

Hanoi, March 28, 2023

**RESOLUTION****ANNUAL GENERAL MEETING OF SHAREHOLDERS 2023  
FPT SECURITIES JOINT STOCK COMPANY***On the word of:*

- Law on Enterprises No. 59/2020/QH14 dated June 17, 2020;
- Law on Securities No. 54/2019/QH14 dated November 26, 2019;
- Charter of FPT Securities Joint Stock Company;
- Minutes of the Annual General Meeting of Shareholders 2023 of FPT Securities Joint Stock Company on March 28, 2023.

**DECIDE**

**Article 1: Ratify the Report of the Board of Directors, the Report of the Board of Management and the Report of the Independent member of the Board of Directors in the Audit Committee.**

**Article 2: Ratify the audited Financial Statements of 2022 and Profit Distribution Plan for 2022, as follows:**

1. Ratify the Financial Statements of 2022 of FPT Securities Joint Stock Company audited by Southern Auditing and Accounting Financial Consulting Services Company Limited (AASCS).
2. Ratify the Profit Distribution Plan for 2022.

*Figures in Vietnamese dong*

No.	Item	Value
<b>I</b>	<b>Realized profit in 2022</b>	
1	Realized profit before tax 2022	638,120,966,164
2	Corporate income tax 2022	123,304,967,991
3	Realized profit after tax 2022	514,815,998,173
<b>II</b>	<b>Profit Distribution Plan 2022</b>	
1	Provisions for reward and welfare fund (10%)	51,481,599,817
2	Pay a cash dividend in 2022 (5%), equivalent to VND500 per share <sup>(*)</sup>	Tối đa 97.529.975.500
3	Remaining realized profit after tax in 2022: Retained	

(\*) The General Meeting of Shareholders authorized the Board of Directors to pay cash dividends in 2022 (5%). Settlement time: Expected in the second quarter of 2023.

**Article 3: Ratify authorization granted to the Board of Directors with respect of selecting an auditing company for auditing the Financial Statements and Financial Safety Ratio Reports in 2023.**

**Article 4: Ratify the amended Governance Regulations of FPT Securities Joint Stock Company.**

**Article 5: Ratify the Plan issuing shares to increase registered capital for 2023 from owner's equity to existing shareholders.**

- *Name of the share:* Share of FPT Securities Joint Stock Company (ticker: FTS)
- *Class of share:* Ordinary share
- *Par value:* VND10,000 per share
- *Estimated volume of shares to be issued:* 19,505,995 shares, 10% of outstanding shares of the Company
- *Total par value of shares to be issued:* VND195,059,950,000 (In words, one hundred and ninety-five billion fifty-nine million and nine hundred and fifty thousand Vietnamese dong)
- *Beneficiary:* All existing shareholders own the shares of FPT Securities Joint Stock Company on the record date for issuing shares to increase capital, sourced from owner equity, based on the shareholders list provided by the Vietnam Securities Depository (VSD)
- *Dividend rate:* 10:1 (Shareholders are entitled to receive 10 new shares for every 100 old shares they hold on the record date)
- *Fractional share settlement plan:* Issued shares will be rounded down to the nearest whole number of shares when fractional shares occur. Fractional parts of a share will be discarded  
*For example:* At the record date, Shareholder A holds 189 shares. The number of shares in theory is decided by this formula  $189 \times 10\% = 18.9$  shares. However, after the shares are rounded down to the nearest whole number, he will thus receive 18 shares. 0.9 odd shares will be cancelled, not issued
- *Source of stock dividend:* Undistributed profit after tax as at December 31, 2022 stated in the Audited Financial Statement of the fiscal year 2022 of FPT Securities Joint Stock Company: Maximum VND195,059,950,000 (In words, one hundred and ninety-five billion fifty-nine million and nine hundred and fifty thousand Vietnamese dong)
- *Distribution schedule:* Expected in the second quarter of 2023, after the State Securities Commission announced in writing of the receipt of the full release report documents of FPTS
- *Custody registration and additional listing:* The shares issued to pay stock dividend to

existing shareholders will be registered for custody at the Vietnam Securities Depository (VSD) and listing at the Stock Exchange right after necessary procedures are completed

- *Amended regulations:* Amend the contents related to the registered capital, stocks and shares in the Appendix 1 of the Charter and operation of the Company updated according to the registered capital after completing the share issue
- *Authorize the Board of Directors:*
  - Carry out the share issue plan and choose the distribution schedule; carry out issue registration procedures and ensure the compliance with the law; carry out additional listing shares to increase registered capital sourced from owner's equity
  - Carry out the procedures to increase registered capital; Amend the contents related to registered capital, stocks and shares in the Appendix 1 of the Charter and operation of the Company updated according to the registered capital after completing the share issue to increase registered capital sourced from owner's equity in accordance with the law
  - Carry out the procedures to amend the License of Establishment and Operation and the Certificate of Business Registration of the Company after completing the share issue in accordance with the law.

**Article 6: Ratify the remuneration of the Board of Directors 2023;**

1. For an independent member of the Board of Directors: VND8,000,000 per month
2. For a dependent member of the Board of Directors: None

**Article 7: Ratify the election members of the Board of Directors in the term 2023-2028.**

1. Mr. Nguyen Diep Tung
2. Mr. Nguyen Van Dung
3. Mr. Do Son Giang
4. Mr. Taro Ueno
5. Mr. Trần Thanh Tùng

***The Resolution is ratified by the Annual General Meeting of Shareholders 2023 of FPT Securities Joint Stock Company on March 28, 2023. The Board of Directors shall base on the contents of this Resolution to implement.***

**SECRETARY**

**CHAIRMAN**

*(Signed)*

*(Singed with company seal)*

**Tran Thi Thu Huong**

**Nguyen Diep Tung**