**DNE: Annual Corporate Governance Report 2023**

On January 09, 2024, Da Nang Urban Environment Joint Stock Company announced Report No. 02/BC-HDQT on the corporate governance 2023 as follows:

Name of Company: Da Nang Urban Environment Joint Stock Company.

Head office: No. 471 Nui Thanh, Hoa Cuong Nam Ward, Hai Chau District, Da Nang City

Phone number: 0236.3622007. Fax: 0.236.3642423.

Email: [ctmtdt@danang.gov.vn](mailto:ctmtdt@danang.gov.vn). Website:moitruongdothidanang.com.vn.

Securities code: DNE

Charter capital: VND 57,736 million

Corporate governance model: the General Meeting of Shareholders, the Board of Directors, the Supervisory Board and the General Manager

Internal audit execution: Unimplemented

1. Activities of the General Meeting of Shareholders

Information about the General Mandates:

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| --- | --- | --- | --- |
| No. | General Mandate | Date of promulgation | Content |
| 1 | General Mandate No. 06/NQ-DHDCD | June 29, 2023 | Annual General Mandate |
| 2 | General Mandate No. 15/NQ-DHDCD | December 28, 2023 | Extraordinary General Mandate |

1. Activities of the Board of Directors
2. Information about members of the Board of Directors

The Board of Directors of the Company for the term of 2021-2025 has 05 members (including 03 executive members and 02 non-executive members).

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| --- | --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member of the Board of Directors for the term of 2021-2025 | |
| Appointment date | Dismissal date |
| I | Executive members of the Board of Directors | | | |
| 1 | Mr. Pham Thanh Phuc | Chair of the Board of Directors | June 11, 2021 (General Mandate No. 09/NQ-DHDCD dated June 11, 2021; Resolution No. 10/NQ-HDQT dated June 11, 2021) |  |
| 2 | Mr. Vo Minh Duc | Member of the Board of Directors-cum-General Manager |  |
| 3 | Ms. Hoang Thi Ngoc Hieu | Member of the Board of Directors-cum-Deputy General Manager |  |
| II | Non-executive members of the Board of Directors | | | |
| 1 | Mr. Phan Cong Tu | Member of the Board of Directors | June 11, 2021 (General Mandate No. 09/NQ-DHDCD dated June 11, 2021) |  |
| 2 | Mr. Nguyen Van Tuan | Member of the Board of Directors | June 11, 2021 (General Mandate No. 09/NQ-DHDCD dated June 11, 2021) | December 28, 2023 (General Mandate No. 15/NQ-DHDCD dated December 28, 2023) |
| 3 | Mr. Nguyen Thanh Nam | Member of the Board of Directors | December 28, 2023 (General Mandate No. 15/NQ-DHDCD dated December 28, 2023) |  |

1. Board Resolutions/Board Decisions

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Resolution/Decision No. | Date of promulgation | | Content |
| A | Board Resolutions | | | |
| 1 | 01/NQ-HDQT | January 31, 2023 | | On the task assignment to management leaders |
| 2 | 02/NQ-HDQT | March 27, 2023 | | On the Meeting of the Board of Directors on March 27, 2023 |
| 3 | 03/NQ-HDQT | April 17, 2023 | | On the appointment and task assignment to employees |
| 4 | 04/NQ-HDQT | May 09, 2023 | | On the production and business plan for 2023 |
| 5 | 05/NQ-HDQT | June 21, 2023 | | On the Meeting of the Board of Directors on June 21, 2023 |
| 6 | 06/NQ-DHDCD | June 29, 2023 | | Annual General Mandate 2023 |
| 7 | 07/NQ-HDQT | July 19, 2023 | | On the dividend payment of 2022 |
| 8 | 08/NQ-HDQT | August 01, 2023 | | On the adjustment to the production and business plan for 2023 |
| 9 | 09/NQ-HDQT | September 12, 2023 | | On the Meeting of the Board of Directors on September 12, 2023 |
| 10 | 10/NQ-HDQT | September 12, 2023 | | On the appointment of management leaders |
| 11 | 11/NQ-HDQT | October 24, 2023 | | On postponing the Extraordinary General Meeting of Shareholders 2023 |
| 12 | 12/NQ-HDQT | November 07, 2023 | | On the organization of the Extraordinary General Meeting of Shareholders 2023 |
| 13 | 13/NQ-HDQT | November 22, 2023 | | On the dissolution of the KCS Department of Da Nang Urban Environment Joint Stock Company |
| 14 | 14/NQ-HDQT | December 14, 2023 | | On the Meeting of the Board of Directors on December 14, 2023 |
| 15 | 15/NQ-DHDCD | December 28, 2023 | (Extraordinary General Mandate 2023) | |
| B | Board Decision | | | |
| 1 | 01/QD-HDQT | January 04, 2023 | On approving the Procedure Value and the results of selecting a trash bin supplier for Lunar New Year 2023 | |
| 2 | 02/QD-HDQT | January 10, 2023 | On the approval of the estimate and the unit providing the bidding package: Purchasing tires for production in Q1/2023 and Lunar New Year 2023 | |
| 3 | 03/QD-HDQT | January 10, 2023 | On approving the reserve prices and liquidation methods of damaged and non-operating assets and vehicles | |
| 4 | 04/QD-HDQT | January 18, 2023 | On approving the settlement for the project on completing the bidding package: Purchasing trash bins for Lunar New Year 2023 | |
| 5 | 05/QD-HDQT | January 27, 2023 | On approving the evaluation results of the Technical Proposal for the bidding package: Management consulting for the project “Investment in upgrading and expanding Khanh Son hazardous waste treatment zone”. | |
| 6 | 06/QD-HDQT | March 07, 2023 | On approving the payment value for the rental of dump trucks and excavators for excavating and transporting garbages at temporary gathering points to Khanh Son Landfill in the Lunar New Year 2023 | |
| 7 | 07/QD-HDQT | March 22, 2023 | On the promulgation of salary regulations for the Enterprise Business Services and Environmental Treatment Branch - Da Nang Urban Environment Joint Stock Company | |
| 8 | 08/QD-HDQT | March 31, 2023 | On approving the contractor selection result for bidding package: Management consulting for the project “Investment in upgrading and expanding Khanh Son hazardous waste treatment zone”. | |
| 9 | 09/QD-HDQT | April 14, 2023 | On terminating the operation of Cam Le Environmental Enterprise | |
| 10 | 10/QD-HDQT | April 14, 2023 | On establishing Cam Le 1 Environmental Enterprise Branch - Da Nang Urban Environment Joint Stock Company | |
| 11 | 11/QD-HDQT | April 14, 2023 | On establishing Cam Le 2 Environmental Enterprise Branch - Da Nang Urban Environment Joint Stock Company | |
| 12 | 12/QD-HDQT | April 14, 2023 | On approving the salary fund for employees and remuneration fund for managers in 2022 | |
| 13 | 13/QD-HDQT | May 05, 2023 | On approving the procurement value and the results of selecting a bulldozer supplier at Khanh Son landfill | |
| 14 | 14/QD-HDQT | May 19, 2023 | On approving the settlement for the project on completing the bidding package: Purchasing 10 garbage compactors 04m3 (1.5 tons) a pair of bins and lids and 04 garbage compactors of 07m3 (3.0 tons) with a pair of bins and lids | |
| 15 | 15/QD-HDQT | June 01, 2023 | On the expected time, agenda to hold the Annual General Meeting of Shareholders 2023 and establish the Organizing Committee of the Annual General Meeting of Shareholders 2023 | |
| 16 | 16/QD-HDQT | June 01, 2023 | On establishing the Shareholder's Eligibility Verification Committee for the Annual General Meeting of Shareholders 2023 | |
| 17 | 17/QD-HDQT | June 20, 2023 | On approving the adjustment to the plan on selecting contractor for project “Investment in upgrading and expanding Khanh Son hazardous waste treatment zone | |
| 18 | 18/QD-HDQT | June 27, 2023 | On approving the settlement for the project on purchasing 01 Komatsu D60 bulldozer. | |
| 19 | 19/QD-HDQT | July 18, 2023 | On approving the bidding dossier for Bidding Package: Consulting on making construction drawings and estimates for the project “Investment in upgrading and expanding Khanh Son hazardous waste treatment zone.” | |
| 20 | 20/QD-HDQT | August 30, 2023 | On approving the evaluation results of the Technical Proposal for the Bidding Package: Consulting on making construction drawings and estimates for the Project “Investment in upgrading and expanding Khanh Son hazardous waste treatment zone.” | |
| 21 | 21/QD-HDQT | September 08, 2023 | On adjusting the time to terminate the operation of Cam Le Environmental Enterprise Branch | |
| 22 | 22/QD-HDQT | September 20, 2023 | On the expected time, agenda to hold the Extraordinary General Meeting of Shareholders 2023 and establishing the Organizing Committee of the Extraordinary General Meeting of Shareholders 2023 | |
| 23 | 23/QD-HDQT | September 20, 2023 | On establishing the Shareholder's Eligibility Verification Committee for the Extraordinary General Meeting of Shareholders 2023 | |
| 24 | 24/QD-HDQT | September 22, 2023 | On approving the contractor selection result for bidding package: Consulting on making construction drawings and estimates for the Project “Investment in upgrading and expanding Khanh Son hazardous waste treatment zone.” | |
| 25 | 25/QD-HDQT | October 10, 2023 | On approving the reverse prices and liquidation methods of damaged and non-operating assets | |
| 26 | 26/QD-HDQT | November 07, 2023 | On approving the bidding package “Hiring to perform public environmental sanitation service items in Da Nang City” | |
| 27 | 27/QD-HDQT | November 28, 2023 | On the approval of the Procurement Estimate and the results of selecting contractor to provide the Bidding Package: Procurement of labor protection in 2024 | |
| 28 | 28/QD-HDQT | November 30, 2023 | On approving the adjustment to reverse prices and methods of liquidation methods of damaged and non-operating assets | |
| 29 | 29/QD-HDQT | December 08, 2023 | On promulgating labor unit costs and fuel norms for waste collection and transportation in Da Nang City | |
| 30 | 30/QD-HDQT | December 14, 2023 | On approving the quantity, estimated value and form of purchasing trash bins for regular waste collection in festivals and the Lunar New Year 2024 | |
| 31 | 31/QD-HDQT | December 14, 2023 | On approving the adjustment to reverse prices and methods of liquidation methods of damaged and non-operating assets (2nd time) | |
| 32 | 32/QD-HDQT | December 22, 2023 | On approving the contractor selection plan for the bidding package: Hiring to perform environmental sanitation public service items in Da Nang City | |
| 33 | 33/QD-HDQT | December 28, 2023 | On approving the procurement value and the results of selecting a trash bin supplier for regular waste collection in festivals and the Lunar New Year 2024 | |

1. The Supervisory Board
2. Information about the members of the Supervisory Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board (in the term of 2021-2025) | Qualification |
| 1 | Ms. Vo Thi Man | Chief of the Supervisory Board | Appointed on June 11, 2021  (General Mandate No. 09/NQ-DHDCD dated June 11, 2021) | Bachelor of Economics majoring in Accounting |
| 2 | Ms. Le Thi Hong Thuy | Member of the Supervisory Board | Dismissed on December 28, 2023 (General Mandate No. 15/NQ-DHDCD dated December 28, 2023) | Bachelor of Accounting |
| 3 | Ms. Huynh Thi Thanh Nhan | Member of the Supervisory Board | Appointed on June 29, 2022  (General Mandate No. 07/NQ-DHDCD dated June 29, 2022) | Bachelor of Business Administration Master of Business & Human Resource Management |
| 4 | Ms. Phan Nguyen Truc Ly | Member of the Supervisory Board | Dismissed on December 28, 2023 (General Mandate No. 15/NQ-DHDCD dated December 28, 2023) | Bachelor of Economics majoring in Accounting |

1. The Executive Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Full name | Date of birth | Qualification | Appointment date |
| 1 | Vo Minh Duc | June 14, 1972 | Bachelor of Business Administration | June 11, 2021 |
| 2 | Vo Thi Huynh Trang | August 02, 1975 | Bachelor of Foreign Language and Economics | July 10, 2019 |
| 3 | Hoang Thi Ngoc Hieu | December 19, 1979 | Master of Ecology | June 11, 2021 |
| 4 | Nguyen Dang Huy | July 01, 1983 | Bachelor of Biology - Environment | June 17, 2022 |

1. Person in charge of the Company’s accounting

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Full name | Date of birth | Qualification | Appointment date |
| 1 | Diep Nguyen The Quang | September 14, 1975 | Bachelor of Economics majoring in Accounting | June 14, 2021 |

1. Training on corporate governance
2. List of affiliated persons of the public company
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR and affiliated persons of PDMR None
4. Transactions between PDMR of the Company, affiliated persons of PDMR and subsidiaries, companies controlled by the Company: None
5. Transactions between the Company and other entities: None
6. Share transactions between PDMR and affiliated persons of PDMR
7. Transactions between PDMR and affiliated persons on the Company’s shares: (Appendix 03 is attached)
8. Other significant issues: None

Appendix 03: Transactions between PDMR and affiliated persons of PDMR on the Company's shares

(Promulgated with Report No. 02/BC-HDQT dated January 8, 2024)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Relations with PMDR | Number of shares owned at the beginning of the period | | Number of shares owned at the end of the period | | Reasons for increase or decrease (buy, sell, convert, reward,...) |
| Number of shares | Percentage | Number of shares | Percentage |
| 1 | Nguyen Van Tuan | Member of the Board of Directors | 391,406 | 6.78 | 1,163,393 | 20.15 | Additional share purchase (June 23, 2023) |
| 1,163,393 | 20.15 | 0 | 0 | The selling of shares (August 22, 2023) |
| 2 | Vietnam Environment Corporation | Major shareholder | 771,987 | 13.37 | 0 | 0 | The selling of shares (June 23, 2023) |
| 3 | Da Nang Environmental Services Joint Stock Company | Major shareholder | 0 | 0 | 771,987 | 13.37 | Additional share purchase (August 17, 2023) |
| 4 | Vo Minh Duc | Member of the Board of Directors-cum-General Manager | 3,900 | 0.068 | 61,625 | 1.0675 | Additional share purchase (August 22, 2023) |
| 5 | Hoang Thi Ngoc Hieu | Member of the Board of Directors-cum-Deputy General Manager | 3,300 | 0.057 | 32,162 | 0.557 | Additional share purchase (August 22, 2023) |
| 6 | Phan Cong Tu | Member of the Board of Directors | 2,500 |  | 31,362 | 0.543 | Additional share purchase (August 22, 2023) |
| 7 | Nguyen Dang Huy | Deputy General Manager | 2,700 | 0.047 | 60,425 | 1.0465 | Additional share purchase (August 22, 2023) |
| 8 | Vo Thi Huynh Trang | Deputy General Manager | 0 | 0 | 86,587 | 1.5 | Additional share purchase (August 22, 2023) |
| 9 | Le Thi Kim Nguyet | Chair of the Board of Directors’ wife | 0 | 0 | 72,157 | 1.25 | Additional share purchase (August 22, 2023) |