SBS SECURITIES JOINT STOCK COMPANY

SOCIALIST REPUBLIC OF VIETNAM
Independence – Freedom – Happiness

No: 01/2026/BC-HĐQT

Ho Chi Minh City, July 29 2026

REPORT ON CORPORATE GOVERNANCE 6 MONTH 2026

To : The State Securities Commission
Vietnam Stock Exchange
Hanoi Stock Exchange

CÔNG TY CỔ PHẦN CHỨNG KHOÁN SBS
Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN SBS
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- Name of company: SBS Securities Joint Stock Company
- Address of headoffice : 40 Pham Ngoc Thach, Vo Thi Sau Ward , District 3, HCMC
- Phone : (84 28) 6268 6868 - Fax: (84 28) 6255 5957 E-mail: contact_vn1@sbsc.com.vn
- Charter Capital : 1,466,076,000,000 VND
- Stock symbol : SBS
- Governance model: General Meeting of Shareholders, Board of Directors, Board of Supervisors, Board of Management
- The implementation of internal audit: Implemented

I. Activities of the General Meeting of Shareholders

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments)

STT	Resolution/Decision No	Date	Content
01	01/2026/NQ-DHCD	June 08, 2026	Annual General Meeting of Shareholders 2026

II. Board of Director

1. *Information about the members of the Board of Directors*

No.	Board of Director's Member	Position	*The date becoming/ceasing to be the member of the Board of Directors*	
			Date of appointment	*Date of dismissal*
1	Mr. Phan Quoc Huynh	Chairman	June 16, 2025	
2	Mr. Duong Manh Hung	Executive vice Chairman	June 16, 2025	
3	Mr. Tran Ngoc Tuan	Vice Chairman	June 16, 2025	
4	Mr. Tran Van Dinh	Independent Board Member	June 16, 2025	

5	Mr. Dinh Hoai Nam	Independent Board Member	June 16, 2025	June 08, 2026
6	Ms. Nguyen Thi Hoai Thuong	Member	June 16, 2025	June 08, 2026
7	Ms. Le Thi Tra	Independent Board Member	June 08, 2026	
8	Mr. Nguyen Trung Kien	Member	June 08, 2026	

2. **Meetings of the Board of Directors in 6 month 2026**

- **From January 1, 2026 to June 30, 2026**

STT	Board of Director's Member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
1	Mr. Phan Quoc Huynh	08/08	100%	
2	Mr. Duong Manh Hung	08/08	100%	
3	Mr. Tran Ngoc Tuan	08/08	100%	
4	Mr. Tran Van Dinh	08/08	100%	
5	Mr. Dinh Hoai Nam	07/08	87.5%	Resignation date: June 8, 2026
6	Ms. Nguyen Thi Hoai Thuong	07/08	87.5%	Resignation date: June 8, 2026
7	Ms. Le Thi Tra	01/08	12.5%	Appointment effective June 8, 2026
8	Mr. Nguyen Trung Kien	01/08	12.5%	Appointment effective June 8, 2026

3. Supervising the Board of Management by the Board of Directors:

With three independent operating departments (Board of Directors, Supervisory Board and Executive Board) and the permanent operating mechanism of the Board of Directors and Supervisory Board, the supervision of the Board of Directors over the activities of the Executive Board is very close and timely, actively supporting the Executive Board in the company's operations..

4. Activities of the Board of Directors' subcommittees (If any):

In 6 month 2026, SBS has decided to establish and operate the following Councils/Committees/Boards:

No.	Decision No.	Date	Content
1	04A/2026/QĐ-HĐQT	April 03, 2026	Establishment of the Shareholders' Meeting Organizing Committee and the Shareholder Qualification Inspection Committee

5. Resolutions/Decisions of the Board of Directors

No.	Resolution/Decision No.	Date	Content	Approval rate
1	01/2026/NQ-HĐQT	January 07,	Reappointment of the Hanoi Branch	100%

		2026	Director	
2	02/2026/NQ-HĐQT	February 24, 2026	Dismissal and appointment of the Saigon branch manager.	100%
3	03/2026/QĐ-HĐQT	March 04, 2026	Finalizing the list of shareholders for the 2026 annual general meeting.	100%
4	04/2026/NQ-HĐQT	April 03, 2026	Convening the first meeting of the 2026 Annual General Meeting of Shareholders	100%
5	05/2026/NQ-HĐQT	April 24, 2026	Convening the second meeting of the 2026 Annual General Meeting of Shareholders	100%
6	06/2026/NQ-HĐQT	April 28, 2026	Private placement results	100%
7	07/2026/NQ-HĐQT	May 18, 2026	Convening the third meeting of the 2026 Annual General Meeting of Shareholders	100%
8	08/2026/NQ-HĐQT	June 10, 2026	The company's debt collection activities	100%

III. Board of Supervisors :

1. Information about members of Board of Supervisors

No.	*Members of Board of Supervisors*	Position	*The date becoming/ceasing to be the member of the Board of Supervisors*	*Qualification*
1	Mrs. Le Huynh Hong	Head of Supervisory Board	June 16, 2025	Bachelor of Law and Bachelor of Economics
2	Mr. Luu Thanh Hung	Board Member	June 16, 2025	Master of Finance
3	Mr. Luu Anh Duc	Board Member	June 16, 2025	Bachelor of Economics

2. Meetings of Board of Supervisors

No.	Members of Board of Supervisors	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mrs. Le Huynh Hong	2/2	100%	100%	
2	Mr. Luu Thanh Hung	2/2	100%	100%	
3	Mr. Luu Anh Duc	2/2	100%	100%	

3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors or Audit Committee

- The Supervisory Board always receives timely support and assistance in all aspects of work from the Board of Directors. There are always representatives of the Supervisory Board attending all meetings of the Board of Directors..

- In 6 month 2026, the Supervisory Board reported to shareholders on the results of supervision and the Supervisory Board's plan to the Company's Annual General Meeting of Shareholders..
- Monitor compliance with the law, comply with the Company's Charter, and implement the Resolution of the Shareholders' Meeting of the Board of Directors and the Executive Board in 6 month 2026. Monitor the Company's business activities and financial situation and always have appropriate recommendations and solutions depending on the Company's operating situation.
- Auditing the Company's quarterly, semi-annual and annual financial reports.

4. The coordination among the Board of Supervisors, Audit Committee, the Board of Management, Board of Directors and other managers

In 6 month 2026, the coordination between the Supervisory Board and the Board of Directors, the Board of Management and other managers was carried out smoothly, in accordance with SBS's internal regulations and the provisions of law. The Supervisory Board always received close coordination and was given the most favorable conditions to perform its supervisory duties from the Board of Directors, the Board of Management and the Company's managers, through being provided with full documents and information related to all aspects of the Company's business operations and financial situation..

5. Other activities of the Board of Supervisors and Audit Committee (if any): none

IV. Board of Management

No.	Members *of Board of Management*	Position	Qualification	*Date of appointment / dismissal*
1	Mr. Duong Manh Hung	Chief Executive Officer	Master of Management	July 25, 2017
2	Mr. Tran Ngoc Luom	Deputy Chief Executive Officer	Master of Finance	October 01, 2022

V. Chief Accountant

Name	Date of birth	Qualification	Date of appointment/ dismissal
Tran Thi Thu Nga	September 16, 1976	Bachelor of Accounting	October 28, 2012

VI. Training Courses on Corporate Governance

All members of the Board of Directors, Board of Supervisors, Board of General Directors, and Chief Accountant always update the latest legal regulations on Corporate Governance, and are ready to fully attend all courses and update regulations on Corporate Governance organized by relevant State management agencies and the State Securities Commission.

VII . The list of affiliated persons of the public company (Semi-annual report/annual report) and transactions of affiliated persons of the Company)

1. The list of affiliated persons of the Company

No.	Name of organization/individual	Securities trading account (if any)	Position at the Company (if any)	No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1	Phan Quoc Huynh		Chairman			June 16, 2025			
2	Duong Manh Hung		Executive Vice Chairman cum CEO			June 16, 2025			
3	Tran Ngoc Tuan		Vice Chairman			June 16, 2025			
4	Tran Van Dinh		Independent Member of BOD			June 16, 2025			
5	Dinh Hoai Nam		Independent Member of BOD			June 16, 2025	June 08, 2026	The General Meeting of Shareholders approved the resignation.	
6	Nguyen Thi Hoai Thuong		Member of BOD			June 16, 2025	June 08, 2026	The General Meeting of Shareholders approved the resignation.	

7	Le Thi Tra		Independent Member of BOD			June 08, 2026		Shareholders' Meeting Appointment	
8	Nguyen Trung Kien		Member of BOD			June 08, 2026		Shareholders' Meeting Appointment	
9	Le Huynh Hong		Head of Supervisory Board			June 16, 2025			
10	Luu Thanh Hung		Member of BOS			June 16, 2025			
11	Luu Anh Duc		Member of BOS			June 16, 2025			
12	Tran Ngoc Luom	017C006166	Deputy Chief Executive Officer			October 01, 2022			
13	Tran Thi Thu Nga	017C000358	Chief Accountant, authorized person to disclose information, person in charge of corporate governance and company secretary			October 28, 2012			
14	La My Phuong	006C034792				September			Major

						04, 2019			shareholder

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons

No.	*Name of organization/ individual*	*Relationship with the Company*	*NSH No.* date of issue, place of issue*	*Address*	*Time of transactions with the Company*	*Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)*	*Content, quantity, total value of transaction*	*Note*
1	Tran Thi Tra Giang	Affiliated person of CEO			April 24, 2025	Board of Directors Resolution No. 04/2025/NQ-HĐQT dated April 24, 2025	Lease agreement for the Hanoi branch office, with a contract value not exceeding 100 million VND per month.	

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: None

4. Transactions between the Company and other objects: None

VIII. Share transactions of internal persons and their affiliated persons

1. The list of internal persons and their affiliated persons:

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No., date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	**Phan Quoc Huynh**		**Chairman of BOD**			-	-	
1.1	Tran Thi Xuan		Wife			-	-	
1.2	Phan Trong Linh		Father			-	-	
1.3	Dinh Thi Dung		Mother			-	-	
1.4	Phan Thu Trang		Daughter			-	-	
1.5	Sik Low Kai Jing		son in law			-	-	
1.6	Phan Thu Huong		Daughter			-	-	
1.7	Phan Dinh Duc		brother			-	-	
1.8	Nguyen Thi Hue		Older sister			-	-	
1.9	Phan Quoc Thong		younger brother			-	-	
1.10	Dang Thi Tuyet		sister in law			-	-	

1.11	Phan Hau Toan		younger brother			-	-	
1.12	Nguyen Thi Ngoc		sister in law			-	-	
1.13	Phan Thi Thuong		younger brother			-	-	
1.14	Nguyen Quoc Thanh		brother in law			-	-	
1.15	Phan Thi Thom		younger brother			-	-	
1.16	Nguyen Quoc Khanh		brother in law			-	-	
1.17	Phan Quyet Thang		younger brother			-	-	
1.18	Le Thi Thanh Toan		sister in law			-	-	
1.19	Phan Thi Hoa		younger brother			-	-	
1.20	Nguyen Van Thich		brother in law			-	-	
1.21	Phan Dinh Muoi		younger brother			-	-	
1.22	Nguyen Thi Thanh Tu		sister in law			-	-	
2	**Duong Manh Hung**		**Executive Vice Chairman**			-	-	

			cum CEO					
2.1	Duong Dinh Man		Father			-	-	
2.2	Nguyen Thi Thiem		Mother			-	-	
2.3	Tran Thi Tra Giang		Wife			-	-	
2.4	Duong Nam Khanh		Son			-	-	
2.5	Duong Gia Hung		Son			-	-	
2.6	Duong Thi Thu Ha		younger sister			-	-	
2.7	Nguyen Quang Minh		brother in law			-	-	
3	**Tran Ngoc Tuan**		**Vice Chairman of BOD**			-	-	
3.1	Tran Quang Ngoc		Father			-	-	
3.2	Ta Bao Quynh		Mother			-	-	
3.3	Dang Quoc Viem		Father in law			-	-	
3.4	Do Thi Hao		Mother in law			-	-	
3.5	Dang Cam Tu		Wife			-	-	

3.6	Tran Dang Tu Nhi		Daughter			-	-	
3.7	Tran Dang Tuan Khai		Son			-	-	
3.8	Tran Quynh Hoa		Older sister			-	-	
3.9	Le Quang Hien		brother in law			-	-	
3.10	Unik Holdings Joint Stock Company		*Related organization*			-	-	
4	**Tran Van Dinh**		**Independent Board Member of BOD**			-	-	
4.1	Tran Van Can		Father			-	-	
4.2	Do Thi Cu		Mother			-	-	
4.3	Hoang Van My		Father in law			-	-	
4.4	Nguyen Thi Mau		Mother in law			-	-	
4.5	Hoang Thi Xuan		Wife			-	-	
4.6	Tran Thi Thuy Linh		daughter			-	-	
4.7	Tran Thi Thuy Duong		Daughter			-	-	
4.8	Lai Binh Nguyen		son in law			-	-	

4.9	Nguyen Hai Nam		son in law			-	-	
5	**Dinh Hoai Nam**		**Independent Member of BOD**			-	-	**Resignation date: June 8, 2026**
5.1	Le Thi Khanh Chi		Wife			-	-	
5.2	Dinh Thi Chien		Mother			-	-	
5.3	Le Duc Tu		Father in law			-	-	
5.4	Vu Khanh Van		Mother in law			-	-	
5.5	Dinh Hoai Giang		Younger brother			-	-	
5.6	Nguyen Thi Phuong Ninh		sister in law			-	-	
5.7	Dinh Hoai Chuc An		Daugher			-	-	
5.8	Dinh Gia Huy		Son			-	-	
5.9	One Capital Hospitality Joint Stock Company		Independent Member of BOD			-	-	
5.10	Yeah1 Group Joint Stock Company		Independent Member of BOD			-	-	
6	**Nguyen Thi Hoai Thuong**		**Board Member of BOD**			-	-	**Resignation date: June 8, 2026**

6.1	Nguyen Doan Hoa		Father			-	-	
6.2	Nguyen Thi Linh		Mother			-	-	
6.3	Nguyen Van Lam		Father in law			-	-	
6.4	Hoang Thi Mai		Mother in law			-	-	
6.5	Nguyen Hoang Tung		Husband			-	-	
6.6	Nguyen Hoang Thien Huong		Child			-	-	
6.7	Nguyen Thi An Ha		Older sister			-	-	
6.8	Nguyen Thi Hong Hanh		Younger sister			-	-	
6.9	Nguyen Thi Minh Nguyet		Younger sister			-	-	
6.10	Tran Pham Hoang Phong		brother in law			-	-	
6.11	Nguyen Duy Dan Sinh		brother in law			-	-	
7	**Le Thi Tra**		**Independent Member of BOD**			-	-	**Appointment dated June 8, 2026**
7.1	Nguyen Thanh Son		Husband			-	-	

7.2	Nguyen Anh Tuan		Son			-	-	
7.3	Nguyen Le Thanh Mai		Daughter			-	-	
7.4	Nguyen Van Ang		Father in law			-	-	
7.5	Le Thanh Thinh		Father			-	-	
7.6	Bach Thi Thi		Mother			-	-	
7.7	Le Thanh Son		Brother			-	-	
7.8	Le Thi Trang		Sister			-	-	
7.9	Le Thanh Hong		Sister			-	-	
7.10	Le Thi Thu Huong		Sister			-	-	
7.11	Nguyen Ngoc Phuong		Sister in law			-	-	
7.12	Huynh Ngoc Trieu		Brother in law			-	-	
7.13	Duong Thi Phuong Thanh		Sister in law			-	-	
7.14	Mai Tien Dung		Brother in law			-	-	
8	**Nguyen Trung Kien**		**Independent Member of BOD**			-	-	**Appointment dated June 8, 2026**
8.1	Nguyen Thanh Quang		Father			-	-	

8.2	Đinh Thi Thuy Nga		*Mother*			-	-	
8.3	Pham Thi Ngoc		Wife			-	-	
8.4	Nguyen Ngoc Bao Linh		*Daughter*			-	-	
8.5	Nguyen Ngoc Bao Tram		*Daughter*			-	-	
8.6	Nguyen Tue Lam		*Daughter*			-	-	
8.7	Nguyen Thi Huyen Trang		*Sister*			-	-	
8.8	Nguyen Cong Khiem		*Brother-in-law*			-	-	
8.9	Bamboo Capital Group Joint Stock Company		Related organisation			-	-	
8.10	Tracodi Construction Holdings JSC		Related organisation			-	-	
8.11	Vietnam Taxi Co. Ltd		Related organisation			-	-	
8.12	BCG Financial JSC		Related organisation			-	-	
8.13	AAA Insurance Corporation		Related organisation			-	-	
8.14	Antraco Joint Venture		Related organisation			-	-	

	Company Ltd							
8.15	Tracodi Labour Export Joint Stock Company		Related organisation			-	-	
8.16	BCG Energy Joint Stock Company		Related organisation			-	-	
9	**Le Huynh Hong**		**Head of Supervisory Board**			-	-	
9.1	ChenTian Shi		Husband			-	-	
9.2	Le Trung Tinh		biological father			-	-	
9.3	Huynh Ngoc Diep		Mom intestine			-	-	
9.4	ChenShang Hua		Dad husband			-	-	
9.5	Chen Qiu Ying		Mom husband			-	-	
9.6	Le Huynh Hanh		younger brother			-	-	
10	**Luu Thanh Hung**		**Member of BOS**			-	-	
10.1	Luu Dai Phuoc		Father			-	-	
10.2	Ngo Bau Nga		Mother			-	-	
10.3	Do Van Ngoc		Father in law			-	-	

10.4	Vu Thi Thao		Mother in law			-	-	
10.5	Do Thi Thi		Wife			-	-	
10.6	Luu Minh Tri		Son			-	-	
10.7	Luu Quoc Cuong		Younger brother			-	-	
10.8	Nguyen Thi Quynh Trang		sister in law			-	-	
11	**Luu Anh Duc**		**Member of BOS**			-	-	
11.1	Nguyen Thi Thanh Tinh		Wife			-	-	
11.2	Luu Van Thuat		Father			-	-	
11.3	Le Thi Xuan		Mom			-	-	
11.4	Luu Ha Phuong		Daughter			-	-	
11.5	Luu Tuan Phong		Son			-	-	
11.6	Luu Thi Thanh		Older sister			-	-	
11.7	Tran Manh Hung		brother in law			-	-	
11.8	Luu Thi Binh		Older sister			-	-	
11.9	Luu Van Toan		Older brother			-	-	
11.10	Le Thi Ha		sister in law			-	-	
12	**Tran Ngoc Luom**	**017C0 06166**	**Deputy Chief Executive**			-	-	

			Officer					
12.1	Tran Ngoc Nghia		Father			-	-	
12.2	Nguyen Thi Nhung		Mother			-	-	
12.3	Tran Ngoc Minh Khoa		Son			-	-	
12.4	Tran Ngoc Huong		younger brother			-	-	
12.5	Huynh Quoc Thien An		brother in law			-	-	
13	**Tran Thi Thu Nga**	**017C000358**	**Chief Accountant, Party authorized to disclose information, person in charge of corporate governance and company secretary**			-	-	
13.1	Tran My Thao		Father			-	-	
13.2	Nguyen Thi Nhat		Mother			-	-	
13.3	Nguyen Thi Yen		Mother in			-	-	

			law					
13.4	Nguyen Thuc Khoi		Husband			-	-	
13.5	Nguyen Thuc Nguyen		Son			-	-	
13.6	Nguyen Tran Uyen Chi		Daughter			-	-	
13.7	Tran Thi Minh Nguyet		Older sister			-	-	
13.8	Tran Hau Thanh		brother in law			-	-	
13.9	Tran Hai Dang		Older brother			-	-	
13.10	Nguyen Thi Dung		Sister in law			-	-	

2. Transactions of internal persons and affiliated persons with shares of the company: None

IX . Other significant issues : none

Best regards,

OBF. BOARD OF DIRECTORS
Chairman



PHAN QUOC HUYNH