CÔNG TY CỔ PHẦN CHỨNG KHOÁN TIÊN PHONG
Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN TIÊN PHONG
DN: C=VN, S=THÀNH PHỐ HỒ CHÍ MINH, CN=CÔNG TY CỔ PHẦN CHỨNG KHOÁN TIÊN PHONG, OID.0.9.2342.19200300.100.1.1=MST:0304814339
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CÔNG TY CỔ PHẦN CHỨNG KHOÁN TIÊN PHONG	**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM**
TIEN PHONG SECURITIES CORPORATION	**Độc lập – Tự do – Hạnh phúc**
---	**THE SOCIALIST REPUBLIC OF VIETNAM**
Số: 32/2026/TPS-CBTT	**Independence – Freedom – Happiness**
No: 32/2026/TPS-CBTT	---
	Tp. HCM, ngày 30 tháng 07 năm 2026
	Ho Chi Minh City, July 30, 2026

CÔNG BỐ THÔNG TIN TRÊN CỔNG THÔNG TIN ĐIỆN TỬ CỦA ỦY BAN CHỨNG KHOÁN NHÀ NƯỚC VÀ CÁC SỞ GDCK

DISCLOSURE OF INFORMATION ON THE WEB PORTAL OF THE STATE SECURITIES COMMISSION AND THE STOCK EXCHANGES

Kính gửi:
- **Ủy ban Chứng khoán Nhà nước/** ***The State Securities Commission;***
- **Sở giao dịch Chứng khoán Việt Nam/** ***Vietnam Stock Exchange;***
- **Sở Giao dịch Chứng khoán Tp. Hồ Chí Minh/** ***Ho Chi Minh City Stock Exchange;***
- **Sở Giao dịch Chứng khoán Hà Nội/** ***Hanoi Stock Exchange.***

Chúng tôi: Công ty Cổ phần Chứng khoán Tiên Phong ("TPS")

Name of organization: Tien Phong Securities Corporation ("TPS")

Mã chứng khoán / Securities Code : ORS

Địa chỉ trụ sở chính/ Head office address: Tầng 7, tòa nhà Doji, số 81-83-85 Hàm Nghi, phường Sài Gòn, thành phố Hồ Chí Minh/ 7th Floor, Doji Building, No. 81-83-85 Ham Nghi, Sai Gon Ward, Ho Chi Minh City.

Điện thoại/ Telephone: 028.39118014 Fax: 028.39118015.

Người thực hiện công bố thông tin/ Person who discloses information: (Ông/ Mr) Nguyễn Trát Minh Phương – Chức vụ/ Position: Người được ủy quyền công bố thông tin/ Person authorized to disclose information.

Địa chỉ/ Address: : Tầng 7, tòa nhà Doji, số 81-83-85 Hàm Nghi, phường Sài Gòn, thành phố Hồ Chí Minh/ 7th Floor, Doji Building, No. 81-83-85 Ham Nghi, Sai Gon Ward, Ho Chi Minh City.

Điện thoại/ Phone: 028.39118014 Fax: 028.39118015

Loại thông tin công bố/ Type of disclosure: ☐ 24 giờ/24 hours . ☐ Yêu cầu/ Request ☐ Bất thường/ Abnormal ☒ Định kỳ/ Recurring

Nội dung thông tin công bố/ Contents of disclosure:

Báo cáo Tình hình quản trị công ty bán niên năm 2026 / *Semi-Annual Corporate Governance Report for 2026.*

Thông tin này đã được TPS công bố trên trang thông tin điện tử của Công ty vào ngày 30/07/2026 tại đường dẫn/ *This information was published on the company's website on July*

30,2026, at the following link: https://www.tpbs.com.vn/vi/thong-tin-tps/quan-he-co-dong/cong-bo-thong-tin/tps-bao-cao-tinh-hinh-quan-tri-06-thang-dau-nam-2026?postId=2870

Tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/ *We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

Nơi nhận/ Recipient:
- Như đề gửi/As submitted;
- Lưu/Archives: VT.

TM. CÔNG TY CỔ PHẦN CHỨNG KHOÁN TIÊN PHONG/ ON BEHALF OF TIEN PHONG SECURITIES CORPORATION
NGƯỜI ĐƯỢC ỦY QUYỀN CÔNG BỐ THÔNG TIN/ PERSON AUTHORIZED TO DISCLOSE INFORMATION



NGUYỄN TRẦT MINH PHƯƠNG

Tài liệu đính kèm/ Attached documents:
- Báo cáo Tình hình quản trị bán niên năm 2026/ *Semi-Annual Corporate Governance Report for 2026*

TIEN PHONG SECURITIES CORPORATION	THE SOCIALIST REPUBLIC OF VIETNAM
	Independence - Freedom - Happiness

No.: 02/2026/TPS-BCTHQT

Ho Chi Minh City, 30 July 2026

CORPORATE GOVERNANCE REPORT

(Semi-annual / Year 2026)

To: - **The State Securities Commission**
- **Vietnam Exchange**
- **Ho Chi Minh City Stock Exchange**

- **Company name: TIEN PHONG SECURITIES CORPORATION (TPS)**
- **Head office address:** Floor 7, Doji Building, No. 81-83-85 Ham Nghi, Sai Gon Ward, Ho Chi Minh City
- **Telephone:** (08) 39 118 014 **Fax:** (08) 39 118 015 **Email:** tpbs@tpbs.com.vn
- **Charter capital:** VND 6,239,309,040,000
- **Securities code:** ORS
- **Corporate governance model:** General Meeting of Shareholders, Board of Directors, Board of Supervisors and Board of Management (CEO).
- **Performance of the internal audit function:** Implemented.

I. Activities of the General Meeting of Shareholders (GMS)

Information on GMS meetings and Resolutions (including GMS Resolutions adopted by way of written consultation):

No.	GMS Resolution No.	Date	Contents
1	GMS Resolution No. 01/2026/NQ-DHDCDTN	22/04/2026	1. Approval of the 2025 activity report and the 2026 plan of the Board of Directors. 2. Approval of the 2025 budget utilization report and the 2026 budget plan of the Board of Directors. 3. Approval of the activity report of the independent member of the Board of Directors and the independent member's assessment of the Board's performance in 2025. 4. Approval of the Board of Management's report on the 2025 business performance and the 2026 business plan. 5. Approval of the 2025 activity report and the 2026 plan of the Board of Supervisors.

			6. Approval of the 2025 budget utilization report and the 2026 budget plan of the Board of Supervisors. 7. Approval of the audited 2025 financial statements, the report on the use of proceeds from the private placement of shares and the report on the Company's contributed charter capital. 8. Approval of the 2025 profit distribution plan. 9. Approval of the relocation of the Company's head office and changes to the Company's branches. 10. Approval of the amendment, supplementation and new issuance of certain documents falling within the authority of the General Meeting of Shareholders. 11. Approval of the policy on the Company's bond issuance/offering plan with a total issuance limit of VND 3,000 billion. 12. Approval of the plan for selecting the Company's independent auditor. 13. Approval of the new issuance of the Operating Regulations of the Board of Supervisors. 14. Election and approval of the list of members of the Board of Directors and the Board of Supervisors for the 2026-2031 term.

II. Board of Directors (Semi-annual report)

1. Information on members of the Board of Directors (BOD)

No.	BOD member	Position	Date of commencement/cessation as BOD member/independent BOD member	
			Date of appointment	Date of dismissal
1	(Mr.) Nguyen Hong Quan	Chairman of the BOD	22/04/2026	
2	(Mrs.) Pham Thi Huyen Trang	Vice Chairman of the BOD	22/04/2026	
3	(Mrs.) Dang Thi Bich Thuy	BOD member	22/04/2026	
4	(Mr.) Tran Quang Huy	BOD member	22/04/2026	
5	(Mrs.) Dang Thi Huong Lan	Independent BOD member	22/04/2026	

2. BOD meetings

No.	BOD member	Number of BOD meetings attended	Attendance rate	Reason for non-attendance
1	(Mr.) Nguyen Hong Quan	10/10	100%	
2	(Mrs.) Pham Thi Huyen Trang	10/10	100%	
3	(Mrs.) Dang Thi Bich Thuy	10/10	100%	
4	(Mr.) Tran Quang Huy	10/10	100%	
5	(Mrs.) Dang Thi Huong Lan	5/10	50%	Joined the BOD for the new term with effect from 22/04/2026

3. Supervisory activities of the BOD over the Board of Management (CEO)

The BOD fully discharged its supervisory and directive functions over the Board of Management (CEO) in accordance with the law, the Charter and the relevant internal regulations.

The BOD focused on strengthening corporate governance, compliance control and internal risk management by directing the review, update and enhancement of the framework of regulations, processes and policies so as to ensure alignment with legal requirements, the Company's strategic direction and its risk appetite.

Supervision was carried out through periodic and ad hoc briefing meetings, together with the review of reports submitted by the Board of Management (CEO). This enabled the BOD to identify emerging issues on a timely basis, request explanations and issue directions to reinforce compliance discipline, improve the effectiveness of internal control and prevent risks.

By monitoring the implementation of the Resolutions of the GMS and of the BOD, the BOD ensured that the management activities of the Board of Management (CEO) were conducted consistently, transparently and in line with governance standards.

4. Activities of the BOD's sub-committees: *None.*

5. Resolutions of the BOD (Semi-annual report): *Details are set out in Appendix I hereto.*

III. Board of Supervisors (Semi-annual report)

1. Information on members of the Board of Supervisors (BOS)

No.	BOS member	Position	Date of commencement as BOS member	Date of cessation as BOS member	Professional qualification
1	(Mrs.) Nguyen Thi Ngoc Tu	Head of the BOS	22/04/2026		Bachelor of Accounting and Auditing
2	(Mrs.) Ngo Thi Le Thanh	BOS member	22/04/2026		Bachelor of Business Administration

3	(Ms.) Nguyen Thi Phuong Thuy	BOS member	22/04/2026		Master of Finance and Accounting

2. BOS meetings

No.	BOS member	Number of BOS meetings attended	Attendance rate	Voting rate	Reason for non-attendance
1	(Mrs.) Nguyen Thi Ngoc Tu	1/1	100%	100%	
2	(Mrs.) Ngo Thi Le Thanh	1/1	100%	100%	
3	(Ms.) Nguyen Thi Phuong Thuy	1/1	100%	100%	
4	(Ms.) Pham Thi Thanh Tam	0/1	0%	0%	Term expired with effect from 22/04/2026

3. Supervisory activities of the BOS over the BOD, the Board of Management (CEO) and shareholders

The BOS fully discharged its supervisory function over the BOD, the Board of Management (CEO) and shareholders in accordance with the law, the Charter and the internal regulations. Supervision was performed on an independent and objective basis, focusing on legal compliance and the effectiveness of the internal control and risk management systems, with a view to protecting the lawful rights and interests of shareholders and enhancing transparency in the Company's governance.

In respect of the BOD, the BOS monitored the discharge of the governance function, the issuance and implementation of the Resolutions of the GMS, as well as compliance with the authority, sequence and procedures for the BOD's decision-making. The BOS attended all relevant meetings of the BOD in order to stay abreast of strategic direction on a timely basis and to provide independent supervisory opinions on material matters.

In respect of the Board of Management (CEO), the BOS monitored management activities, the implementation of the Resolutions of the GMS and of the BOD, and compliance with the law, internal regulations and prudential financial safety limits. Through the review of reports and inspection results of the specialized units, the BOS assessed the effectiveness of the internal control system and made timely recommendations on risk prevention and remediation measures.

In respect of shareholders, the BOS monitored the safeguarding of shareholders' lawful rights and interests, the equitable treatment of shareholders and transparency in information disclosure, thereby contributing to greater accountability and confidence in the Company's governance.

4. Coordination between the BOS and the activities of the BOD, the Board of Management (CEO) and other managers

The BOS proactively and regularly coordinated closely with the BOD, the Board of Management (CEO) and other managers in discharging its supervisory function. Through mechanisms for periodic and ad hoc information exchange, attendance at relevant meetings and full access to records and reports on governance, management,

business operations, finance and risk management, the BOS remained fully informed of the Company's operations and monitored compliance with the law, the Charter and internal regulations, as well as the implementation of the Resolutions of the General Meeting of Shareholders and of the BOD.

Coordination between the BOS, the BOD and the Board of Management (CEO) was conducted with due respect for the functions and authority of each body, while preserving the independence and objectivity of the supervisory function. Based on the information gathered and exchanged, the BOS proactively provided opinions, recommendations and early warnings on material matters, contributing to more effective governance, stronger compliance control and internal risk management, and ensuring that the Company operates transparently and soundly with a balanced regard for shareholders' interests.

5. Other activities of the BOS (if any)

The BOS participated in reviewing and commenting on the enhancement of certain internal regulations; monitored the implementation of the independent auditor's recommendations; and coordinated with the relevant units in updating and implementing legal requirements, thereby strengthening compliance and the effectiveness of the Company's governance.

IV. Board of Management (CEO)

No.	Member of the Board of Management	Date of birth	Professional qualification	Date of appointment/dismissal
1	(Mr.) Nguyen Chi Thanh - CEO	13/11/1980	Master of Business Administration	Appointed on 23/04/2026
2	(Mrs.) Dang Si Thuy Tam - CEO	11/04/1972	Bachelor of Business Administration (Foreign Trade)	Appointed on 10/04/2025 Dismissed on 23/04/2026
3	(Mrs.) Dang Thi Bich Thuy - Deputy CEO	12/11/1971	Bachelor of Banking	Appointed on 23/04/2026
4	(Mr.) Nguyen Trong Thanh - Deputy CEO	04/02/1991	Bachelor of Laws Bachelor of Finance and Banking	Appointed on 23/04/2026

V. Chief Accountant

No.	Full name	Date of birth	Professional qualification	Date of appointment/dismissal
1	(Mrs.) Nguyen Thanh Tuyen - Chief Accountant	09/01/1983	Bachelor majoring in Financial Accounting	Appointed on 30/09/2022 Dismissed from 17/07/2026

VI. Corporate governance training

Members of the BOD and the BOS, the Board of Management (CEO) and other managers attended corporate governance training programs and regularly kept themselves updated on, and studied, newly issued legal and governance requirements in order to enhance their capacity to govern and manage the Company.

VII. List of the Company's related persons and transactions between such related persons and the Company

1. List of the Company's related persons: *Details are set out in Appendix III hereto.*

2. Transactions between the Company and its related persons; or between the Company and major shareholders, internal persons or related persons of internal persons: *Details are set out in Appendix II hereto.*

3. Transactions between internal persons of the Company or their related persons and subsidiaries or companies controlled by the Company: *None.*

4. Transactions between the Company and other parties:

4.1. Transactions between the Company and any company in which a member of the BOD, a member of the BOS, the CEO or another manager has been or is a founding member or a member of the BOD or Board of Management within the last three (03) years (determined as at the reporting date): *None*.

4.2. Transactions between the Company and any company in which a related person of a member of the BOD, a member of the BOS, the CEO or another manager serves as a board member or as the Director (General Director): *None*.

4.3. Other transactions of the Company (if any) that may confer material or non-material benefits on a member of the BOD, a member of the BOS, the Director (General Director) or another manager: *None*.

VIII. Share transactions by internal persons and their related persons (Semi-annual report)

1. List of internal persons and their related persons: *Details are set out in Appendix IV hereto.*

2. Transactions by internal persons and their related persons in the shares of the Company (a listed company): *None*

IX. Other matters to be noted: *None.*

TIEN PHONG SECURITIES CORPORATION
Chairman of the BoD

CÔNG TY CỔ PHẦN CHỨNG KHOÁN TIÊN PHONG

NGUYEN HONG QUAN

Recipients:
- As addressed above;
- Filed at: the Company.

APPENDIX I - RESOLUTIONS OF THE BOARD OF DIRECTORS

(Attached to the Semi-annual Corporate Governance Report 2026 of Tien Phong Securities Corporation)

No.	BOD Resolution / Decision No.	Date of issuance	Contents	Approval rate
1	01/2026/NQ-HDQT	02/02/2026	Approval of the assignment/authorization to execute labor contracts with the Board of General Directors	100%
2	02/2026/NQ-HDQT	10/02/2026	Approval of borrowing and the utilization of the credit limit at VCB Thang Long	100%
3	03/2026/NQ-HDQT	27/02/2026	Organization of the 2026 Annual General Meeting of Shareholders	100%
4	04/2026/NQ-HDQT	30/03/2026	Approval of matters relating to the 2026 Annual General Meeting of Shareholders	100%
5	05/2026/NQ-HDQT	10/04/2026	Approval of matters relating to the 2026 Annual General Meeting of Shareholders (updated and supplemented)	100%
6	06.1/2026/NQ-HDQT	22/04/2026	Approval of the election of positions within the Board of Directors	100%
7	06.2/2026/NQ-HDQT	22/04/2026	Approval of the change of the Company's General Director	100%
8	06.3/2026/NQ-HDQT	22/04/2026	Approval of the appointment of the Company's Deputy General Directors	100%
9	07.1/2026/NQ-HDQT	04/05/2026	Approval of the change to the Company's organizational and operating structure	100%
10	07.2/2026/NQ-HDQT	04/05/2026	Approval of the change of the Company's Internal Audit personnel	100%
11	08.1/2026/NQ-HDQT	10/06/2026	Approval of the implementation of the relocation of the Company's head office	100%
12	08.2/2026/NQ-HDQT	10/06/2026	Approval of the implementation of changes to the name and location of the Company's Branch and to the Branch Director	100%
13	09/2026/NQ-HDQT	25/06/2026	Approval of matters relating to borrowing and the utilization of the Credit Limit at VCB Thang Long	100%

No.	BOD Resolution / Decision No.	Date of issuance	Contents	Approval rate
14	10/2026/NQ-HDQT	30/06/2026	Approval of matters relating to internal audit activities	100%
15	11/2026/NQ-HDQT	07/07/2026	Approval of the selection of the Company's auditor	100%
16	12/2026/NQ-HDQT	17/07/2026	Approval of the dismissal of the Chief Accountant and the assignment of the Person in charge of accounting of the Company	100%

APPENDIX II - TRANSACTIONS BETWEEN THE COMPANY AND ITS RELATED PERSONS; OR BETWEEN THE COMPANY AND MAJOR SHAREHOLDERS, INTERNAL PERSONS OR RELATED PERSONS OF INTERNAL PERSONS

(Attached to the Semi-annual Corporate Governance Report 2026 of Tien Phong Securities Corporation)

No.	Name of organization / individual	Relationship with TPS	ID document No.; date of issue; place of issue	Head office address / contact address	Date of transaction with TPS	No. of the GMS / BOD Resolution or Decision granting approval (if any)	Details, volume and total value of the transaction	Notes
1	Tien Phong Commercial Joint Stock Bank (TPBank)	Parent company	License: 123/GP-NHNN; date of issue: 05/05/2008; place of issue: State Bank of Vietnam	TPBank Building, No. 57 Ly Thuong Kiet, Cua Nam Ward, Hanoi			- Balance of TPS's demand deposits at TPBank ("**TPS deposits**"): VND 809,719,387,864; - Balance of investors' securities trading deposits (managed in TPS's account at TPBank) ("**investor deposits**"): VND 69,079,452,646; - Interest paid by TPBank on TPS deposits: VND 2,223,873,167; - Interest paid by TPBank on investor deposits: VND 669,169,353; - Bank account service fees paid by TPS to TPBank: VND 605,000.	- Balance of TPS deposits as at 31/12/2025: VND 1,698,010,929,622; - Balance of investor deposits as at 31/12/2025: VND 245,284,229,681.
2	Viet Cat Fund Management Joint Stock Company (VFC)	Entity under the same parent company	License: 28/UBCK-GP; date of issue: 25/01/2008; place of issue: State Securities Commission	Floor 11, Doji Tower, No. 5 Le Duan, Van Mieu - Quoc Tu Giam Ward, Hanoi			- Fund founding member service fees paid by VFC to TPS: VND 30,000,000 (VAT inclusive); - Securities account service fees paid by VFC to TPS: VND 9,049,126.	

APPENDIX III - LIST OF THE COMPANY'S RELATED PERSONS

(Attached to the Semi-annual Corporate Governance Report 2026 of Tien Phong Securities Corporation)

No.	Name of organization / individual	Securities trading account (if any)	Position at TPS (if any)	ID document No.	Date of issue	Place of issue	Head office address / contact address	Date of commencement as a related person	Date of cessation as a related person	Reason	Relationship with TPS
I	**Board of Directors**										
1	Nguyen Hong Quan		Chairman of the BOD					27/06/2025			Internal person
2	Pham Thi Huyen Trang		Vice Chairman of the BOD					24/04/2024			Internal person
3	Dang Thi Bich Thuy		BOD member					27/06/2025			Internal person
4	Tran Quang Huy		BOD member					27/06/2025			Internal person
5	Dang Thi Huong Lan		Independent BOD member					22/04/2026			Internal person
II	**Board of Supervisors**										
1	Nguyen Thi Ngoc Tu		Head of the BOS					22/04/2026			Internal person
2	Ngo Thi Le Thanh		BOS member					11/03/2022			Internal person
3	Nguyen Thi Phuong Thuy		BOS member					27/06/2025			Internal person
4	Pham Thi Thanh Tam		Head of the BOS					24/04/2024	22/04/2026	Term expired	Internal person
III	**Board of Management**										
1	Nguyen Chi Thanh		CEO cum legal representative					23/04/2026			Internal person
2	Dang Si Thuy Tam		CEO cum legal representative					10/04/2025	23/04/2026	Dismissed	Internal person
3	Dang Thi Bich Thuy		Deputy CEO					23/04/2026			Internal person
4	Nguyen Trong Thanh		Deputy CEO					23/04/2026			Internal person
IV	**Chief Financial Officer**										
1	Nguyen Thi Le Tung		Chief Financial Officer					16/04/2019			Internal person
V	**Chief Accountant**										
1	Nguyen		Chief Accountant					30/09/2022	17/07/2026	Dismissed	Internal person

No.	Name of organization / individual	Securities trading account (if any)	Position at TPS (if any)	ID document No.	Date of issue	Place of issue	Head office address / contact address	Date of commencement as a related person	Date of cessation as a related person	Reason	Relationship with TPS
	Thanh Tuyen										
VI	**Internal Audit**										
1	Nguyen Thi Thuy Hang		Head of Internal Audit					04/05/2026			Internal person
2	Tran Le Gia Binh		Internal Audit					04/05/2021	04/05/2026	Dismissed	Internal person
VII	**Corporate Governance Officer**										
1	Nguyen Thi Ngoc Thao		Corporate Governance Officer					22/08/2025			Internal person
VIII	**Authorized person for information disclosure**										
1	Nguyen Trat Minh Phuong		Authorized person for information disclosure					25/06/2024			Internal person
IX	**Parent company and its managers and legal representative**										
1	Tien Phong Commercial Joint Stock Bank (TPBank)			123/GP-NHNN	05/05/2008	State Bank of Vietnam	TPBank Building, No. 57 Ly Thuong Kiet, Cua Nam Ward, Hanoi	31/12/2025			Parent company
2	Do Minh Phu							31/12/2025			Chairman of the BOD cum legal representative of the parent company
3	Le Quang Tien							31/12/2025			Vice Chairman of the BOD of the parent company
4	Shuzo Shikata							31/12/2025			Vice Chairman of the BOD of the parent company
5	Nguyen Thi Mai Suong							31/12/2025	24/04/2026	Dismissed	BOD member of the parent company
6	Vo Bich Ha							31/12/2025			Independent BOD member of the parent company
7	Do Quynh Anh							24/04/2026			BOD member of the parent company
8	Nguyen Thi							24/04/2026			Independent BOD

No.	Name of organization / individual	Securities trading account (if any)	Position at TPS (if any)	ID document No.	Date of issue	Place of issue	Head office address / contact address	Date of commencement as a related person	Date of cessation as a related person	Reason	Relationship with TPS
	Huong Trang										member of the parent company
9	Nguyen Hung							31/12/2025			CEO of the parent company
X	**Other related organizations**										
1	Viet Cat Fund Management Joint Stock Company			28/UBCK-GP	25/01/2008	State Securities Commission	Floor 11, Doji Tower, No. 5 Le Duan, Van Mieu - Quoc Tu Giam Ward, Hanoi	31/12/2025			Related organization (entity under the same parent company)
2	Tien Phong Digital Asset Technology Joint Stock Company			0111250566	14/10/2025	Hanoi Department of Finance	Floor 11, Doji Tower, No. 5 Le Duan, Ba Dinh Ward, Hanoi	14/10/2025			Related organization (Mr. Nguyen Hong Quan - Chairman of the BOD of TPS is Chairman of the BOD of Tien Phong Digital Asset Technology Joint Stock Company)
3	Tien Phong Bank Debt Management and Asset Exploitation One Member Limited Liability Company			0111373448	30/01/2026	Hanoi Department of Finance	No. 44 Le Ngoc Han, Hai Ba Trung Ward, Hanoi	25/02/2026			Related organization (entity under the same parent company)
XI	**Other related individuals**										
	Details are set out in the List of internal persons and their related persons										

APPENDIX IV - LIST OF INTERNAL PERSONS AND THEIR RELATED PERSONS

(Attached to the Semi-annual Corporate Governance Report 2026 of Tien Phong Securities Corporation)

No.	Name of organization / individual	Securities trading account (if any)	Position at TPS (if any)	ID document No.	Date of issue	Place of issue	Head office address / contact address	Number of shares held at period-end	Shareholding ratio at period-end	Relationship with TPS / the internal person	Notes
1.0	**Nguyen Hong Quan**		**Chairman of the BOD**							**Internal person**	
1.1	Nguyen Huu Hung									Biological father	
1.2	Vi Kim Phong									Biological mother	
1.3	Trinh Mai Huong									Wife	
1.4	Nguyen Mai Linh									Biological child	
1.5	Nguyen Trinh Khanh Duc									Biological child	
1.6	Nguyen Kim Chi									Elder sister	
1.7	Nguyen Tran Hau									Brother-in-law	
1.8	Nguyen Phong Lan									Younger sibling	
1.9	Nguyen Quang Huy									Brother-in-law	
1.10	Le Thi Hai									Mother-in-law	
1.11	Tien Phong Digital Asset Technology Joint Stock Company									Related organization of an internal person	
2.0	**Pham Thi Huyen Trang**		**Vice Chairman of the BOD**							**Internal person**	
2.1	Phan Thi Tinh									Biological mother	
2.2	Pham Hung Ha									Biological father	
2.3	Nguyen Thi Luyen									Mother-in-law	
2.4	Truong Van Nghien									Father-in-law	
2.5	Truong Anh Duc									Husband	
2.6	Truong Bich Ngoc									Biological child	Minor
2.7	Truong Duc Huy									Biological child	Minor
2.8	Pham Thanh Tung									Younger sibling	
2.9	Pham Cong Thanh									Younger sibling	
3.0	**Dang Thi Bich Thuy**		**BOD member**							**Internal person**	

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3.1	Vu Thi Tuyet Nga									Biological mother	
3.2	Nguyen Chi Thanh									Husband	
3.3	Nguyen Thanh Long									Biological child	
3.4	Nguyen Hong Minh									Biological child	
3.5	Dang Bich Van									Younger sibling	
3.6	Vu Trong Tram									Brother-in-law	
4.0	**Tran Quang Huy**		**BOD member**							**Internal person**	
4.1	Cao Thi Bich Thuy									Biological mother	
4.2	Tran Duc Vy									Biological child	Minor
4.3	Tran Duc Uy									Biological child	Minor
4.4	Tran Thi Thu Trang									Elder sister	
4.5	Pham Van Cuong									Brother-in-law	
5.0	**Dang Thi Huong Lan**		**Independent BOD member**							**Internal person**	
5.1	Hoa Ta Le Va									Husband	
5.2	Hoa Quoc Lam									Biological child	
5.3	Hoa Sarcha									Biological child	Minor
5.4	Hoa Sarah									Biological child	Minor
5.5	Vu Thi Ha									Biological mother	
5.6	Dang Dinh Thiep									Biological father	Minor
5.7	Dang Dinh Dung									Younger sibling	Minor
5.8	Le Thi Lanh									Mother-in-law	
5.9	Hoa Xuan Tu									Father-in-law	
6.0	**Nguyen Chi Thanh**		**CEO cum legal representative**							**Internal person**	
6.1	Nguyen Hoang Khanh Chi									Biological child	
6.2	Nguyen Hoang Khanh Linh									Biological child	
7.0	**Dang Si Thuy Tam**		**CEO cum legal representative**							**Internal person**	**Dismissed on 23/04/2026**

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7.1	Nguyen Thi Thu									Mother-in-law	
7.2	Phan Van Nhieu									Father-in-law	
7.3	Dang Si Thanh									Biological father	
7.4	Tran Thi Tien									Biological mother	
7.5	Dang Duc Tu									Elder brother	
7.6	Vo Thi Hoang Oanh									Sister-in-law	
7.7	Dang Si Thuy Tien									Elder sister	
7.8	Huynh Buu Son									Brother-in-law	
7.9	Dang Si Thanh Binh									Younger sibling	
7.10	Tran Dat									Brother-in-law	
7.11	Nguyen Hoang Vu									Husband	
7.12	Nguyen Trang Nha									Biological child	
7.13	Nguyen Thuc Khanh									Biological child	
8.0	**Nguyen Trong Thanh**		**Deputy CEO**							**Internal person**	**Appointed on 23/04/2026**
8.1	Ha Thi Lan Anh							2,000	0.0003%	Wife	
8.2	Nguyen Duc Thinh									Biological father	
8.3	Nguyen Thi Binh									Biological mother	
8.4	Nguyen Thanh Thuy									Younger sibling	
8.5	Tran Phi Hung									Brother-in-law	
8.6	Nguyen Minh Hai									Biological child	Minor
9.0	**Dang Thi Bich Thuy**		**Deputy CEO**							**Internal person**	**Appointed on 23/04/2026**
9.1	Vu Thi Tuyet Nga									Biological mother	
9.2	Nguyen Chi Thanh									Husband	
9.3	Nguyen Thanh Long									Biological child	
9.4	Nguyen Hong Minh									Biological child	
9.5	Dang Bich Van									Younger sibling	
9.6	Vu Trong Tram									Brother-in-law	

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10.0	**Nguyen Thi Le Tung**		**Chief Financial Officer**							**Internal person**	
10.1	Nguyen Duc Duyet									Biological father	
10.2	Le Thi Tiem									Biological mother	
10.3	Nguyen Ba Dung									Father-in-law	
10.4	Nguyen Thi Hue									Mother-in-law	
10.5	Nguyen Ba Phi Hong									Husband	
10.6	Nguyen Ngoc Hong Anh									Biological child	
10.7	Nguyen Ngoc Bang Tam									Biological child	
10.8	Nguyen Tien Dung									Younger sibling	
10.9	Tran Yen Nguyen									Younger sibling	
10.10	Tran Duy Michael									Brother-in-law	
11.0	**Nguyen Thanh Tuyen**		**Chief Accountant**							**Internal person**	**Dismissed with effect from 17/07/2026**
11.1	Vo Dinh Truc									Husband	
11.2	Vo Anh Thien									Biological child	
11.3	Vo Dinh Hoang									Biological child	Minor
11.4	Vo Anh Thien									Biological child	Minor
11.5	Nguyen Van Nguyen									Biological father	
11.6	Nguyen Thi Phan									Biological mother	
11.7	Nguyen Thanh Tuan									Elder brother	
11.8	Nguyen Thanh Tam									Elder sister	
11.9	Nguyen Thanh Ly									Younger sibling	
11.10	Vo Thi Que Tho									Sister-in-law	
11.11	Truong Thi Thu									Mother-in-law	
12.0	**Nguyen Thi Ngoc Tu**		**Head of the BOS**							**Internal person**	

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12.1	Nguyen Van Tuan									Biological father	
12.2	Le Thi Thanh									Mother-in-law	
12.3	Le Huy Minh									Husband	
12.4	Le Huy Quang Duc									Biological child	
12.5	Le Minh Khanh Ha									Biological child	
12.6	Nguyen Tai Tuan									Younger sibling	
12.7	Vu Thuy Linh									Sister-in-law	
13.0	**Ngo Thi Le Thanh**		**BOS member**							**Internal person**	
13.1	Ngo Xuan Dinh									Biological father	
13.2	Bui Van Tam									Father-in-law	
13.3	Phan Thi Tuong									Mother-in-law	
13.4	Bui Thai Thu									Husband	
13.5	Bui Thai Thu Van									Biological child	
13.6	Bui Thai Thu Giang									Biological child	Minor
13.7	Ngo Giang Dong									Elder brother	
13.8	Nguyen Thi Cao Thai									Sister-in-law	
13.9	Ngo Thi Mai Hoa									Elder sister	
13.10	Ha Xuan Thang									Brother-in-law	
13.11	Ngo Thi Xuan Thao									Elder sister	
13.12	Pham Xuan Hai									Brother-in-law	
14.0	**Nguyen Thi Phuong Thuy**		**BOS member**							**Internal person**	
14.1	Nguyen Xuan Lai									Biological father	
14.2	Hoang Thi Thoa									Biological mother	
14.3	Nguyen Xuan Long									Younger sibling	
15.0	**Pham Thi Thanh Tam**		**Head of the BOS**							**Internal person**	**Term expired with effect from 22/04/2026**
15.1	Bui Thi Thanh									Biological mother	
15.2	Pham Ngoc Minh									Biological father	
15.3	Pham Ngoc Mai									Younger sibling	

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15.4	Nguyen Viet Anh									Brother-in-law	
16.0	**Nguyen Thi Thuy Hang**		**Head of Internal Audit**							**Internal person**	
16.1	Ngo Viet Nga									Husband	
16.2	Ngo Duc Tung									Biological child	
16.3	Ngo Duc Cuong									Biological child	
16.4	Nguyen Van Ha									Biological father	
16.5	Pham Thi Xuan									Biological mother	
16.6	Le Thi Nhung									Mother-in-law	
16.7	Nguyen Van Khanh									Elder brother	
16.8	Nguyen Van Vu									Younger sibling	
16.9	Nguyen Thi Kim Oanh									Sister-in-law	
17.0	**Tran Le Gia Binh**		**Internal Audit**							**Internal person**	**Dismissed on 04/05/2026**
17.1	Nguyen Dang Khoa									Husband	
17.2	Nguyen Gia Bao									Biological child	Minor
17.3	Tran Van An									Biological father	
17.4	Le Thi Phuc									Biological mother	
17.5	Nguyen Van Dai									Father-in-law	
17.6	Nguyen Thi Giang Huong									Mother-in-law	
18.0	**Nguyen Thi Ngoc Thao**		**Corporate Governance Officer**							**Internal person**	
18.1	Nguyen Van Tan									Biological father	
18.2	Duong Thi Ngoc Loan									Biological mother	
18.3	Nguyen Thi Ngoc Thu									Younger sibling	
19.0	**Nguyen Trat Minh Phuong**		**Authorized person for information disclosure**							**Internal person**	

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19.1	Trat Ngoc Hue									Biological mother	
19.2	Nguyen Cong Danh									Biological father	
19.3	Ngo Thi Hieu									Mother-in-law	
19.4	Tran Xuan Lap									Father-in-law	
19.5	Tran Thi Thanh Ha									Wife	
19.6	Nguyen Tran Bao Tran									Biological child	
19.7	Tran Thanh Nam									Brother-in-law	
19.8	Huynh Thi Thanh Van									Sister-in-law	
19.9	Nguyen Trat Minh Nhat									Elder brother	
19.10	Nguyen Thi Ly									Sister-in-law	
20.0	**Tien Phong Commercial Joint Stock Bank**			**123/GP-NHNN**	**05/05/2008**	**State Bank of Vietnam**	**TPBank Building, No. 57 Ly Thuong Kiet, Cua Nam Ward, Hanoi**	**318,204,761**	**51%**	**Parent company**	
20.1	Do Minh Phu									Chairman of the BOD cum legal representative of the parent company	
20.2	Le Quang Tien									Vice Chairman of the BOD of the parent company	
20.3	Shuzo Shikata									Vice Chairman of the BOD of the parent company	
20.4	Nguyen Thi Mai Suong									BOD member of the parent company	Dismissed on 24/04/2026
20.5	Vo Bich Ha									Independent BOD member of the parent company	

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20.6	Do Quynh Anh							4,024	0.0006%	BOD member of the parent company	Data per TPS's shareholder list finalized on 22/03/2026
20.7	Nguyen Thi Huong Trang							4,725,300	0.757%	Independent BOD member of the parent company	Data per TPS's shareholder list finalized on 22/03/2026
20.8	Nguyen Hung									CEO of the parent company	
21.0	**Viet Cat Fund Management Joint Stock Company**			**28/UBCK-GP**	**25/01/2008**	**State Securities Commission**	**Floor 11, Doji Tower, No. 5 Le Duan, Van Mieu - Quoc Tu Giam Ward, Hanoi**			**Related organization**	
22.0	**Tien Phong Digital Asset Technology Joint Stock Company**			**0111250566**	**14/10/2025**	**Hanoi Department of Finance**	**Floor 11, Doji Tower, No. 5 Le Duan, Ba Dinh Ward, Hanoi**			**Related organization**	
23.0	**Tien Phong Bank Debt Management and Asset Exploitation One Member Limited Liability Company**			**0111373448**	**30/01/2026**	**Hanoi Department of Finance**	**No. 44 Le Ngoc Han, Hai Ba Trung Ward, Hanoi**			**Related organization**	