**LAW: Annual Corporate Governance Report 2023**

On January 17, 2024, Long An Water Supply Sewerage Joint Stock Company announced Report on corporate governance in 2023 as follows:

* Name of Company: Long An Water Supply Sewerage Joint Stock Company
* Head office: 250 Hung Vuong, Ward 4, Tan An City, Long An
* Phone number: 0272.3825.114 Fax: 0272.3826.040 Email: info@lawaco.com.vn
* Charter capital: VND 122,000,000,000
* Securities code: LAW
* Corporate governance model:
* The General Meeting of Shareholders, the Board of Directors, the Supervisory Board and the General Manager
* Internal audit execution: Implemented

1. Activities of the General Meeting of Shareholders

Information about Meetings and General Mandates/Decisions of the General Meeting of Shareholders (including General Mandates approved by collecting opinions via a ballot):

|  |  |  |  |
| --- | --- | --- | --- |
| No. | General Mandate/Decision No. | Date | Content |
| 01 | 93/NQCN-DHDCD | April 26, 2023 | Annual General Mandate 2023 |
| 02 | 105/NQCN-DHDCD | August 30, 2023 | 1st Extraordinary General Mandate 2023 |
| 03 | 116/NQCN-DHDCD | November 17, 2023 | 2nd Extraordinary General Mandate 2023 |

1. The Board of Directors (in 2023):
2. Information about members of the Board of Directors

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position (independent member, non-executive member of the Board of Directors) | Date of appointment/dismissal as member/independent member of the Board of Directors | |
| Appointment date | Dismissal date |
| 01 | Mr. Nguyen Bao Tung | Chair | August 30, 2023 |  |
| 02 | Mr. Luu Van Can | Executive member | November 17, 2022 |  |
| 03 | Mr. Mai Song Hao | Non-executive member | April 26, 2023 |  |
| 04 | Mr. Tran Tan Loi | Non-executive member | April 26, 2023 |  |
| 05 | Ms. Truong Ngoc Thuy Trang | Executive member | August 30, 2023 |  |
| 06 | Mr. Trinh Kien | Non-executive member |  | April 26, 2023 |
| 07 | Mr. Leu Manh Huy | Non-executive member |  | April 26, 2023 |
| 08 | Mr. Pham Quoc Thang | Chair |  | August 30, 2023 |

1. Board Resolutions/Decisions (in 2023):

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board Resolution/Decision No. | Date | Content |
| 01 | 81/NQCN-HDQT | February 2, 2023 | Resolution on approving the time and venue of the Annual General Meeting of Shareholders 2023. |
| 02 | Board of Directors Meeting Minutes | May 15, 2023 | Approve the Company's business plan in 2023, loan plan and credit guarantee at VietinBank - Long An Branch |
| 03 | 96/BB.HDQT | July 1, 2023 | Approve the implementation of project investment: Clean water supply pipeline system for Ward 5 area and Loi Binh Nhon Commune, Tan An City, issues of borrowing capital from Long An Provincial Development Investment Fund to carry out work investment |
| 04 | 97/NQCN-HDQT | July 7, 2023 | Resolution on approving the convening of the 1st Extraordinary General Meeting of Shareholders 2023 |
| 05 | 106/NQCN-HDQT | September 5, 2023 | Resolution on the dismissal of the General Manager and appointment of Deputy General Manager of the Company |
| 06 | 108/NQCN-HDQT | September 25, 2023 | Resolution on approving the convening of the 2nd Extraordinary General Meeting of Shareholders 2023 and the dividend payment in 2022 |
| 07 | 113/NQCN-HDQT | October 26, 2023 | Resolution on approving the investment in water supply pipelines HDPE D400, D315, provincial road 826 (from Tan Trach Commune - Long Hoa to 5-star international urban area (DT835B) and Ho Chi Minh City border |
| 08 | 117/BB.HDQT | December 12, 2023 | Approve the implementation of project investment: Water supply pipeline HDPE D400. D315 Provincial Road 826 (from the boundary of Tan Trach - Long Hoa Commune to the gate of Cau Tram industrial park), issues of borrowing capital from Long An Provincial Development Investment Fund to implement construction investment projects |

1. The Supervisory Board (Report 2023):
2. Information about members of the Supervisory Board:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board | Professional Qualification |
| 01 | Cao Duy Thanh | Chief of the Supervisory Board | Supplement elected on November 17, 2023 | Bachelor of Law, Bachelor of Business Administration, Bachelor of IT |
| 02 | Ms. Truong Thi Le Khanh | Member | April 26, 2019 | Bachelor of Accounting |
| 03 | Nguyen Dinh Tuan | Member | Elected additionally on April 26, 2023 | Bachelor of water supply and drainage |
| 04 | Phan Thuy Giang | Member | Dismissed on April 26, 2023 | Bachelor of Economics |
| 05 | Mr. Vo Minh Thai | Chief | Dismissed on November 17, 2023 | Bachelor of Economics |

1. The Executive Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as the member of the Executive Board |
| 01 | Mr. Pham Quoc Thang | November 19, 1962 | Master of Business Administration, Geological Engineer | Dismissed on August 30, 2023 |
| 02 | Mr. Nguyen Bao Tung | May 14, 1977 | Master, Environmental Engineer | Appointed on April 26, 2019 |
| 03 | Mr. Luu Van Can | August 20, 1979 | Environmental Engineering Engineer | Appointed on November 01, 2022 |
| 04 | Ms. Truong Ngoc Thuy Trang | November 14, 1990 | Master, Environmental Engineer | Appointed on August 30, 2023 |

1. Chief Accountant

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date of birth | Professional Qualification | Date of appointment / dismissal |
| Nguyen Quoc Phong | October 19, 1977 | Bachelor of Economics | Appointed on April 26, 2019 |

1. Training on corporate governance
2. List of affiliated persons of the public company (2023 Report) and transactions between the affiliated persons of the Company with the Company itself.
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR and affiliated persons of PDMR.

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name of organization/individual | Relations with the Company | NSH\* No., Date of issue, Place of issue of NSH | Head office address/Contact address | Time of transaction | General Mandate/Decision of the General Meeting of Shareholder or Board Resolution/Decision (if any, specify the issuance date) | Content, quantity, total value of transaction | Note |
|  | Biwase - Long An Water Joint - Stock Company | Affiliated with PDMR |  |  | 2023 | 105/NQCN-DHDCD dated August 30, 2023 | VND 94,268,174,593 to buy water |  |

Notes: NSH\* No.: ID Card/Passport No. (for individuals) or Business Registration Certificate No., License on Operations No. or equivalent legal documents (for institutions)

1. Transactions between the Company’s PDMR, affiliated persons of PDMR and subsidiaries, companies controlled by the Company: None
2. Transactions between the Company and other entities
3. Transactions between the Company and the company in which members of the Board of Directors, members of the Supervisory Board, the Manager (the General Manager) and other managers have been founding members or members of the Board of Directors, the Executive Manager (the General Manager) for the past three (03) years (calculated at the time of reporting). None
4. Transactions between the Company and companies in which affiliated persons of members of the Board of Directors, members of the Supervisory Board, the Manager (the General Manager) and other managers are members of the Board of Directors, the Executive Manager (the General Manager). None
5. Other transactions of the Company (if any) which can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers. None
6. Share transactions of PDMR and affiliated persons of PDMR (2023 Report)
7. Company’s share transaction of PDMR and affiliated persons.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Relations with PMDR | Number of shares owned at the beginning of the period | | Number of shares owned at the end of the period | | Reasons for increase or decrease (buy, sell, convert, reward, etc.) |
| Number of shares | Rate | Number of shares | Rate |
| 01 | Cao Duy Thanh | PDMR | 3,400 | 0.028 | 5,000 | 0.04 | Buy |
| 02 | Nguyen Quoc Phong | PDMR | 4,100 | 0.03 | 1,400 | 0.01 | Sell |
| 03 | Ngo Ngoc Cam Giang | Affiliated person | 100 | 0.008 | 3,600 | 0.029 | Buy, sell |

1. Other significant issues: None