



CHỨNG KHOÁN AGRIBANK

Chăm lo gieo trồng đồng vốn của bạn

2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS DOCUMENTS

CÔNG TY
CỔ PHẦN
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AGRIBANK

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Ha Noi, April 29, 2026

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ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2026

April 29, 2026

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AGENDA

2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS

April 29, 2026

1

No	Time	Content	Person in Charge
I	Opening Session		
1	13h00 – 13h30	Welcoming guests; Shareholder registration and eligibility verification; Distribution of meeting materials.	Shareholder Eligibility Committee
2	13h30 – 13h35	The opening statement of Annual General Meeting (AGM): Declaring the reason, introducing the attending delegates. + Guests, Representatives of Agribank + Board of Directors (BOD) of Agriseco + Board of Supervisors of Agriseco + General Director of Agriseco + Shareholders of Agriseco	Mr.Thai Anh Son
3	13h35 – 13h40	Reporting the results of shareholders attending the AGM - Reporting on the shareholder verification results. - Announcing the AGM is eligible to proceed	Ms. Tang Thi Trinh – Head of the Shareholder Eligibility Committee
4	13h40 – 13h45	- Introduction of the Presiding Committee: + Mr. Phan Van Tuan – Chairman of the BOD – Chairperson; + Mr. Nguyen Hoang Tung – Deputy General Director (In charge of the Management Board) + Mr. Nguyen Duc Thuan – BOD Member – Member. - Introduction of the AGM Committee Secretary: + Mr. Pham Viet Hung– Head of the Committee; + Mr. Pham Tran Phat - Secretary to the CEO. - Invitation for the Presiding Committee and Committee Secretary to work	Mr.Thai Anh Son



5	13h45 – 13h50 (The AGM shall vote by raising voting cards when asked: Approve, Disapprove, No opinion)	Approval of the Vote Counting Committee: <ul style="list-style-type: none"> - Presenting the list of the Vote Counting Committee: <ul style="list-style-type: none"> + Ms. Le Thu Minh (Head of HR Dept.) – Head. + Ms. Le Thi Mai Huong (Head of Internal Audit & RMD) – Member. + Mr. Pham Tien Luc – Member. + Ms. Dao Hoang Yen – Member. + Ms. Tran Thi Minh Thao – Member. - Propose that the AGM vote to approve the above list of the Ballot Counting Committee. 	Mr.Thai Anh Son
6	13h50 – 14h00 (The AGM shall vote by raising voting cards when asked: Approve, Disapprove, No opinion)	Proposal for AGM approval of the AGM Regulations and Agenda for 2026. (Reading of the AGM Regulations and Agenda)	Mr.Thai Anh Son
II	The AGM Agenda		
7	14h00 – 14h20	Report on the governance and performance of the Board of Directors and each member of the Board of Directors in 2025.	Mr. Nguyen Duc Thuan – BOD Member
8	14h20 – 14h40	<ul style="list-style-type: none"> - Proposal to the General Meeting of Shareholders on approving the 2025 Audited Financial Statements. - Proposal to the General Meeting of Shareholders on approving the 2025 Profit Distribution Plan. - Proposal to the General Meeting of Shareholders on approving of share issuance for 2025 dividend payment plan - Proposal to the General Meeting of Shareholders on approving the 2026 Business Plan targets. 	Mr. Nguyen Hoang Tung – Deputy General Director (In charge of the Management Board)
9	14h40 – 15h00	<ul style="list-style-type: none"> - Report of Board of Supervisors on Evaluating the 2025 Audited Financial Statements. - Report on the activities of the Board of Supervisors in 2025. - Proposal to the AGM on the approval of the remuneration of the Board of Directors and the Salaries, Remuneration, and Operating Budget of the Board of Supervisors for 2025. 	Mrs. Le Huong Giang – Head of the Board of Supervisors

		- Proposal to the General Meeting of Shareholders on the approval of the List of Auditing Firms to Audit the 2025 Financial Statements.	
10	15h00 – 15h05	Proposal to the General Meeting of Shareholders (GMS) regarding the dismissal of Mr. Le Son Tung from the positions of General Director and Member of the Board of Directors, and the submission to the 2026 Annual General Meeting of Shareholders regarding the number of Board Members for the 2024 - 2029 term.	Mr. Nguyen Duc Thuan – BOD Member
11	15h05 – 15h25	Answering shareholders' questions (Collecting Questionnaires, answering...)	Presiding Committee, Supporting Committee of the Presiding Committee
12	15h25 – 15h35	- Guidelines on voting and election procedures - Voting to approve the contents submitted to the AGM	Ballot Counting Committee
13	15h35 – 15h50	- Break - The Ballot Counting Committee works	Ballot Counting Committee
14	15h50 – 16h	Announcement of vote counting results	Ballot Counting Committee
15	16h – 16h15 (The AGM shall vote by raising voting cards when asked: Approve, Disapprove, No opinion)	Submission to the AGM for approval of the Draft Minutes and Resolutions of the 2026 Annual General Meeting of Shareholders	The AGM Committee Secretary
16	16h15	Closing the 2026 Annual General Meeting of Shareholders	-

ON BEHALF OF THE ANNUAL GENERAL MEETING

PRESIDER



Phan Van Tuan

Hanoi, April 29, 2026

**REGULATION ON
ORGANIZATION OF THE 2026 ANNUAL GENERAL MEETING OF
SHAREHOLDERS OF AGRIBANK SECURITIES CORPORATION**

- Pursuant to Law on Enterprises No. 59/2020/QH14 dated June 17th, 2020;
- Pursuant to Law on Securities No. 54/2019/QH14 dated November 26th, 2019;
- Pursuant to the Company Charter;
- Pursuant to the Internal Regulations on Corporate Governance.

**CHAPTER I
GENERAL PROVISIONS**

Article 1. Scope of application

These Regulations on the Organization of the 2026 Annual General Meeting of Shareholders are issued to govern the organization of the 2026 Annual General Meeting of Shareholders (the "Meeting" or "AGM") of Agribank Securities Joint Stock Company (the "Company").

Article 2. Purpose

These Regulations specifically stipulate the conditions for shareholders to attend the Meeting, the procedures for conducting the Meeting, the rights and obligations of shareholders and Committees at the Meeting, and the voting procedures.

**CHAPTER II
CONDITIONS FOR ATTENDING THE MEETING OF SHAREHOLDERS**

Article 3. Shareholder Attendance Conditions

Shareholders named in the Shareholder Register as of the record date (March 3, 2026), as finalized by the Vietnam Securities Depository and Clearing Corporation (VSDC), have the right to attend the Meeting directly or authorize a proxy to attend on their behalf.

**CHAPTER III
MEETING PROCEDURES**

Article 4. The Meeting shall be conducted if the attending shareholders represent more than 50% of the total voting shares of the Company.

Article 5. Meeting Agenda

1. The Meeting is expected to be held within a half of day.

2. The Meeting will discuss and vote to approve the contents included in Meeting Agenda.

Article 6. Minutes and Resolutions of the General Meeting

The proceedings of the Meeting must be recorded in the Minutes. The Meeting Minutes and Resolutions must be completed and approved before the adjournment of the Meeting. These documents shall be disclosed and archived in accordance with legal regulations.

CHAPTER IV

RIGHTS AND OBLIGATION OF SHAREHOLDERS AND COMMITTEES ATTENDING THE GENERAL MEETING

Article 7. Rights and obligations of shareholders

1. Registration for Attendance

- Before the General Meeting commences, the Company shall register attending shareholders.

- Participants (shareholders or their proxies) must present the following documents:

+ For individual shareholders (attending in person or by proxy):

- o Authorization document to attend the General Meeting of Shareholders (if attending by proxy). The authorization must comply with civil law provisions and clearly state the name of the authorized individual or organization and the number of authorized shares (using Form MS 01-DHDCD).
- o National ID Card / Citizen Identity Card / Passport of the attendee (shareholder or authorized person).

+ For corporate shareholders:

- o Meeting invitation;
- o Certified copy of the Business Registration Certificate / Enterprise Registration Certificate / License for Establishment and Operation;
- o Decision of the legal representative appointing a person to attend the General Meeting of Shareholders, or a written authorization to attend the Meeting (prepared in accordance with civil law provisions, clearly stating the name of the authorized person and the number of authorized shares, using Form MS 01-DHDCD) – applicable if the attending representative is not the legal representative;
- o National ID Card / Citizen Identity Card / Passport of the attendee.

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- Shareholders arriving after the General Meeting has commenced may still register for attendance and shall have the right to vote immediately upon registration. In such cases, the validity of votes previously cast shall not be affected.

2. Issued Issuance of Voting Cards and Voting Slips

After completing the registration, shareholders or their authorized representatives shall receive one Voting Card and one Voting Slip corresponding to the number of voting shares owned or represented, along with other documents to be used during the Meeting.

3. Voting and Elections

Shareholders have the right to attend, speak at the General Meeting of Shareholders, and exercise their voting and election rights either directly, through an authorized representative, or by other means as prescribed by law and the Company's Charter

4. Compliance Obligations

Comply with the Meeting Regulations, follow the directives of the Presiding Officer (Chairperson), and respect the outcomes of the General Meeting.

5. The right to propose the General Meeting agenda

Shareholders or groups of shareholders holding at least 5% of the total ordinary shares have the right to propose matters to be included in the agenda of the General Meeting of Shareholders. The proposal must be submitted in writing to the Company no later than three working days before the opening date of the Meeting. The proposal must clearly state the shareholder's name, the number and type of shares held, and the proposed matters. In addition, the proposal must satisfy the following conditions and procedures:

- A document or evidence verifying the number of shares owned by the shareholder(s);
- Citizen Identity Card / ID Card / Passport or other legally recognized personal identification of the shareholder(s);
- The proposal must be directly signed by the relevant shareholder(s) at the Company's office where the request is received;
- In case a shareholder or group of shareholders authorizes a representative (either from the group or another individual) to submit the proposal, the authorized representative must present:
 - + A legally valid authorization document authorizing the representative on behalf of the shareholder(s) or group of shareholders; or a Letter of Appointment of the authorized representative (applicable only to institutional shareholders that have not appointed an authorized representative under



Article 15 of the Company's Charter), along with a certified copy of the institutional shareholder's Business Registration Certificate;

- + Citizen Identity Card / ID Card / Passport or other legally recognized personal identification of the authorized representative.

Article 8. Rights and Obligations of the Presiding Officer

1. Conducting the General Meeting

- Implement necessary and reasonable measures to ensure the Meeting is conducted in an orderly manner, in accordance with the approved agenda, and reflecting the will of the majority of attendees.

- Decide on procedures, proceedings, and any matters arising outside the approved Meeting agenda. The Presiding Officer may postpone the Meeting upon the consensus or at the request of the General Meeting of Shareholders, provided that the required quorum has been met.

- Require all attendees to undergo security inspection or other lawful and reasonable security measures.

- Request competent authorities to maintain order during the Meeting and expel any individuals who fail to comply with the Presiding Officer's directives, intentionally cause disorder, obstruct the normal conduct of the Meeting, or refuse to undergo required security inspections.

2. Suspension of the General Meeting

The Presiding Officer has the authority to suspend the General Meeting and reschedule it to a different time or venue (with the maximum suspension period not exceeding 03 days from the originally scheduled opening date), even if the required quorum of registered attendees has been met, in the following cases:

- The venue cannot conveniently accommodate all participants;
- The communication facilities at the meeting venue do not ensure that shareholders can effectively participate, discuss, and vote;
- Participants engage in actions that obstruct proceedings, cause disorder, and create a risk that the Meeting cannot proceed fairly and lawfully.

Article 9. Shareholder Eligibility Verification Committee

The Shareholder Eligibility Verification Committee consists of one Head and several members selected by the Presiding Officer. The Committee is responsible for receiving registrations from shareholders attending the General Meeting, distributing Voting Cards, Voting Slips, and other meeting documents to shareholders.

The Head of the Shareholder Eligibility Verification Committee shall report to the General Meeting on the results of shareholder registration for attendance.

Article 10. The AGM Secretary Committee

The Presiding Officer appoints one or more persons to serve as secretaries of the Meeting.

- Duties of the Secretariat:
 - + Fully and accurately record the contents of the Meeting Agenda, including discussions and statements made by shareholders;
 - + Prepare the Minutes of the Meeting and the Resolutions of the General Meeting;
 - + Read out and present the Minutes of the Meeting and the Resolutions for approval by the General Meeting before its adjournment.

Article 11. The Ballot Counting Committee

The General Meeting elects the Ballot Counting Committee upon the proposal of the Presiding Officer of the Meeting.

Duties of the Ballot Counting Committee:

- Guide shareholders in carrying out the voting process;
- Count the number of Voting Cards marked “Agree” / “Disagree” / “No opinion” when the General Meeting is asked to express its opinion on matters voted by Voting Cards;
- Collect and verify the validity of Voting Slips after the General Meeting has voted and proceed with the ballot counting;
- Prepare the Ballot Counting Minutes and report the voting results to the General Meeting;
- Resolve any questions or complaints from shareholders regarding the voting process.



CHAPTER V

VOTING AT THE GENERAL MEETING

Article 12. Procedures and methods of voting at the General Meeting

1. Voting Cards:

- Valid Voting Card: A Voting Card issued by the Company, clearly stating the number of voting rights, and bearing the Company's seal. The Voting Card must not be erased, crossed out, or altered in any way.

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- The number of votes is determined based on the total number of shares owned or represented.

- The General Meeting of Shareholders shall vote by Voting Card on the following matters: Election of the Ballot Counting Committee; Approval of the Meeting Regulations and the Meeting Agenda.

- The voting process shall be conducted by first counting the Voting Cards marked in favor (Agree), then counting those marked against (Disagree), and finally counting those with no opinion (Abstain).

- The voting result shall be calculated as the percentage of the total number of votes represented by valid Voting Cards over the total number of votes held by all attending shareholders and their authorized representatives who participate and vote at the Meeting.

2. Voting Slips:

- Valid Voting Slip: A Voting Slip issued by the Company, clearly indicating the voting items, bearing a QR code, and affixed with the Company's seal. The Voting Slip must not be erased, crossed out, or altered.

- The number of votes is determined based on the total number of shares owned or represented.

- The General Meeting shall vote by Voting Slip on all matters included in the Meeting Agenda, except for those matters already voted on by Voting Cards as stipulated in Clause 1 of this Article.

- Voting method: Shareholders or their authorized representatives shall vote by selecting one of the following options: Agree, Disagree, or No opinion/Abstain, marking an (X) or (✓) next to each voting item, signing the slip, writing their full name, and submitting it to the Ballot Counting Committee.

- Voting result: The voting result shall be calculated as the percentage of the total number of shares represented by valid Voting Slips submitted to the Ballot Counting Committee over the total number of shares held by all attending shareholders and their authorized representatives who participate and vote at the Meeting.

- Invalid slip include: Voting Slips not in the form issued by the Organizing Committee; Voting Slips that are torn, crossed out, erased, or altered; Voting Slips containing additional information or symbols that prevent the Ballot Counting Committee from determining the voter's intention; Voting Slips lacking the signature of the shareholder or their authorized representative; Voting Slips indicating multiple conflicting opinions on the same voting item (in which case that particular item is invalid).

- The voting results must be recorded in the Minutes of the Meeting.

Article 13. Adoption of Resolutions at the General Meeting

1. Resolutions on the following matters shall be adopted if approved by shareholders representing at least 65% of the total voting rights of all shareholders attending and voting at the Meeting: Types of shares and the total number of shares of each type; Changes to the Company's business lines, sectors, or fields of operation; Changes to the Company's management structure; Investment projects or disposal of assets with a value of 35% or more of the total asset value as recorded in the Company's most recent financial statements; Reorganization or dissolution of the Company

2. Resolutions on all other matters shall be adopted if approved by shareholders representing more than 50% of the total voting rights of all shareholders attending and voting at the Meeting, except as otherwise provided in Clause 1 of this Article.

CHAPTER VI OTHER REGULATIONS

Article 14: In case the unsuccessful organization of the General Meeting

1. If the first Meeting fails to meet the quorum (Article 4) within 60 minutes of scheduled start, the convenor shall reconvene the Meeting within 30 days from the original date. The reconvened Meeting may proceed if $\geq 33\%$ of total voting shares are represented.

2. If the second Meeting fails to meet quorum within 90 minutes, a third Meeting shall be convened within 20 days from the second Meeting's scheduled date. The third Meeting shall proceed regardless of the number of represented voting shares.

CHAPTER VII IMPLEMENTATION PROVISIONS

Article 15: Enforcement Effect

1. This Regulation, comprising 7 Chapters and 15 Articles, is effective immediately after proposed by the General Meeting

2. Shareholders and committees at the General Meeting are responsible for implementing the provisions of this charter.

**ON BEHALF OF THE GENERAL MEETING OF
SHAREHOLDERS**

PRESIDER



Phan Van Tuan

Hanoi, April 29, 2026

**REPORT ON THE CORPORATE GOVERNANCE AND PERFORMANCE
RESULTS OF THE BOARD OF DIRECTORS AND ITS MEMBERS IN 2025**
(At the 2026 Annual General Meeting of Shareholders)

Dear General Meeting of Shareholders,

On behalf of the Board of Directors (BOD) of Agribank Securities Joint Stock Corporation (the Company), I would like to report to the General Meeting of Shareholders (GMS) on corporate governance, and the performance results of the Board of Directors and each individual Board Member in 2025, with the following details:

I. Market situation and Company's Business Performance in 2025

1. Stock Market Situation in 2025

In 2025, the Vietnamese stock market experienced strong growth, with a 40% increase in both index points and liquidity. Significant milestones included Vietnam being reviewed for a market upgrade to "Emerging Market" status by FTSE, and the successful, safe commencement of the new KRX information technology system.

The market's upward trend was primarily driven by a number of stocks within the Vingroup (while other stocks grew by an average of approximately 17%). However, the market also faced several challenging periods, particularly in the first half of the second quarter of 2025, when the U.S. unexpectedly imposed high import tariffs on Vietnam and several other nations. This led to a downturn in global financial markets and a nearly 20% decline in the VN-Index.

Competition in the market became increasingly fierce and unhealthy, with the widespread practice of service price dumping, which adversely affected the operations of many securities companies.

2. Business performance in 2025

Amid market volatility and numerous challenges, the Company exerted efforts to achieve the following specific business results:

- **Total Revenue:** Reached 483.9 billion VND, achieving 108% of the plan.
- **Total Expenses:** Reached 307.6 billion VND, equivalent to 114% of the plan.
(Note: General and Administrative expenses decreased by 17% compared to the plan; the overall increase was mainly due to a sharp rise in financial

expenses corresponding to financial revenue as the Company intensified its treasury business activities).

- **Profit Before Tax:** Pre-tax profit reached VND 176.3 billion, achieving 97% of the plan.
- **Dividend Payment:** Implemented the 2024 dividend payment in shares at a ratio of 6%.

II. Report on the Governance Activities of the Company's Board of Directors in 2025

1. Composition of the Board of Directors

The composition of the incumbent Board of Directors in 2025 consisted of 05 members:

Full name	Position
Mr. Phan Van Tuan	Chairman of the BOD
Mr. Le Son Tung	Member of the BOD, General Director
Mr. Nguyen Duc Thuan	Member of the BOD
Ms. Nguyen Thi Hong Hanh	Member of the BOD
Mr. Doan Ngoc Hoan	Independent Member of the BOD

2. Performance Results of the Board of Directors

2.1. Implementation of the 2025 General Meeting of Shareholders' Resolution:

The Board of Directors (BOD) organized the implementation of the 2025 Annual General Mandate dated March 28, 2025, including:

- Executing actions and solutions to complete the 2025 Business Plan.
- Implementing the 2025 profit distribution plan: Appropriated 13.090.350.000 VND to the Bonus and Welfare Fund for employees; 438.833.333 VND to the Bonus Fund for managers; and paid stock dividends (6% ratio) amounting to 129.205.140.000 VND.
- Paying remuneration for the Board of Directors and the salary, remuneration, and operating budget for the Board of Supervisors in 2025.
- Selecting KPMG Limited as the auditing firm to conduct the review/audit of the Financial Statements and the Financial Safety Ratio Reports for the semi-annual/annual period of 2025.

2.2. Governance and Management Activities: The BOD issued documents, streamlined the organizational model, and finalized key personnel appointments, including:

- Issuing/amending 13 governance and management documents to meet operational realities, align with the organizational model, and enhance governance efficiency, including: Regulations on salary and income policies for investment advisory staff; Internal Spending Regulations; Brokerage Service Regulations; Financial Regulations; Regulations on investment, procurement, and management of fixed assets and tools; Margin Trading Service Regulations; Regulations on personnel planning, appointment, re-appointment, dismissal, and rotation; Regulations on management and use of the Bonus Fund; IT System Management Regulations; and various amendments to salary and labor policies.
- Rearranging and restructuring the model, organizational apparatus, and operating personnel to ensure lean efficiency: Establishing the Human Resources Department with a focus on human resources development and recruitment; renaming the Human Resources and General Administration Department to the Administration and General Affairs Department and issuing regulations on the organization and operation of these Units.
- Strengthening leadership and management personnel, specifically: Dismissing Mr. Le Son Tung from the position of General Director, assigning Mr. Nguyen Hoang Tùng – Deputy General Director of the Company – to be in charge of the Management Board, reappointing one Branch Director; appointing and reappointing several Department Head and Deputy Head titles at the Head Office and branches.
- Reviewing, evaluating, rearranging, and restructuring the workforce towards increasing focus on business units and increasing the number of direct labor.

2.3. Regarding the results of handling and recovering outstanding debts and inefficient investments: In 2025, Agriseco recovered VND 26.85 billion in outstanding debts, specifically as follows:

- Recovery from Investment Management Contracts (MTQ) for listed securities: VND 81,79 million from the sale of collateral and collateral dividends.
- Recovery from Investment Management Contracts (MTQ) for unlisted securities: * VND 11 billion from client Truong Viet Binh (NEM shares);
 - VND 315 million from client Huynh Kim Doan (EDEN shares);
 - VND 41,04 million from client Tran Phuc (Sabeco shares);
 - VND 2,857 billion through judgment enforcement from Chi Linh Star Joint Stock Company (GPBank shares).
- Recovery from margin lending transactions: VND 10 billion from client Trinh Van Quyet (FLC shares).

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- Recovery from other receivables: VND 2,551 billion from TAFC Company.

2.4. Assignment of Duties to BOD Members: The Chairman of the BOD issued Decision No. 245/QĐ-CT.HĐQT dated April 23.2024, regarding the assignment of duties to BOD members (replacing Decision No. 223/QĐ-CT.HĐQT dated June 12.2023).

2.5. Issuance of BOD Resolutions and Decisions: The BOD issued documents in compliance with the law, the Company Charter, and relevant legal documents, including:

- **54 BOD Resolutions:** 05 on organization and personnel; 17 on business activities, salaries, and bonuses; 11 on the issuance and amendment of internal regulations; 05 on IT systems; 03 on debt recovery and settlement; and 23 on other matters.
- **40 BOD Decisions:** 18 on organization and personnel; 06 on business activities, salaries, and bonuses; 13 on the issuance and amendment of internal regulations; and 03 on other matters.

2.6. Meetings and Written Consultations: The BOD held 08 face-to-face meetings and conducted 44 written solicitations for opinions from BOD members. Discussions focused on key issues such as directing the implementation of 2025 Annual General Mandates; drafting and amending internal regulations; investing in and maintaining IT systems; and perfecting the organizational model and personnel structure.

3. Activities of Independent BOD Member and Assessment of the Board's Activities in 2025

In 2025, in addition to fully performing the duties of a BOD member, the independent BOD member fulfilled their legal role by supervising Company activities to protect shareholder interests. They attended all meetings, regularly provided independent opinions, and voted at 100% of the BOD's meetings and written consultations.

The independent BOD member also proactively contributed ideas and proposals regarding the Company's business operations, risk management, and governance.

The independent BOD member's assessment of the BOD's performance in 2025 is as follows:

- In 2025, despite numerous fluctuations and challenges, the Board of Directors worked with a proactive spirit and high responsibility. The BOD actively implemented and closely supervised the execution of GMS and BOD Resolutions, while fully complying with legal rights and obligations, the Charter, and internal corporate governance regulations. BOD meetings were conducted transparently, independently, and constructively, always valuing and incorporating feedback from members.

- The Board of Directors closely followed the 2021–2025 Business Strategy, the Vision toward 2030, and the 2025 Business Plan. The BOD proactively issued resolutions and decisions and strictly supervised their implementation to ensure a balance of interests among shareholders, employees, and customers.
- In its directing and supervising role, the BOD coordinated closely with the Management Board with high responsibility, promptly resolving obstacles and providing flexible solutions, helping the Company's operations achieve optimal results.

3. Activities of the Independent BOD Member and Evaluation of the 2025 BOD's Performance by the Independent Member

In 2025, in addition to fully performing the obligations and responsibilities of a member of the Board of Directors, the independent members of the Board of Directors have fully performed their roles according to the provisions of law, supervising the Company's activities to ensure the interests of shareholders, fully attending, regularly contributing opinions in an independent capacity and voting at 100% of meetings/ soliciting written opinions of the Board of Directors.

The independent members of the Board of Directors have also proactively provided opinions and suggestions on the Company's business activities, risk management and governance.

The results of the independent Board member's evaluation of the Board of Directors' performance in 2025 are as follows:

- In 2025, despite facing many fluctuations and challenges, the Board of Directors of the Company always strived to work with a positive spirit and high responsibility. The Board of Directors proactively implemented and closely monitored the implementation of the Resolutions and Decisions of the General Meeting of Shareholders and the Board of Directors, fully complying with the rights and obligations as prescribed by law, the Charter of Operations, and internal regulations on corporate governance. The Board of Directors' meetings took place transparently, independently, in a constructive spirit and always listened to and absorbed the contributions of the members.

- The Board of Directors always closely follows the Business Strategy for the period 2021-2025, with a vision to 2030, along with the Business Plan for 2025. The Board of Directors proactively issues resolutions and decisions and closely monitors the implementation process to ensure the harmony of interests of shareholders, employees and customers.

- With the role of direction and supervision, the Board of Directors has closely coordinated with the Board of Management with a strong sense of responsibility,

promptly removed obstacles and provided flexible solutions, helping the company's operations achieve optimal results.

4. Regarding remuneration, operating expenses and other benefits of the Board of Directors and Board members in 2025

The Company paid remuneration, operating expenses and other benefits to the BOD in accordance with the provisions of the Law, the Company Charter and the Resolution of the 2025 Annual General Meeting of Shareholders. In which:

- Single-office holding Board of Directors members: did not receive remuneration, received salary according to the Company's regulations.

- Independent Board of Directors members, multi-office holding BOD members who did not receive salary from the Company: the remuneration received was VND 12.000.000/member/month, total remuneration in 2025 is VND 288.000.000.

5. Performance of Board of Directors members

In 2025, against a backdrop of escalating international tensions involving trade wars and tariffs, alongside a highly volatile and challenging domestic financial market, the Company's Board of Directors (BOD) effectively exercised its leadership role. The BOD closely followed the 2021–2025 development strategy and the vision toward 2030, ensuring that the Company's operations remained stable and correctly oriented, successfully achieving the 2025 business plan. With a high sense of responsibility, the BOD successfully completed its assigned duties, creating a solid foundation for the Company's sustainable and efficient development in the following years.

Business Direction and Implementation The BOD successfully organized the 2025 Annual General Meeting of Shareholders (AGM) in full compliance with legal procedures and the Company Charter. The BOD proactively issued key resolutions and decisions, assigned specific duties to each member, and closely monitored implementation progress to ensure business objectives were deployed synchronously and effectively.

The Chairman and BOD members actively participated in the management process, maintaining close oversight of Company activities, promptly addressing arising issues, and providing solutions to obstacles within the BOD's authority. During the year, the BOD directed the review, amendment, and issuance of internal regulations to align with new market realities, while providing guidance for safe business operations, optimizing operational efficiency, and essentially completing planned targets.

Furthermore, the BOD directed the continued restructuring of the workforce toward enhancing operational efficiency. Investments in technological innovation and IT system upgrades remained a priority to enhance operational capacity, ensuring high performance and security.

Supervisory and Governance Role The BOD coordinated closely with the Management Board in supervising business operations, ensuring compliance with legal regulations and maximizing shareholder interests. The BOD closely monitored the achievement of business targets, supervised internal inspection programs, and promptly received and addressed findings from inspections and audits to implement effective remedial actions.

BOD members fully attended meetings and contributed honest, objective, and prudent opinions on key Company matters. Notably, the BOD maintained strict supervision of the activities of the Management Board and other management levels, ensuring that major policy decisions were implemented according to the set orientation while maintaining a balance between shareholder interests, employee rights, and responsibilities toward customers.

Performance Assessment Results:

No.	Name	Position	Performance Result
1	Mr. Phan Van Tuan	Chairman of the BOD	Successfully completed duties
2	Mr. Le Son Tung	Member of the BOD and General Director	Successfully completed duties
3	Mr. Nguyen Duc Thuan	Member of the BOD	Successfully completed duties
4	Mrs. Nguyen Thi Hong Hanh	Member of the BOD	Successfully completed duties
5	Mr. Doan Ngoc Hoan	Independent Member of the BOD	Successfully completed duties

6. Results of Supervision of the CEO and Other Managers

The Board of Directors supervised the activities of the CEO and the Management Board through Resolutions, Decisions, periodic and extraordinary meetings, and reporting mechanisms from the CEO, the Board of Supervisors, Internal Audit, and Risk Management.

Supervision Results: The CEO and the Management Board essentially completed the requirements of the General Meeting of Shareholders' Resolutions and strictly implemented 54 Resolutions and 40 Decisions issued by the Board of Directors in 2025. They focused on enhancing governance efficiency, internal control, and risk management, while conducting business operations in compliance with the Law, internal regulations, and the BOD's orientations.

III. Market Forecast, Business Plan, and Solutions for 2026

1. Stock Market Forecast

The stock market in 2026 is expected to present a mix of opportunities and challenges. Risk factors are increasing in intensity, scope, and complexity, including escalating trade wars between major powers and a potential global economic



downturn. Vietnam's economy, characterized by high openness in trade and export-import activities, remains vulnerable to external shocks. Pressures from exchange rates, inflation, and rising interest rates persist, alongside heavy net selling by foreign investors. The VN-Index is currently in a high valuation zone, influenced significantly by the Vingroup stock cluster. On the positive side, factors such as a GDP growth target exceeding 10%, government demand-stimulus policies, and fiscal and monetary expansion provide support. Additionally, the potential upgrade of the Vietnamese stock market from Frontier to Emerging status is expected to attract foreign capital back to the country.

2. Business Plan

In 2026, BOD members will continue to strengthen and enhance governance efficiency, focusing resources on the 2026–2030 development strategy and implementing the 2026 business plan with the following key targets:

- Total Revenue: 580 billion VND.
- Total Expenses: 386 billion VND.
- Total Profit Before Tax: 194 billion VND.
- Minimum Dividend: 6%.

3. Core Solutions for Implementing the 2026 Business Plan

With clear strategies, orientations, and plans, the Company aims to complete its 2026 business targets, reaffirming its position as a securities firm backed by its major shareholder, Agribank, and remaining a companion to the development of the financial market and investors in Vietnam.

3.1. Governance and Management:

- Focus on finalizing and amending core regulations, such as salary policies, regulations on personnel planning, appointment, re-appointment, dismissal, and rotation, and labor management regulations.
- Continue perfecting the organizational model, focusing on streamlining indirect labor and increasing the business-focused workforce. Finalize the Management Board and other management positions.
- Standardize the Company-wide competence framework for each position and unit as a basis for quantitative, objective recruitment, training, assessment, and personnel planning in alignment with the Development Strategy.

3.2. Business Operations:

- Improve and upgrade existing products and services (A007, M7, M9, T+10, Buy Free, etc.) and research new offerings such as investment account management mandates, wealth management advisory, M&A advisory, and corporate restructuring advisory.

- Focus on driving the balance of margin loans and advances to 3.000 billion VND from the early months of the year, prioritizing stocks in the VN100 and HNX30 groups.
- Increase total assets to over 4.800 billion VND through stock issuances for dividends, bond issuances, and credit facility borrowings from domestic and foreign institutions. Ensure available capital limits to meet business needs.
- Enhance the efficiency of proprietary trading with a focus on long-term investment in industry-leading stocks benefiting from public investment policies, capital inflows from market upgrades, and double-digit profit growth, while monitoring market trends for short-term opportunities.
- Exploit interest rate opportunities in the money market for efficient capital trading and arbitrage. Implement corporate bond distribution and retail products to improve capital utilization and diversify the investment product suite.

3.3. Implementation of Cooperation Agreement with Agribank:

- Maintain close coordination with Agribank's Corporate Banking, Individual Banking, ALCO, and Capital Center to develop customers and promote cross-selling via incentive mechanisms. Expand corporate finance advisory cooperation in debt restructuring, seed capital products, and collateral management services.
- Research the execution of a comprehensive securities service agreement between Agriseco and Agribank to unify cooperation across the system and facilitate the assignment of development targets to Agribank branches.
- Enhance communication effectiveness by utilizing Agribank's internal channels to promote securities, the stock market, and Agriseco, emphasizing the benefits of bank-securities cooperation and participation opportunities.

3.4. Information Technology: Ensure the IT system operates stably, safely, and smoothly; implement Level 3 IT standards; upgrade security systems and 24/7 Security Operations Center (SOC) monitoring; digitize workflows and approvals; and modernize the online trading system to meet diverse customer needs.

3.5. Debt Recovery and Risk Management: Continue directing the handling and rectification of deficiencies based on inspection and audit conclusions. Strengthen risk control to prevent new bad debts, focusing on recovering outstanding receivables from customer groups related to NEM and FLC stocks; and finalize legal dossiers to file lawsuits against delinquent debtors.

Dear Esteemed Shareholders, Valued Customers, Respected Partners, and All Employees of the Company. The above is the report from the Board of Directors of Agribank Securities Corporation on corporate governance, the performance of the Board of Directors in 2026, as well as the plan direction and key solutions to achieve the business plan for 2026.

On behalf of the Board of Directors, I would like to express my sincere gratitude for your trust, support, and continuous efforts toward the sustainable development of the Company. The unity and commitment of the entire organization serve as a vital driving force, enabling the Company to overcome challenges, seize opportunities, and progressively realize its strategic objectives in the coming period.

Wishing the General Meeting great success! Sincerely thank you! 

Best regards!

ON BEHALF OF THE BOARD OF DIRECTOR
CHAIRMAN 




Phan Van Tuan

Hanoi, April 29, 2026

PROPOSAL

Regarding the approval of the 2025 Audited Financial Statements

To: The General Meeting of Shareholders of the company

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17.2020;
- Pursuant to the Charter of Agribank Securities Joint Stock Company;
- Pursuant to the Internal Regulations on Corporate Governance;
- Pursuant to the 2025 Financial Statements of the Company audited by KPMG Vietnam Limited;
- Pursuant to Report No. 04/2026/BC-BKS dated March 2.2026, of the Board of Supervisors on the evaluation of the 2025 Financial Statements;
- Pursuant to Resolution No.508/NQ-HĐQT dated April 24, 2026, of the Company's Board of Directors regarding the contents of the 5th Board of Directors Meeting in 2026,

The Board of Directors (BOD) respectfully proposes that the General Meeting of Shareholders (GMS) approve the 2025 Audited Financial Statements with the following key indicators:

Items	Value (dong)
Total Asset	4.433.829.412.812
A. Current Assets	3.898.135.174.210
B. Long-term Assets	535.694.238.602
Total Liabilities and Equity	4.433.829.412.812
A. Liabilities	1.865.945.391.591
B. Owners' equity	2.567.884.021.221
Income Statement	
1. Total revenue	483.914.893.728
2. Total expenses	307.567.481.853
3. Profit before tax	176.347.411.875
4. Profit after Tax	141.886.639.501

Respectfully submitted!

ON BEHALF OF
THE BOARD OF DIRECTORS
CHAIRMAN



Phan Van Tuan

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Hanoi, April 29, 2026

PROPOSAL

Regarding the approval of the 2025 Profit Distribution Plan

To: The General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020;
- Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26, 2019;
- Pursuant to the Charter of Agribank Securities Corporation;
- Pursuant to the 2025 Financial Statements of the Company audited by KPMG Vietnam Co., Ltd;
- Pursuant to Resolution No. 508/NQ-HĐQT dated April 24, 2026, of the Company's Board of Directors regarding the contents of the 5th Board of Directors Meeting in 2026.

The Board of Directors respectfully proposes the 2025 Profit Distribution Plan for General Meeting of Shareholders to approve, as follows:

Unit: VND

No.	Content	Amount
I	Profit before tax in 2025	176.347.411.875
II	Profit after tax in 2025	141.886.639.501
III	Retained profit as of December 31 st , 2025	243.599.227.874
IV	Profit distribution plan	154.509.810.000
1	Allocation to Employee Welfare and Bonus Fund	15.892.000.000
2	Allocation to Manager Bonus Fund	1.630.750.000
3	Stock Dividend Payment at 6%	136.987.060.000
V	Retained undistributed profit (V)=(III) - (IV)	89.089.417.874

Respectfully submitted !

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN



Phan Van Tuan

Ha Noi, April 29, 2026

PROPOSAL

On Approval of share issuance for 2025 dividend payment plan

To: The General Meeting of Shareholders of Agribank Securities Corporation

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, passed by the National Assembly of the Socialist Republic of Vietnam and relevant guiding documents;*
- *The Law on Securities No. 54/2019/QH14 dated November 26, 2019, passed by the National Assembly of the Socialist Republic of Vietnam and relevant guiding documents;*
- *Law No. 56/2024/QH15 dated November 29, 2024, passed by the National Assembly of the Socialist Republic of Vietnam amending and supplementing a number of articles of the Law on Securities;*
- *Decree No. 155/2020/ND-CP dated December 31, 2020, of the Government providing detailed regulations for the implementation of a number of articles of the Law on Securities and relevant guiding documents;*
- *Decree No. 245/2025/ND-CP dated September 11, 2025, of the Government amending and supplementing a number of articles of Decree No. 155/2020/ND-CP and relevant guiding documents;*
- *Circular No. 121/2020/TT-BTC dated December 31, 2020, of the Ministry of Finance providing regulations on the operation of securities companies;*
- *The Charter of Agribank Securities Joint Stock Company;*
- *Other relevant legal documents.*

The Board of Directors (“BOD”) of Agribank Securities Joint Stock Company (“Company” or “AGR”) respectfully submits to the General Meeting of Shareholders (“GMS”) for consideration and approval of the plan for stock issuance for the Company's 2025 dividend payment as follows:

A. COMPANY INFORMATION

- **Company Name:** Agribank Securities Joint Stock Company
- **English Name:** AGRIBANK SECURITIES CORPORATION

- **Stock Ticker:** AGR
- **Address:** 5th Floor, Green Diamond Building, No. 93 Lang Ha, Dong Da District, Hanoi City.
- **Phone:** 024 6276 2666 | **Fax:** 024 6276 5666
- **Charter Capital (as of December 31.2025):** 2.283.118.230.000 VND (Two trillion, two hundred eighty-three billion, one hundred eighteen million, two hundred thirty thousand Vietnamese Dong).

B. ISSUANCE PLAN

1. **Issuer Name:** Agribank Securities Joint Stock Company
2. **Stock Name:** Stock of Agribank Securities Joint Stock Company
3. **Type of Shares:** Ordinary shares
4. **Par Value:** 10.000 VND/share
5. **Number of issued shares:** 228.311.823 shares (Two hundred twenty-eight million, three hundred eleven thousand, eight hundred twenty-three shares). In which:
 - Number of outstanding shares: 228.311.776 shares;
 - Number of treasury shares: 47 shares.
6. **Rights exercise ratio:** 100:6 (On the last registration date for rights exercise, a shareholder owning 01 share will receive 01 right to receive additional shares; a shareholder owning 100 rights will receive 06 new shares).
7. **Expected number of shares to be issued:** 13.698.706 shares (Thirteen million, six hundred ninety-eight thousand, seven hundred and six shares).
8. **Total expected issuance value at par value:** VND 136.987.060.000 (One hundred thirty-six billion, nine hundred eighty-seven million, sixty thousand Vietnamese Dong).
9. **Total expected number of shares after issuance:** 242.010.529 shares (Two hundred forty-two million, ten thousand, five hundred twenty-nine shares).
10. **Expected charter capital after issuance:** VND 2.420.105.290.000 (Two trillion, four hundred twenty billion, one hundred five million, two hundred ninety thousand Vietnamese Dong).
11. **Purpose of Issuance:** To increase charter capital to serve the Company's business development needs.
12. **Issuance Method:** Issuance of shares to existing shareholders via the rights exercise method.
13. **Source of Capital:** Taken from the Undistributed after-tax profit as of December 31.2025, based on the Company's 2025 Audited Financial Statements.
14. **Eligible Recipients:** Existing shareholders of Agribank Securities Joint Stock Company whose names are on the Shareholder Register finalized by the Vietnam Securities

Depository and Clearing Corporation (VSDC) as of the record date for the stock dividend payment.

15. Rounding principle and handling of fractional shares: For fractional shares (if any) arising from the exercise of rights, to ensure the issued volume does not exceed the expected issuance, the number of shares received by existing shareholders will be rounded down to the nearest whole number, and any fractional shares will be canceled. *Example: On the record date, Shareholder A owns 456 AGR shares. Shareholder A will receive: $(456 \times 6) / 100 = 27,36$ AGR shares. According to the rounding principle, Shareholder A will receive 27 AGR shares, and the 0,36 fractional share will be canceled.*

16. Related Restrictions: Shares under transfer restrictions (if any) are still entitled to receive stock dividends. The additionally issued shares are not subject to transfer restrictions. The right to receive additional shares is non-transferable. Treasury shares are not entitled to receive additional shares.

17. Distribution Method:

- **For deposited shares:** Shareholders shall perform procedures to receive stock dividends at the Depository Members where their depository accounts are opened.

- **For undeposited shares:** Shareholders shall perform procedures to receive stock dividends at the Head Office of Agribank Securities Joint Stock Company – 5th Floor, Green Diamond Building, No. 93 Lang Ha, Dong Da District, Hanoi City.

18. Expected Implementation Time: Expected in 2026. The specific timing shall be decided by the Board of Directors (BOD) immediately after receiving written notice from the State Securities Commission (SSC) regarding the receipt of full reporting documents for the stock dividend issuance, ensuring compliance with legal regulations.

19. Additional Registration and Listing: All shares issued for dividend payment shall be registered for additional securities at the VSDC and registered for additional listing on the Ho Chi Minh City Stock Exchange (HSX) in accordance with the law.

C. UTHORIZATION FOR IMPLEMENTATION

Authorization for the Board of Directors to decide on all matters related to the 2025 stock dividend issuance, specifically:

1. Deciding on the amendment, supplementation, or adjustment of the Issuance Plan approved by the General Meeting of Shareholders (GMS) upon request by state management agencies or based on the Company's actual conditions (if necessary) to implement the plan effectively, in line with the business plan, relevant laws, Charter, and shareholders' interests.

2. Preparing and finalizing the issuance dossier to submit to the SSC; deciding the specific record date and the issuance schedule to ensure shareholders' interests and legal compliance.



3. Organizing the implementation of tasks and procedures related to the issuance in accordance with the law and the Company's Charter.
4. Deciding and directing the implementation of all necessary tasks and procedures regarding: (i) Amending information on the charter capital in the Company's Charter corresponding to the issuance results; (ii) Implementing legal procedures to update the Establishment and Operation License of the securities company; (iii) Implementing legal procedures to update the Business Registration Certificate; (iv) Registering additional shares at VSDC; and (v) Registering additional listing of shares at HSX.
5. Ensuring the foreign ownership ratio complies with the law.
6. Other related tasks as prescribed by law.

Respectfully submitted to the General Meeting of Shareholders for consideration and approval.

Respectfully./.

Recipients:

- GMS;
- BOD, BOS;
- Archived: Admin, BOD Office.

ON BEHALF OF THE BOARD OF DIRECTORS

CHAIRMAN



Phan Van Tuan

Hanoi, April 29, 2026

PROPOSAL

**Regarding the approval of the 2026 Business Plan targets and the authorization
for the Board of Directors to adjust the 2026 Business Plan (if any)**

To: The General Meeting of Shareholders of the Company

- Pursuant to the Law on Enterprises;
- Pursuant to the Charter of Agribank Securities Joint Stock Company;
- Pursuant to Resolution No. 502/NQ-HĐQT dated April 10, 2026, of the Company's Board of Directors regarding the contents of the 3rd Board of Directors Meeting in 2026,

The Board of Directors (BOD) respectfully proposes that the General Meeting of Shareholders (GMS) approve the 2026 Business Plan targets as follows:

Unit: million VND

No.	Target	2026 Plan
1	Total Revenue	580.000
2	Total Expenses	386.000
3	Profit before tax	194.000
4	Dividend	Minimum 7%

The Board of Directors respectfully proposes that the 2026 Annual General Meeting of Shareholders approve the authorization for the Board of Directors to adjust the 2026 Business Plan targets in accordance with actual market conditions and the Company's business operations (if any).

Respectfully submitted!

**ON BEHALF OF
THE BOARD OF DIRECTORS
CHAIRMAN**



Phan Van Tuan

Ha Noi, March 2, 2026

REPORT
ON EVALUATION OF 2025 FINANCIAL STATEMENTS OF AGRIBANK
SECURITIES CORPORATION

To: - Board of Directors;
- General Director.

Pursuant to Circular No. 210/2014/TT-BTC dated December 30, 2014, of the Ministry of Finance guiding the accounting regime applicable to Securities Companies (Circular 210);

Pursuant to Circular No. 334/2016/TT-BTC dated December 27, 2016, of the Ministry of Finance regarding the amendment and supplementation of Appendices 02 and 04 of Circular 210;

Pursuant to the 2025 Financial Statements of Agribank Securities Joint Stock Company for the fiscal year ended December 31, 2025, audited by KPMG Vietnam Limited;

The Board of Supervisors (BOS) hereby reports the evaluation results as follows:

I. Basis of evaluation

- The Financial Statements for the year ended December 31, 2025, including:
 - Statement of Financial Position as of December 31, 2025 (Form B01-CTCK);
 - Statement of Income for the year ended December 31, 2025 (Form B02-CTCK);
 - Statement of Cash Flows for the year ended December 31, 2025 (Form B03-CTCK);
 - Statement of Changes in Equity for the year ended December 31, 2025 (Form B04-CTCK);
 - Notes to the Financial Statements for the year ended December 31, 2025 (Form B09-CTCK)
- The Management Board's report stating that the Financial Statements reflect a true and fair view of the Company's financial position as of December 31, 2025.
- Other relevant accounting records and data.

II. Evaluation Contents and Results

1. Performed Procedures

- Reviewed the presentation of the Financial Statements to ensure compliance with the Vietnamese Accounting System and Standards (VAS).



- Evaluated the consistency in the application of accounting policies and methods.

- Verified that the application of accounting standards was clearly explained and that data on detailed ledgers matched the Notes to the Financial Statements, ensuring indicators were reasonably determined.

- Assessed that estimates for accrued income, accrued expenses, and provisions for impairment of financial assets, collateral, and receivables were presented prudently and reasonably.

- Conducted sample testing and reconciliation of key financial indicators against the accounting ledgers.

2. Evaluation results

The preparation of financial statements ensures compliance with the provisions of the accounting regime applicable to securities companies and accounting standards on presentation of the Company's annual financial statements.

a) Statement of financial position as at December 31, 2025:

Unit: VND

TT	Items	Data on the Statement	Evaluated data	Changes
A	CURRENT ASSETS	3.898.135.174.210	3.898.135.174.210	0
I	Financial assets	3.891.947.979.908	3.891.947.979.908	0
1	Cash and cash equivalents	146.659.932.963	146.659.932.963	0
2	Financial assets at fair value through profit or loss ("FVTPL")	15.105.645.966	15.105.645.966	0
3	Held-to-maturity investments	925.769.128.120	925.769.128.120	0
4	Loans	2.441.159.609.091	2.441.159.609.091	0
5	Available-for-sale financial assets	349.502.206.000	349.502.206.000	0
6	Provision for impairment of financial assets and collateral	(35.452.660.064)	(35.452.660.064)	
7	Accounts receivable	636.419.219.249	636.419.219.249	0
a	Dividends and interest receivable, matured investments (*)	599.000.000.000	599.000.000.000	(*)
b	Accrued dividends and interest not due	37.419.219.249	37.419.219.249	0
8	Prepayment to suppliers	532.368.400	532.368.400	0
9	Receivables from services provided by the securities company (**)	469.729.301.988	469.729.301.988	(**)
10	Intra-company receivables	2.667.969.171	2.667.969.171	0
11	Other receivables	805.289.111	805.289.111	0
12	Provision for impairment of receivables (***)	(1.060.950.030.087)	(1.060.950.030.087)	(***)
II	Other current assets	6.187.194.302	6.187.194.302	0
1	Office equipment, tools and supplies	1.597.618.000	1.597.618.000	0

TT	Items	Data on the Statement	Evaluated data	Changes
2	Short-term prepaid expenses	4.244.541.018	4.244.541.018	0
3	Taxes and others receivable from State Treasury	345.035.284	345.035.284	0
B	LONG-TERM ASSETS	535.694.238.602	535.694.238.602	0
I	Long-term financial assets	310.914.446.136	310.914.446.136	0
1	<i>Held-to-maturity investments</i>	310.914.446.136	310.914.446.136	0
II	Fixed assets	201.110.945.867	201.110.945.867	0
1	Tangible fixed assets	179.978.845.399	179.978.845.399	0
a	<i>Cost</i>	246.075.062.092	246.075.062.092	0
b	<i>Accumulated depreciation</i>	(66.096.216.693)	(66.096.216.693)	0
2	Intangible fixed assets	21.132.100.468	21.132.100.468	0
a	<i>Cost</i>	59.707.392.256	59.707.392.256	0
b	<i>Accumulated amortisation</i>	(38.575.291.788)	(38.575.291.788)	0
IV	Construction in progress	0	0	0
V	Other non-current assets	23.668.846.599	23.668.846.599	0
1	Long-term deposits, mortgages, and collateral	113.000.000	113.000.000	0
2	Long-term prepayments	3.555.846.599	3.555.846.599	0
3	Payment Support Fund	20.000.000.000	20.000.000.000	0
	TOTAL ASSETS	4.433.829.412.812	4.433.829.412.812	0
C	LIABILITIES	1.865.945.391.591	1.865.945.391.591	0
I	Current liabilities	1.864.037.910.224	1.864.037.910.224	0
1	Short-term borrowings	1.706.000.000.000	1.706.000.000.000	0
2	Payables for securities transaction activities	17.474.935.287	17.474.935.287	0
3	Short-term accounts payable	8.005.225.137	8.005.225.137	0
4	Short-term advances from customers	702.000.000	702.000.000	0
5	Taxes and other payables to the State	59.807.375.047	59.807.375.047	0
6	Payables to employees	18.647.380.117	18.647.380.117	0
7	Employee benefit payables	11.494.850	11.494.850	0
8	Short-term accrued expenses	7.314.520.947	7.314.520.947	0
9	Short-term unearned revenue	12.980.770.370	12.980.770.370	0
10	Short-term deposits and collateral received	1.703	1.703	0
11	Other short-term payables	562.529.723	562.529.723	0
12	Bonus and welfare funds	32.531.677.043	32.531.677.043	0
II	Non-current liabilities	1.907.481.367	1.907.481.367	0
1	Long-term unearned revenue	1.496.731.760	1.496.731.760	0
2	Deferred income tax liabilities	410.749.607	410.749.607	0

TT	Items	Data on the Statement	Evaluated data	Changes
D	OWNER'S EQUITY	2.567.884.021.221	2.567.884.021.221	0
I	Owner's equity	2.567.884.021.221	2.567.884.021.221	0
1	Owner's invested capital	2.287.433.130.169	2.287.433.130.169	0
a	Owner's contributed capital	2.283.118.230.000	2.283.118.230.000	0
b	Share premium	4.315.527.032	4.315.527.032	0
c	Treasury shares	(626.863)	(626.863)	0
2	Fair value adjustment reserve	1.642.998.429	1.642.998.429	0
4	Other funds belonging to owner's equity	35.208.664.749	35.208.664.749	0
5	Retained earnings	243.599.227.874	243.599.227.874	0
a	Realized after-tax profit	237.968.098.562	237.968.098.562	0
b	Unrealized profit	5.631.129.312	5.631.129.312	0
	TOTAL LIABILITIES AND OWNER'S EQUITY	4.433.829.412.812	4.433.829.412.812	0

(*) The item **Dividends and interest receivable, and matured principal** amounting to **599.000 million VND** represents receivables from bond investments in **Vietnam Shipbuilding Industry Corporation (SBIC/Vinashin)** that matured in 2017. These are assessed as irrecoverable and have been **100% provisioned** by the Company.

(**) The item **Receivables from services provided by the securities company** amounting to **469.729 million VND** includes repurchase agreements (Repos) arising before the effective date of Circular No. 210/2012/TT-BTC of the Ministry of Finance (amounting to 464.860 million VND). The Company has made a **100% provision** for these receivables after deducting the value of the underlying collateral.

(***) The item **Provision for impairment of receivables** amounting to **1.060.950 million VND** includes provisions for Repurchase Agreements (Repos) of listed and unlisted securities; receivables from investors due to account overdrafts; bond investments in Vietnam Shipbuilding Industry Corporation; and other bad debts.

b) Financial Statement Items

- **Financial assets at fair value through profit or loss (FVTPL): 15,106 million VND, including:**

- Listed stocks: 0 VND.
- Unlisted stocks: 15.106 million VND (including Song Hong Corp: 1.061 million VND; Soc Trang Seafood JSC: 13.351 million VND; and other stocks: 694 million VND).
- Government bonds: 0 VND.
- Certificates of deposit: 0 VND.

- **Held-to-maturity investments (HTM):** 1.236.684 million VND, consisting of Certificates of Deposit (CDs) at banks with terms of 6 to 12 months and interest rates ranging from 4,8%/year to 7,8%/year (compared to December 31, 2024: CDs with original terms from over 6 to 24 months and interest rates from 5,9% to 10,79%); long-term listed bonds issued by Agribank with an interest rate of 5,68%/year; and long-term unlisted bonds issued by Vingroup JSC with an interest rate of 12%/year.

- **Loans:** 2.441.160 million VND, including:

- Margin loans to customers: 2.240.253 million VND.
- Advances to investors for securities selling: 36.834 million VND.
- Advances for selling securities under brokerage contracts: 164.073 million VND.

- **Available-for-sale financial assets (AFS):** 349.502 million VND, including:

- Listed stocks (GMD): 94.384 million VND.
- Unlisted stocks (Vietnam Asset Investment and Trading JSC): 25.000 million VND.
- Listed bonds: 230.118 million VND.

- **Provision for impairment of financial assets and collateral:** 35,453 million VND, of which 25,000 million VND is for AFS investments and 10,453 million VND is for margin loans.

- **Fixed Assets:** 201,111 million VND, comprising Tangible Fixed Assets of 179,979 million VND (including buildings and structures: 128,730 million VND) and Intangible Fixed Assets of 21,132 million VND.

- **Short-term borrowings:** 1,706,000 million VND, representing loans from commercial banks with maturities under 1 year, secured by certificates of deposit with interest rates from 4.8% to 7.3%/year for the purpose of supplementing working capital and financing the Company's investment activities.

- **Long-term payables:** 1,908 million VND, including 1,497 million VND in Agribank bond registration agency fees (amortized over the bond term) and 411 million VND in deferred income tax payables.

c) Statement of income for the year ended December 31st 2025:

Unit: VND

Items	Data on the statement	Evaluated data	Changes
1. Operating revenue	482.011.451.311	482.011.451.311	0
2. Operating expenses	(161.827.077.087)	(161.827.077.087)	0
3. Financial income	1.505.083.727	1.505.083.727	0

Items	Data on the statement	Evaluated data	Changes
4. Finance Costs	(43.625.151.376)	(43.625.151.376)	0
5. General and administration expenses	(102.090.218.467)	(102.090.218.467)	0
6. Operating Result	175.974.088.108	175.974.088.108	0
7. Results of other activities	373.323.767	373.323.767	0
8. Total accounting profit before tax	176.347.411.875	176.347.411.875	0
9. Income tax expenses	(34.460.772.374)	(34.460.772.374)	0
10. Accounting profit after tax	141.886.639.501	141.886.639.501	0

- **Business Results for 2025 (as of Dec 31, 2025):** Total Revenue reached 483,914 million VND; Total Expenses were 307,567 million VND; Profit Before Tax was 176,347 million VND; and Profit After Tax was 141,887 million VND.

Based on the financial statements and evaluation data presented above, the Board of Supervisors found **no discrepancies** between the reported figures and the evaluated figures.

3. Conclusion

The Board of Supervisors agreed with KPMG Vietnam Co., Ltd. on the preparation of the Company's financial statements for the year ended December 31st 2024.

The above are the evaluation results for the Financial Statements for the year ended December 31, 2025.

Respectfully report!

Recipients:

- Board of Directors;
- General Director;
- Agribank (Through the Investment Department – for reporting)
- Archived: BOS.

**ON BEHALF OF THE BOARD
OF SUPERVISORS
HEAD OF THE BOARD**


Le Huong Giang

Hanoi, April 22, 2026

**REPORT
ON PERFORMANCE RESULTS OF THE BOARD OF SUPERVISORS OF
AGRIBANK SECURITIES CORPORATION IN 2025**

To: Agribank Securities Corporation General Meeting of Shareholders

Pursuant to rights and obligations of the Board of Supervisors according to the Law, the Agribank Securities Corporation (Company) Charter;

Pursuant to the Operating Regulations of the Board of Supervisors;

Pursuant to the 2025 Audited Financial Statements of the Company, the Report on evaluation of 2025 Financial Statements of the Board of Supervisors, the Report on Performance of the Board of Directors.

The Board of Supervisors perform duties and agree to submit to the General Meeting of Shareholders the report on the performance results of the Board of Supervisors as follows:

I. Performance results of the Board of Supervisors.

In 2025, the BOS regularly organized meetings; conducted evaluations of Financial Statements; supervised the activities of the Board of Directors (BOD) and the Management Board; and monitored business and financial operations, including post-inspection and post-audit remedial actions. The BOS issued evaluation and supervision reports, fully exercising its rights and obligations in accordance with the Law, the Company Charter, and internal regulations.

1. Organization of Meetings:

In 2025, the Board of Supervisors (BOS) held 12 face-to-face meetings in strict compliance with the Company Charter and the Operation Regulations of the Board of Supervisors to implement the Board's duties; and to reach consensus on activity plans, supervision and evaluation results, and the Board's reports.

2. Issuing documents and reports of the Board of Supervisors

The Board of Supervisors completed 10 documents and reports regarding the following contents: the 2026 Activity Plan; documents for the implementation of the activity plan; evaluation reports on interim and annual Financial Statements; supervision reports on the implementation of Resolutions and Decisions of the Board of Directors; reports on the review, inspection, and assessment of the Company's internal control system; supervision reports on the implementation of rectifications

and remedial actions following recommendations from the Board of Supervisors and inspection/audit teams; proposals for the approval of the list of auditing firms to conduct the review/audit of Financial Statements and Financial Safety Ratio Reports for the semi-annual/fiscal year; the Board of Supervisors' Activity Report; etc.

3. Results of supervision and evaluation of relevant reports by the Board of Supervisors

3.1. Supervision of the activities of the Board of Directors

The Board of Supervisors conducted regular supervision of the Board of Directors (BOD) regarding compliance with the law, the Company Charter, internal regulations, and the implementation of Resolutions from the General Meeting of Shareholders.

The Board of Directors performed corporate governance in accordance with the Law, the Charter, and the Company's internal regulations, specifically:

a) Results of the 2025 Business Plan implementation:

- Total Revenue in 2025 reached 483.9 billion VND, equivalent to 107.5% of the plan; Total Expenses in 2025 were 307.6 billion VND, representing 114.3% of the plan.
- In 2025, the Company recovered 26.85 billion VND in outstanding debts, reaching 179% of the plan; Profit Before Tax in 2025 reached 176.3 billion VND, achieving 97.4% of the plan.
- Distributed a 6% stock dividend in June 2025 from 2024 retained earnings.

b) Regarding management and governance:

- During the year, the Board of Directors amended, supplemented, and issued 13 governance and management documents to ensure compliance with current legal regulations and the Company's operations.
- The Board of Directors implemented specific assignments of duties and responsibilities, established a clear operating mechanism, and ensured coordination in governance and business management, contributing to enhancing the Company's business efficiency.
- In 2025, the Board of Directors' meetings and written solicitations of opinions met the required conditions to proceed as prescribed by law and the Company Charter.
- **Regarding organizational structure:** Completed the closure procedures for the Northern Branch; continued operations at the Southern and Central Branches. Established the Human Resources Department, effective from January 1, 2026, to specialize and increase labor management efficiency;

renamed the HR & Administration Department to the Administration and General Affairs Department.

- **Regarding management personnel:** Recruited, appointed, and re-appointed heads of departments at the Head Office and branch leadership (Securities Services Dept., Corporate Finance Advisory Dept., Southern Branch, IT Dept., HR Dept., Internal Control & Risk Management Dept., Research & Analysis Dept., BOD Office, Credit & Underwriting Dept.), contributing to the completion of the organizational structure and enhancing management and operational efficiency in accordance with regulations.
- The Board of Directors issued 54 Resolutions and 40 Decisions in 2025.
- The Board of Directors continued to direct and supervise the implementation of plans to amend, supplement, and issue management documents, regulations, and professional procedures in accordance with the Law and the Company Charter.

3.2. Supervision of the activities of the Management Board

The Board of Supervisors (BOS) conducted regular supervision of the Management Board's activities. The Company's governance and management activities in 2025 ensured legal compliance and alignment with the Charter and internal regulations, specifically:

- The Management Board implemented specific assignments of duties and responsibilities to ensure coordination in the management and operation of the Company's business activities.
- The CEO directed and managed the Company's operations in accordance with the Law, the Company Charter, and the regulations of the State Securities Commission (SSC). Resolutions of the General Meeting of Shareholders (GMS), as well as Resolutions and Decisions of the Board of Directors (BOD), were strictly implemented; 46 out of 54 Resolutions (85%) and 40 out of 40 Decisions were completed in 2025.
- The CEO directed rectifications and remedial actions regarding recommendations from inspection, examination, and audit teams concerning the recovery of bad debts and the filing of lawsuits against certain customers for handling as regulated. In 2025, the Company recovered 26.9 billion VND, including: 11 billion VND from customer Truong Viet Binh; 2.9 billion VND from legal execution—judgments related to Chi Linh Star JSC; 10 billion VND from the margin loan for FLC shares of customer Trinh Van Quyet; 2.6 billion VND in TAFC receivables; and over 400 million VND from other bad debts.

3.3. Evaluation of Financial Statements and supervision of the Company's financial status



a) The Board of Supervisors evaluated the 2025 Financial Statements audited by independent auditors and the 2025 interim Financial Statements. **Evaluation results:**

- The Financial Statements were prepared in accordance with Vietnamese Accounting Standards (VAS), the corporate accounting regime, and current regulations.
- The indicators reflect a true and fair view of the Company's financial position, business results, and cash flows.
- The Company complied with regulations on financial management, accounting practices, and tax obligations.

b) Supervision of financial safety ratios: The Company's financial position is healthy and safe; the Company fully meets the requirements for financial safety ratios, specifically:

- The **Liquid Capital Ratio** as of December 31, 2025, reached **436.63%**, which is significantly higher than the minimum requirement stipulated in Circular 91/2020/TT-BTC.
- Other safety ratios under Circular 121/2020/TT-BTC were maintained within allowable limits.

3.4. Supervision of the Company's business activities

The Board of Supervisors monitored and supervised the implementation of the 2025 business plan and the organization of professional operations in accordance with the Operating License.

- In 2025, the Company conducted business operations as specified in the Operating License issued by the SSC.
- Regarding the 2025 business results and financial status, the Board of Supervisors prepared an Evaluation Report on the 2025 Financial Statements for presentation at the General Meeting of Shareholders.

3.5. Assessment of the internal control and risk management system

The Board of Supervisors reviewed and assessed the Company's internal control and risk management systems, as follows:

- The Company has issued relatively sufficient regulations and procedures for governance, management, and professional operations.
- The organizational structure and functional assignments are fundamentally appropriate.
- Certain procedures continue to be reviewed and updated to align with new legal regulations and operational practices.

3.6. Supervision of the implementation of recommendations from inspection, examination, and audit teams

- Agriseco implemented remedial solutions and rectifications following recommendations from inspections, examinations, and audits.
- The Board of Directors supervised the CEO in managing business operations and perfecting internal regulations; and directed the CEO to implement the rectification of deficiencies and violations according to the audit and inspection teams' recommendations.

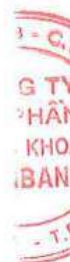
3.7. Evaluation of the Board of Directors' Activity Report

The Board of Supervisors received and evaluated the Activity Report of the Board of Directors. The Board of Supervisors reached a consensus with the contents of the Board of Directors' Activity Report presented at the 2026 Annual General Meeting of Shareholders, specifically:

- The Board of Directors operated within its authority, fully performed its obligations, met governance and management requirements, and ensured compliance with the Law, the Charter, internal regulations, and GMS Resolutions.
- The report fully assesses and reflects the Board of Directors' activities and the Company's business operations in 2025.

II. Coordination between the Board of Supervisors, the Board of Directors, the Management Board, and Shareholders

- The Board of Directors (BOD) and the CEO coordinated closely to promptly handle and fulfill business activities. BOD members organized the supervision of the implementation of BOD Resolutions and Decisions according to their assigned duties.
- The CEO proactively proposed and submitted arising matters within his authority to the BOD and organized the implementation of BOD Resolutions and Decisions in accordance with the Law and in response to business requirements.
- Throughout the performance of duties in 2025, the Board of Supervisors (BOS) received full coordination from the Board of Directors, the Chairman of the BOD, and the CEO.
- The BOS was invited to and attended all BOD meetings, as well as monthly management briefing meetings with the Management Board and other units within the Company to stay informed of actual business operations and contribute opinions on the implementation of tasks and objectives assigned by the General Meeting of Shareholders.



III. Evaluation of Individual Performance of Board of Supervisors Members

Members of the BOS exercised their rights, obligations, and responsibilities in accordance with the Law, the Company Charter, internal regulations, and the Operation Regulations of the Board of Supervisors, performing tasks within their assigned areas, specifically:

- Ms. Le Huong Giang – Full-time Head of the Board of Supervisors: Managed the BOS operations according to regulations and the set plan; developed the 2025 activity plan; assigned duties to members; coordinated with members to implement the Board's activity plan; and chaired BOS meetings. Evaluation of performance: Successfully completed duties.
- Ms. Nguyen Thi Hong Duong – Concurrent Member of the Board of Supervisors: Supervised compliance with the financial regime, income distribution, accounting, auditing, controls, and tax policies; evaluated business performance reports and financial statements; and performed other tasks in her assigned areas. Evaluation of performance: Successfully completed duties.
- Mr. Do Duc Tu – Concurrent Member of the Board of Supervisors: Supervised and assessed the results of rectifications and remedial actions following recommendations from inspection, examination, and audit teams and the BOS; assessed the internal control system; and performed other tasks in his assigned areas. Evaluation of performance: Successfully completed duties.

IV. Remuneration for the Board of Directors, and Remuneration and Operating Budget for the Board of Supervisors in 2025

In 2025, the Company strictly complied with the Law and the 2025 Annual General Mandate regarding the payment of remuneration to independent BOD members, concurrent BOD members, and concurrent BOS members who do not receive a salary from the Company, specifically:

Unit: Dong

No.	Criteria	Allocated Budget	Disbursed Amount
A	Remuneration	432.000.000	432.000.000
I	The Board of Directors:		
1	Mr. Phan Van Tuan	288.000.000	288.000.000
2	Mr. Le Son Tung	0	0
3	Mr. Nguyen Duc Thuan	0	0
4	Ms. Nguyen Thi Hong Hanh	144.000.000	144.000.000
5	Mr. Doan Ngoc Hoan	144.000.000	144.000.000

No.	Criteria	Allocated Budget	Disbursed Amount
II	The Board of Supervisors	144.000.000	144.000.000
1	Ms. Le Huong Giang	0	0
2	Ms. Nguyen Thi Hong Duong	72.000.000	72.000.000
3	Mr. Do Duc Tu	72.000.000	72.000.00
B	Operating budget of the Board of Supervisors	118.000.000	0
I	Business expenses	112.000.000	0
II	Hopsitality expenses	6.000.000	0
	Total	550.000.000	432.000.000

Note: Salaries for full-time BOD members and the full-time Head of the Board of Supervisors are implemented in accordance with the Company's salary regulations.

V. 2026 Activity Orientations for the Board of Supervisors

In 2026, the Board of Supervisors (BOS) shall exercise the following key rights and obligations:

1. Supervision Activities

- Supervise the Board of Directors (BOD) and the CEO in the corporate governance, management, and administration of the Company: focusing on compliance with the Law and the Company's internal regulations; and the implementation of Resolutions of the General Meeting of Shareholders (GMS), as well as Resolutions and Decisions of the BOD.
- Supervise the implementation of rectifications and remedial actions following the recommendations of inspection, examination, and audit teams.

2. Inspection Activities

Conduct inspections upon the request of major shareholders (if any).

3. Evaluation Activities

Perform the evaluation of reports as prescribed:

- The 2025 BOD Activity Evaluation Report presented at the 2026 Annual General Meeting of Shareholders.
- The 2025 Business Performance Report and the 2025 Audited Financial Statements.
- The 2026 Interim Business Performance Report and the 2026 Reviewed/Audited Interim Financial Statements.

4. Coordination with the Board of Directors and the CEO

- Attend BOD meetings.



- Coordinate inspection and control activities among the BOS, the BOD, and the CEO.
- Coordinate the implementation of GMS Resolutions, as well as BOD Resolutions and Decisions.
- Perform other coordination as necessary in accordance with regulations.

The above is the presentation of the Report on 2025 Activity Results of the Board of Supervisors. The BOS respectfully submits it to the 2026 Annual General Meeting of Shareholders for approval.

Respectfully!

Recipient:

- General Meeting of Shareholders;
- Board of Directors;
- General Director;
- Archived: Board of Supervisors

**ON BEHALF OF THE BOARD OF
SUPERVISORS
HEAD OF THE BOARD**



Le Huong Giang

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Hanoi, April 22, 2026

PROPOSAL

Regarding the approval of remuneration for members of the Board of Directors and the salary, remuneration, and operating budget of the Board of Supervisors for 2026

To: The General Meeting of Shareholders of Agribank Securities Corporation.

Pursuant to Circular No. 121/2020/TT-BTC dated December 31, 2020, of the Ministry of Finance providing regulations on the operation of Securities Companies (Circular 121);

Pursuant to the Charter of Agribank Securities Joint Stock Company;

Pursuant to Decision No. 14/2014/QĐ-HĐQT of the Board of Directors dated December 19, 2014, regarding the issuance of the Regulations on salary, remuneration, and operating expenses of the Board of Directors and the Board of Supervisors;

Pursuant to Decision No. 286/QĐ-HĐQT issued by the Board of Directors on December 31, 2020, regarding the issuance of Spending Regulations within Agribank Securities Joint Stock Company.

To implement the 2026 activity plan, the Board of Directors (BOD) and the Board of Supervisors (BOS) respectfully submit to the General Meeting of Shareholders (GMS) for approval the remuneration of the BOD and the salary, remuneration, and operating budget of the BOS for 2026 as follows:

1. Remuneration for members of the Board of Directors

- **For 03 (three) full-time BOD members:** To receive salary in accordance with Company regulations; no remuneration shall be provided.
- **For 02 (two) BOD members (independent members, concurrent members) not receiving salary from the Company:** The entitled remuneration level is 12 million VND/member/month.

Total remuneration: 12.000.000 VND x 2 members x 12 months = 288.000.000 VND.

2. Salary, remuneration, operating budget of the Board of Supervisors:

- 2.1. Salary of the Board of Supervisors: **Salary for the full-time Head of the Board of Supervisors:** To receive salary in accordance with Company regulations; no remuneration shall be provided.
- 2.2. Remuneration and operating budget of the Board of Supervisors:

REMUNERATION AND OPERATING BUDGET OF THE BOARD OF SUPERVISORS

No	Criteria	Amount (VND)	Explanation
1	Remuneration:	144.000.000	
	- Members receiving salaries at the Company	0	Not receive remuneration
	- Members not receiving salaries at the Company	144.000.000	VND 6 million/month x 12 months x 2 people
2	Operating Budget:	146.000.000	
2.1.	Business expenses (maximum spending):	146.000.000	(20 days expected)
	- Accommodation allowance:	12.000.000	VND 200,000 /day x 20 days x 3 people
	- Accommodation:	80.000.000	VND 2,000,000/day x 20 days x 2 room = VND 80,000,000
	- Airfare	48.000.000	VND 4,000,000/turn x 4 turns x 3 people
2.2.	Guest reception expenses:	6.000.000	
	Total Estimate	290.000.000	<i>Two hundred ninety million</i>

The total remuneration of the Board of Directors, operating budget and remuneration of the Board of Supervisors is **VND 578.000.000** (Five hundred seventy-eight million).

Based on this estimate, the Board of Directors and the Board of Supervisors respectfully submit to the General Meeting of Shareholders for approval:

1. Remuneration of the Board of Directors: **VND 288.000.000.**

2. Salary for the full-time Head of the Board of Supervisors: To be implemented according to the Company's salary regulations.

3. Supervisory Board Remuneration: VND 144.000.000.

4. Supervisory Board Operating Budget: VND 146.000.000.

Respectfully submitted!

ON BEHALF OF
THE BOARD OF DIRECTORS
CHAIRMAN



Phan Van Tuan

ON BEHALF OF
THE BOARD OF SUPERVISORS
HEAD OF THE BOARD

Le Huong Giang

PROPOSAL

**Regarding the approval of the list of auditing firms to conduct the review/audit of the
Financial Statements and Financial Safety Ratio Reports for the semi-annual/fiscal
year 2026**

To: The General Meeting of Shareholders of Agribank Securities Corporation

- Pursuant to the Law on Enterprises;
- Pursuant to the Law on Securities;
- Pursuant to Decree No. 155/2020/ND-CP dated December 31, 2020, providing detailed regulations for the implementation of a number of articles of the Law on Securities;
- Pursuant to Circular No. 116/2020/TT-BTC dated December 31, 2020, guiding corporate governance applicable to public companies;
- Pursuant to the Charter of Agribank Securities Joint Stock Company;
- Pursuant to the Operation Regulations of the Board of Supervisors.

The Board of Supervisors (BOS) respectfully submits to the General Meeting of Shareholders (GMS) for consideration and approval of the list of auditing firms approved to conduct the audit of the Company's 2026 Financial Statements, and the authorization for the Board of Directors (BOD) to select an independent auditing firm to review/audit the interim/annual Financial Statements and the interim/annual Financial Safety Ratio Reports for 2026 as follows:

1. Criteria for Selecting Auditing Firms:

- The independent auditing firm must be included in the list of auditing firms approved by the State Securities Commission (SSC) to audit public interest entities in the securities sector for 2026.
- The auditing firm must have experience in auditing public and listed companies.
- The firm must have a high reputation for audit quality.
- The firm must possess a highly qualified and experienced team of auditors.
- The firm must be capable of meeting the Company's requirements regarding audit scope and progress.

- The firm must have no conflict of interest when auditing the Company's Financial Statements.

2. Proposed List of Independent Auditing Firms and Authorization for the BOD to select the auditing firm for the semi-annual/annual Financial Statements and Financial Safety Ratio Reports for 2026:

- Based on the aforementioned criteria, the Board of Supervisors proposes the list of selected independent auditing firms as follows:
 - o Deloitte Vietnam Co., Ltd.;
 - o Ernst & Young Vietnam Co., Ltd.;
 - o KPMG Limited;
 - o PwC (Vietnam) Limited.
- Pursuant to Point (m), Clause 2, Article 138 of the Law on Enterprises No. 59/2020/QH14: *"To approve the list of independent auditing firms; to decide on the independent auditing firm to audit the Company's operations..."*

Therefore, the Board of Supervisors respectfully submits to the General Meeting of Shareholders:

- To approve the aforementioned list of independent auditing firms;
- To authorize the Board of Directors to select one of the four independent auditing firms mentioned above to conduct the review/audit of the Financial Statements and the Financial Safety Ratio Reports for the semi-annual/fiscal year 2026 of the Company in accordance with the law and the Company's internal regulations.

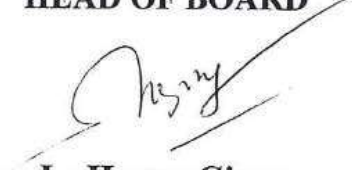
Respectfully submitted for the General Meeting of Shareholders' consideration and approval.

Sincerely! 

Recipient:

- General Meeting of Shareholders
- Board of Directors
- Archived: Board of Supervisors

**ON BEHALF OF
THE BOARD OF SUPERVISORS
HEAD OF BOARD**


Le Huong Giang

Hanoi, April 29, 2026

PROPOSAL

**Regarding the approval of the dismissal of a Member of the Board of Directors for
Mr. Le Son Tung and the number of Board Members for the 2024 - 2029 term**

To: The General Meeting of Shareholders

- Pursuant to the Law on Enterprises No. 76/2025/QH15 dated June 17, 2025;
- Pursuant to the Charter of Agribank Securities Corporation;
- Pursuant to the Internal Regulations on Corporate Governance;
- Pursuant to the Resignation Letter from all positions at Agriseco dated March 31, 2026, submitted by Mr. Le Son Tung;
- Pursuant to Official Dispatch No. 6805/NHNo – DT dated 23/04/2026 from Agribank regarding the personnel plan at Agriseco; and
- Pursuant to Resolution No. 507/NQ – HDQT dated 23/04/2026 of the Board of Directors regarding the contents of the 4th Board Meeting in 2026,

The Board of Directors (BOD) respectfully submits to the General Meeting of Shareholders (GMS) for approval of the following contents:

**1. Approval of the dismissal of a Member of the Board of Directors
for the 2024 - 2029 term**

On March 31, 2026, Agriseco received a Resignation Letter from all positions from Mr. Le Son Tung for personal reasons. To ensure compliance with legal regulations and the Company's Charter, the BOD respectfully submits to the 2026 Annual General Meeting of Shareholders for approval of the dismissal of Mr. Le Son Tung as a Member of the BOD for the 2024 – 2029 term.

**2. Approval of the number of Members of the Board of Directors for
the 2024 - 2029 term**

The 2024 Annual General Meeting of Shareholders of Agriseco approved the number of BOD members for the 2024 - 2029 term to be 05 members. After the 2026 Annual General Meeting of Shareholders approves the dismissal of Mr. Le Son Tung as a BOD member, the Board of Directors of Agriseco will consist of 04 members. With this number of members, the Board

of Directors of Agriseco ensures full compliance with legal regulations and the Company's Charter.

Therefore, the Board of Directors respectfully submits to the 2026 Annual General Meeting of Shareholders for approval to temporarily maintain the number of BOD members at 04 members from the time Mr. Le Son Tung's dismissal is approved by the GMS until the nearest subsequent General Meeting of Shareholders. *h*

Respectfully submitted!

**ON BEHALF OF THE BOARD OF
DIRECTORS CHAIRMAN** *h*



[Signature]
Phan Van Tuan

