

**NAM LONG INVESTMENT  
CORPORATION**

**THE SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom - Happiness**

No.: 56/2023/TB/HĐQT/NLG

*Hochiminh City, 29<sup>th</sup> Nov 2023*

**DISCLOSURE OF INFORMATION ON THE STATE SECURITIES  
COMMISSION'S PORTAL AND HOCHIMINH STOCK EXCHANGE'S PORTAL**

To: - The State Securities Commission  
- Hochiminh Stock Exchange

- Organization name : NAM LONG INVESTMENT CORPORATION
- Securities Symbol : NLG
- Address : Floor 11<sup>th</sup>, Capital Tower  
06 Nguyen Khac Vien, Tan Phu Ward  
District 7, Hochiminh City, Vietnam
- Telephone : (84-28) 54 16 17 18
- Fax : (84-28) 54 17 18 19
- Submitted by : Mr. Tran Thanh Phong

Position: Permanent Vice Chairman/Party authorized to disclose information

Information disclosure type:  Periodic  Irregular  24 hours  On demand

**Content of Information disclosure:**

- The Board's Resolution regarding approving the results of share issuance under the employee stock option plan;
- The Report on result of the share issuance under the employee stock option plan;
- The change of the number of voting shares in circulation.

This information was disclosed on Company's website on 29<sup>th</sup> Nov 2023. Available at the following link: <https://namlongvn.com/stock>

I declare that all information provided in this paper is true and accurate; I shall be legally responsible for any misrepresentation.

Attachment:

- The Resolution no. 30/2023/NQ/HĐQT/NLG;
- Document no. 145/2023/CV/NLG;
- Document no. 146/2023/CV/NLG.

**ORGANIZATION REPRESENTATIVE  
PARTY AUTHORIZED TO DISCLOSE INFORMATION**



**TRAN THANH PHONG**  
EXECUTIVE VICE CHAIRMAN



**NAM LONG INVESTMENT CORPORATION**

6 Nguyen Khac Vien, Tan Phu Ward, District 7, Ho Chi Minh City

Tel: (08) 54 16 17 18 Fax: (08) 54 17 18 19

Website: www.namlongvn.com

No.: 30./2023/NQ/HDQT/NLG

HCMC, dated 29<sup>th</sup> November, 2023

**RESOLUTIONS OF THE BOARD OF DIRECTORS**

Re: Approving the results of share issuance under the employee stock option plan

*Pursuant to:*

- Law on Enterprises No. 59/2020/QH14 passed by the 14<sup>th</sup> National Assembly of the Socialist Republic of Vietnam, at the 9<sup>th</sup> meeting, on June 17, 2020 and the guiding documents;
- Law on Securities No. 54/2019/QH14 passed by the 14<sup>th</sup> National Assembly of the Socialist Republic of Vietnam, at the 8<sup>th</sup> meeting, on November 26, 2019 and the guiding documents;
- Charter of Nam Long Investment Corporation passed by the General Meeting of Shareholders;
- Resolution of the 2023 annual General Meeting of Shareholders of Nam Long Investment Corporation No. 01/2023/NQ/ĐHĐCĐ/NLG dated April 22, 2023;
- Resolution of the Board of Directors of Nam Long Investment Corporation No. 25/2023/NQ/HDQT/NLG dated September 22, 2023.

**THE BOARD OF DIRECTORS OF NAM LONG INVESTMENT CORPORATION  
RESOLVES**

**Article 1:** To approve the results of the share issuance under the employee stock option plan of the Company pursuant to the Executive long term incentive plan (“ESG Program”) as follows:

- Number of shares expected to be issued: 697,171 shares.
- Number of shares distributed: 697,171 shares.
- Number of distributed employees: 60 employees. The list of employees participating in the employee stock option plan of the Company pursuant to the ESG policy is hereby enclosed.
- The completion date for transferring the ownership of bonus shares to the employees: 24/11/2023.
- Expected trading date: After the transfer restriction period of 01 year from the issuance tranche completion date.

**Article 2:** To approve the registration of the increase in charter capital of Nam Long Investment Corporation after the share issuance under the ESG Program as follows:

- To increase the charter capital of Nam Long Investment Corporation from 3,840,803,000,000 (Three thousand eight hundred forty billion eight hundred and three million) dong to 3,847,774,710,000 (Three thousand eight hundred forty-seven billion seven hundred seventy-four million seven hundred and ten thousand) dong.

The charter capital increases by 6,971,710,000 dong on completing the ESG issuance.



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- Number of shares after the capital increase: 384,777,471 shares.
- Form of charter capital increase: additional issuance of 697,171 NLG shares under the ESG Program.

**Article 3:** The Board of Directors hereby authorizes the Chairman of the Board of Directors to direct the Management Board to carry out necessary procedures to complete the tranche of share issuance under the ESG Program; carry out necessary procedures in relation to the amendment, supplementation to the Company's Charter (if any) and the Enterprise Registration Certificate, the registration and listing of additional shares in accordance with the new charter capital and carry out other relevant tasks to complete the issuance tranche.

**Article 4:** These Resolutions shall take effect from the signing date. The members of the Board of Directors, the Board of General Directors and relevant departments of the Company are responsible for implementing these Resolutions.

FOR THE BOARD OF DIRECTORS  
CHAIRMAN OF THE BOARD OF DIRECTORS

  
NGUYEN XUAN QUANG



NAM LONG INVESTMENT  
CORPORATION



No.: 14.5./2023/CV/NLG

SOCIALIST REPUBLIC OF VIETNAM

Independence - Freedom - Happiness



Ho Chi Minh City, date 29<sup>th</sup> November, 2023

## REPORT

# On the result of the share issuance under the employee stock option plan

To: The State Securities Commission of Vietnam

### I. Introduction of the Issuing organization

1. (Full) Name of the Issuing organization: Nam Long Investment Corporation
2. Abbreviated name: NLG CORP.
3. Head office address: No. 6 Nguyen Khac Vien, Tan Phu Ward, District 7, Ho Chi Minh City
4. Telephone No.: (84 28) 5416 1718  
Fax No.: (84 28) 5417 1819  
Website: [www.namlongvn.com](http://www.namlongvn.com)
5. Charter capital: 3,840,803,000,000 (Three thousand eight hundred forty billion eight hundred and three million) dong
6. Stock symbol: NLG
7. Current account opening bank: Joint Stock Commercial Bank for Foreign Trade of Vietnam (Vietcombank) – HCMC Branch  
Account number: 0071005187216
8. Enterprise registration certificate number 0301438936 issued by the Department of Planning and Investment of Ho Chi Minh City for the first time on December 27, 2005, amended for the 25<sup>th</sup> time on November 11, 2022.
9. Establishment and operation license (if required under sectoral regulations): None

### II. Issuance plan

1. Name of shares: Nam Long Investment Corporation shares
2. Type of shares: Ordinary shares
3. Number of shares before the issuance:
  - Total number of issued shares: 384,080,300 shares
  - Number of shares being circulated: 384,080,300 shares



- Number of treasury shares: 0 shares
4. Number of shares expected to be issued: 697,171 shares, equivalent to 0.18% of the number of shares being circulated
  5. Transfer restriction period: All shares issued under the employee stock option plan (Executive long term incentive plan) shall be restricted from transferring within 01 year since the issuance completion date
  6. Source of issuance capital: From the Bonus fund according to the audited 2022 Financial statements of Nam Long Investment Corporation
  7. The completion date of the issuance tranche: 24/11/2023
  8. The expected date to transfer shares: In December 2023. After the SSC announces the receipt of the Issuance result report, the Company shall proceed to amend the registration, depositing of shares and register for additional listing and trading for the additionally issued shares.

### III. Results of the share issuance

1. The number of shares distributed: 697,171 shares, equivalent to 100% of the total number of shares expected to be issued.
2. The number of distributed employees: 60 employees
3. Number of shares after the issuance tranche (on 29/11/2023): 384,777,471 shares, in which:
  - Number of shares being circulated: 384,777,471 shares;
  - Number of treasury shares: 0 shares.

### IV. Enclosed documents

1. List of employees participating in the ESG program;
2. Resolutions of the Board of Directors No. 30./2023/NQ/HĐQT/NLG dated 29/11/2023 approving the results of share issuance under the employee stock option plan.

#### Recipients:

- As per the addressee;
- Archived at the office.

Ho Chi Minh City, date 29<sup>th</sup> Nov., 2023  
**NAM LONG INVESTMENT CORPORATION**  
**LEGAL REPRESENTATIVE**  
**CHAIRMAN OF THE BOARD OF DIRECTORS**



NGUYEN XUAN QUANG





No.: 146./2023/CV/NLG

Ho Chi Minh City, 29<sup>th</sup> Nov 2023

**INFORMATION DISCLOSURE ON THE CHANGE OF THE NUMBER OF  
VOTING SHARES IN CIRCULATION**

To: - The State Securities Commission of Vietnam  
- Ho Chi Minh Stock Exchange

- Name of organization: Nam Long Investment Corporation
- Stock symbol: NLG
- Head office address: No. 6 Nguyen Khac Vien, Tan Phu Ward, District 7, Ho Chi Minh City
- Phone number: (84 28) 5416 1718
- Fax: (84 28) 5417 1819

No.	Details	Before the change	Change	After the change	Reason for the change
1	Charter capital (VND)	3,840,803,000,000	6,971,710,000	3,847,774,710,000	The Company issues shares under the employee stock option plan of the Company pursuant to the Long-term Incentive Bonus Policy for Senior Leaders and Managers (ESG Program) which has been approved by the 2023 annual General Meeting of Shareholders at Resolution No. 01/2023/NQ/ĐHĐCĐ/ NLG dated April 22, 2023
2	Total issued shares	384,080,300	697,171	384,777,471	
3	Treasury shares	0	0	0	
4	Total voting shares in circulation	384,080,300	697,171	384,777,471	
5	Other preferred shares (if any)	0	0	0	



NAM LONG INVESTMENT CORPORATION  
AUTHORIZED PERSON FOR INFORMATION DISCLOSURE



TRAN THANH PHONG

Executive Vice Chairman cum Head of Investor Relation Committee

