**KTS: Annual Corporate Governance Report 2023**

On January 15, 2024, Kon Tum Sugar JSC announced Report No. 05/BC-HDQT/2024 on the corporate governance of the Company in 2023 as follows:

* Name of public company: Kon Tum Sugar JSC
* Address of Head office: Km 2, Vinh Quang Commune, Kon Tum City, Kon Tum Province
* Tel: 02606.289549; Fax: 02603.916168
* Email: ctyduongkontum@yahoo.com.vn
* Charter capital: VND 50,700,000,000
* Securities code: KTS
* Corporate governance model
* The General Meeting of Shareholders, the Board of Directors, the Supervisory Board, and the General Manager.
* Internal audit execution: Implemented

1. Activities of the General Meeting of Shareholders:

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| --- | --- | --- | --- |
| No. | General Mandate No. | Date | Content |
| 1. | 01/NQ-DHDCDTN2023 | September 24, 2023 | Annual General Mandate 2023 |

1. The Board of Directors
2. Information about the members of the Board of Directors (2023):

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member of the Board of Directors | |
| Date of appointment | Date of dismissal |
| 1 | Mr. Dang Viet Anh | Chair of the Board of Directors | September 24, 2023 |  |
| 2 | Mr. Tran Ngoc Hieu | Member of the Board of Directors | September 24, 2023 |  |
| 3 | Mr. Thai Van Hung | Member of the Board of Directors | September 24, 2023 |  |

1. Board Resolutions/Decisions (in 2023)

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| --- | --- | --- | --- |
| No. | Resolution/Decision No. | Date | Content |
| 1. | 02/QD-HDQT/2023 | January 08, 2023 | Board Decision on promulgating amendments to the organizational regulations of the Company's executive apparatus. |
| 2. | 09/NQ-HDQT/2023 | January 08, 2023 | Board Resolution on approving the sale plan for the term 2022-2023 |
| 3. | 12/NQ-HDQT/2023 | February 16, 2023 | Board Resolution on approving the Regular Meeting of the Board of Directors in Q2 of the 2022-2023 period |
| 4. | 14/NQ-HDQT/2023 | February 17, 2023 | Board Resolution on approving the sale plan. |
| 5. | 16/NQ-HDQT/2023 | March 12, 2023 | Board Resolution on approving the sale plan. |
| 6. | 21/NQ-HDQT/2023 | April 05, 2023 | Board Resolution on approving the sale plan. |
| 7. | 22/NQ-HDQT/2023 | April 05, 2023 | Board Resolution on approving the sale plan. |
| 8. | 26/NQ-HDQT/2023 | April 12, 2023 | Board Resolution on approving the sale plan. |
| 9. | 26B/NQ-HDQT/2023 | April 18, 2023 | Board Resolution on approving the sale plan. |
| 10. | 27A/NQ-HDQT/2023 | April 20, 2023 | Board Resolution on approving the sale plan. |
| 11. | 27B/NQ-HDQT/2023 | April 20, 2023 | Board Resolution on approving the sale plan. |
| 12. | 29/QD-HDQT/2023 | April 23, 2023 | Board Decision on approving the settlement for major repair in 2022. |
| 13. | 30/NQ-HDQT/2023 | April 24, 2023 | Board Resolution on approving the Regular Meeting of the Board of Directors in Q3 of the 2022-2023 period |
| 14. | 30A/NQ-HDQT/2023 | April 24, 2023 | Board Resolution on approving the commercial business plan. |
| 15. | 33/NQ-HDQT/2023 | April 26, 2023 | Board Resolution on approving the commercial business plan. |
| 16. | 36/QD-HDQT | April 28, 2023 | Board Decision on appointing the Chief Accountant of the Company |
| 17. | 37A/NQ-HDQT/2023 | May 04, 2023 | Board Resolution on approving the sale plan. |
| 18. | 38/NQ-HDQT/2023 | May 05, 2023 | Board Resolution on approving the policy on investment and support for the development of the raw material regions in the period of 2023-2024. |
| 19. | 40/NQ-HDQT/2023 | May 11, 2023 | Board Resolution on approving the sale plan. |
| 20. | 42/NQ-HDQT/2023 | May 25, 2023 | Board Resolution on approving the sale plan. |
| 21. | 53/NQ-HDQT/2023 | August 02, 2023 | Board Resolution on approving the record date to exercise the right to attend the Annual General Meeting of Shareholders 2023 |
| 22. | 56/QD-HDQT | August 08, 2023 | Board Decision on appointing the Deputy General Manager of the Company |
| 23. | 56B/NQ-HDQT/2023 | August 17, 2023 | Board Resolution on approving the plan to buy supplies and chemicals for production in the 2023-2024 period. |
| 24. | 58/NQ-HDQT/2023 | August 25, 2023 | Board Resolution on approving the sale plan. |
| 25. | 60/NQ-HDQT/2023 | August 30, 2023 | Board Resolution on approving the content, the document of Annual General Meeting of Shareholders 2023 |
| 26. | 61B/QD-HDQT/2023 | September 12, 2023 | Board Decision on approving the plan to buy supplies and chemicals for production in the 2023-2024 period. |
| 27. | 62B/NQ-HDQT/2023 | September 26, 2023 | Board Resolution on selling supplies, equipment. |
| 28. | 64/NQ-HDQT/2023 | October 24, 2023 | Board Resolution on approving the Regular Meeting of the Board of Directors in Q1 of the 2023-2024 period |
| 29. | 65/NQ-HDQT/2023 | October 25, 2023 | Board Resolution on approving the sale plan. |
| 30. | 67/NQ-HDQT/2023 | November 3, 2023 | Board Resolution on approving to pay dividends in the year 2022-2023 |
| 31. | 70/QD-HDQT/2023 | November 20, 2023 | Board Decision on approving the plan on borrowing capital for production and business in the 2023-2024 period. |
| 32. | 75/NQ-HDQT/2023 | December 06, 2023 | Board Resolution on approving the sale plan. |
| 33. | 76/QD-HDQT/2023 | December 06, 2023 | Board Decision on approving transportation prices in the 2023 - 2024 period. |
| 34. | 78/NQ-HDQT/2023 | December 11, 2023 | Board Resolution on approving the selection of an audit company to review the Semi-annual Financial Statements and audit for the 2023 – 2024 period. |
| 35. | 79/QD-HDQT/2023 | December 11, 2023 | Board Decision on purchasing policy in the 2023 – 2024 period |
| 36. | 80/QD-HDQT/2023 | December 12, 2023 | Board Decision on approving the sale plan for the term 2023-2024 |

1. The Supervisory Board (2023):
2. Information about members of the Supervisory Board:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board | Qualification |
| 1 | Ms. Tran Thi Nhi | Chief | September 24, 2023 | Bachelor |
| 2 | Ms. Nguyen Thi Thuy | Member | September 24, 2023 | Bachelor |
| 3 | Mr. Nguyen Duc Can | Member | September 24, 2023 | Bachelor |

1. The Executive Board

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| --- | --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date | |
| Appointment | Dismissal |
| 1. | Ms. Dang Thi Thu Hang | April 14, 1977 | Bachelor | October 24, 2019 |  |
| 2. | Mr. Nguyen Huu Quang | June 20, 1971 | Silicate Chemical Engineer | August 01, 2018 |  |
| 3. | Mr. Thai Van Hung | February 26, 1972 | Engineer | September 14, 2021 |  |
| 4. | Mr. NARAYANASAMY RAVICHANDRAN | December 22,1962 | In charge of Technology | August 08, 2023 |  |

1. Chief Accountant

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Date | |
| Appointment | Dismissal |
| Ms. Vo Thi Ai Thuy | May 20, 1981 | Bachelor of Accounting | April 28, 2023 |  |

1. Training on corporate governance: None
2. List of affiliated persons of the public company in 2023 and transactions between the affiliated persons of the Company with the Company itself.
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR, affiliated persons of PDMR: All of these transactions are recorded and reported in the quarterly, semi-annual, and annual Financial Statements for transparency and published to shareholders.
4. Transactions between PDMR of the listed company, affiliated persons of PDMR and subsidiaries, and companies controlled by the listed company: None.
5. Transactions between the Company and other entities:
   1. Transactions between the Company and the companies in which members of the Board of Directors, members of the Supervisory Board, the Executive Manager (General Manager) have been founding members or members of the Board of Directors, the Executive Manager (General Manager) for the past three (03) years (calculated at the time of reporting).
   2. Transactions between the Company and companies where affiliated persons of the members of the Board of Directors, members of the Supervisory Board, the Executive Manager (General Manager) are members of the Board of Directors, the Executive Manager (General Manager):
   3. Other transactions of the Company (if any) that can bring material or non-material benefits to the members of the Board of Directors, members of the Supervisory Board, the Executive Manager (General Manager): None.
6. Share transactions of PDMR and affiliated persons of PDMR in 2023.
7. Listing company’s share transactions of PDMR and affiliated persons of PDMR: None.
8. Other significant issues: None