**S72: Annual Corporate Governance Report 2023**

On January 16, 2024, Song Da 7.02 Hydroelectric Joint Stock Company announced Report No. 01/BC-HDQT on the Corporate Governance in 2023 as follows:

* Name of Company: Song Da 7.02 Hydroelectric Joint Stock Company
* Head office address: Chu Va 12 Village, Son Binh Commune, Tam Duong District, Lai Chau Province
* Tel: 0213.6506868
* Email: namthisd7@gmail.com.
* Charter capital: VND 120,000,000,000
* Securities code: S72 (Upcom)
* Corporate governance model: The General Meeting of Shareholders, the Board of Directors, the Supervisory Board, and the Board of Managers.
* Internal audit execution: Unimplemented.
1. Activities of the General Meeting of Shareholders:

Information about the Meetings and General Mandates/Decisions of the General Meeting of Shareholders (including General Mandates approved by collecting shareholders' opinions via a ballot).-

|  |  |  |  |
| --- | --- | --- | --- |
| No. | General Mandate/Decision of the General Meeting of Shareholders No. | Date | Content |
| 1 | 07/BB-DHDCD | April 17, 2023 | Meeting Minutes of the Annual General Meeting of Shareholders 2023. |
| 2 | 08CT/NQ-DHDCD | April 17, 2023 | Annual General Mandate 2023 |

1. The Board of Directors in 2023:
2. Information about members of the Board of Directors:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member of the Board of Directors |
| Appointment date | Dismissal date |
| 1 | Nguyen Huu Nhuan | Chair of the Board of Directors | Appointed on June 26, 2020 |  |
| 2 | Nguyen Huu Doanh | Member of the Board of Directors | First appointed on: September 10, 2018. Elected to be member of the Board of Directors (2020 - 2025 term) at the Annual General Meeting of Shareholders on June 26, 2020 |  |
| 3 | Pham Van Toan | Member of the Board of Directors-cum-General Manager | First appointed on: September 10, 2018. Elected to be member of the Board of Directors in (2020 - 2025 term) at the Annual General Meeting of Shareholders on June 26, 2020 |  |

1. Board Resolutions/Decisions in 2023

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board Resolution/Decision No. | Date | Content |
| 1 | 02/QD/HDQT | January 10, 2023 | Decision: Approve the Tet Bonus payment plan in 2023 for employees of the Company. |
| 2 | 03/NQ-HDQT | February 20, 2023 | Resolution: Notice of the record date to exercise the rights for existing shareholders attending the Annual General Meeting of Shareholders 2023 of Song Da 7.02 Hydroelectric Joint Stock Company |
| 3 | 09CT/NQ-HDQT | May 25, 2023 | Resolution: Ask for extension of debt payment time at BIDV Lai Chau |
| 4 | 10CT/NQ-HDQT | June 22, 2023 | Resolution: Approve the selection of an audit company for the Financial Statement 2023 |
| 5 | 12QD - HDQT | August 31, 2023 | Decide to re-appoint the position of General Manager of Song Da 7.02 Hydroelectric Joint Stock Company |
| 6 | 13CT/NQ-HDQT | October 28, 2023 | Approve the policy of liquidating damaged fixed assets that are no longer of use  |

1. The Supervisory Board in 2023
2. Information about members of the Supervisory Board:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board: | Position | Date of appointment/dismissal as member of the Supervisory Board | Qualification |
| 1 | Ngo Quoc The | Chief of the Supervisory Board | Date of appointment as member of the Supervisory Board: October 12, 2016. Elected to be member of the Supervisory Board in (2020 - 2025 term) at the Annual General Meeting of Shareholders on June 26, 2020. | Bachelor of Finance and Accounting |
| 2 | Nguyen Cao Son | Member of the Supervisory Board | Date of appointment as member of the Supervisory Board June 25, 2015. Elected to be member of the Supervisory Board in (2020 - 2025 term) at the Annual General Meeting of Shareholders on June 26, 2020. | Construction Engineer |
| 4 | Nguyen Van Long | Member of the Supervisory Board | Date of appointment as member of the Supervisory Board: June 26, 2020 | Irrigation Engineer |

1. The Executive Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| 1 | Pham Van Toan | February 23, 1985 | Construction Engineer | Appointed on September 10, 2018; Re-appointed on August 31, 2023 |

1. The Chief Accountant

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Date of appointment/dismissal |
| Pham Van Phong | October 10, 1971 | Bachelor of Finance and Accounting | Appointed on August 16, 2008 |

1. Training on corporate governance:
2. List of affiliated persons of the public company in 2023 and transactions between the affiliated persons of the Company and the Company itself.
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR, and affiliated persons of PDMR: None.
4. Transactions between PDMR of the Company, affiliated persons of PDMR and subsidiaries, companies controlled by the Company: None.
5. Transactions between the Company and other entities:
	1. Transactions between the Company and companies that members of the Board of Directors, members of the Supervisory Board, the General Manager, and other managers have been founding members or members of the Board of Directors or the Executive General Manager within the past three years (at the time of making the Report): None.
	2. Transactions between the Company and companies that affiliated persons of members of the Board of Directors, members of the Supervisory, the General Manager and other managers are members of the Board of Directors or the Executive General Manager: None.
	3. Other transactions of the Company (if any) that can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the General Manager and other managers: None.
6. Share transactions of PDMR and affiliated persons of PDMR in 2023:
7. Company’s share transactions of PDMR and affiliated persons: None.
8. Other significant issues: None.