**L14: Annual Corporate Governance Report 2023**

On January 19, 2024, Licogi 14 JSC announced Report No. 22A/BC-LICOGI 14 on the corporate governance of the Company in 2023 as follows:

* Name of public company: Licogi 14 JSC
* Head office address: No. 2068 Hung Vuong Avenue, Nong Trang Ward, Viet Tri City, Phu Tho Province.
* Tel: 0210 3953543 Fax: 0210 3953542
* Email: licogi14@yahoo.com.vn
* Charter capital: VND 308,595,150,000
* Securities code: L14
1. Activities of the General Meeting of Shareholders:

Information about the meetings and General Mandates/Decisions of the General Meeting of Shareholders (including General Mandates approved by collecting shareholders' opinions via a ballot)

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| --- | --- | --- | --- |
| No. | General Mandates/Decisions of the General Meeting of Shareholders No. | Date | Content |
| 1 | 02/2023/NQ-DHDCD | April 28, 2023 | Annual General Mandate |

1. The Board of Directors
2. Information about members of the Board of Directors:

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| --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position (independent member, non-executive member of the Board of Directors) | Date of appointment/dismissal as member/independent member of the Board of Directors |
| Appointment date | Dismissal date |
| 1 | Pham Gia Ly | Chair of the Board of Directors | May 19, 2016 |  |
| 2 | Lai Xuan Hung | Members of the Board of Directors - General Manager of the Company | April 08, 2019 | April 28, 2023 |
| 3 | Nguyen Manh Tuan | Independent member of the Board of Directors | April 26, 2016 | April 28, 2023 |
| 4 | Pham Hung Cuong | Member of the Board of Directors - Deputy General Manager of the Company | April 08, 2019 |  |
| 5 | Hoang Van Hai | Member of the Board of Directors, Deputy General Manager | April 28, 2023 |  |
| 6 | Nguyen Van Tuan | Member of the Board of Directors | April 28, 2023 |  |
| 7 | Nguyen Anh Dung | Independent member of the Board of Directors | April 28, 2022 |  |

1. Board Resolutions/Board Decisions:

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| --- | --- | --- | --- |
| No. | Board Resolutions/Board Decisions No. | Date | Content |
| 1 | 02/NQ-HDQT | February 24, 2023 | Approve the evaluation of the production and business results in 2022, the orientation for the production and business in 2023, the evaluation of the production and business results for the 2019-2022 term, consideration about developing production and business targets and plans for the 2023-2028 term; Plan for the Annual General Meeting of Shareholders 2023; Approve the Company's policy of signing and implementing contracts and transactions with related parties |
| 2 | 02A/NQ-HDQT | February 24, 2023 | Convene the Annual General Meeting of Shareholders 2023, for the 2023-2028 term. |
| 3 | 04/NQ-HDQT | March 24, 2023 | Appoint a representative to manage the capital of the Holding Company - Licogi 14 Financial Investment Join Stock Company; Authorize the capital representative to vote on the contents at the Annual General Meeting of Shareholders of Licogi 14 Financial Investment Join Stock Company |
| 4 | 06/NQ-HDQT | May 09, 2023 | Implement the Annual General Mandate 2023 and approve the appointment of the leaders, managers for the 2023-2028 term. |
| 5 | 07/QD- HDQT | May 09, 2023 | Appoint Mr. Lai Xuan Hung to hold the position of the General Manager of the Company for the 2023-2028 term. |
| 6 | 08/QD- HDQT | May 09, 2023 | Appoint Mr. Hoang Hang Hai to hold the position of the Deputy General Manager of the Company for the 2023-2028 term. |
| 7 | 09/QD- HDQT | May 09, 2023 | Appoint Mr. Pham Hung Cuong to hold the position of the Deputy General Manager of the Company for the 2023-2028 term. |
| 8 | 10/QD- HDQT | May 09, 2023 | Appoint Mr. Bui Tien Sy to hold the position of the Deputy General Manager of the Company for the 2023-2028 term. |
| 9 | 11/QD- HDQT | May 9, 2023 | Appoint Ms. Nguyen Thi Thanh Nga to hold the position of the Chief Accountant of the Company for the 2023-2028 term. |
| 10 | 13/NQ-HDQT | May 22, 2023 | Approve the implementation of the detailed plan on share issuance to pay dividends of 2022 to existing shareholders |
| 11 | 15/NQ-HDQT | June 16, 2023 | Appoint a representative to manage the contributed capital of Licogi 14 JSC at Licogi 14 Financial Investment Join Stock Company; Propose personnel for the Manager position - Legal representative of Licogi 14 Financial Investment Join Stock Company for the 2022 - 2027 term |
| 12 | 17/NQ-HDQT | August 16, 2023 | Evaluation of production and business situation in the first 6 months of 2023; orientations for production and business activities in the last 6 months of 2023; Consideration about delaying the share issuance to pay dividends in 2022 to existing shareholders. |
| 13 | 19/NQ-HDQT | November 30, 2023 | Approve the policy of credit transactions between the Company and branches of Vietnam Joint Stock Commercial Bank of Industry and Trade |
| 14 | 22/NQ-HDQT | December 27, 2023 | Approve the evaluation of the production and business results in 2023, organize the 40th Anniversary of the Company in 2024 according to General Mandate No. 02/NQ-DHDCD dated April 28, 2023 |

1. The Supervisory Board:
2. Information about members of the Supervisory Board:

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| --- | --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member of the Supervisory Board | Qualification |
| 1 | Vu Thi Van Nga | Chief of the Supervisory Board | March 28, 2018 | Bachelor of Accounting |
| 2 | Pham Hong Quan | Members of the Supervisory Board | April 08, 2019 | Bachelor of Accounting |
| 3 | Hoang Nhu Thai | Members of the Supervisory Board | March 24, 2014 | Construction Economics Engineer |

1. The Executive Board.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Professional Qualification | Date of appointment/dismissal as member of the Executive Board |
| 1 | Lai Xuan Hung | November 08, 1976 | Construction Engineer | May 09, 2023 |
| 2 | Hoang Van Hai | May 01, 1973 | Construction Engineer | May 09, 2023 |
| 3 | Pham Hung Cuong | November 25, 1984 | Master of Economics | May 09, 2023 |
| 4 | Bui Tien Sy | August 24, 1964 | Construction Economics Engineer | May 09, 2023 |

1. The Chief Accountant:

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| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Dismissal date |
| Ha Van Loi | February 01, 1962 | Bachelor of Accounting | January 01, 2023 |
| Nguyen Thi Thanh Nga | June 23, 1981 | Bachelor of Accounting |  |

1. Training on corporate governance:
2. List of affiliated persons of the public company (report for the first 6 months of the year) and transactions between affiliated persons of the Company with the Company itself:
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR, or affiliated persons of PDMR:
4. Transactions between Company’s PDMR, affiliated persons of PDMR and subsidiaries or companies controlled by the Company
5. Transactions between the Company and other entities/
	1. Transactions between the Company and the company in which members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers have been founding members or members of the Board of Directors, the Executive Manager (General Manager) for the past three (03) years (calculated at the time of reporting):
	2. Transactions between Company and the company that related persons of members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers are members of the Board of Directors, the Executive Manager (the General Manager):
6. Other transactions of the Company (if any) which can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers:
7. Share transactions of PDMRs and affiliated people of PDMRs:
8. Company’s share transaction of the Company's PDMR and affiliated persons:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| ID Card/Citizen Identity Card No.No. | Transaction conductor | Relations with PMDR | Number of shares owned at the beginning of the period | Number of shares owned at the end of the period | Reasons for increase or decrease (buy, sell, convert, reward, ...) |
| Number of shares | Rate | Number of shares | Rate |
| 1 | Pham Van Hung | Younger brother of Mr. Pham Gia Ly - the Chair of the Board of Directors of Licogi 14 JSC | 33,317 | 0.11% | 43,317 | 0.14% | Buy 10,000 shares from February 27, 2023 to March 24, 2023 |
| 2 | Nguyen Thuy Ngu | Elder sister of Mr. Nguyen Manh Tuan - member of the Board of Directors of Licogi 14 JSC | 830,734 | 2.69% | 525,934 | 1.7% | Sell 304,800 shares from March 15, 2023 to April 13, 2023 |
| 3 | LICOGI Corporation | Nguyen Anh Dung - member of the Board of Directors of Licogi 14 JSC | 6,856,010 | 22.22% | 6,135,010 | 19.88% | Sell 721,000 shares from May 30, 2023 to June 28, 2023 |
| 4 | LICOGI Corporation | Nguyen Anh Dung - member of the Board of Directors of Licogi 14 JSC | 6,135,010 | 19.88% | 5,856,010 | 18.98% | Sell 279,000 shares from July 04, 2023 to Jult 31, 2023 |

1. Other significant issues: None.