**KSQ: Annual Corporate Governance Report 2023**

On January 23, 2024, CNC Capital Viet Nam., JSC announced Report No. 2301/2024/BCQT-CNC on corporate governance in 2023 as follows:

* Name of company: CNC Capital Viet Nam., JSC
* Head office address: A28 - BT6, My Dinh 2 Urban Area, Tran Van Can Street, My Dinh 2 Ward, Nam Tu Liem District, Hanoi City.
* Tel: 024.3564 3689 Fax: 024.3564 3690 3564 3690 Email: ksq.jsc@gmail.com
* Charter capital: VND 300,000,000,000
* - Securities code: KSQ
* Corporate Governance Model: The General Meeting of Shareholders, the Board of Directors, the Supervisory Board, and the General Manager.
* Internal audit execution: Expected completion in February 2024.
1. Activities of the General Meeting of Shareholders:

Information about the meetings and General Mandate/Decisions.

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| No. | General Mandate/Decision No. | Date | Contents |
| 1 | 001/2023/NQ-DHDCD-CNC | May 29, 2023 | Annual General Mandate 2023 |

1. The Board of Directors (Report of 2023)
2. Information about members of the Board of Directors:

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| --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position (independent member, non-executive member of the Board of Directors) | Date of appointment/ dismissal as member/independent member of the Board of Directors |
| Appointment date | Dismissal date |
| 1 | Tran Viet Hung | Chair of the Board of Directors | May 13, 2022 | - |
| 2 | Tran Trong Tinh | Independent member of the Board of Directors | June 5, 2020 | May 29, 2023 |
| 3 | Bui Thuc Duc | Executive member of the Board of Directors | May 13, 2022 | May 29, 2023 |
| 4 | Nguyen Huu Loi | Executive member of the Board of Directors | May 29, 2023 | - |
| 5 | Dong Thi Trang  | Independent member of the Board of Directors | May 29, 2023 | - |

1. Board Resolutions/Board Decisions (Report of 2023)::

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| --- | --- | --- | --- |
| No. | Resolution/Decision No. | Date | Contents |
| 1 | 0501A/2023/NQ-HDQT-CNC | January 05, 2023 | Board Resolution on changing the General Manager of the Company. Appoint Mr. Nguyen Huu Loi, dismiss Mr. Bui Thuc Duc. |
| 2 | 0603.1/2023/NQ-HDQT | March 6, 2023 | Board Resolution on convening the Annual General Meeting of Shareholders 2023 |
| 3 | 0704/2023/NQ-HDQT | April 7, 2023 | Board Resolution on changing an audit company for the Financial Statements 2022 |
| 4 | 0704.1/2023/NQ-HDQT | April 7, 2023 | Board resolution on changing the time to hold the Annual General Meeting of Shareholders 2023 |
| 5 | 1005A/2023/NQ-HDQT-CNC | May 10, 2023 | Board Resolution on signing contract to review the Semi-annual Financial Statements and audit the Financial Statements 2023 |
| 6 | 0806A/2023/NQ-HDQT-CNC | June 8, 2023 | Board Resolution on approving the signing of an investment cooperation contract with Tien Dai Phat Co., Ltd |
| 7 | 2806/2023/BB-HDQT-CNC | June 28, 2023 | Meeting Minutes on summarizing the quarter's business activities and the Company's governance situation, setting out goals for the upcoming time. |
| 8 | 0707A/2023/NQ-HDQT-CNC | July 7, 2023 | Board Resolution on the appointment of Secretariat-cum-person in charge of corporate governance |
| 9 | 1212A/2023/NQ-HDQT-CNC | December 12, 2023 | Board Resolution on the dismissal and appointment of the Chief Accountant of the Company |

1. The Supervisory Board (Annual Report):
2. Information on members of the Supervisory Board:

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| --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board |
| Appointment date | Dismissal date |
| 1 | Le Thi Hoe | Chief of the Supervisory Board | April 27, 2018 | May 29, 2023 |
| 2 | Le Hong Ngoc | Member of the Supervisory Board | May 29, 2023 | - |
| 3 | Dang Thi Tam | Member of the Supervisory Board | May 29, 2023 | - |
| 4 | Hoang Ngoc Quyet | Chief of the Supervisory Board | May 29, 2023 | - |

1. The Executive Board.

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| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| 1 | Mr. Bui Thuc Duc | April 8, 1975 | Road and Bridge Construction Engineer | May 13, 2022 - January 05, 2023 |
| 2 | Mr. Nguyen Huu Loi | January 8, 1980 | Electronic engineer | January 05, 2023 |

1. Chief Accountant

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| --- | --- | --- | --- | --- |
| No. | Full name | Date of birth | Qualification | Date of appointment/dismissal |
| 1 | Do Phuong Dung | June 30, 1998 | Bachelor | November 05, 2022 - December 12, 2023 |
| 2 | Le Thi Thanh Nga | June 11, 1981 | Bachelor | December 12, 2023 |

1. Training on corporate governance:
2. List of affiliated persons of the public company (Annual Report) and transactions between the affiliated persons of the Company with the Company itself
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR and affiliated persons of PDMR None.
4. Transactions between PDMR of the Company, affiliated persons of PDMR and subsidiaries, companies controlled by the Company: None.
5. Transactions between the Company and other entities:
	1. Transactions between the Company and companies where members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers have been founding members or members of the Board of Directors or the Executive Manager (General Manager) for the past three (03) years (as at the time of reporting):
	2. Transactions between the Company and companies that members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager), and other managers are members of the Board of Directors or the Executive Manager (General Manager) and other managers are members of the Board of Directors, the Executive Manager (General Manager): None.
	3. Other transactions of the Company (if any) which can bring material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers: None.
6. Share transactions of PDMR and affiliated persons of PDMR (Report in 2023)
7. Company’s share transactions of PDMR and affiliated persons: None.
8. Other significant issues: None.