**AFX: Annual Corporate Governance Report 2023**

On January 23, 2024, Safoco Foodstuff Joint Stock Company announced Report No. 17/BC-AFX on corporate governance in 2023 as follows:

* Name of company: An Giang Agriculture and Food Import - Export Joint Stock Company
* Head office address: No. 2045 Tran Hung Dao Street, My Xuyen Ward, Long Xuyen City, An Giang Province.
* Tel: 0296 3932 963 Fax: 0296 3932 981 Email: xnknstpag@afiex.com.vn
* Charter capital: VND 350,000,000,000
* Securities code: AFX
* Corporate governance model: The General Meeting, Board of Directors, Supervisory Board and Managing Director.
* Implementation of the internal audit function: Unimplemented.

1. Activities of the General Meeting:

Information about meetings and Resolutions/Decisions of the General Meeting (including resolutions of the General Meeting passed in the form of written opinions):

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| --- | --- | --- | --- |
| No. | General Mandates/Decisions of the General Meeting | Date | Contents |
|  | 14/2023/NQ.DHDCD | March 15, 2023 | Extraordinary General Mandate 2023 |
| 2 | 28/2023/NQ.DHDCD | April 29, 2023 | Annual General Mandate 2023 |
| 3 | 42/2023/NQ.DHDCD | August 26, 2023 | Extraordinary General Mandate 2023 |
| 4 | 62/2023/NQ.DHDCD | December 23, 2023 | Extraordinary General Mandate 2023 |

1. Board of Directors
2. Information about members of the Board of Directors:

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| --- | --- | --- | --- | --- |
| No. | Member of the Board of Directors: | Position (independent members of the Board of Directors, non-executive members of the Board of Directors) | Date of appointment/dismissal as member of the Board of Directors | |
| Appointment date | Date of dismissal |
| 1 | Mr. Dang Quang Thai | Executive member of the Board of Directors | October 25, 2022 | - |
| 2 | Mr. Tang Vu Giang | Executive member of the Board of Directors | April 29, 2023 | - |
| 3 | Mr. Tran Huu Dat | Non-executive member of the Board of Directors | December 23, 2023 | - |
| 4 | Ms. Nguyen Thu Ha | Non-executive member of the Board of Directors | October 25, 2022 | - |
| 5 | Mr. Hoang D. Quan | Independent member of the Board of Directors | April 29, 2023 | - |
| 6 | Mr. Nguyen Thanh Lam | Non-executive member of the Board of Directors | October 25, 2022 | December 23, 2023 |
| 7 | Mr. Hua Minh Tri | Non-executive member of the Board of Directors | October 25, 2022 | April 29, 2023 |
| 8 | Ms. Nhu Thi Kim Chung | Independent member of the Board of Directors | October 25, 2022 | April 29, 2023 |

1. Board Resolutions/Board Decisions:

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| --- | --- | --- | --- |
| No. | Resolutions | Date | Contents |
| 1 | 01/NQ-HDQT.2023 | January 06, 2023 | Board Resolution on the record date for the collection of shareholders' ballots |
| 2 | 02/NQ- HDQT.2023 | February 08, 2023 | Agreeing to Collect shareholders' ballots on the capital mobilization plan to support business operations |
| 3 | 03/NQ/HDQT.2023 | March 02, 2023 | Approve the record date to exercise the rights to attend the Annual General Meeting 2023 |
| 4 | 04/NQ/HDQT.2023 | March 17, 2023 | Approve the record date to exercise the rights to attend the Annual General Meeting 2023 |
| 5 | 05/NQ/HDQT.2023 | March 24, 2023 | Approval of borrowing capital, issuing guarantees, and other forms of trade financing at Vietnam Bank for Industry and Trade Securities JSC (VietinBank) - An Giang Branch. |
| 6 | 06/NQ/HDQT.2023 | April 06, 2023 | Approve the documents to be submitted to the Annual General Meeting 2023 |
| 7 | 07/NQ- HDQT.2023 | April 06, 2023 | Send the capital representative to the Board of Members of SAIGON-ANGIANG TRADING COMPANY LIMITED |
| 8 | 08/NQ-HDQT.2023 | April 07, 2023 | Approve the documents to be submitted to the Annual General Meeting 2023 |
| 9 | 09/NQ- HDQT.2023 | April 24, 2023 | Approve the use of credit at Vietnam Prosperity Joint Stock Commercial Bank (VPBank) |
| 10 | 10/NQ/HDQT.2023 | April 28, 2023 | Agree on the list of nominees for the Board of Directors and Supervisory Board members to present at the Annual General Meeting in 2023 |
| 11 | 11/NỌ/HDQT.2023 | April 29, 2023 | Approve the selection of the audit company for the Financial Statements 2023 Agree to promulgate the amended supplemented and approved Charter of the company at the Annual General Meeting on April 29, 2023 |
| 12 | 12/NQ/HDQT.2023 | May 26, 2023 | Approve the dossier for listing stock registration at the Ho Chi Minh City Stock Exchange. |
| 13 | 13/NQ/HDQT.2023 | July 01, 2023 | Unanimously dismiss Mr. Nguyen Thanh Lam as the Deputy Managing Director of the Company from July 01, 2023. |
| 14 | 14/NQ- HDQT.2023 | July 04, 2023 | Unanimously appoint Mr. Trinh Minh Dung to the position of Financial Manager, effective from July 4, 2023, and concurrently assume the position of Company's Chief Accountant. |
| 15 | 15/NQ/HDQT.2023 | July 11, 2023 | Record date to exercise the rights to attend the Annual General Meeting 2023 is August 01, 2023 |
| 16 | 16/NQ- HDQT.2023 | August 04, 2023 | Approve the documents to be submitted to the Annual General Meeting 2023 |
| 17 | 17/NQ-HDQT.2023 | August 16, 2023 | Approve the documents to be submitted to the Annual General Meeting 2023 |
| 18 | 19/NQ/HDQT.2023 | September 06, 2023 | Approve loans at Vietnam Bank for Agriculture and Rural Development - Quang Binh Branch |
| 19 | 20/NQ/HDQT.2023 | November 02, 2023 | Record date to exercise the rights to attend the 2nd Extraordinary Annual General Meeting 2023 is November 24, 2023 |
| 20 | 21/NQ- HDQT.2023 | November 15, 2023 | Approval of collateralizing assets for loan purposes at Vietnam Prosperity Joint Stock Commercial Bank (VPBank) |
| 21 | 21A/NQ-HDQT/HDQT.2023 | November 28, 2023 | Approve the documents submitted to the Annual General Meeting 2023. |
| 22 | 22/NQ/HDQT.2023 | December 01, 2023 | Approve on bank loans at Indovina Bank - Hanoi Branch. |
| 23 | 23/NQ-HDQT.2023 | December 20, 2023 | Approval of the investment policy to accept the transfer of Land Use Rights. |
| 24 | 24/NQ/HDQT.2023 | December 22, 2023 | Agree on nominating candidates for the position of Board Member for the Board of Directors, term 2021-2025, in accordance with the resolutions of the second extraordinary General Meeting 2023. |
| 25 | 25/NQ- HDQT.2023 | December 23, 2023 | Approve the selection of an audit company for the Financial Statements 2023. |
| 26 | 26/NQ/HDQT.2023 | December 27, 2023 | Agree on project investment cooperation policy with TTL Nong Lam Company Limited. |
| 27 | 27/NQ-HDQT.2023 | December 27, 2023 | Agree on financial investment policy in bonds of Truong Thinh Energy Investment Joint Stock Company. |
| 28 | 01/QD/HDQT.2023 | January 31, 2023 | Establishment of a Support Team for the Company's Board of Directors in the process of drafting shareholders’ ballots |
| 29 | 02/QD-HDQT.2023 | March 13, 2023 | Establish the Organizer Committee of the Annual General Meeting 2023 |
| 30 | 03/QD/HDQT.2023 | April 29, 2023 | Agree to promulgate the amended supplemented and approved Charter of the company at the Annual General Meeting on April 29, 2023 |
| 31 | 03A/QD-HDQT | May 19, 2023 | Decision on capital mobilization and utilizing assets as collateral measures at Ho Chi Minh Development Joint Stock Commercial Bank (HDBank). |
| 32 | 04/QD- HDQT | May 25, 2023 | On loans and using assets as assurance method at the Joint Stock Commercial Bank for Investment and Development of Vietnam - Chau Thanh Sai Gon Branch |
| 33 | 05/QD/HDQT.2023 | July 01, 2023 | Dismiss Mr. Nguyen Thanh Lam from the position of Deputy Managing Director of the Company from July 01, 2023; |
| 34 | 06/QD/HDQT.2023 | July 04, 2023 | Unanimously appoint Mr. Trinh Minh Dung to the position of Financial Manager, effective from July 4, 2023, and concurrently assume the position of Company's Chief Accountant. |
| 35 | 07/QD-HDQT.2023 | July 11, 2023 | Decision on establishing the Organizing Committee of the Extraordinary General Meeting 2023 |
| 36 | 08/QD/HDQT.2023 | August 22, 2023 | Decision on borrowing capital through the issuance of Corporate Income Tax Bonds at BIDV Chau Thanh Sai Gon Branch. |
| 37 | 09/QD/HDQT.2023 | November 02, 2023 | Decision on establishing the Organizer Committee of the 2nd Extraordinary General Meeting 2023 |

1. Supervisory Board
2. Information about the members of the Supervisory Board:

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| --- | --- | --- | --- | --- | --- |
| No. | Members of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board | | Professional Qualification |
| Appointment date | Date of dismissal |
| 1 | Mr Khuat Dinh Minh | Chief of the Supervisory Board | October 25, 2022 | - | Master in Business Administration Finance - Banking |
| 2 | Ms. Dao Thi Thuy Linh | Member | December 23, 2023 | - | Master in Business Administration Economic laws |
| 3 | Ms. Nguyen Thi Hoai Van | Member | April 29, 2023 | - | Majoring in Accounting Business Administration |
| 4 | Mr. Do Manh Tien | Member | October 25, 2022 | December 23, 2023 | Majoring in Economics |
| 5 | Mr. Nguyen Van Phuong | Member | October 25, 2022 | April 29, 2023 | Master in Business Administration Business Administration |

1. Executive Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Members of the Executive Board | Date of birth | Professional Qualification | Date of appointment/dismissal as member of the Supervisory Board |
| 1 | Mr. Tang Vu Giang | December 28, 1982 | Master in Financial work | Appointed on August 01, 2022. |
| 2 | Ms. Thai Minh Ngoc | December 11, 1975 | Bachelor - Major: Accounting | Appointed on October 26, 2022 |
| 3 | Mr. Trinh Minh Dung | March 13, 1984 | Bachelor - Major: Accounting | Appointed on July 04, 2023 |
| 4 | Mr. Nguyen Thanh Lam | August 19, 1977 | Bachelor - Major: Accounting, Foreign Language | Appointed on October 062022 - dismissed on July 01, 2023 |

1. Chief Accountant.

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date of birth | Professional Qualification | Date of appointment/dismiss |
| Mr. Trinh Minh Dung | March 13, 1984 | Bachelor in Corporate Accounting | Appointed on August 01, 2022 |

1. Training on corporate governance
2. List of related person of the public Company and transactions between the related person of the Company with the Company itself:
3. Transactions between the Company and related persons of the Company; or between the Company and major shareholders, PDMR and related persons of PDMR None

Notes: NSH Code\*, ID Card/Passport No. (for individuals) or Business Registration Certificate No., License on Operation or equally legal documents (for organizations).

1. Transactions between Company’s PDMR, related persons of PDMR and subsidiaries or companies controlled by the Company None

4. Transactions between the Company and other entities

* 1. Transactions between company and company that members of the Board of Directors, members of the Supervisory Board, Executive Manager (Managing Director) and other managers who have been founding members or members of the Board of Directors and Executive Manager (Managing Director) in the last three (03) years (as of the date of reporting) (None)
  2. Transactions between the Company and the companies whose related persons of members of Board of Directors, Executive Manager (Managing Director) and other managers who are members of the Board of Directors or Executive Manager (Managing Director). None
  3. Other transactions of the Company (if any) that can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, and Executive Manager (Managing Director) and other managers. None

1. Share transactions of PDMR and PDMR’s related persons
2. Transaction of PDMR and related persons related to the Company’s shares:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Relations with PMDR | Number of shares owned at the beginning of the period | | Number of shares owned at the end of the period | | Reasons for increase or decrease (buy, sell, convert, reward, ...) |
| Number of shares | Percentage | Number of shares | Rate |
| 1 | VIETNAM DEBT TRADING AND FINANCIAL SERVICES JOINT STOCK COMPANY | - | 3,675,000 | 10.50% | 2,275,900 | 6.50% | Sell |
| 2 | Do Manh Tien | - | 6,000 | 0.02% | 0 | 0 | Sell |

IX. Other significant issues: