**CCM: Annual Corporate Governance Report 2023**

On January 20, 2024, Can Tho Mineral and Cement JSC announced Report No. 03/2023/BC-QTCT-XMCT on corporate governance in 2023 as follows:

* Can Tho Mineral and Cement JSC
* Head office address: National Highway 80, Thoi Thuan Ward, Thot Not District, Can Tho City
* Tel: 02923-859899 Fax: Email:
* Charter capital: VND 61,999,000,000
* Securities code: CCM
* Corporate governance model:
* The General Meeting of Shareholders, the Board of Directors, the Supervisory Board and the General Manager/Manager.
* Internal audit execution: Unimplemented.
1. Activities of the General Meeting of Shareholders

Information about meetings and General Mandates/Decisions of the General Meeting of Shareholders (including General Mandates approved by collecting opinions via a ballot)

|  |  |  |  |
| --- | --- | --- | --- |
| No. | General Mandate/Decision of the General Meeting of Shareholders No. | Date | Content |
| 1 | 01/2023/NQ-DHDCD | April 28, 2023 | Annual General Mandate 2023 |

1. The Board of Directors (Report of 2023):
	* + 1. Information about members of the Board of Directors

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Members of the Board of Directors | Position (independent member, non-executive member of the Board of Directors) | Date of appointment/dismissal as members/independent member of the Board of Directors |
| Appointment date | Dismissal date |
|  | Thai Minh Thuyet | Chair of the Board of Directors | September 12, 2008 |  |
|  | Nguyen Thi Ut Em | Member of Board of Directors-cum-General Manager | September 12, 2008 |  |
|  | Thai Chau | Member of the Board of Directors | May 06, 2014 |  |
|  | Thai Hoang Tuoc | Member of the Board of Directors-cum-Deputy General Manager | March 28, 2019 |  |
|  | Tran Minh Quang | Member of the Board of Directors-cum-Deputy General Manager | May 04, 2009 |  |

* + - 1. Board Resolutions/Board Decisions (Report of 2023):

|  |  |  |  |
| --- | --- | --- | --- |
| No. | General Mandate/Decisions of the General Meeting of Shareholder No. | Date | Contents |
| 1 | 01/NQ-HDQT | March 02, 2023 | Preparation for the General Meeting of Shareholders |
| 2 | 02/NQ-HDQT | May 31, 2023 | Operational situation of the Company |
| 3 | 07/NQ-HDQT | September 25, 2023 | Return of capital contributed to Công ty cổ phần Bất động sản Cantcimex (tentatively translated as Cantcimex Real Estate Joint Stock Company) (Subsidiary) |
| 4 | 08/NQ-HDQT | December 26, 2023 | Dismissal of the General Manager |
| 5 | 09/NQ-HDQT | December 26, 2023 | Appointment of the General Manager |

1. The Supervisory Board/ Audit Committee (Report of 2023)
2. Information about members of the Supervisory Board/Audit Committee:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Members of the Supervisory Board | Position | Date of appointment | Qualification |
| 1 | Nguyen Thai Thanh Phong | Chief of the Supervisory Board | September 14, 2016 | Bachelor |
| 2 | Le Thi Bich Thuy | Member of the Supervisory Board | January 26, 2015 | Bachelor |
| 3 | Tong Duy Can | Member of the Supervisory Board | December 07, 2016 | Bachelor |

1. The Executive Board

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No. | Members of the Executive Board | Position | Date of birth | Qualification | Appointment date |
| 1 | Thai Hoang Tuoc | General Manager | May 25, 1991 | Bachelor | December 26, 2023 |
| 2 | Tran Minh Quang | Deputy General Manager | January 05, 1977 | Bachelor | September 21, 2011 |
| 3 | Le Hoang Kha | Finance Manager | October 18, 1976 | Bachelor | September 07, 2010 |

1. Chief Accountant:

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Appointment date |
| Ta Thanh Kim | January 07, 1980 | Bachelor | December 01, 2015 |

1. Training on corporate governance:
2. List of affiliated persons of the public company (report of 2023) and transactions between the affiliated persons of the Company with the Company itself
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR and affiliated persons of PDMR: None

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name of organization/individual | Relations with the Company | NSH\* No., Date of issue, Place of issue | Head office/Contact address | Time of transaction | General Mandate/Decision of the General Meeting of Shareholder No. or Board Resolution/Decision No. (including date of issue, if any) | Content, quantity, total value of transaction | Note |
| 1 | Can Tho Hau Giang Cement Co., Ltd | Subsidiary | 6300095765 | Phu Huu A Industrial Cluster, Phase 3, Mai Dam Town, Chau Thanh District, Hau Giang Province | January 02, 2022 |  | * Buy goods: clinker + slag + gypsum + pou stone + transportation Value: VND 95,774,013,640
* Sell goods and materials + car rental Value: VND 1,445,950,8376
 |  |
| 2 | Loc Tai Nguyen Joint Stock Company | Subsidiary | 3602359440 | Km8, Hamlet 3, Song Trau Commune, Trang Bom District, Dong Nai Province | January 02, 2022 |  | - Process cement- Value: VND 4,631,969,999- Sell goods and materials; Value: VND 53,071,056 |  |
| 3 | Thai Hung Company Limited | Related organization | 1800541024 | No. 61A Ho Tung Mau, Cai Khe Ninh Kieu, Can Tho City | January 02, 2022 |  | - Transport goods- Value: VND 4,134,014,195 |  |

1. Transactions between Company’s PDMR, affiliated persons of PDMR and subsidiaries, companies controlled by the Company: None
2. Transactions between the Company and other entities
	1. Transactions between the Company and the companies where members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers have been founding members or members of the Board of Directors, the Executive Manager (General Manager) for the past three (03) years (calculated from the time of reporting): None
	2. Transactions between the Company and companies where affiliated persons of members of the Board of Directors, members of the Supervisory Board, Manager (General Manager) and other managers are members of the Board of Directors, the Executive Manager (General Manager): None
	3. Other transactions of the Company (if any) that can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, and the Manager (General Manager) and other managers: None
3. Share transactions of PDMR and affiliated persons of PDMR (Report in 2023)
4. Transaction of PDMR and affiliated persons related to the Company’s shares: None
5. Other significant issues: None