**NEM: Annual Corporate Governance Report 2023**

On January 20, 2024, North Electrical Equipment JSC announced Report No. 01/BC-NEEM on the corporate governance in 2023, as follows:

* Name of company: North Electrical Equipment JSC
* Head office address: Que Vo Industrial Park - Phuong Lieu Ward - Que Vo Town - Bac Ninh Province.
* Tel: 0222 3617 085 Fax: 0222 3617 082
* Email: congtyneem052004@gmail.com
* Charter capital: VND 88,485,000,000
* Securities code: NEM
* Corporate governance model:
* The General Meeting of Shareholders, the Board of Directors, the Supervisory Board, and the General Manager.
* Internal audit execution: Implemented.

In accordance with the provisions of the Law on Securities and Circular 96/2020/TT-BTC guiding the disclosure of information on the securities market, North Electrical Equipment JSC would like to report as follows:

1. Activities of the General Meeting of Shareholders:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | General Mandates/Decision No. | Date | Contents |
| 1 | No. 02/NQ-DHDCD | June 28, 2023 | * General Mandate 2023 |
| 2 | No. 05/NQ-DHDCD | August 31, 2023 | * General Mandate 2023 |

1. The Board of Directors
2. Information about members of the Board of Directors:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member | Position/ | Date of appointment as member of the Board of Directors | |
| Appointment date | Dismissal date |
| 1 | Ms. Cung To Lan | Chair | September 27, 2022 |  |
| 2 | Ms. Tran Thi Thu Thuy | Member | December 23, 2021 |  |
| 3 | Ms. Nguyen Thi Phuong | Member | December 23, 2021 |  |

1. Board Resolutions/Board Decisions in the year:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board Resolution/Board Decision No. | Date | Contents |
| 1 | 01/NQ-HDQT | May 15, 2023 | * Approve the plan on organizing the Annual General Meeting of Shareholders 2023 |
| 2 | 03/NQ-HDQT | July 17, 2023 | * Approve the plan for the Extraordinary General Meeting of Shareholders 2023 |
| 3 | 04/NQ-HDQT | August 11, 2023 | * Approve the reference price and first trading day on Upcom |

1. The Supervisory Board
2. Information about members of the Supervisory Board:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment As Member of Supervisory Board | Qualification |
| 1 | Ms. Nguyen Thi Bich Ngoc | Chief | June 2022 | Bachelor of Economics |
| 2 | Ms. Vu Quynh Hoa | Member | June 2022 | Bachelor of Economics |
| 3 | Ms. Nguyen Thi Ha | Member | June 2022 | Bachelor of Accounting |

1. The Executive Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment as Member of the Executive Board |
| 1 | Ms. Tran Thi Thu Thuy | October 23, 1987 | Bachelor of Economics | December 23, 2021 |

1. Deputy Head in charge of Finance and Accounting Department:

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Appointment date |
| Ms. Do Thi Huong | June 13, 1982 | Bachelor of Economics | 2020 |

1. Training on corporate governance
2. List of affiliated persons of the public Company and transactions between the affiliated persons of the Company with the Company itself
3. List of affiliated persons of the Company:
4. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR, or affiliated persons of PDMR: None.
5. Transactions between the Company and other entities
6. Transactions between the Company and companies that members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers have been founding members or members of the Board of Directors, the Executive Manager (General Manager) in the last three (03) years (as of the time of making the report): (None)
7. Transactions between the Company and companies where the affiliated persons of members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers are members of the Board of Directors, the Executive Manager (General Manager): None.
8. Other transactions of the Company (if any) which can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers: None.
9. Share transactions of PDMR and PDMR’s affiliated persons
10. Company’s share transaction of PDMR and affiliated persons.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Relations with PMDR | Number of shares owned at the beginning of the period | | Number of shares owned at the end of the period | | Reasons for increase or decrease (buy, sell, convert, reward,...) |
| Number of shares | Rate | Number of shares | Rate |
| 1 | Northern Power Corporation (EVNNPC) | Ms. Cung To Lan - Chair of the Board of Directors | 2,323,616 | 26.26% | 0 | 0% | Because EVNNPC divests capital in the Company through a public auction of NEM shares at the Hanoi Stock Exchange |

1. Other significant issues: None