**BKC: Annual Corporate Governance Report 2023**

On January 22, 2024, Bac Kan Mineral Joint Stock Corporation announced Report No. 01/2024/BC-HDQT on the corporate governance of 2023 as follows:

* Company's name: Bac Kan Mineral Joint Stock Corporation
* Head office address: Group 4, Duc Xuan Ward, Bac Kan City, Bac Kan Province
* Tel: (0209) 812 399 Email: [bkc@backanco.com](mailto:bkc@backanco.com)
* Charter capital: VND 117,377,280,000
* Securities code: BKC
* Corporate Governance Model: The General Meeting of Shareholders, the Board of Directors, the Supervisory Board, and the General Manager.
* Implementation of the internal audit function: Unimplemented.

1. Activities of the General Meeting of Shareholders:

Information about meetings and General Mandates/Decisions of the General Meeting of Shareholders (including General Mandates approved by collecting opinions via a ballot)

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| --- | --- | --- | --- |
| No. | General Mandates/Decision No. | Date | Contents |
| 01 | 02/2023/NQ-DHDCD | April 26, 2023 | Annual General Mandate 2023 |

1. The Board of Directors (Report of 2023):
2. Information about members of the Board of Directors:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member/independent member of the Board of Directors | |
| Appointment date | Dismissal date |
| 01 | Mr. Vu Phi Ho | Chair of the Board of Directors | April 26, 2021 |  |
| 02 | Mr. Dinh Van Hien | Member of the Board of Directors | April 26, 2021 | - |
| 03 | Mr. Nguyen Tran Nhat | Member of the Board of Directors | April 26, 2021 | - |
| 04 | Mr. Nguyen Huy Hoan | Member of the Board of Directors | April 26, 2021 | - |
| 05 | Mr. Mai Thanh Son | Member of the Board of Directors | April 26, 2021 | - |

1. Board Resolutions/Board Decisions (Report of 2023)::

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| --- | --- | --- | --- |
| No. | Resolution/Decision No. | Date | Contents |
| 01 | 05/2023/NQ-HDQT | March 02, 2023 | Resolution to approve the date for holding the Annual General Meeting of Shareholders 2023 and the record date to exercise the rights to attend the Annual General Meeting of Shareholders 2023. |
| 02 | 08/2023/NQ-HDQT | March 10, 2023 | Resolution to approve the transfer of 100% charter capital contribution of Bac Kan Mineral Joint Stock Company in Bac Kan Mineral Joint Stock Company - Lao Branch and terminate investment in Lao People's Democratic Republic |
| 03 | 11/2023/NQ-HDQT | April 03, 2023 | Board Resolution on organizing the Annual General Meeting of Shareholders 2023 |
| 04 | 24/2023/NQ-HDQT | June 05, 2023 | Resolution on attending the Annual General Meeting of Shareholders 2023 of Nghe An Bus Station Joint Stock Company |
| 05 | 25/2023/NQ-HDQT | June 05, 2023 | Resolution on the remuneration of the Board of Directors in 2023 |
| 06 | 31/2023/QD-HDQT | December 12, 2023 | Decision on adjusting the schedule and progress of implementing the Office Building Project in Thai Nguyen City, Thai Nguyen Province |

1. The Supervisory Board (Report 2023):
2. Information about members of the Supervisory Board:

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| --- | --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board | | Qualification |
| 01 | Mr. Nguyen The Phong | Chief of the Supervisory Board | April 26, 2021 | | Bachelor |
| 02 | Mr. Bui Duc Hung | Member | April 26, 2021 | | Bachelor |
| 03 | Mr. Dang Thanh Van | Member | April 26, 2021 | Master | |

1. The Executive Board:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date  of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| 01 | Mr. Dinh Van Hien | 1967 | Bachelor | November 03, 2018 |
| 02 | Mr. Nguyen Tran Nhat | 1976 | - | May 28, 2015 |
| 03 | Mr. Vu Gia Hanh | 1978 | Bachelor | May 11, 2017 |
| 04 | Mr. Tran Van Quyen | 1982 | - | April 11, 2018 |
| 05 | Mr. Do Dinh Thang | 1982 | Bachelor | December 19, 2019 |

1. The Chief Accountant:

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date  of birth | Qualification | Date of appointment / dismissal |
| Tran Thi Yen | 1971 | Bachelor | June 22, 2017 |

1. Training on corporate governance
2. List of affiliated persons of the public company (report of 2023) and transactions between the affiliated persons of the Company with the Company itself
3. Transactions between the Company and related persons of the Company, or between the Company and majority shareholders, related persons of PDMR:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name of organization/individual | Relations with the Company | NSH\* No., date of issue, place of issue | Head office address/Contact address | Time of transaction | Approved General Mandate/Decision of General Meeting of Shareholders  Number of Resolutions/Decisions approved by the General Meeting of Shareholders/Board of Directors... (if any, clearly state the date of issuance) | Content, quantity, total value of transaction  Transaction values | Note |
| 01 | Thien Ma Corporation Company Limited | Major shareholders, related persons of PDMR | 4700247955 issued on January 21, 2014 at the Department of Planning and Investment of Bac Kan province | Group 17, Bang Lung Town, Cho Don District, Bac Kan Province | 2023 | No. 11/2023/NQ- HDQT dated April 03, 2023 | Thien Ma Corporation Company Limited owns 3,000,000 shares, accounting for 25.56% of BKC's charter capital. | on organizing the General Meeting of Shareholders 2023 |
| 02 | Nghe An Bus Station Joint Stock Company | Affiliated person of PDMR | No. 2900599622, changed for the 8th time on December 6, 2019 at Nghe An Department of Planning and Investment | No 77 Le Loi Street,Le Loi Ward, Vinh City, Nghe An Province. | 2023 | No. 24/2023/NQ-HDQT dated June 05, 2023 | Bac Kan Mineral Joint Stock Company owns 378,400 shares of Nghe An Bus Station Joint Stock Company | On the participation in the General Meeting of Shareholders of Nghe An Bus Station Joint Stock Company in 2023 |

1. Transactions between Company’s PDMR, affiliated persons of PDMR and subsidiaries, companies controlled by the Company: None.
2. Transactions between the Corporation and other entities:
   1. Transactions between the Company and the company in which members of the Board of Directors, members of the Supervisory Board, the Manager (the General Manager) and other managers have been founding members or members of the Board of Directors, the Executive Manager (the General Manager) for the past three (03) years (calculated at the time of reporting).

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| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name of organization/individual | Relations with the Company | NSH\* No., date of issue, place of issue | Head office address  Contact address: | Time of transaction | Approved General Mandate/Decision of General Meeting of Shareholders or Board Resolution/Decision No. (if any, with the announcement date) | Amount and share holding rate after transactions | Note |
| 01 | Nghe An Bus Station Joint Stock Company | Mr. Vu Phi Ho is the Chair of the Board of Directors | No. 2900599622, changed for the 8th time on December 6, 2019 at Nghe An Department of Planning and Investment | No 77 Le Loi Street,Le Loi Ward, Vinh City, Nghe An Province. | In 2023 | No. 24/2023/NQ- HDQT dated June 05, 2023 | Bac Kan Mineral Joint Stock Company owns 378,400 shares of Nghe An Bus Station Joint Stock Company | about the participation in the General Meeting of Shareholders of Nghe An Bus Station Joint Stock Company in 2023 |

* 1. Transactions between the Company and companies executed by the affiliated people of members of the Board of Directors, members of the Supervisory Board, the Manager (the General Manager) and other managers who are members of the Board of Directors, the Executive Manager (the General Manager). None
  2. Other transactions of the Company (if any) which can bring about material or non-material benefits to the members of the Board of Directors, the members of the Supervisory Board, Manager (General Manager) and other managers: None.

1. Share transactions of PDMR and affiliated persons of PDMR (Report in 2023)

Transaction of PDMR and affiliated persons related to the Company’s shares: none

1. Other significant issues: none