**NOS: Annual Corporate Governance Report 2023**

On January 15, 2024, Oriental Shipping and Trading Joint Stock Company announced Report No. 06/CV-VTPD on the corporate governance in 2023 as follows:

* Name of public company: Oriental Shipping and Trading Joint Stock Company
* Head office address: No. 278 Ton Duc Thang Street, Dong Da District, Hanoi
* Tel: 04.38515805 Fax: 04.38569967 Email: [info@ostc.com.vn](mailto:info@ostc.com.vn)
* Charter capital: VND 200,560,000,000
* Securities code: NOS

1. Activities of the General Meeting of Shareholders:

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| No. | General Mandate/ Decision No. | Date | Content |
| 1 | 23/NQ-DHDCD | April 18, 2023 | Approve the following Reports:   * Report on the implementation of production and business plan in 2022; tasks orientation of the 2023 production and business plan and implementation solutions; * Audited Financial Statements 2022; * Report on the activities of the Board of Directors in 2022 the Plan for 2023; * The report on activities in 2022 and the plan in 2023 of the Supervisory Board. * Approve the remuneration of the Board of Directors and Supervisory Board in 2022; estimated for 2023; * Approve the Proposal for divestment plan at Nosco Shipyard Joint Stock Company * The Board of Directors is authorized to: * Adjust the production and business plan in 2023 according to the actual situation. * Select an audit company for 2023; |

1. The Board of Directors (Report of 2023).
2. Information about members of the Board of Directors

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| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member of the Board of Directors | |
| Appointment date | Dismissal date |
| 1 | Mr. Dao Trong Su | Chair of the Board of Directors | April 26, 2022 (term of 2021-2026) |  |
| 2 | Mr. Pham Van Tuong | Member of the Board of Directors - General Manager | May 28, 2019 (re-elected for the term 2021-2026 on April 27, 2021) |  |
| 3 | Mr. Do Tuan Nam | Member of the Board of Directors | May 25, 2016, re-elected from April 27, 2021 |  |
| 4 | Ms. Nguyen Thi Thu Hoai | Member of the Board of Directors | April 27, 2021 |  |
| 5 | Nguyen Van Hoai | Member of the Board of Directors | April 26, 2022 |  |

1. Board Resolutions/Decisions (Semi-annual Report)

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| No. | Resolution No. | Date | Content |
| 1 | 01/2023/NQ-HDQT | February 3, 2023 | Report on production and business results in 2022 and production and business plan in 2023 |
| 2 | 02/2023/NQ-DQT | February 8, 2023 | Appoint the person in charge of corporate governance-cum-Company Secretariat |
| 3 | 03/2023/NQ-HDQT | February 16, 2023 | Organize the Annual General Meeting of Shareholders 2023 |
| 4 | 04/2023/NQ-HDQT | March 24, 2023 | Human resource work and divestment plan at Nosco Shipyard |
| 5 | 05/2023/NQ-HDQT | March 30, 2023 | The action program in 2023 of the Board of Directors of OSTC |
| 6 | 06/2023/NQ-HDQT | May 15, 2023 | Regarding the Oriental Glory ship, the plan to divest capital at Nosco Shipyard and build KPIs for the Board of Directors by month and quarter in 2023 |
| 7 | 07/2023/NQ-HDQT | May 31, 2023 | Vote on the policy of selecting a plan and consulting unit to design a dry dock for a 320,000 DWT ship under the second adjusted construction investment project of Nosco Shipyard Joint Stock Company |
|  | 08/2023/NQ-HDQT | June 20, 2023 | Selection of an audit company for the Financial Statements 2023 |
|  | 08/2023/NQ-HDQT | August 8, 2023 | Choose a solution in court in the case of Vietcombank suing OSTC to request the fulfillment of debt repayment obligations |
|  | 10/2023/NQ-HDQT | August 21, 2023 | Report on business and production results for the first 6 months of the year and business and production plan for the last 6 months of 2023 |
|  | 11/2023/NQ-HDQT | October 17, 2023 | Coordinate with the Northeast Regional Branch of Vietnam Development Bank to handle the collateral of the Phuong Dong 10 ship and let OSTC's capital representative at Nosco Shipyard vote at the 2023 Extraordinary General Meeting of Shareholders of Nosco Shipyard Joint Stock Company |
|  | 12/2023/NQ-HDQT | November 21, 2023 | Prepare a list of shareholders and a plan to collect shareholders' opinions via a ballot |
|  | 13/2023/NQ-HDQT | November 28, 2023 | Report on production and business results for the 10 months and estimates for 2023; Production and business plan for 2024 and some issues related to Nosco Shipyard |
|  | 14/2023/NQ-HDQT | December 14, 2023 | Approve the documents for collecting shareholders' opinions via a ballot |
|  | 15/2023/NQ-HDQT | December 28, 2023 | Implement the Extraordinary General Mandate 2023 |
|  | 16/2023/NQ-HDQT | December 29, 2023 | Approved the plan to establish the Ship Operations and Management department on the basis of merging the Shipping Department and the Crew Safety Legal Department |

1. The Supervisory Board (Report of 2023):
2. Information about members of the Supervisory Board

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| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board | Qualification |
| 1 | Ms. Nguyen Thi Minh Thu | Chief of the Supervisory Board | Appointed on  April 26, 2022 | Bachelor of Accounting |
| 2 | Mr. Dong Xuan Khanh | Member of the Supervisory Board | May 25, 2016 (re-elected for the term 2021-2026 on April 27, 2021) | Master of International Relations |
| 3 | Ms. Le Thi Thuy | Member of the Supervisory Board | May 5, 2016 (re-elected for the term 2021-2026 on April 27, 2021) | Bachelor of Accounting and Auditing |

1. The Executive Board:
2. The Executive Board:

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| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Appointment date |
| 1 | Mr Pham Van Tuong - General Manager. | January 2, 1982 | Bachelor of Foreign Economics | May 28, 2020 |
| 2 | Mr. Tran Thanh Ha - Deputy General Manager | December 22, 1966 | Bachelor of Labor Economics | August 2020  (Re-appointment) |
| 3 | Mr Nguyen Van Hoai - Deputy General Manager | October 25, 1979 | Bachelor of Ship Control | July 28, 2020 |
| 4 | Mr Ms. Le Thi Viet - Deputy General Manager. | June 22, 1983 | Ship Engine Operation Engineer | July 28, 2020 |

1. Chief Accountant

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| Full name | Date of birth | Qualification | Appointment date |
| Ms. Hoang Thi Thu Thao | April 8, 1979 | Master of Finance and Accounting | May 2021 (reappointed) |

1. Training on corporate governance:
2. List of affiliated persons of listed companies as prescribed in Clause 34, Article 6 of the Law on Securities (2023 Report) and transactions of affiliated persons of the company with the Company itself:
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, the PDMR, and PDMR's affiliated persons: (None in 2023)
4. Transactions between the PDMR of the listed company, affiliated persons of PDMR and subsidiaries, companies under the authority of listed company: (None in 2023)
5. Transactions between the Company and other entities: (None in 2023)
   1. Transactions between the Company and the companies where members of the Board of Directors, members of the Supervisory Board, the Executive Manager (General Manager) have been or are founding members or members of the Board of Directors, the Executive Manager (General Manager) for the past three (03) years (at the time of reporting): None.
   2. Transactions between the Company and the companies that affiliated persons of members of the Board of Directors, members of the Supervisory Board and the Executive Manager (General Manager) are members of the Board of Directors, the Executive Manager (General Manager): None.
   3. Other transactions of the Company (if any) that can bring material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the Executive Manager (General Manager): None
6. Share transaction of PDMR and affiliated persons of PDMR (Annual Report 2023):
7. Listing company’s share transactions of PDMR and affiliated persons of PDMR: None
8. Other significant issues: None.