**NAW: Annual Corporate Governance Report 2023**

On January 16, 2024, Nghe An Water Supply Joint Stock Company announced Report No. 01/BC-CTCPCNNA on the Corporate Governance in 2023 as follows:

* Name of listed company: Nghe An Water Supply Joint Stock Company
* Head office address: No. 32 Phan Dang Luu Street, Truong Thi Ward, Vinh City, Nghe An Province.
* Tel: (02383) 844.208 Fax: (02388) 668.118
* Email: [nawasco@gmail.com](mailto:nawasco@gmail.com) Website: [www.nawasco.com.vn](http://www.nawasco.com)
* Charter capital: VND 373,859,830,000
* Securities code: NAW
* Corporate governance model The General Meeting of Shareholders, the Board of Directors, the Supervisory Board, and the General Manager.
* Internal audit execution: Unimplemented

1. Activities of the General Meeting of Shareholders:

Information about Meetings and General Mandates/Decisions of the General Meeting of Shareholders (including General Mandates approved by collecting shareholders' opinions via a ballot)::

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| --- | --- | --- | --- |
| No. | General Mandates/ Decision No. | Date | Content |
| 2. | 01/NQ-DHDCD | April 21, 2023 | 1. Approve contents of Reports:  * Report on production and business results in 2022 and the production and business plan for 2023 * Audited Summarized Financial Statements 2022 * Report of the Board of Directors on the assessment of the current status of business management of the Company in 2022. * Report of the Supervisory Board on the status of business activities, governance and administration of the Company of the Board of Directors and the General Manager in 2022.  1. Approve contents of the Proposals of the Board of Directors:  * Submit for approval on the settlement of salary and remuneration in 2022 and the estimated salary and remuneration in 2023 for the Board of Directors and the Supervisory Board * Submit the meeting for approval on selecting an audit company for the fiscal year 2023. * Submit the main targets of production and business plan for 2023 and the investment plan of the Company for the coming time. * Submit to the General Meeting of Shareholders the profit distribution of the fiscal year 2022  1. Not approve the contents of Proposal No. 05/TTr-HDQT dated March 26, 2023 of the Board of Directors on the exemption from public offering for shareholder being Song Con Sugar Joint Stock Company. |

1. The Board of Directors:
2. Information about members of the Board of Directors:

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| --- | --- | --- | --- | --- |
| No. | Member of the Board of Directors: | Position | Date of appointment/dismissal as member/independent member of the Board of Directors | |
| Date of re-appointment | Date of dismissal |
| 1 | Mr. Nguyen Ba Quy | Chair | April 29, 2022 | April 29, 2022 |
| 2 | Mr. Le Dinh Hoan | Member | April 29, 2022 | April 29, 2022 |
| 3 | Mr. Trinh Van Thang | Vice Chair | July 16, 2022 | April 29, 2022 |

1. Board Resolutions/Decisions

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| --- | --- | --- | --- |
| No. | Board Resolution/Decision No. | Date | Content |
| 1 | 01/NQ-HDQT | February 28, 2023 | Resolution on recording the list of shareholders for the Annual General Meeting of Shareholders 2023 |
| 2 | 02/NQ-HDQT | June 15, 2023 | Resolution on the dividend payment in 2022 for shareholders in cash |
| 3 | 01/QD-HDQT | January 01, 2023 | Decision on approving contents of the Tet Bonus Plan for 2023 and settling the water supply operating expenses for 2022 |
| 4 | 02/QD-HDQT | January 08, 2023 | Decision on approving additional year-end bonus |
| 5 | 03/QD-HDQT | January 10, 2023 | Decision on supplementing salary funds to fulfill key tasks in 2022 |
| 6 | 04/QD-HDQT | April 08, 2023 | Decision on rewarding high qualified employees for the fiscal year 2022 |
| 7 | 05/QD-HDQT | April 11, 2023 | Decision on approving content of the production and business plan for 2023. |
| 8 | 06/QD-HDQT | October 05, 2023 | Decision on sending a working delegation to Japan for the purpose of visiting and studying at the clean water company in Saitama Province |
| 9 | 07/QD-HDQT | December 11, 2023 | Decision on approving the project investment policy: Renovation of filtration system, second-stage station, and electricity automation at the Hung Vinh Water Plant |

1. The Supervisory Board
2. Information about the members of the Supervisory Board:

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| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board: | Position | Date of appointment as member of the Supervisory Board (re-elected for a new term) | Qualification |
| 1 | Vo Thi Thin | Chief of the Supervisory Board | July 16, 2022 | University (Bachelor of Accounting) |
| 2 | Le Thi Kim Oanh | Member of the Supervisory Board | April 29, 2022 | Intermediate Degree in Accounting |
| 3 | Nguyen Thi Ngan | Member of the Supervisory Board | April 29, 2022 | University (Bachelor of Accounting) |

1. The Executive Board

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| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment as member of the Executive Board |
| 01 | Hoang Van Hai | January 02, 1961 | Manufacturing Engineer | December 30, 2016 |
| 02 | Nguyen Van Ha | February 26, 1978 | Master of Infrastructure Engineering/Construction Engineer majoring in Water Supply and Sewerage - National University of Civil Engineering | January 02, 2017 |
| 03 | Le Dinh Hoan | August 4, 1976 | College - major in accounting | November 11, 2018 |

1. The Chief Accountant

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| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Date of appointment |
| Tran Thi Lan Anh | November 15, 1986 | Bachelor of Economics | April 23, 2019 |

VI Training on corporate governance

1. List of affiliated persons of the public Company in 2023 and transactions between affiliated persons of the Company and the Company itself
2. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR, affiliated persons of PDMR: None.
3. Transactions between the Company’s PDMR, affiliated persons of PDMR and subsidiaries, companies controlled by the Company: None.
4. Transactions between the Company and other entities:
   1. Transactions between the Company and companies where members of the Board of Directors, the Supervisory Board, the Executive Manager (General Manager) have been founding members or members of the Board of Directors or the Executive Manager (General Manager) for the past three years (at the time of making the Report): None.
   2. Transactions between the Company and companies where affiliated persons of members of the Board of Directors, members of the Supervisory Board and the Executive Manager (General Manager) are members of the Board of Directors or the Executive Manager (General Manager): None.
   3. Other transactions of the Company (if any) that can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, and the Executive Manager (General Manager): None.
5. Share transactions of PDMR and affiliated persons of PDMR (Semi-annual Report):
6. Listed company’s share transactions of PDMR and affiliated persons of PDMR: None
7. Other significant issues: None.