Transactions with related persons	100%
105	102/2026/NQ-HĐQT-VBSE	June 25, 2026	Implementation of contents of the Board of Directors meeting in June 2026	100%
106	103/2026/NQ-HĐQT-VBSE	June 26, 2026	Approval of final settlement for completed Project: "Expanding integration features of Bravo 8 software and Procurement of integrated management software (payment management, asset - tool & equipment management, human resource management)"	100%
107	104/2026/NQ-HĐQT-VBSE	June 29, 2026	Approval of Investment and Trading Plan for Bonds	100%
108	105/2026/NQ-HĐQT-VBSE	June 29, 2026	Approval of credit facility	100%
109	106/2026/NQ-HĐQT-VBSE	June 29, 2026	Approval of Interest Rate Framework for Advance Payments on Securities Sales from Company funds and Interest Rate Framework for Margin Trading Loans applicable in Q3/2026	100%
110	107/2026/NQ-HĐQT-VBSE	June 29, 2026	Approval of auditing firm to review/audit the Financial Statements and semi-annual/annual financial safety ratio reports of the Company for 2026	100%
111	108/2026/NQ-HĐQT-VBSE	June 30, 2026	Rate for calculating Affiliate Service Fees applicable in the last 6 months of 2026	100%
112	109/2026/NQ-HĐQT-VBSE	June 30, 2026	Approval of Contractor Selection Plan for Project: Procurement of software, licenses, and equipment for database upgrade	100%

No.	Resolution/Decision Number	Date	Content	Approval rate
113	110/2026/NQ-HĐQT-VBSE	June 30, 2026	The amendment of the License for Establishment and Operation and the Business Registration Certificate; the amendment of the Company's Charter on Organization and Operation; the Adjustment of the information on the number of registered shares at the Vietnam Securities Depository and Clearing Corporation; and the registration for the additional listing of shares at the HoChiMinh Stock Exchange	100%

III. Board of Supervisors (2026 Semi-annual report):

1. Information about members of Board of Supervisors:

No.	Members of Board of Supervisors	Position	The date of becoming/ceasing to be a member of the Board of Supervisors	Professional qualifications
1	Ms. Phan Thi Thu Hang	Head of Board of Supervisors	Becoming member/Head of the Board of Supervisors from April 10, 2019.	Master of Finance and Banking
2	Ms. Lam Thi Thu Huong	Supervisor (specialized)	Becoming member of the Board of Supervisors from June 16, 2014.	Bachelor of Finance and Banking
3	Ms. Tran Thi Kim Oanh	Supervisor (specialized)	Becoming member of the Board of Supervisors from January 10, 2022.	Master of Economics

2. Meetings of Board of Supervisors:

In the first 6 months of 2026, the Board of Supervisors held two (2) meetings to implement the work plan, review progress, discuss and give opinions on contents within the scope of the functions, duties and powers of the Board of Supervisors, with a 100% attendance rate, specifically:



No.	Members of the Board of Supervisors	Number of meetings attended	Meeting attendance rate	Voting rate	Reasons for absence
1	Ms. Phan Thi Thu Hang	02/02	100%	100%	-
2	Ms. Lam Thi Thu Huong	02/02	100%	100%	-
3	Ms. Tran Thi Kim Oanh	02/02	100%	100%	-

3. *Supervising Board of Directors, Board of Management (BOM) and shareholders by Board of Supervisors*

- During the first six months of 2026, the Board of Supervisors implemented the tasks and powers stipulated in the Company's Charter and the Regulation on the organization and operation of the Board of Supervisors. The Board of Supervisors has supervised the management and operation activities of BOD and the BOM in compliance with the provisions of the law, the Company's Charter relevant internal regulations, and the business orientations and objectives approved by the GMS.

In general, the management and operational activities of BOD and BOM have complied with the applicable laws, the Company's Charter and the Company's operational direction.

- The supervision of the Board of Supervisors over the BOD, BOM, has been implemented through the following main activities:
 - ✓ *Supervise the BOD, BOM in management of the Company, in compliance with legal regulations, internal regulations, the Company's Charter, and resolutions and decisions of the GMS and the BOD;*
 - ✓ *Monitor the financial situation, appraise the Company's Financial Statements (FS) (including assessing reasonableness, legality, honesty, and degree of care in accounting, statistics, and financial statement preparation);*
 - ✓ *Review contracts/transactions with affiliated persons/parties under the authority of the GMS/BOD;*
 - ✓ *Periodically supervise, deploy inspection teams to review and inspect the Company's activities. Evaluate the effectiveness and efficiency of the Company's Internal control and inspection, Internal Audit, Risk management and early warning systems;*
 - ✓ *Conduct inspections, reviews, and prepare periodic/suddenly supervision reports on the Company's activities involving the major shareholder – Vietnam Joint Stock Commercial Bank for Industry and Trade.*



Result on implementing several specific tasks are as follows:

3.1. Monitoring the BOD and BOM in corporate governance and management:

- + Conduct supervision of the BOD and the BOM in their compliance with laws and regulations and the Company's Charter in corporate governance and management, in order to implement the business orientations and objectives approved by the General Meeting of Shareholders. Based on the supervision results, it is observed that, in general, the BOD and the BOM have directed and managed the Company's business operations in line with the approved objectives and in compliance with applicable laws and the Company's Charter.
- + Carry out regular supervision through the following specific activities: (i) Monitoring the implementation of Resolutions of the General Meeting of Shareholders; updating information and the Company's business performance; participating in and providing opinions at meetings of the BOD and the BOM; (ii) Reviewing policy documents and examining Resolutions of the BOD.

3.2. Appraising the Financial Statements (FS):

- + Perform functions and duties in accordance with applicable laws, the Company's Charter, and the Regulations on Organization and Operation of the BOS. In the first 6 months of 2026, the BOS established an appraisal team and conducted an appraisal of the financial statements for the fiscal year ended December 31, 2025 based on the financial statements audited by PwC (Vietnam) Limited. Through this process, the BOS assessed rationality, legality, honesty, completeness, and caution exercised in the Company's accounting, statistics, and FS preparation in accordance with the applicable regulations.

3.3. Reviewing contracts and transactions with Affiliated Persons under BOD/GMS authority:

- + In the first 6 months of 2026, the Board of Supervisors carried out the following activities: (i) Reviewed contracts, transactions between the Company and affiliated persons falling under the approval authority of the General Meeting of Shareholders/BOD; (ii) Reviewed of transactions between the Company and entities in which members of the BOD or other executives were founding members or enterprise managers within the three (03) years preceding the transaction date. The review showed that the execution and implementation of these contracts were legally grounded, duly approved by the BOD within its authority, and publicly disclosed in accordance with regulations.

3.4. Periodically monitor and deploy inspection teams to review a number of the Company's activities, evaluate the effectiveness and efficiency of the Company's Internal Control (ICS), Internal Audit (IA), Risk Management (RM) and early warning systems:

- + In the first 6 months of 2026, the Board of Supervisors conducted periodic reviews and monitoring, while also establishing inspection teams to directly examine certain activities of the Company. Through these efforts, the Board of Supervisors assessed and identified

existing issues and potential risks, and accordingly recommended that the BOD, BOM instruct relevant departments to address, rectify, and resolve the findings as proposed by internal and external audit/inspection teams.

Additionally, based on the results of supervision and inspection, the Board of Supervisors also assessed the effectiveness and efficiency of the Company's ICS, IA, RM, and early warning systems, to provide recommendations aimed at enhancing the effectiveness of these functions.

4. The coordination among the Board of Supervisors, the Board of Directors, the Board of Management and other managers:

- In the first 6 months of 2026, BOS has closely coordinated with the BOD, the BOM and other managers in performing functions, tasks, related persons exchanged information on the principle of compliance with the law and internal regulations of the Company.
- BOS attended regular meetings of the BOD, monthly briefing meeting of BOM. Basically, documents, reports, and proposals from the BOM submitted to the BOD were concurrently sent to the Board of Supervisors.
- Members of the BOD, the BOM, and other managers of the Company provided relevant information and documents concerning the Company's operations upon request from the Board of Supervisors.
- Based on the results of supervision and inspection, the Board of Supervisors made recommendations and proposed solutions, and offered advisory support to the BOD and the BOM to enhance corporate governance and management, strengthen risk control, and implement necessary measures to remedy existing shortcomings and limitations. These efforts also aimed to provide the BOD and the BOM with additional reference information for decision-making, and to direct relevant units to study and implement measures to promote business activities in line with the approved strategic orientation, ensure effective risk control, and improve the quality, efficiency, and safety of the Company's operations.

IV. Board of Management (2026 Semi-annual report):

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment/dismissal
1	Vu Duc Manh	February 12, 1981	Master of Business Administration	Appointed on November 11, 2021
2	Dang Anh Hao	September 15, 1984	Master in Monetary Economics	Appointed on April 15, 2020 (Reappointed on April 15, 2025)

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment/dismissal
3	Pham Ngoc Hiep	May 03, 1978	Master in Finance and Banking	Appointed on November 18, 2019 (Reappointed on November 18, 2024)
4	Nguyen Tuan Anh	October 26, 1983	Master of Business Administration	Appointed on August 01, 2020 (Reappointed on August 01, 2025)
5	Tran Thi Ngoc Tai	June 05, 1982	Master of Business Administration	Appointed on March 31, 2022

V. Chief Accountant (2026 Semi-annual report):

Full name	Date of birth	Qualification	Date of appointment/dismissal
Nguyen Thi Anh Thu	December 20, 1979	Master of Economics (Specialization in Finance, Money Circulation and Credit)	Appointed on September 22, 2020 (Reappointed on September 22, 2025)

VI. Training courses on corporate governance:

Corporate governance training courses attended by members of the BOD, Board of Supervisors, General Director, Person in charge of Corporate Governance, Company Secretary, and other managers in accordance with regulations include:

- Participation in specialized courses on securities and professional training programs required for obtaining professional practice certificates, organized by the Securities Research and Training Center under the State Securities Commission of Vietnam.
- Participation in training courses on governance and management organized by the Company; continual learning and enhancement of knowledge and skills in corporate governance, based on the provisions of the Law on Enterprises, the Law on Securities, and relevant amendments, supplements, guiding legal documents.
- In addition, during the first six months of 2026, the Company's managers participated in The Internal Auditor Certification training course is organized by the Vietnam Accounting Research and Consulting Center (VARC) under the Vietnam Association of Accountants and Auditors, as well as several other training courses.

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The Company will continue to research and implement corporate governance training programs organized by the SSC and other reputable institutions, while increasing participation in conferences, seminars, and forums for the Board of Management and management officers, with the aim of enhancing their corporate governance knowledge and skills.

VII. The list of affiliated persons of the Company and transactions of affiliated persons of the Company (2026 Semi-annual report):

1. The list of affiliated persons of the Company:

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
I	Member of Board of Directors, Legal Representative										
1	Tran Phuc Vinh		Chairman of the BOD; Legal representative					April 15, 2020		Acting General Director from April 15, 2020 to November 10, 2021; Member of BOD from April 27, 2020; Chairman of BOD, Legal representative since November 11, 2021.	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
2	Vu Duc Manh		Member of BOD; General Director					November 11, 2021		General Director from November 11, 2021; Member of BOD from January 10, 2022	Internal
3	Tran Thu Trang		Member of BOD					November 18, 2025		Member of BOD from November 18, 2025	Internal
4	Ho Thi Thu Hien		Member of BOD					April 27, 2020		Chairman of BOD from April 27, 2020 to November 10, 2021; Member of BOD from November 11, 2021.	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
5	Pham Viet Hung		Independent Member of BOD					April 24, 2025		Independent member of BOD from April 24, 2025	Internal
II Member of the Board of Management, Authorized person to disclose information											
1	Vu Duc Manh		Member of BOD; General Director							General Director from November 11, 2021; Member of BOD from January 10, 2022.	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
2	Dang Anh Hao		Deputy General Director					April 15, 2020		Deputy General Director since April 15, 2020	Internal
3	Pham Ngoc Hiep		Deputy General Director					November 18, 2019		Deputy General Director since November 18, 2019.	Internal
4	Nguyen Tuan Anh		Deputy General Director					August 01, 2020		Deputy General Director	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
										from August 1, 2020.	
5	Tran Thi Ngoc Tai		Deputy General Director; Authorized person to disclose information					March 31, 2022		Deputy General Director; Authorized person to disclose information from March 31, 2022	Internal
III	Members of the Board of Supervisors										
1	Phan Thi Thu Hang		Head of Board of Supervisors					April 10, 2019		Head of Board of Supervisors since April 10, 2019	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
2	Lam Thi Thu Huong		Supervisor					June 16, 2014		Supervisor since June 16, 2014	Internal
3	Tran Thi Kim Oanh		Supervisor					January 10, 2022		Supervisor since January 10, 2022	Internal
IV	Chief Accountant										
1	Nguyen Thi Anh Thu		Chief Accountant					September 22, 2020		Chief Accountant from September 22, 2020	Internal

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
V	Internal Audit Department										
1	Nguyen Thi Thuy Linh		Head of IA Department					May 20, 2020		Head of IA Department since May 20, 2020	Internal
VI	Company Secretary, Person in charge of Corporate Governance of the Company										
1	Le Mai Khanh		Deputy Chief of BOD assistant office; Person in charge of corporate governance					January 01, 2023		Person in charge of corporate governance of the Company from January 01, 2023 to April 27, 2025, and from October 1, 2025 to the present	Internal

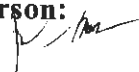
No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
VII	Other organizations and individuals are related persons										
1	Vietnam Joint Stock Commercial Bank for Industry and Trade							September 01, 2000		Parent Bank, Major Shareholder owns 75.637% of voting shares	State shareholders, major shareholders

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons:

In the first 6 months of 2026, the Board of Directors issued 02 (two) Resolutions approving the Transactions with affiliated persons, specifically:

- Resolution No. 81/2026/NQ-HĐQT-VBSE dated May 25, 2026, approved the Company's (i) trading of fund certificates of VietinBank Balanced Investment Fund (VBIF), which is issued and managed by VietinBank Fund Management Company Limited (VietinBank Capital); and (ii) execution of the Application for opening an open-ended fund certificate trading account, and the Agreement on provision of online trading services, the Registration Form for trading open-ended fund certificates, and other relevant documents with VietinBank Capital for the purpose of trading fund certificates of VietinBank Balanced Investment Fund (VBIF).
- Resolution No. 101/2026/NQ-HĐQT-VBSE dated June 25, 2026, approved the Company's signing of a cooperation agreement with Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank).
- Additionally, Resolution No. 200/2024/NQ-HĐQT-CKCT dated September 26, 2024 approving the policy for signing contracts and transactions with related persons remains in effect.

Table of figures relating to transactions between The Company and its affiliated persons, between the Company and its major shareholders, internal person, and its internal person of internal person:



No.	Name of organization/individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction ⁽³⁾	Note
			NSH No.	Date of issue	Place of issue					
I.	Significant balances with affiliated persons of the Company at the end of the period (June 30, 2026):									
1.	Vietnam Joint Stock Commercial Bank for Industry and Trade	Major shareholder - Parent bank	0103038874 (currently 0100111948)	July 03, 2009	Hanoi Department of Planning and Investment	108 Tran Hung Dao, Cua Nam Ward, Hanoi	First 6 months of 2026	- Minutes of the BOD meeting on June 23, 2015. - Resolution No. 125/2022/NQ-HĐQT-CKCT dated September 27, 2022; No. 186/2022/NQ-HĐQT-CKCT dated December 22, 2022 and No. 146/2024/NQ-HĐQT-CKCT dated July 25, 2024 (Ref: Transactions with related persons). - Resolution No. 200/2024/NQ-	- Company's demand deposit: + Beginning balance: VND 82,063,611,275; + Ending balance: VND 10,476,271,719. - Investor's trading deposit: + Beginning balance: VND 836,584,639,809; + Ending balance: VND 176,624,362,822. - Receivables (interest on deposits, other receivables): + Beginning balance: VND 1,911,733; + Ending balance: VND 0. - Receivable for share offering advisory fee: + Beginning balance: VND 110,000,000; + Ending balance: VND 0.	

No.	Name of organization/individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction ⁽³⁾	Note
			NSH No.	Date of issue	Place of issue					
								HDQT-CKCT dated September 26, 2024 (Ref: Approval in principle for signing into contracts and transactions with related persons).	<ul style="list-style-type: none"> - Receivable for service fee of dividend payment in 2024: + Beginning balance: VND 55,000,000; + Ending balance: VND 0. - Payable for securities trading activities: + Beginning balance: VND 7,480,096; + Ending balance: VND 7,480,096. - Accounts Payable: + Beginning balance: VND 604,747,416; + Ending balance: VND 604,747,416. - Deferred revenue: + Beginning balance: VND 365,380,410; + Ending balance: VND 347,982,682. 	

No.	Name of organization/individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction ⁽³⁾	Note
			NSH No.	Date of issue	Place of issue					
2.	Vietnam Joint Stock Commercial Bank for Industry and Trade Fund Management Company Limited	Company with the same parent bank	50/UBCK-GP	October 26, 2010	State Securities Commission of Vietnam	2th Floor, 304 and 306 Ba Trieu Street, Hai Ba Trung Ward, Hanoi	First 6 months of 2026	<p>- Resolution No. 200/2024/NQ-HĐQT-CKCT dated September 26, 2024 (Ref: Approval in principle for signing into contracts and transactions with related persons)</p> <p>- Resolution No. 81/2026/NQ-HĐQT-VBSE dated May 25, 2026 (Ref: Transactions with related persons)</p>	<p>- Payable on securities trading deposits:</p> <p>+ Beginning balance: VND 257,921,168;</p> <p>+ Ending balance: VND 4,797,648,180.</p> <p>- VietinBank Balanced Investment Fund:</p> <p>+ Beginning balance: VND 0;</p> <p>+ Ending balance: VND 10,000,000,000.</p>	

No.	Name of organization/individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction ⁽³⁾	Note
			NSH No.	Date of issue	Place of issue					
3.	Vietnam Joint Stock Commercial Bank for Industry and Trade Insurance Corporation (formerly known as Vietnam Joint Stock Commercial Bank for Industry and Trade Insurance Company Limited)	Company with the same parent bank	21GP/KDBH	December 12, 2002	Ministry of Finance	10 th and 11 th floors, 126 Doi Can Building, Ba Dinh Ward, Hanoi	First 6 months of 2026	<p>- Resolution No. 200/2024/NQ-HĐQT-CKCT dated September 26, 2024 (Ref: Approval in principle for signing into contracts and transactions with related persons)</p> <p>- Resolution No. 221/2025/NQ-HĐQT-CKCT dated December 24, 2025 (Ref: Transactions with related persons)</p>	<p>- Insurance fee advance fees:</p> <p>+ Beginning balance: VND 1,074,325,641;</p> <p>+ Ending balance: VND 474,059,327.</p> <p>- Insurance fees receivable:</p> <p>+ Beginning balance: VND 0;</p> <p>+ Ending balance: VND 32,089,533.</p> <p>- Insurance fees payable:</p> <p>+ Beginning balance: VND 0;</p> <p>+ Ending balance: VND 13,943,378.</p>	

No.	Name of organization/individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/Board of Directors	Content, quantity, total value of transaction ⁽³⁾	Note
			NSH No.	Date of issue	Place of issue					
4.	VietinBank Gold and Jewellery Trading Company Ltd.	Company with the same parent bank	0105011873	November 25, 2010	Hanoi Department of Planning and Investment	6 th Floor, Cua Nam Market Shopping Center, No. 34 Cua Nam, Cua Nam Ward, Hanoi	First 6 months of 2026	<p>- Resolution No. 200/2024/NQ-HDQT-CKCT dated September 26, 2024 (Ref: Approval in principle for signing into contracts and transactions with related persons)</p> <p>- Resolution No. 215/2025/NQ-HDQT-CKCT dated December 18, 2025; and Resolution No. 230/2025/NQ-HDQT-CKCT dated December 31, 2025 (Ref: Transactions with related persons)</p>	<p>- Payable on securities trading deposits:</p> <p>+ Beginning balance: VND 100,173;</p> <p>+ Ending balance: VND 100,324.</p> <p>- Accounts Payable:</p> <p>+ Beginning balance: VND 949,630,000;</p> <p>+ Ending balance: VND 0.</p>	

No.	Name of organization/ individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction ⁽³⁾	Note
			NSH No.	Date of issue	Place of issue					
II.	Value of significant transactions of the Company with related persons in the 2026 semi-annual accounting period from January 01, 2026 to June 30, 2026									
1.	Vietnam Joint Stock Commercial Bank for Industry and Trade	Major shareholder - Parent bank	0103038874 (currently 0100111948)	July 03, 2009	Hanoi Department of Planning and Investment	108 Tran Hung Dao, Cua Nam Ward, Hanoi	First 6 months of 2026	- Minutes of BOD meeting on June 23, 2015. - Resolution No. 125/2022/NQ-HDQT-CKCT dated September 27, 2022; No. 186/2022/NQ-HDQT-CKCT dated December 22, 2022; and No.146/2024/NQ-HDQT-CKCT dated July 25, 2024 (Ref: Transactions with related persons); and No. 183/2024/NQ-	- Interest income on deposits: VND 565,616,704; - Interest expense on deposits: VND 357,862,967; - Revenue from Bond depository fees: VND 17,397,728; - Brokerage and business partnership commission fees: VND 13,331,520; - Other revenue: VND 1,372,956; - Office rental expenses: VND 591,360,000; - Transfer fee: VND 600,000.	

No.	Name of organization/individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction ⁽³⁾	Note
			NSH No.	Date of issue	Place of issue					
								<p>HĐQT-CKCT dated August 30, 2024 (Ref: Transactions with related persons).</p> <p>- Resolution No. 200/2024/NQ-HĐQT-CKCT dated September 26, 2024 (Ref: Approval in principle for signing into contracts and transactions with related persons).</p>		



No.	Name of organization/individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction ⁽³⁾	Note
			NSH No.	Date of issue	Place of issue					
2.	Vietnam Joint Stock Commercial Bank for Industry and Trade Fund Management Company Limited	Company with the same parent bank	50/UBCK-GP	October 26, 2010	State Securities Commission of Vietnam	2th Floor, 304 and 306 Ba Trieu Street, Hai Ba Trung Ward, Hanoi	First 6 months of 2026	<p>- Resolution No. 200/2024/NQ-HĐQT-CKCT dated September 26, 2024 (Ref: Approval in principle for signing into contracts and transactions with related persons)</p> <p>- Resolution No. 213/2025/NQ-HĐQT-CKCT dated December 15, 2025 (Ref: Approval of the plan to lease a portion of the area on the 2nd floor of the 306 Ba Trieu Building).</p>	<p>- Depository fee revenue: VND 8,623,445.</p> <p>- Revenue from brokerage fee: VND 61,606,860.</p> <p>- Deposit interest expense: VND 1,097,317.</p> <p>- Revenue from office rental: VND 409,200,000.</p>	

No.	Name of organization/individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction ⁽³⁾	Note
			NSH No.	Date of issue	Place of issue					
3.	Vietnam Joint Stock Commercial Bank for Industry and Trade Insurance Corporation (formerly known as Vietnam Joint Stock Commercial Bank for Industry and Trade Insurance Company Limited)	Company with the same parent bank	21GP/KDBH	December 12, 2002	Ministry of Finance	10 th and 11 th floors, 126 Doi Can building, Ba Dinh Ward, Hanoi	First 06 months of 2026	<p>- Resolution No. 200/2024/NQ-HĐQT-CKCT dated September 26, 2024 (Ref: Approval in principle for signing into contracts and transactions with related persons).</p> <p>- Resolution No. 221/2025/NQ-HĐQT-CKCT dated December 24, 2025 (Ref: Transactions with related persons).</p>	- Accrued insurance expense for the period: VND 625,340,775.	



No.	Name of organization/individual	Relationship with the Company	NSH No., date of issue, place of issue			Address	Time of transactions with the Company	Minutes No./Resolution No./Decision No. approved by the General Meeting of Shareholders/ Board of Directors	Content, quantity, total value of transaction ⁽³⁾	Note
			NSH No.	Date of issue	Place of issue					
4.	VietinBank Gold and Jewellery Trading Company Ltd.	Company with the same parent bank	0105011873	November 25, 2010	Hanoi Department of Planning and Investment	6 th Floor, Cua Nam Market Shop-ping Center, No. 34 Cua Nam, Cua Nam Ward, Hanoi	06 months of 2026	- Resolution No. 200/2024/NQ-HDQT-CKCT dated September 26, 2024 (Ref: Approval in principle for signing into contracts and transactions with related persons).	- Deposit interest expense: VND 151.	

(3) The figures stated in the above table at the time of preparing this Report are figures without comments from the Auditing Firm.

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: None.

4. Transactions between the Company and other objects: None.

VIII. Stock transactions of internal persons and their affiliated persons (2026 Semi-annual report):

1. List of internal persons and their affiliated persons⁽⁴⁾:



No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Tran Phuc Vinh		Chairman of the BOD; Legal representative					0	0	Acting General Director from April 15, 2020 to November 10, 2021; Member of BOD from April 27, 2020; Chairman of the BOD, Legal Representative from November 11, 2021
1.01	Tran Van Thuc		None					0	0	Father of Mr. Tran Phuc Vinh



No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.02	Nguyen Thi Ngoc Lan		None					0	0	Mother of Mr. Tran Phuc Vinh
1.03	Bui Trong Quang		None					0	0	Father-in-law of Mr. Tran Phuc Vinh
1.04	Nguyen Thi Huong		None					0	0	Mother-in-law of Mr. Tran Phuc Vinh
1.05	Bui Thi Man		None					0	0	Wife of Mr. Tran Phuc Vinh
1.06	Tran Bao Ngan		None					0	0	Daughter of Mr. Tran Phuc Vinh (children)

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.07	Tran Bao Tien		None					0	0	Daughter of Mr. Tran Phuc Vinh (children)
1.08	Tran Dang Quang		None					0	0	Younger brother of Mr. Tran Phuc Vinh
1.09	Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank)							205,917,863	75.637%	Mr. Tran Phuc Vinh is the representative of 40% of VietinBank's capital at the Company.
2	Vu Duc Manh		Member of BOD; General Director					0	0	Member of the BOD from January 10, 2022; General Director from November 11, 2021

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.01	Vu Huu Dan		None					0	0	Father of Mr. Vu Duc Manh
2.02	Tong Thi Tuyet Mai		None					0	0	Mother of Mr. Vu Duc Manh
2.03	Nguyen Thi Yen		None					0	0	Mother-in-law of Mr. Vu Duc Manh
2.04	Le Thi Thu Thuy		None					0	0	Wife of Mr. Vu Duc Manh
2.05	Vu Thai Son		None					0	0	Son of Mr. Vu Duc Manh (Children)
2.06	Vu Thai Ngoc		None					0	0	Son of Mr. Vu Duc Manh (Children)

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.07	Vu Thi Thuy Linh		None					0	0	Younger sister of Mr. Vu Duc Manh
2.08	Nguyen Thanh Chung		None					0	0	Brother-in-law of Mr. Vu Duc Manh
2.09	Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank)		-					205,917,863	75.637%	Mr. Vu Duc Manh is the representative of 30% of VietinBank's capital at the Company.
3	Tran Thu Trang		Member of BOD					0	0	Member of the BOD from November 11, 2025
3.01	Nguyen Thuy Quynh		None					0	0	Mother of Ms. Tran Thu Trang

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3.02	Tran Van Bom (Manh)		None					0	0	Father of Ms. Tran Thu Trang (passed away)
3.03	Tran Manh Hung		None					0	0	Brother of Ms. Tran Thu Trang
3.04	Doan Hong Ngan		None					0	0	Sister-in-law of Ms. Tran Thu Trang
3.05	Hoang Van Sam		None					0	0	Father-in-law of Ms. Tran Thu Trang
3.06	Nguyen Thi Hien		None					0	0	Mother-in-law of Ms. Tran Thu Trang
3.07	Hoang Anh Tuan		None					0	0	Husband of Ms. Tran Thu Trang



No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3.08	Hoang Minh Son		None					0	0	Son of Ms. Tran Thu Trang
3.09	Hoang Bao Quyen		None					0	0	Daughter of Ms. Tran Thu Trang
3.10	Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank)		-					205,917,863	75.637%	Ms. Tran Thu Trang is the representative of 30% of VietinBank's capital at the Company
4	Ho Thi Thu Hien		Member of BOD					0	0	Chairman of the BOD from April 27, 2020 to November 10, 2021; Member of BOD since November 11, 2021

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4.01	Quach Thanh		None					0	0	Father-in-law of Mrs. Ho Thi Thu Hien
4.02	Nguyen Thi Dich		None					0	0	Mother-in-law of Mrs. Ho Thi Thu Hien
4.03	Quach Gia Luong		None					0	0	Husband of Mrs. Ho Thi Thu Hien
4.04	Quach Hoang Lam		None					0	0	Son of Mrs. Ho Thi Thu Hien (children)
4.05	Quach Thu Ha		None					0	0	Daughter of Mrs. Ho Thi Thu Hien (Children)
4.06	Ho Manh Hung		None					0	0	Brother of Mrs. Ho Thi Thu Hien

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4.07	Ho Thi Nam Thanh		None					0	0	Sister of Mrs. Ho Thi Thu Hien (living abroad)
4.08	Ho Thi Thu Chung		None					0	0	Sister of Mrs. Ho Thi Thu Hien (living abroad)
4.09	Pham Thi Thu Huyen		None					0	0	Sister-in-law of Mrs. Ho Thi Thu Hien
4.10	Nguyen Dinh Hong		None					0	0	Brother-in-law of Mrs. Ho Thi Thu Hien (living abroad)



No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4.11	Vietnam Credit Information Joint Stock Company		-					0	0	Ms. Ho Thi Thu Hien is a member of the Board of Directors
4.12	Saigon Port Joint Stock Company		-					0	0	Ms. Ho Thi Thu Hien is a member of the Board of Directors
5	Pham Viet Hung		Independent Member of BOD					0	0	Independent member of the BOD from April 24, 2025
5.01	Pham Van Nguyen		None					0	0	Father of Mr. Pham Viet Hung
5.02	Nguyen Ba Loi		None					0	0	Father-in-law of Mr. Pham Viet Hung

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
5.03	Phan Thi Hoang Anh		None					0	0	Mother-in-law of Mr. Pham Viet Hung
5.04	Nguyen Huong Lien		None					0	0	Wife of Mr. Pham Viet Hung
5.05	Pham Minh Tuan		None					0	0	Son of Mr. Pham Viet Hung
5.06	Pham Tung Lam		None					0	0	Son of Mr. Pham Viet Hung
5.07	Pham Viet Dung		None					0	0	Brother of Mr. Pham Viet Hung
6	Dang Anh Hao		Deputy General Director					0	0	Deputy General Director from April 15, 2020

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6.01	Dang Hien Hung		None					0	0	Father of Mr. Dang Anh Hao
6.02	Nguyen Thi Huong		None					0	0	Mother of Mr. Dang Anh Hao
6.03	Dao Thi Gai		None					0	0	Mother-in-law of Mr. Dang Anh Hao
6.04	Le Thi Bich Lua		None					0	0	Wife of Mr. Dang Anh Hao
6.05	Dang Anh Kiet		None					0	0	Son of Mr. Dang Anh Hao
6.06	Dang Anh Duy		None					0	0	Son of Mr. Dang Anh Hao (children)

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6.07	Dang Anh Vinh		None					0	0	Son of Mr. Dang Anh Hao (children)
6.08	Dang Thi Phuong Thao		None					0	0	Sister of Mr. Dang Anh Hao
6.09	Nguyen Manh Cuong		None					0	0	Brother-in-law of Mr. Dang Anh Hao
6.10	Dang Viet Dung		None					0	0	Brother of Mr. Dang Anh Hao
6.11	Nguyen Ha Quynh		None					0	0	Sister-in-law of Mr. Dang Anh Hao
7	Pham Ngoc Hiep		Deputy General Director					0	0	Deputy General Director since November 18, 2019

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7.01	Pham Ngoc Dan		None					0	0	Father of Mr. Pham Ngoc Hiep
7.02	Do Thi Nga		None					0	0	Mother of Mr. Pham Ngoc Hiep
7.03	Pham Van Cu		None					0	0	Father-in-law of Mr. Pham Ngoc Hiep
7.04	Trinh Thi Yen		None					0	0	Mother-in-law of Mr. Pham Ngoc Hiep
7.05	Pham Thi Bich Nga		None					0	0	Wife of Mr. Pham Ngoc Hiep
7.06	Pham Bao Vy		None					0	0	Daughter of Mr. Pham Ngoc Hiep



No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7.07	Pham Khanh Ha		None					0	0	Daughter of Mr. Pham Ngoc Hiep (children)
7.08	Pham Ngoc Dien		None					0	0	Younger brother of Mr. Pham Ngoc Hiep
7.09	Pham Thu Hien		None					0	0	Younger sister of Mr. Pham Ngoc Hiep
7.10	Nguyen Quoc Tuan		None					0	0	Brother-in-law Mr. Pham Ngoc Hiep
7.11	Nguyen Huyen Trang		None					0	0	Sister-in-law of Mr. Pham Ngoc Hiep

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
8	Nguyen Tuan Anh		Deputy General Director					0	0	Deputy General Director from August 01, 2020
8.01	Nguyen Khac Binh		None					0	0	Father of Mr. Nguyen Tuan Anh
8.02	Le Thi Linh		None					0	0	Mother of Mr. Nguyen Tuan Anh
8.03	Nguyen Thi Hanh		None					0	0	Mother-in-law of Mr. Nguyen Tuan Anh
8.04	Do Thi Khanh Linh		None					0	0	Wife of Mr. Nguyen Tuan Anh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
8.05	Nguyen Linh Phuong		None					0	0	Daughter of Mr. Nguyen Tuan Anh
8.06	Nguyen Linh Chi		None					0	0	Daughter of Mr. Nguyen Tuan Anh (Children)
8.07	Nguyen Hong Van		None					0	0	Younger sister of Mr. Nguyen Tuan Anh
9	Tran Thi Ngoc Tai		Deputy General Director ; Authorized person to disclose information					0	0	Deputy General Director ; Authorized person to disclose information from March 31, 2022

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
9.01	Tran Van Tung		None					0	0	Father of Mrs. Tran Thi Ngoc Tai
9.02	Nguyen Thi Dam		None					0	0	Mother of Mrs. Tran Thi Ngoc Tai
9.03	Nguyen Ngoc Hung		None					0	0	Father-in-law of Mrs. Tran Thi Ngoc Tai
9.04	Hoang Thi Lam		None					0	0	Mother-in-law Mrs. Tran Thi Ngoc Tai
9.05	Nguyen Hoang Huy		None					0	0	Husband of Mrs. Tran Thi Ngoc Tai

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
9.06	Nguyen Dang Quang		None					0	0	Son of Mrs. Tran Thi Ngoc Tai
9.07	Nguyen Tue Minh		None					0	0	Son of Tran Thi Ngoc Tai
9.08	Tran Minh Duc		None					0	0	Brother of Mrs. Tran Thi Ngoc Tai
9.09	Nguyen Van Anh		None					0	0	Sister-in-law of Mrs. Tran Thi Ngoc Tai
10	Phan Thi Thu Hang		Head of Board of Supervisors					0	0	Head of Board of Supervisors since April 10, 2019

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
10.0 1	Phan Trong Duc		None					0	0	Father of Mrs. Phan Thi Thu Hang
10.0 2	Tran Thi Minh		None					0	0	Mother of Mrs. Phan Thi Thu Hang
10.0 3	Nguyen Van Binh		None					0	0	Father-in-law of Mrs. Phan Thi Thu Hang
10.0 4	Bui Thi The		None					0	0	Mother-in-law of Mrs. Phan Thi Thu Hang
10.0 5	Nguyen Thanh Tuan		None					0	0	Husband of Mrs. Phan Thi Thu Hang

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
10.0 6	Nguyen Phan Duc Anh		None					0	0	Son of Mrs. Phan Thi Thu Hang
10.0 7	Nguyen Phan Duy Anh		None					0	0	Son of Mrs. Phan Thi Thu Hang
10.0 8	Phan Thi Minh Hong		None					0	0	Sister of Mrs. Phan Thi Thu Hang
10.0 9	Nguyen Tuan Anh		None					0	0	Brother-in-law of Mrs. Phan Thi Thu Hang
11	Lam Thi Thu Huong		Supervisor					0	0	Supervisor since June 16, 2014
11.0 1	Lam Van Thieu		None					0	0	Father of Mrs. Lam Thi Thu Huong

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
11.0 2	Tran Thi Lien		None					0	0	Mother of Mrs. Lam Thi Thu Huong
11.0 3	Tran Hai Dai		None					0	0	Father-in-law of Mrs. Lam Thi Thu Huong
11.0 4	Tran Thi Lan		None					0	0	Mother-in-law of Mrs. Lam Thi Thu Huong
11.0 5	Tran Hai Long		None					0	0	Husband of Mrs. Lam Thi Thu Huong
11.0 6	Tran Quynh Chi		None					0	0	Daughter of Mrs. Lam Thi Thu Huong (children)

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
11.0 7	Tran Gia Hung		None					0	0	Son of Mrs. Lam Thi Thu Huong (children)
11.0 8	Lam Duc Thien		None					0	0	Younger brother of Mrs. Lam Thi Thu Huong
11.0 9	Nguyen Thi Khuyen		None					0	0	Sister-in-law of Mrs. Lam Thi Thu Huong
12	Tran Thi Kim Oanh		Supervisor					0	0	Supervisor since January 10, 2022



No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
12.0 1	Tran Duc Tan		None					0	0	Father of Mrs. Tran Thi Kim Oanh
12.0 2	Nguyen Thi Mai		None					0	0	Mother of Mrs. Tran Thi Kim Oanh
12.0 3	Hoang Van Toai		None					0	0	Father-in-law of Mrs. Tran Thi Kim Oanh
12.0 4	Nguyen Thi Kim Thanh		None					0	0	Mother-in-law of Mrs. Tran Thi Kim Oanh
12.0 5	Hoang Ngoc Anh		None					0	0	Husband of Mrs. Tran Thi Kim Oanh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
12.0 6	Hoang Lam Nguyen		None					0	0	Son of Mrs. Tran Thi Kim Oanh (children)
12.0 7	Hoang Nha Quyen		None					0	0	Daughter of Mrs. Tran Thi Kim Oanh (children)
12.0 8	Tran Quoc Tuan		None					0	0	Brother of Mrs. Tran Thi Kim Oanh
12.0 9	Tran Thi Thu Trang		None					0	0	Sister of Mrs. Tran Thi Kim Oanh
12.1 0	Vu Minh Hien		None					0	0	Sister-in-law of Mrs. Tran Thi Kim Oanh



No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
12.1 1	Nguyen Duc Trinh		None					0	0	Brother-in-law of Mrs. Tran Thi Kim Oanh
13	Nguyen Thi Thuy Linh		Head of IA Department					0	0	Head of IA Department since May 20, 2020
13.01	Nguyen Tri Dung		None					0	0	Father of Mrs. Nguyen Thi Thuy Linh
13.02	Nguyen Thi Mui		None					0	0	Mother of Mrs. Nguyen Thi Thuy Linh
13.03	Nguyen Chi Thanh		None					0	0	Younger brother of Mrs. Nguyen Thi Thuy Linh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
13.04	Ho Nhu Y		None					0	0	Sister-in-law of Mrs. Nguyen Thi Thuy Linh
14	Nguyen Thi Anh Thu		Chief Accountant					0	0	Chief Accountant from September 22, 2020
14.01	Duong Thi Phuong		None					0	0	Mother of Mrs. Nguyen Thi Anh Thu
14.02	Tran Van Hoan		None					0	0	Father-in-law of Mrs. Nguyen Thi Anh Thu
14.03	Tran Thi Xuan		None					0	0	Mother-in-law of Mrs. Nguyen Thi Anh Thu



No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
14.04	Tran Tien Dung		None					0	0	Husband of Mrs. Nguyen Thi Anh Thu
14.05	Tran Hoang Minh		None					0	0	Son of Mrs. Nguyen Thi Anh Thu
14.06	Tran Hoang Bach		None					0	0	Son of Mrs. Nguyen Thi Anh Thu
14.07	Nguyen Duc Thang		None					0	0	Brother of Mrs. Nguyen Thi Anh Thu
14.08	Nguyen Thi Thuy		None					0	0	Sister-in-law of Mrs. Nguyen Thi Anh Thu

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
15	Le Mai Khanh		Deputy Chief of BOD assistant office; Person in charge of corporate governance					0	0	In charge of Corporate governance of the Company from January 1, 2023 to April 27, 2025, and from October 1, 2025 to the present
15.01	Le Van Kham		None					0	0	Father of Mrs. Le Mai Khanh
15.02	Pham Thi Anh		None					0	0	Mother of Mrs. Le Mai Khanh
15.03	Nguyen Thanh Huong		None					0	0	Mother-in-law of Mrs. Le Mai Khanh

No.	Name of organization /individual	Securities trading account (if any)	Position at the Company (if any)	NSH certificate number	Date of issue of NSH certificate	Place of issue	Contact Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
15.04	Nguyen Manh Toan		None					0	0	Husband of Mrs. Le Mai Khanh
15.05	Nguyen Le Phuong		None					0	0	Daughter of Mrs. Le Mai Khanh (children)
15.06	Nguyen Le Minh		None					0	0	Daughter of Mrs. Le Mai Khanh (children)
15.07	Le Duy Khanh		None					0	0	Brother of Mrs. Le Mai Khanh
15.08	Phan Dieu Tien		None					0	0	Sister-in-law of Mrs. Le Mai Khanh

(4) For those being no longer internal persons or their affiliated persons at the mid-reporting period, the relevant information of that internal persons or affiliated person (including Securities Trading Account, Shareholder Certificate Number/Date of Issue/Place of Issue, Address,

Number of Shares Owned at the End of the Period, Percentage of Shares Owned at the End of the Period) is finalized until the end of the day immediately preceding the day when the internal persons or a affiliated persons of that internal person ceases to be an internal persons or affiliated persons of that internal person.

2. Transactions of internal persons and affiliated persons with shares of the Company:

No.	Transaction executor	Relationship with Internal Persons	Number of Shares Owned at the Beginning of the Period (01/01/2026) <i>Based on the Company's total outstanding shares at the beginning of the period of 212,693,438 shares</i>		Number of Shares Owned at the End of the Period (30/06/2026) (*) <i>Based on the Company's total outstanding shares at the end of the period of 272,244,939 shares.</i>		Reasons for Increasing, Decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Ownership Ratio	Number of shares	Ownership Ratio	
1	Vietnam Joint Stock Commercial Bank for Industry and Trade (VietinBank)	Internal persons (Mr. Tran Phuc Vinh, Mr. Vu Duc Manh, Ms. Tran Thu Trang) are representative s of VietinBank's capital at the Company.	160,873,331	75.636%	205,917,863	75.637%	The Company issued additional shares for dividend payments from the Company's undistributed after-tax profits as the end of 2025.

() The closing date of the issuance was June 15, 2026 (the record date for exercising the right to receive stock dividends). On June 30, 2026, the Company received Official Letter No. 6070/UBCK-QLKD from the State Securities Commission of Vietnam (SSC) regarding the report on stock*

dividend issuance result of the Company. On July 10, 2026, the SSC issued Amended License for Establishment and Operation License No. 88/GPĐC-UBCK and on July 24, 2026 the Hanoi Department of Finance issued the Company's 16th amended Enterprise Registration Certificate, reflecting a charter capital of VND 2,722,449,390,00.

IX. Other significant issues: None

Respectfully report!

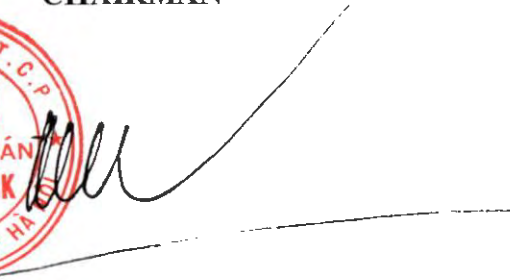


Recipients:

- As To;
- Archived: HR& Administration Department, BOD Office.

**REPRESENTATIVE OF BOARD OF DIRECTOR
CHAIRMAN**




Tran Phuc Vinh

