**LPT: Annual Corporate Governance Report 2023**

On January 23, 2024, Lap Phuong Thanh Production And Trading Joint Stock Company announced Report No. 01/2024/BCQT-LPT on the corporate governance in 2023 as follows:

* Name of company: Lap Phuong Thanh Production And Trading Joint Stock Company
* Head office address: 822 Le Thanh Nghi Avenue, Hai Tan ward, Hai Duong city, Hai Duong province
* Tel: 0220.3863658 Fax: 0220-3863659 Email: [info@lapphuongthanh.vn](mailto:info@lapphuongthanh.vn)
* Charter capital: VND 120,000,000,000
* Securities code: LPT
* Corporate Governance Model:
* The General Meeting, Board of Directors, Managing Director and Audit Committee under the Board of Directors.
* Implementation of the internal audit function: Implemented

1. Activities of the General Meeting:

Information about the meetings and General Mandates (including General Mandates approved by collecting shareholders' ballots):

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| --- | --- | --- | --- |
| No. | General Mandate | Date | Contents |
| **1** | 01/2023/NQ-DHDCD | April 28, 2023 | Annual General Mandate 2023 |
| **2** | 02/2023/NQ-DHDCD | November 29, 2023 | Extraordinary General Mandate 2023 |

1. The Board of Directors
2. Information about members of the Board of Directors:

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| --- | --- | --- | --- | --- |
| No. | Member of the Board of Directors: | Position | Date of appointment/dismissal as member/independent member of the Board of Directors | |
| Appointment date | Dismissal date |
| **1** | Mr. Nguyen Xuan Manh | Chair of the Board of Directors | February 10, 2022 |  |
| 2 | Mr. Pham Quang Tiep | Member of the Board of Directors-cum-member of the Audit Committee | December 31, 2019 |  |
| 3 | Ms. Ta Thanh Phuong | Member of the Board of Directors: | August 08, 2019 | April 28, 2023 |
| 4 | Mr. Pham Anh Tuan | Member of the Board of Directors | February 10, 2022 |  |
| 5 | Mr. Vu Van Thanh | Member of the Board of Directors-cum-Chair of the Audit Committee | April 28, 2021 | April 28, 2023 |
| 6 | Mr. Pham Van Tao | Member of the Board of Directors | April 28, 2023 |  |
| 7 | Mr. Nguyen Viet Khoa | Member of the Board of Directors-cum-Chief of the Audit Committee | April 28, 2023 |  |

1. Board Resolutions/Board Decisions:

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| --- | --- | --- | --- |
| No. | Board Resolution/Board Decision | Date | Contents |
| 1 | 01/2023/NQ-HDQT | February 01, 2023 | Change the Managing Director of the Company |
| 2 | 02/2023/NQ-HDQT | February 01, 2023 | Approve the executive Chair of the Board of Directors working at the Company |
| 3 | 04/2023/NQ-HDQT | February 24, 2023 | Approve on adjusting the Lap Phuong Thanh driving training ground No. 2 investment project |
| 4 | 05/2023/NQ-HDQT | March 01, 2023 | Record the list of shareholders to hold the Annual General Meeting 2023 |
| 5 | 07/2023/NQ-HDQT | April 28, 2023 | Appoint the Chief of the Audit Committee |

|  |  |  |  |
| --- | --- | --- | --- |
| 6 | 08/2023/NQ-HDQT | May 18, 2023 | Select an independent audit company for 2023 |
| 7 | 09/2023/NQ-HDQT | May 25, 2023 | Approve on signing contracts with related parties |
| 8 | 10/2023/NQ-HDQT | June 19, 2023 | Approve the plan on using the capital and proceed from the issuance to increase the Company’s charter capital from VND 80 billion to VND 120 billion |
| 9 | 11/2023/NQ-HDQT | June 19., 2023 | Withdraw the UPCoM registration dossier |
| 10 | 12/2023/NQ-HDQT | September 25, 2023 | Pay dividend in 2022 |
| 11 | 13/2023/NQ-HDQT | September 29, 2023 | Dismiss the Chief Account and appoint a person in charge of the Company’s accounting |
| 12 | 14/2023/NQ-HDQT | October 19, 2023 | Record the list of shareholders to collect shareholders’ ballots |

1. Audit Committee
2. Information about members of the Audit Committee

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of Audit Committee | Position | Date of appointment/dismissal as member of the Audit Committee | Qualification |
| 1 | Mr. Vu Van Thanh | Chief | Dismissed on April 28, 2023 | Bachelor in Business Administration. |
| 2 | Mr. Nguyen Viet Khoa | Chief | Appointed on April 28, 2023 | Petrochemical Engineer, Bachelor in English |
| 3 | Mr. Phan Quang Tiep | Member | Appointed on February 10, 2022 | Bachelor in Laws |

1. Executive Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Members of the Executive Board | Date of birth | Qualification | Appointment/Dismissal date |
| 1 | Ms. Ta Thanh Phuong | March 02, 1980 | Bachelor in Business Administration | Dismissed on February 01, 2023 |
| 2 | Mr. Pham Van Tao | February 15, 1965 | Bachelor in Economics | Appointed on February 01, 2023 |

1. Chief Accountant

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| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Appointment/Dismissal date |
| Mr. Vu Van Mac | February 20, 1990 | Bachelor in Accounting | Dismissed on October 01, 2023 |
| Ms. Nguyen Thi Phuong Nhung - person in charge of accounting | August 24, 1979 | Bachelor in Accounting | Dismissed on January 08, 2024 |
| Ms. Do Phuong Anh | June 10, 1986 | Bachelor in Economics | Appointed on January 08, 2024 |

1. Training on corporate governance: None
2. List of related person of the public Company and transactions between the related person of the Company with the Company itself
3. Transactions between the Company and related persons of the Company; or between the Company and major shareholders, PDMR and related persons of PDMR

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Related party of PDMR | Transaction/contract | Relations |
| 1 | Thanh Dong Security Service Joint Stock Company | Contract for medical services | At the time of signing the contract, Mr. Nguyen Viet Khoa - Member of the Board of Directors of the Company was also Deputy Manager of Thanh Dong Security Service Joint Stock Company This transaction has been approved by the Board of Directors under Board Resolution No. 09/2023/NQ-HDQT dated May 25, 2023 |

1. Transactions between Company’s PDMR, related persons of PDMR and subsidiaries or companies controlled by the Company None
2. Transactions between the Company and other entities/
3. Transactions between the Company and the company in which members of the Board of Directors, members of the Supervisory Board, Executive Manager (Managing Director) and other managers who have been founding members or members of the Board of Directors or Executive Manager (Managing Director) for the past three (03) years (calculated at the date of reporting).

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| --- | --- | --- | --- | --- |
| No. | Transaction conductor | | Transaction/contract | Relations |
| 1 | DHM Innovative Technology Solutions Company Limited | Iron ore purchase and sale contract | | At the time of signing the contract (May 20, 2022), Mr. Nguyen Xuan Manh - Chair of the Board of Directors of the Company is also Chair of the Board of Members and Managing Director of DHM Innovative Technology Solutions Company Limited This transaction has been approved by the Board of Directors according to Board Resolution No. 09A/2022/NQ-HDQT dated May 18, 2022. |

1. Transactions between the Company and companies executed by the related people of members of the Board of Directors, members of the Supervisory Board, Executive Manager (Managing Director) and other managers who are members of the Board of Directors and Executive Manager (Managing Director).

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| --- | --- | --- | --- |
| No. | Transaction conductor | Transaction/contract | Relations |
| 1 | Thanh Dong Security Service Joint Stock Company | Contract for security services | The Chair of the Board of Directors and Manager of Thanh Dong Security Service Joint Stock Company is the older brother of the Chair of the Board of Directors, father-in-law of member of the Board of Directors of the Company This transaction has been approved by the Board of Directors according to Board Resolution No. 09/2023/NQ-HDQT dated May 25, 2023. |

1. Other transactions of the Company (if any) that can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, Executive Manager (Managing Director) and other managers. None
2. Share transactions of PDMR and related persons of PDMR
   1. Company’s share transaction of PDMR and related persons:

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Relations with PMDR | Number of shares owned at the beginning of the period | | Number of shares owned at the end of the period | | Reason for increase, decrease |
| Number of shares |  | Number of shares |  |
| **1** | Ta Thanh Phuong |  | 75,000 | 0.63% | 0 | 0 | Sell shares |
| **2** | Nguyen Tran Linh Chi | Wife of member of the Board of Directors | 247,650 | 2.06% | 991,869 | 8.27% | Buy shares |

1. Other significant issues: