**LO5: Annual Corporate Governance Report 2023**

On January 23, 2024, Lilama No.5 JSC announced Report No. 05/CT-HDQT on the corporate governance in 2023 as follows:

* Name of listed company: Lilama No.5 JSC
* Head office address: No. 179 Tran Phu Street, Ba Dinh Ward, Bim Son Town, Thanh Hoa Province.
* Tel: 02373.824.421
* Fax: 02373.824.220
* Email: [Iilama5@lilama5.com.vn](mailto:Iilama5@lilama5.com.vn)
* Charter capital: VND 51,497,910,000
* Securities code: LO5
* Corporate governance model: The General Meeting, Board of Directors, Supervisory Board and Managing Director.
* Internal audit: Not executed.

1. Activities of the General Meeting:

Information about meetings and General Mandates in 2023 as follows:

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| No. | General Mandate | Date | Contents |
| 1 | 02/NQ-DHDCD | January 12, 2023 | Extraordinary General Mandate 2023 |
| 2 | No. 21/NQ-DHDCD | April 26, 2023 | Annual General Mandate 2023 |

1. The Board of Directors (Report of 2023):
2. Information about the members of the Board of Directors

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| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member/independent member of the Board of Directors | |
| Appointment date | Dismissal date |
| 1 | Mr. Luu Huy Phuc | Chair of the Board of Directors | April 28, 2021 |  |
| 2 | Mr. Nguyen Xuan Thong | Member of the Board of Director, The Managing Director | April 28, 2021 |  |
| 3 | Ms. Hoang Thi Phuong | Member of the Board of Directors | April 28, 2021 |  |
| 4 | Mr. Cu Minh Kim | Member of the Board of Directors | April 28, 2021 |  |
| 5 | Mr. Bui Thanh Phong | Member of the Board of Directors | April 28, 2021 |  |

1. Board Resolutions (Annual Report 2023)

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| No. | Board Resolution/Decision | Date | Contents |
| 1 | No. 04/NQ/HDQT | January 12, 2023 | Approve the audit company for the Financial Statements 2022 |
| 2 | No. 06/QD- HDQT | February 06, 2023 | Approved the settlement of the 2022 Salary Fund Expenditure of Employees and Managers of Lilama No.5 JSC |
| 3 | No. 08/NQ/HDQT | February 20, 2023 | Approved the amendments of Appendix No. 02 of the Temporary Regulations on payment of piece wage for job titles Car driver, Security guard, Caretaker, Electric and water operator, Servant, Housekeeping, Store Keeper, Warehouse assistant of materials and equipment at functional departments under the Company. |
| 4 | No. 10/NQ/HDQT | February 28, 2023 | Approve the plan on organizing the Annual General Meeting 2023 |
| 5 | No. 12/NQ/HDQT | March 30, 2023 | Approve the Audited Financial Statements 2022 |
| 6 | No. 16/NQ/HDQT | April 10, 2023 | Signing a contract and implementing the installation of the ash and slag disposal system at the Vung Ang 2 Thermal Power project |
| 7 | No. 17/NQ/HDQT | April 19, 2023 | Approve the Report on the production, business and investment result in 2022 and the production, business and investment plan for 2023 |
| 8 | No. 18/NQ/HDQT | April 19, 2023 | Approve Report and Proposal documents for the 2023 Annual General Meeting |
| 9 | No. 19/QD-HDQT | April 19, 2023 | Establish the Organizer Committee of the Annual General Meeting 2023 |
| 10 | No. 24/NQ/HDQT | June 11, 2023 | Dismiss the Deputy Managing Director of Lilama No.5 JSC |
| 11 | No. 25/NQ-HDQT | June 11, 2023 | Dismissing the position of Deputy Managing Director of Lilama No.5 JSC of Mr. Nguyen Hong Ha which took effective from June 11, 2023 to terminate the labor contract at his will |
| 12 | No. 26/NQ/HDQT | June 11, 2023 | Dismissal of the position of Deputy Managing Director of Lilama No.5 JSC for Mr. Dinh Thanh Le, effective from June 11, 2023 to terminate the labor contract at his will |
| 13 | No. 28/NQ/HDQT | August 03, 2023 | Approve the results of production and business activities for the first 6 months of 2023: Production, business and investment plan in Q3 of 2023: |
| 14 | No. 30/NQ-HDQT | September 12, 2023 | Approving the personnel plan to appoint the positions of Head of Organization - Administration Department and Head of Economic - Technical Department of Lilama No.5 JSC |
| 15 | No. 32/NQ-HDQT | November 08, 2023 | Approve the results of production and business activities for the first 9 months of 2023: Production, business and investment plan in Q4 of 2023: |
| 16 | No. 34/NQ-HDQT | November 15, 2023 | Approve the audit company for the Financial Statements 2023 |
| 17 | No. 36/NQ-HDQT | November 20, 2023 | Dismissal of the position of Deputy Managing Director of Lilama No.5 JSC for Mr. Pham Van Hoan up to personal wishes from November 20, 2023 |
| 18 | No. 37/QD-HDQT | November 20, 2023 | Dismissal of the position of Deputy Managing Director of Lilama No.5 JSC for Mr. Pham Van Hoan up to personal wishes from November 20, 2023 |

1. Supervisory Board (Report of 2023):
2. Information about members of the Supervisory Board:

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| --- | --- | --- | --- | --- |
| No. | Members of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board | Qualification |
| 1 | Ms. Pham Thuy Duong | Chief of the Supervisory Board | Date of appointment as a member of the Supervisory Board: April 28, 2021 | Bachelor in Accounting |
| 2 | Mr. Luu Sy Hoc | Member of the Supervisory Board | Date of appointment as a member of the Supervisory Board: April 28, 2021 | Bachelor in Finance and Credit |
| 3 | Mr. Nguyen Van Ha | Member of the Supervisory Board | Date of appointment as a member of the Supervisory Board: April 28, 2021 | Electronic engineer |

1. Executive Board.

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| --- | --- | --- | --- | --- |
| No. | Member of The Executive Board | Date of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| 1 | Mr. Nguyen Xuan Thong | January 04, 1972 | Bachelor in Economics | Appointed on March 01, 2020 |
| 2 | Mr. Dinh Thanh Le | February 02, 1974 | Thermoelectric engineer | Dismissed on June 11, 2023 |
| 3 | Mr. Nguyen Hong Ha | May 08, 1975 | Manufacturing Mechanical Engineer | Dismissed on June 11, 2023 |
| 4 | Mr. Pham Van Hoan | February 23, 1974 | Manufacturing Technology Engineer | Dismissed on november 20, 2023 |

1. Chief Accountant

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| --- | --- | --- | --- | --- |
| No. | Full name | Date of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| 1 | Ms. Hoang Thi Phuong | June 01, 1979 | Bachelor in Finance and Banking | Appointed on august 1, 2020 |

1. Training on corporate governance in 2023: None
2. List of related persons of the public company (report of 2023) and transactions between related persons of the Company and the Company itself
3. Transactions between the Company and related persons of the Company; or between the Company and major shareholders, PDMR, or related persons of PDMR:

None

1. Transactions between PDMR of the Company, related persons of PDMR and subsidiaries, companies controlled by the Company:

None

1. Transactions between the Company and other entities.
2. Transactions between the Company and the companies in which members of the Board of Directors, members of the Supervisory Board or Executive Manager (Managing Director) who have been founding members or members of the Board of Directors and Executive Manager (Managing Director) for the past three (03) years (calculated at the date of reporting).

None

1. Transactions between the company and the company in which the related person of the members of the Board of Directors and Supervisory Board or Executive Director (Managing Director) who are members of the Board of Directors and Executive Manager (Managing Director):

None

1. Other transactions of the Company (if any) that can bring about material or non-material benefits to the members of the Board of Directors, members of the Supervisory Board and Executive Manager (Managing Director).

None

1. Share transactions of PDMR and related persons of PDMR (Report of 2023)
2. Company’s share transaction of PDMR and related persons: None
3. Other significant issues: None