**SHC: Annual Corporate Governance Report 2023**

On January 15, 2024, Sai Gon Maritime Joint Stock Co. Ltd announced Report No. 01/SHC-BCQT on the corporate governance as follows:

* Name of listed company: SAI GON MARITIME JOINT STOCK CO. LTD
* Head office address: No. 422 Nguyen Tat Thanh Street, Ward 18, District 4, Ho Chi Minh City
* Tel: (0828) 3826.1627; Fax: (0828) 3940. 4300; Email: [shc@saigonmaritime.vn](mailto:shc@saigonmaritime.vn)
* Charter capital: VND 43,095,500,000
* Securities code: SHC
* Corporate governance model: The General Meeting of Shareholders, the Board of Directors, the Supervisory Board and the General Manager/Manager
* Internal audit execution: Unimplemented

1. Activities of the General Meeting of Shareholders:

* The Annual General Meeting of Shareholders 2023 was held on April 18, 2023 at the Office of Sai Gon Maritime Joint Stock Co. Ltd, No. 422, Nguyen Tat Thanh, Ward 18, District 4, Ho Chi Minh City.
* Contents:
* Report on activity results in 2022 and the business plan for 2023;
* Report on the supervision result in 2022 and the operation plan for 2023 of the Supervisory Board;
* Report of the independent audit company on the Financial Statements 2022;
* Proposals at the Meeting:
* Proposal on the authorization for the Board of Directors to select one of the audit companies for the fiscal year 2023 of the Company.
* Proposal on approving the remuneration for the Board of Directors, the Supervisory Board in 2022 and 2023.
* Proposal on dividend distribution in 2022 with a dividend rate of 5% in 2022.

1. The Board of Directors (Annual report)
2. Information about members of the Board of Directors:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position (Independent member of the Board of Directors, Non-executive member of the Board of Directors) | Date of appointment/dismissal as member/independent member of the Board of Directors | |
| Appointment date | Dismissal date |
| 1 | Mr. Nguyen Xuan Phu | Chair | April 22, 2022 |  |
| 2 | Ms. Nguyen Thi Van Anh | Member | April 22, 2022 |  |
| 3 | Mr. Nguyen Minh Thang | Member | April 22, 2022 |  |
| 4 | Ms. Nguyen Thi Hang | Member | April 22, 2022 |  |
| 5 | Mr. Nguyen Dinh Hieu | Member | April 22, 2022 |  |

1. Board Resolutions/Board Decisions (Annual Report):

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board Resolution No. | Date | Content |
| 01 | 01/BB-HDQT SHC | March 16, 2023 | 1. Approve the time and venue of the Annual General Meeting of Shareholders 2023   The Board of Directors discussed and approved the time to organize the Annual General Meeting of Shareholders 2023 as follows:   * Time: 09.00 a.m., Tuesday, April 18, 2023 * Venue: Office of Sai Gon Maritime Joint Stock Co. Ltd - No. 422, Nguyen Tat Thanh, Ward 18, District 4, Ho Chi Minh City.  1. Approve the record date of the list of shareholders attending the Annual General Meeting of Shareholders 2023.   The Board of Directors discussed and approved that the record date of the list of shareholders is March 24, 2023. |
| 02 | 02/BB-HDQT SHC | May 4, 2023 | Summary meeting for Q1/2023 and directions for the following quarter of 2023 |
| 03 | 03/BB-HDQT SHC | July 20, 2023 | Summary meeting for 6 months of 2023 and directions for the following quarter of 2023 |
| 04 | 04/BB-HDQT SHC | October 25, 2023 | Summary meeting for 9 months of 2023 and directions for Q4/2023 |

1. The Supervisory Board/Audit Committee (Report):
2. Information about members of the Supervisory Board:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board | Qualification |
| 1 | Ms. Le Thi Thu Nga | Member | April 22, 2022 | Bachelor of Economics |

1. The Executive Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| 1 | Ms. Nguyen Thi Van Anh | October 21, 1975 | Bachelor of Economics; Master of Business Administration | March 1, 2012 |
| 2 | Mr. Nguyen Dinh Hieu | May 7, 1970 | Bachelor of Foreign Language | September 20, 2018 |
| 3 | Mr. Nguyen Xuan Cuong | June 7, 1969 | Bachelor of Economics | April 14, 2013 |

1. Chief Accountant

|  |  |  |  |
| --- | --- | --- | --- |
| Member of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| Ms. Doan Thi Hao | October 6, 1977 | Bachelor of Economics | April 1, 2021 |

1. Training on corporate governance
2. List of affiliated persons of the public company (Annual report) and transactions between the affiliated persons of the Company and the Company itself
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR, or persons related to PDMR: None.
4. Transactions between the Company’s PDMR, affiliated persons of PDMR with subsidiaries and companies controlled by the Company: None.
5. Transactions between the Company and other entities
6. Transactions between the Company and companies that members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers have been founding members or members of the Board of Directors, the Executive Manager (General Manager) in the last three (03) years (as of the time of making the report): None
7. Transactions between the Company and companies where the affiliated persons of members of the Board of Directors, members of the Supervisory Board, the Manager (the General Manager) and other managers are members of the Board of Directors, the Executive Manager (General Manager): None.
8. Other transactions of the Company (if any) which can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers: None.
9. Share transactions of PDMR and affiliated persons of PDMR (Annual report)
10. Company’s share transaction of PDMR and affiliated persons: None.
11. Other significant issues: None.