**PWS: Annual Corporate Governance Report 2023**

On January 22, 2024, Phu Yen Water Supply and Sewerage Joint Stock Company announced Report No. 01/BC-PWS-HDQT on the Corporate Governance in 2023, as follows:

* Name of company: Phu Yen Water Supply and Sewerage Joint Stock Company
* Head office address: No. 05 Hai Duong Street, Binh Ngoc Ward, Tuy Hoa City, Phu Yen Province
* Tel: 0257 3823557 Email: [ctnphuyen@gmail.com](mailto:ctnphuyen@gmail.com)
* Charter capital: VND 383,635,790,000
* Securities code: PWS
* Corporate governance model:
* The General Meeting of Shareholders, the Board of Directors, the Supervisory Board, and the General Manager.
* Internal audit execution: Not subject compliance with regulations.

1. Activities of the General Meeting of Shareholders in 2023:

Information about Meetings and General Mandates/Decisions of the General Meeting of Shareholders (including General Mandates approved by collecting shareholders' opinions via a ballot):

|  |  |  |  |
| --- | --- | --- | --- |
| No. | General Mandate/Decision of the General Meeting of Shareholders No. | Date | Content |
| 01 | Annual General Mandate 2023 No. 01/2023/NQ-DHDCD | April 28, 2023 | Annual General Mandate 2023 |

1. The Board of Directors in 2023
2. Information about members of the Board of Directors:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position (independent member, non-executive member of the Board of Directors) | Date of appointment | |
| Date of appointment | Dismissal date |
| 01 | Mr. Nguyen Tan Thuan | Executive member of the Board of Directors | April 28, 2020 | - |
| 02 | Mr. Nguyen Phu Lieu | Executive member of the Board of Directors | April 28, 2020 | - |
| 03 | Mr. Do Hoang Long | Executive member of the Board of Directors | April 28, 2020 | - |
| 04 | Mr. Dang Duc Hoang | Non-executive member of the Board of Directors | April 28, 2020 | - |
| 05 | Mr. Ta Binh Nguyen | Non-executive member of the Board of Directors | April 28, 2020 | - |

1. Board Resolutions/Decisions 2023:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board Resolution/ Decision No. | Date | Content |
| Board Resolution | | | |
| 01 | 01-2023/NQ-HDQT | March 07, 2023 | Resolution on approving the list of employees receiving away-from-home allowances according to the Company's salary regulations |
| 02 | 02-2023/NQ-HDQT | March 09, 2023 | Resolution on approving the plan to convene and agenda of the Annual General Meeting of Shareholders 2023 |
| 03 | 03-2023/NQ-HDQT | April 27, 2023 | Resolution on approving the contents submitted to the Annual General Meeting of Shareholders 2023 |
| 04 | 04-2023/NQ-HDQT | May 05, 2023 | Resolution on deciding the time and procedures for paying dividends in 2022 |
| 05 | 05-2023/NQ-HDQT | May 15, 2023 | Resolution on authorizing the selection of an independent audit company to audit the Financial Statements 2023 |
| 06 | 06-2023/NQ-HDQT | October 04, 2023 | Resolution on approving a number of contents related to asset acquisition Items: Water supply pipeline for residential areas (Area A and Area B) under the Project: Nam Hung Vuong social housing of Bich Hop Company Limited |
| Board Decision | | | |
| 01 | 01/2023/QD-HDQT | February 10, 2023 | On approving the production, business and investment and development plans for 2023 |
| 02 | 02/2023/QD-HDQT | February 10, 2023 | On promulgating the 2nd revised and supplemented Salary Regulations |
| 03 | 03/2023/QD-HDQT | February 27, 2023 | On authorizing debt handling for irrecoverable receivable debts with a value of less than VND 01 billion to the General Manager |
| 04 | 04/2023/QD-HDQT | March 06, 2023 | On promulgating the first amended and supplemented Financial Management Regulations |
| 05 | 05/2023/QD-HDQT | March 15, 2023 | on approving the settlement of the salary and remuneration fund in 2022; Labor use plan for 2023; Salary and remuneration fund planned for 2023 of managers and employees |
| 06 | 06/2023/QD-HDQT | May 09, 2023 | Handle irrecoverable receivables |
| 07 | 07/2023/QD-HDQT | July 19, 2023 | On approving the adjustment of the scale of Bidding Package No. 03 XL on adjusting the contractor selection plan for the construction phase of phase 1 of the project: Renovate and increase the capacity of the water supply pipeline system of Tuy Hoa city; |
| 08 | 08/2023/QD-HDQT | August 31, 2023 | on adjusting the project and updating the implemented costs of the Investment project to upgrade the Water Plant in the Northeast Song Cau area from 900m3/day to 5,000m3/day and night in the project: Invest in upgrading the Northeastern Song Cau water plant from 900m3/day to 10,000m3/day |
| 09 | 09/2023/QD-HDQT | August 31, 2023 | On Approving project adjustment policy Project: Upgrade and expand Song Cau Water Plant from 5,000m3/day to 8,000m3/day |
| 10 | 10/2023/QD-HDQT | October 23, 2023 | On approving the project feasibility study report; Approve the contractor selection plan for the construction drawing design phase (phase 1) Project: Invest in upgrading the Northeastern Song Cau water plant from 900m3/day to 10,000m3/day |

1. The Supervisory Board:
2. Information about members of the Supervisory Board:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment | Qualification |
| 01 | Mr. Do Minh Son | Chief of the Supervisory Board | April 28, 2020 | Corporate accounting - Academy of Finance |
| 02 | Ms. Nguyen Thi Thu | Controller | April 28, 2020 | Bachelor of Business Administration |
| 03 | Ms. Do Thi Kieu Trang | Controller | April 28, 2021 | Bachelor of Law - Hanoi Law University |

1. The Executive Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment |
| 01 | Mr. Do Hoang Long - General Manager | February 15, 1975 | Master of infrastructure engineering | May 11, 2020 |
| 02 | Mr. Nguyen Phu Lieu - Deputy General Manager | September 08, 1966 | Construction Engineer, major in Water Supply and Drainage | May 11, 2020 |
| 03 | Mr. Nguyen Tan Thuan - Deputy General Manager | May 28, 1967 | Civil construction Engineer, Master of Business Administration | May 01, 2020 |
| 04 | Mr. Nguyen Khac Toan - Deputy General Manager | July 03, 1970 | Urban Engineer, major in Water Supply and Drainage | July 13, 2021 |

1. The Chief Accountant

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Date of appointment |
| Ms. Nguyen Thi Xuan Tuu | August 19, 1976 | Bachelor of Accounting | From January 01, 2021: Appointed as Chief Accountant |

1. Training on corporate governance No event occurs.
2. List of affiliated persons to public companies and transactions between affiliated persons to the Company and the Company itself
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR and affiliated persons of PDMR

Notes: NSH Number\*: ID Card/Passport No. (for individuals) or Business Registration Certificate No., License on Operations No. or equivalent legal documents (for institutions)

1. Transactions between the Company’s PDMR, affiliated persons of PDMR and subsidiaries, companies controlled by the Company: None.
2. Transactions between the Company and other entities:
   1. Transactions between the Company and the company in which members of the Board of Directors, members of the Supervisory Board, the General Manager and other managers have been founding members or members of the Board of Directors, the Executive General Manager for the past three (03) years (calculated at the time of reporting): None.
   2. Transactions between the Company and the companies executed by affiliated persons of members of the Board of Directors, members of the Supervisory Board, the General Manager and other managers who are members of the Board of Directors, the General Manager: None.
   3. Other transactions of the Company (if any) that can bring about material or non-material benefits to the members of the Board of Directors, the members of the Supervisory Board, the General Manager and other managers: None.
3. Share transactions of PDMR and affiliated persons of PDMR in 2023:
4. Other significant issues: None.