**NSL: Annual Corporate Governance Report 2023**

On January 23, 2024, Son La Water Supply Joint Stock Company announced Report No. 37/NSL-BC on the Annual Corporate Governance 2023 as follows:

* Name of company: Son La Water Supply Joint Stock Company;
* Head office address: No. 55 To Hieu Street, Quarter 5, To Hieu Ward, Son La City, Son La Province
* Tel: 1900636761 Fax: 02123854539
* Charter capital: VND 100,000,000,000
* Securities code: NSL
* Corporate Governance Model:
* The General Meeting of Shareholders, the Board of Directors, the Supervisory Board and the General Manager
* Internal audit execution:

1. Activities of the General Meeting of Shareholders:

In 2023, Son La Water Supply Joint Stock Company held the Annual General Meeting of Shareholders on March 17, 2023 in accordance with the process prescribed in the Law on Enterprise, the Company’s Charter as well as other regulations for public company. The General Meeting of Shareholders approved the Annual General Mandate 2023 with the following details:

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| No. | General Mandate/Decision of the General Meeting of Shareholders No. | Date | Contents |
| 1 | 01/NQ-DHDCD | March 17, 2023 | Annual General Mandate 2023 |

1. The Board of Directors
2. Information about members of the Board of Directors: The Board of Directors of Son La Water Supply Joint Stock Company includes 07 following members:

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| No. | Member of the Board of Directors | Position (independent member, non-executive member of the Board of Directors) | Date of appointment/dismissal as member/independent member of the Board of Directors | |
| Appointment date | Dismissal date |
| 1 | Mr. Nguyen Van Hong | Chair of the Board of Directors | December 12, 2020 |  |
| 2 | Mr. Bui Van Dinh | Deputy Chair of the Board of Directors | December 12, 2020 |  |
| 3 | Mr. Nguyen Trong Hieu | Member of the Board of Directors | December 12, 2020 |  |
| 4 | Mr. Le Van Tuan | Member of the Board of Directors | December 12, 2020 |  |
| 5 | Mr. Nguyen Anh Viet | Member of the Board of Directors | December 12, 2020 |  |
| 6 | Mr. Nguyen Van Ba | Member of the Board of Directors | December 12, 2020 |  |
| 7 | Mr. Luong The Cong | Member of the Board of Directors | December 12, 2020 |  |

1. Board Resolutions/Board Decisions

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| No. | Board Resolution/Board Decision No. | Date | Contents |
| 1 | 02/2023/QD-HDQT | January 05, 2023 | Purchase a leak detector |
| 2 | 06/2023/QD-HDQT | January 05, 2023 | Approve the cost plan for water sample testing contract for parameters that must be outsourced according to QCVN 08; 09; 01 -1 B;39;40 6-1; 50 |
| 3 | 17/2023/NQ-HDQT | January 30, 2023 | Convene the Annual General Meeting of Shareholders 2023 |
| 4 | 92/2023/QD-HDQT | January 30, 2023 | Approve the policy on renovating and repairing the ductile iron water supply pipeline DL 10 along National Highway 6 in Moc Chau Town |
| 5 | 93/2023/QD-HDQT | January 30, 2023 | Approve the policy on renovating and repairing the HDPE D63 water distribution pipeline in the bee farm area of Chieng Sinh Ward, Son La City |
| 6 | 94/2023/QD-HDQT | January 30, 2023 | Approving the policy on renovating and repairing water supply pipelines in group 1 of Coong Noi Village, Chieng Coi Commune, Son La City |
| 7 | 95/2023/QD-HDQT | January 30, 2023 | Approve the policy on renovating, repairing the water supply pipes in BL24, Som Poi village, Muong Va Commune, Sop Cop District |
| 8 | 97/2023/QD-HDQT | January 30, 2023 | Approve the policy on renovating and repairing the water supply network at junctions and intersections in the new administrative area in the center of Moc Chau District. |
| 9 | 97/2023/QD-HDQT | January 30, 2023 | Approve the policy on renovating and repairing the water supply network of Hoang Long urban area, Chieng Sinh Ward, Son La City |
| 10 | 101/2023/QD-HDQT | January 30, 2023 | Approve the investment policy on installing HDPE DI 10 water supply pipeline at Minh Thuy Livestock Enterprise, Hat Lot Town, Mai Son District |
| 11 | 102/2023/QD-HDQT | January 30, 2023 | Approve the policy on a consulting contract to prepare a pre-feasibility study report for developing the Company's water supply system in Moc Chau Town |
| 12 | 104/2023/QD-HDQT | January 30, 2023 | Approve the investment policy on installing HDPE D225 transmission pipeline and HDPE D63 distribution pipeline on the city bypass (From Trai Ong junction to Km13 Pumping Station) of City Water Supply Enterprise No. 2 |
| 13 | 105/2023/QD-HDQT | January 30, 2023 | Approve the investment policy on installing 4DPE D225 transmission pipeline, DI 10 which is HDPE D63 distribution pipeline from Km13 Pumping Station to Pure Drug Addiction Treatment Center and neighboring residents of City Water Supply Enterprise No. 2 |
| 14 | 122/2023/QD-HDQT | February 09, 2023 | Approve the purchase of chemical supplies for the chemistry laboratory. |
| 15 | 123/2023/QD-HDQT | February 09, 2023 | Approve the policy on hiring consultants to prepare environmental permits; Prepare a dossier to request extension of surface water and underground water exploitation license |
| 16 | 124/2023/QD-HDQT | February 09, 2023 | Approve the purchase of water meter protection boxes |
| 17 | 125/2023/QD-HDQT | February 14, 2023 | Approve the purchase of Alum sulphate for production |
| 18 | 126/2023/QD-HDQT | February 14, 2023 | Approve the purchase of 15kw, 18kw inverters for production |
| 19 | 162/2023/QD-HDQT | March 01, 2023 | Approve the result of selecting consultancy unit for topographic survey and preparation of technical - economic report, technical drawings design of the construction works: Renovating and repairing ductile iron transmission water pipeline 3100 along National Highway 6 (from KM 190+300 to KM 192+200) Moc Chau Town, Moc Chau District |
| 20 | 163/2023/QD-HDQT | March 01, 2023 | Approve the result of selecting consultancy unit for topographic survey and preparation of technical - economic report, technical drawings design of the construction works: Renovating and repairing water supply pipeline at junctions and crossroads in the new administrative area in the center of Moc Chau District |
| 21 | 164/2023/QD-HDQT | March 01, 2023 | Approve the result of selecting consultancy unit for topographic survey and preparation of technical - economic report, technical drawings design of the construction works: Renovating and repairing the water supply pipeline distributed at Trai Ong junction, Chieng Sinh Ward, Son La City |
| 22 | 164/2023/QD-HDQT | March 01, 2023 | Approve the result of selecting consultancy unit for topographic survey and preparation of technical - economic report, technical drawings design of the construction works: Renovating and repairing the water supply pipeline in BL24 Som Poi village, Muong Va commune, Sop Cop district, Son La Province |
| 23 | 166/2023/QD-HDQT | March 01, 2023 | Approve the result of selecting consultancy unit for topographic survey and preparation of technical - economic report, technical drawings design of the construction works: Installing HDPE DI 10 water supply pipeline at Minh Thuy livestock enterprise, Hat Lot Town, Mai Son District |
| 24 | 167/2023/QD-HDQT | March 01, 2023 | Approve the result of selecting consultancy unit for topographic survey and preparation of technical - economic report, technical drawings design of the construction works: Installing HDPE D225 transmission pipeline and HDPE D63 distribution pipeline on the city bypass (From Trai Ong junction to Km 13 Pumping Station) of City Water Supply Enterprise No. 2 |
| 25 | 168/2023/QD-HDQT | March 01, 2023 | Approve the result of selecting consultancy unit for topographic survey and preparation of technical - economic report, technical drawings design of the construction works: Installing HDPE D225, DI 10 transmission pipeline and HDPE D63 distribution pipeline from Km13 pumping station to the drug addiction treatment center and neighboring residential areas of the city's water supply enterprise No. 2 |
| 26 | 184/2023/QD-HDQT | March 08, 2023 | Purchase laptops and mobile phones for production operations in the Company |
| 27 | 191/2023/QD-HDQT | March 16, 2023 | Approve the result of selecting consultancy unit for topographic survey and preparation of technical - economic report, technical drawings design of the construction works: Renovating and repairing water supply pipeline in Pa Cong Village, Huoi Mot, Na Nghuu Commune, Song Ma District |
| 28 | 193/2023/QD-HDQT | March 17, 2023 | Approve the list of members of the Board of Directors, the Supervisory Board and the Secretariat entitle remuneration in 2022 |
| 29 | 194/2023/QD-HDQT | March 17, 2023 | Approve the list of members of the Board of Directors, the Supervisory Board and the Secretariat entitle remuneration in 2023 |
| 30 | 195/2023/QD-HDQT | March 17, 2023 | Approve the realized expense for job supporting in 2023 for governance and executive members. |
| 31 | 205/2023/QD-HDQT | March 24, 2023 | Adjust the Total Investment in Section 9, Article 1 of Decision No. 438/2022/QD-NSL-HDQT dated November 2, 2022 of the Board of Directors on approving the Economic- Project Technical Report Raw water pipeline brings water from Ta Xua to Phieng Ban Water Treatment Station, Bac Yen District. |
| 32 | 214/2023/QD-HDQT | April 05, 2023 | Approve the purchase of HDPE plastic pipes, valves of all types and connection accessories to serve repair work for units of the company |
| 33 | 217/2023/QD-HDQT | April 08, 2023 | Approve the Project: Research to optimize the water supply pipeline in accordance with the regional development planning of Son La City, Hat Lot Town and surrounding areas. |
| 34 | 236/2023/QD-HDQT | April 17, 2023 | Approve the technical - economic report, technical drawing design of the construction works, estimation and selection of the implementation and construction supervision unit: Renovating and repairing DI00 ductile iron transmission water pipeline along National Highway 6 (from KM 190+300 to KM 192+200) Moc Chau Town, Moc Chau District |
| 35 | 237/2023/QD-HDQT | April 17, 2023 | Approve the technical - economic report, technical drawing design of the construction works, estimation and selection of the implementation and construction supervision unit: Renovating and repairing the water supply pipeline at Trai Ong junction in Chieng Sinh Ward, Son La City |
| 36 | 238/2023/QD-HDQT | April 17, 2023 | Approve the technical - economic report, technical drawing design of the construction works, estimation and selection of the implementation and construction supervision unit. Renovate and repair the water supply pipeline in 3L24 Som Poi Village, Muong Va Commune, Sop Cop District |
| 37 | 239/2023/QD-HDQT | April 17, 2023 | Approve the technical - economic report, technical drawing design of the construction works, estimation and selection of the implementation and construction supervision unit: Renovating and repairing the water supply pipeline at the junctions and intersections of the new administrative area in the center of Moc Chau District |
| 38 | 240/2023/QD-HDQT | April 17, 2023 | Approve the technical - economic report, technical drawing design of the construction works, estimation and selection of the implementation and construction supervision unit: Renovating and repairing the water supply pipeline in group 1 of Coong Noi Village, Son La City |
| 39 | 241/2023/QD-HDQT | April 17, 2023 | Approve the technical - economic report, technical drawing design of the construction works, estimation and selection of the implementation and construction supervision unit: Renovating and repairing the water supply pipepline of Hoang Long urban area, Chieng Sinh Ward, Son La City |
| 40 | 242/2023/QD-HDQT | April 17, 2023 | Approve the technical - economic report, technical drawing design of the construction works, estimation and selection of the implementation and construction supervision unit: Installing HDPE DI 10 water supply pipeline at Minh Thuy livestock enterprise, Hat Loi Town, Mai Son District |
| 41 | 250/2023/QD-HDQT | April 20, 2023 | Approve the results of selecting a consulting unit to research and complete the Company's water supply system in Moc Chau Town |
| 42 | 252/2023/QD-HDQT | April 20, 2023 | Approve the policy on renovating and repairing the water supply pipeline in Pa Cong, Huoi Mot, Na Nguu areas, Song Ma District |
| 43 | 285/2023/QD-HDQT | May 12, 2023 | Adjust Section 8, Article 1 of Decision No. 438/2022/QD- NSL-HDQT dated November 02, 2022 of the Board of Directors on the main construction volume, and Section 9, Article 1 of Decision No. 205/2023 /QD-NSL-HDQT dated March 24, 2023 on the total project investment: Raw water pipeline brings water from Ta Xua to Phieng Ban Water Treatment Station, Bac Yen District |
| 44 | 289/2023/QD-HDQT | May 16, 2023 | Approve the Plan on selecting contractor: Raw water pipeline brings water from Ta Xua to Phieng Ban Water Treatment Station, Bac Yen District - the 1st Adjustment |
| 45 | 291/2023/QD-HDQT | May 16, 2023 | Approve the result of selecting consultancy unit for topographic survey and preparation of technical - economic report, technical drawings design of the construction works: Renovating and repairing water supply pipeline in Pa Cong, Huoi Mot, Na Ngu, Song Ma District. |
| 46 | 296/2023/QD-HDQT | May 18, 2023 | Approve the technical - economic report, technical drawing design of the construction works, estimation and selection of the implementation and construction supervision unit: Installing HDPE D225 transmission pipeline and HDPE D63 distribution pipeline on Son La city bypass (From Trai Ong junction to Km13 pumping station) of City Industrial Enterprise No. 2 |
| 47 | 297/2023/QD-HDQT | May 18, 2023 | Approve the technical - economic report, estimation and selection of the implementation and construction supervision unit: Installing HDPE D225, DI 10 transmission pipeline and HDPE D63 distribution pipeline from Pumping Station Km 13 to the pure drug addiction treatment center and neighboring residents of City Industrial Enterprise No. 2 |
| 48 | 305/2023/QD-HDQT | May 26, 2023 | Approve the shortened direct contracting results for Package No. 4: Consulting on preparing bidding documents and evaluating bid documents for the project: Raw water pipeline brings water from Ta Xua to Phieng Ban Water Treatment Station, Bac Yen District |
| 49 | 306/2023/QD-HDQT | May 26, 2023 | Approve the shortened direct contracting results for Package No. 5: Consulting on the verification of bidding documents and results of selection of construction contractors: Raw water pipeline brings water from Ta Xua to Phieng Ban Water Treatment Station, Bac Yen District |
| 50 | 317/2023/QD-HDQT | June 07, 2023 | Approve the purchase of HDPE plastic pipes, dip-galvanized steel pipes, connection accessories and valves of all kinds to serve the development of Son La city's bypass network |
| 51 | 320/2023/QD-HDQT | June 12, 2023 | Approve the purchase of cold-water meter and accessories |
| 52 | 323/2023/QD-HDQT | June 12, 2023 | Approval the purchase of an engine alignment machine using laser method TKSA41 |
|  | 20/2023/QD-HDQT | June 12, 2023 | Approve the selection of an audit company for the Financial Statements 2023 of Son La Water Supply Joint Stock Company |
| 53 | 328/2023/QD-HDQT | June 15, 2023 | Approve the bidding documents for bidding package No. 2: Constructing and installing equipment for the raw water pipeline project bringing water from Ta Xua to Phieng Ban Water Treatment Station, Bac Yen District |
| 54 | 329/2023/QD-HDQT | June 15, 2023 | Approve the bidding documents for bidding package No. 3: Consulting on construction supervision and equipment installation for the raw water pipeline project bringing water from Ta Xua to Phieng Ban Water Treatment Station, Bac Yen District |
| 55 | 330/2023/QD-HDQT | June 26, 2023 | Approve the results of contractor selection for the bidding package: Consulting on topographic and geological surveys for the preparation of Feasibility Study Report and Construction Drawing Design Documents for Chieng Dong Water Plant and clean water transmission pipelines connecting Son La City and Mai Son District |
| 56 | 340/2023/QD-HDQT | On June 20, 2023 | Approve the technical - economic report, technical drawing design of the construction works, estimation and selection of the implementation and construction supervision unit: Renovating and repairing the water supply pipeline in Pa Cong Huoi Mot and Na Ngu areas, Song Ma District |
| 57 | 343/2023/QD-HDQT | June 26, 2023 | Approve the compensation value and support households whose land is recovered to implement the Chieng Dong Water Plant project and clean water transmission pipelines connecting Son La City and Mai Son District |
| 58 | 356.1/2023/QD-NSL-HDQT | July 07, 2023 | Approve the purchase of tube cleaning sponges |
| 59 | 358.1/2023/QD-NSL-HDQT | July 10, 2023 | Approve the plan on purchasing PAC flocculation |
| 60 | 376/2023/QD-NSL-HDQT | July 21, 2023 | Approve the purchase of all types of Korean SAMWOO pressure reducing valves |
| 61 | 379/2023/QD-NSL-HDQT | July 21, 2023 | Approve the purchase of 55kW, 18.5 kw Schneider inverters |
| 62 | 431.1/2023/QD-NSL-HDQT | July 28, 2023 | Approve the purchase of PAC flocculation |
| 63 | 456/2023/QD-NSL-HDQT | August 16, 2023 | Cancel Package No. 3: Consult and supervise on construction and equipment installation for projects under the Raw Water Pipeline Project bringing water from Ta Xua to Phieng Ban Water Treatment Station, Bac Yen District |
| 64 | 457/2023/QD-NSL-HDQT | August 17, 2023 | Approval the purchase of water meter protection box made of 430 stainless steels |
| 65 | 461/2023/QD-NSL-HDQT | August 17, 2023 | Approve the purchase of cold-water meter and accessories |
| 66 | 463/2023/QD-NSL-HDQT | August 18, 2023 | On borrowing capital and using asset as security measures at Joint Stock Commercial Bank for Investment and Development of Vietnam (BIDV) - Son La Branch |
| 67 | 464/2023/QD-NSL-HDQT | August 18, 2023 | Approve the purchase of materials to replace online water quality monitoring equipment |
| 68 | 21-2023/NQ-HDQT | August 18, 2023 | First round of prepaying dividends in cash in 2023: |
| 69 | 469/2023/QD-NSL-HDQT | August 18, 2023 | Approve the policy on renovating and repairing the HDPE DI60 mm water supply transmission pipeline from Km11 Pumping Station to the orphan junction of Group 5 and 6, Chieng Sinh Ward, Son La City |
| 70 | 468/2023/QD-NSL-HDQT | August 18, 2023 | Approve the policy on renovating and repairing the HDPE DI 10 water supply transmission pipeline from the old booster pump station to the foot of the rocky slope in Sub-zone 5, It Ong Town, Muong La District |
| 71 | 470/2023/QD-NSL-HDQT | August 18, 2023 | Approve the policy on investing and installing water supply network in Long Luong area, Long Luong Commune, Van Ho District |
| 72 | 471/2023/QD-NSL-HDQT | August 18, 2023 | Approve the policy on renovating and repairing the water supply network in Co village - Bo Con village - Hung Thang urban area (Huoi Het village), Son La City |
| 73 | 472/2023/QD-NSL-HDQT | August 18, 2023 | Approve the policy on installing and replacing old power lines with new power lines ABC 4x70 Water treatment station Km7 XNCN City No. 2, Son La City |
| 74 | 473/2023/QD-NSL-HDQT | August 18, 2023 | Approve the policy of renovating and repairing the Company's meter testing workshop, pump testing workshop and chemical warehouse in Son La City |
| 75 | 474/2023/QD-NSL-HDQT | August 18, 2023 | Approval the policy on repairing HDPE D75, D40 pipelines to supply water to Bac Yen District hospital and surrounding areas |
| 76 | 475/2023/QD-NSL-HDQT | August 18, 2023 | Approving the policy on installing HDPE D63 pipeline in group 2 of Chieng Coi Ward and HDPE D40 pipeline in Ban Hai bridge area, Chieng An Ward, Son La City |
| 77 | 476/2023/QD-NSL-HDQT | August 18, 2023 | Approving the policy on repairing water supply HDPE D63 pipeline for the Military Executive Committee of Phu Yen District and Block 16, Block 26 Sub-zones 2, 3, 4 Phu Yen Town, Phu Yen District |
| 78 | 477/2023/QD-NSL-HDQT | August 18, 2023 | Approve the policy on moving the customer's pipeline and copper furnace system for upgrading and renovating sidewalks of National Highway 37 in Bac Yen Town, Bac Yen District |
| 79 | 478/2023/QD-NSL-HDQT | August 18, 2023 | Approve the policy on moving the pipeline system and customer copper for upgrading and renovating sidewalks of roads and streets in Son La City. |
| 80 | 479/2023/QD-NSL-HDQT | August 18, 2023 | Approve the policy on cleaning pumping stations of the Company in 2023 |
| 81 | 481/2023/QD-NSL-HDQT | August 21, 2023 | Approve the purchase of online water quality monitoring equipment |
| 82 | 482/2023/QD-NSL-HDQT | August 21, 2023 | Approve the policy on construction investment item: Na Bo water factory and transmission pipeline, sub-area 13, Moc Chau Town, Moc Chau District |
| 83 | 483/2023/QD-NSL-HDQT | August 21, 2023 | Approve the purchase of labor protection pants, shirts, and shoes in 2023 |
| 84 | 536/2023/QD-NSL-HDQT | September 08, 2023 | Approve the result of selecting consultancy unit for topographic survey and preparation of technical - economic report, technical drawings design of the construction works: Repairing HDPE D75, D40 pipeline supplying water to Bac Yen District hospital and surrounding area, Bac Yen District |
| 85 | 537/2023/QD-NSL-HDQT | September 08, 2023 | Approve the result of selecting consultancy unit for topographic survey and preparation of technical - economic report, technical drawings design of the construction works: Renovating and repairing the water supply network in Ban Co area - Bo Con village - Hung Thang urban area (Huoi Het village), Son La City |
| 86 | 538/2023/QD-NSL-HDQT | September 08, 2023 | Approve the result of selecting consultancy unit for topographic survey and preparation of technical - economic report, technical drawings design of the construction works: Renovating and repairing the HDPE DI60 mm water supply pipeline from Km11 station to the orphan junction of Group 5 and 6, Chieng Sinh ward, Son La City |
| 87 | 539/2023/QD-NSL-HDQT | September 08, 2023 | Approve the result of selecting consultancy unit for topographic survey and preparation of technical - economic report, technical drawings design of the construction works: Investing in installing water supply network in Long Luong area, Long Luong Commune, Van Ho District |
| 88 | 559/2023/QD-NSL-HDQT | September 18, 2023 | Approve the purchase of materials to replace online water quality monitoring equipment |
| 89 | 560/2023/QD-NSL-HDQT | September 18, 2023 | Approve the purchase of online water quality monitoring equipment |
| 90 | 574/2023/QD-NSL-HDQT | September 22, 2023 | Approve the Construction Economic - Technical Report: Investing in installing water supply network in Long Luong Village, Long Luong Commune, Van Ho District |
| 91 | 575/2023/QD-NSL-HDQT | September 22, 2023 | Approve the Construction Economic - Technical Report: Renovating and repairing the HDPE D160 mm water supply transmission pipeline from Km 11 Pumping Station to the orphan junction of Group 5 and 6 of Chieng Sinh Ward, Son La City |
| 92 | 576/2023/QD-NSL-HDQT | September 22, 2023 | Approve the Construction Economic - Technical Report: Renovating and repairing the water supply network in Co Village - Bo Con Village - Tung Thang urban area (Huoi Het Village), Son La City |
| 93 | 577/2023/QD-NSL-HDQT | September 22, 2023 | Approve the Construction Economic - Technical Report: Renovating and repairing the HDPE DI 10 water supply pipeline from the old booster pump station to the foot of the rocky slope in Sub-zone 5, It Ong Town, Muong La District |
| 94 | 578/2023/QD-NSL-HDQT | September 22, 2023 | Approve the Construction Economic - Technical Report: Renovating and repairing the Company's meter testing workshop, pump testing workshop and chemical warehouse in Son La City |
| 95 | 579/2023/QD-NSL-HDQT | September 22, 2023 | Approve the Construction Economic - Technical Report: Installing HDPE D63 pipeline in group 2 of Chieng Coi Ward and TDPE D40 pipe line in Ban Hai bridge area, Chieng An Ward, Son La City |
| 96 | 580/2023/QD-NSL-HDQT | September 22, 2023 | Approve the cost estimates; Select construction unit and Project supervision consulting unit: Moving pipe systems and customer meters for upgrading and renovating sidewalks on roads and streets in Son La City |
| 97 | 581/2023/QD-NSL-HDQT | September 22, 2023 | Approve the cost estimates; Select construction unit and Project supervision consulting unit: Moving the pipe system and customer meters for upgrading and renovating sidewalks on National Highway 37 in Bac Yen Town, Bac Yen District |
| 98 | 582/2023/QD-NSL-HDQT | September 22, 2023 | Approve the Construction Economic - Technical Report: Repairing HDPE D75, D40 pipeline supplying water to Bac Yen District hospital and surrounding areas |
| 99 | 583/2023/QD-NSL-HDQT | September 22, 2023 | Approve the Construction Economic - Technical Report: Repairing HDPE D63 pipeline supplying water to the Military Command of Phu Yen district and Block 16, Block 26 Sub-zones 2, 3, 4 Phu Yen Town, Phu Yen District |
| 100 | 584/2023/QD-NSL-HDQT | September 22, 2023 | Approve the cost estimates; Select construction unit and Project supervision consulting unit: cleaning pumping stations of the Company in 2023 |
| 101 | 603/2023/QD-NSL-HDQT | October 02, 2023 | Approve the project contractor selection plan: Investing in installing water supply network in Long Luong Village, Long Luong Commune, Van Ho District |
| 102 | 604/2023/QD-NSL-HDQT | October 02, 2023 | Approve the project contractor selection plan: Renovating and repairing the HDPE D160 mm water supply pipeline from Km11 station to the orphan junction of Group 5 and 6, Chieng Sinh Ward, Son La City |
| 103 | 605/2023/QD-NSL-HDQT | October 02, 2023 | Approve the project contractor selection plan: Renovating and repairing the water supply network in Ban Co area - Bo Con Village - Hung Thang urban area (Huoi Het village), Son La City |
| 104 | 606/2023/QD-NSL-HDQT | October 02, 2023 | Approve the project contractor selection plan: Repairing HDPE D75, D40 water supply pipes to Bac Yen District Hospital and surrounding areas, Bac Yen District |
| 105 | 607/2023/QD-NSL-HDQT | October 02, 2023 | Approve the project contractor selection plan: Renovating and repairing the HDPE DI 10 water supply transmission pipeline from the old booster pump station to the foot of the rocky slope in Sub-zone 5, It Ong Town, Muong La District |
| 106 | 608/2023/QD-NSL-HDQT | October 02, 2023 | Approve the project contractor selection plan; Renovating and repairing the Company's meter testing workshop, pump repair workshop and chemical warehouse in Son La City |
| 107 | 609/2023/QD-NSL-HDQT | October 02, 2023 | Approve the project contractor selection plan: Repairing HDPE D63 pipe supplying water to the Military Command of Phu Yen district and Block 16, Block 26 Sub-zones 2, 3, 4 Phu Yen Town, Phu Yen District |
| 108 | 610/2023/QD-NSL-HDQT | October 02, 2023 | Approve the project contractor selection plan: Installing HDPE D63 pipeline in group 2 of Chieng Coi Ward and 4DPE D40 pipeline in Ban Hai bridge area, Chieng An Ward, Son La City |
| 109 | 611/2023/QD-NSL-HDQT | October 02, 2023 | Approve the policy on investing in installing a pressure filter with a capacity of 35m3/h, Underground Well pumping station belongs to Moc Chau Water Supply Branch, Moc Chau District |
| 110 | 624.1/2023/QD-SL-HDQT | October 05, 2023 | Approve the purchase of DI60 PN10, D90, D75, D63, D50, D40, D32, D20 HDPE plastic pipes and repair materials |
| 111 | 624.2/2023/QD-NSL-HDQT | October 05, 2023 | Approve the purchase of repair materials |
| 112 | 626/2023/QD-NSL-HDQT | October 05, 2023 | Approve the contractor selection results in the form of shortened contractor appointment for Package No. 1 for construction work: Investing in installing water supply network in Long Luong Village, Long Luong Commune, Van Ho District |
| 113 | 627/2023/QĐ-NSL-HDQT | October 05, 2023 | Approve the contractor selection results in the form of shortened contractor appointment for Package No. 1 for construction work: Renovating and repairing the water supply network in Co village - Bo Con village - Hung Thang urban area (Huoi Het Village), Son La City |
| 114 | 628/2023/QD-NSL-HDQT | October 05, 2023 | Approve the contractor selection results in the form of shortened contractor appointment for Package No. 1 for construction work: Renovating and repairing the HDPE D160 mm water supply transmission pipeline from Km11 Pumping Station to the orphan junction of Group 5 and 6, Chieng Sinh Ward, Son La City |
| 115 | 629/2023/QD-NSL-HDQT | October 05, 2023 | Approve the contractor selection results in the form of shortened contractor appointment for Package No. 1 for construction work: Repairing HDPE D63 pipe supplying water to the Military Command of Phu Yen district and Block 16, Block 26 Sub-zones 2, 3, 4 Phu Yen Town, Phu Yen District |
| 116 | 630/2023/QD-NSL-HDQT | October 05, 2023 | Approve the contractor selection results in the form of shortened contractor appointment for Package No. 1 for construction work: Repairing HDPE D75, D40 water supply pipes to Bac Yen District Hospital and surrounding areas |
| 117 | 631/2023/QD-NSL-HDQT | October 05, 2023 | Approve the contractor selection results in the form of shortened contractor appointment for Package No. 1 for construction work: Renovation and repairing meter testing workshop, pump manufacturing workshop and chemical warehouse of the Company in Son La City |
| 118 | 632/2023/QD-NSL-HDQT | October 05, 2023 | Approve the contractor selection results in the form of shortened contractor appointment for Package No. 1 for construction work: Renovating and repairing the HDPE DI 10 water supply transmission pipeline from the old black booster pump station at the foot of a rocky slope in Sub-zone 5, It Ong Town, Muong La District |
| 119 | 633/2023/QD-NSL-HDQT | October 05, 2023 | Approve the contractor selection results in the form of shortened contractor appointment for Package No. 1 for construction work: Installing HDPE D63 pipe line in group 2 of Chieng Coi Ward and HDPE D40 pipe line in Cau Ban Hai area, Chieng An Ward, Son La City |
| 120 | 634.1/2023/QD-NSL-HDQT | October 06, 2023 | Approve supplying and installing filtration technology cluster with capacity of 35 m3/h in Moc Chau |
| 121 | 634.2/2023/QD-NSL-HDQT | October 06, 2023 | Approve on the purchase of Korean hydraulic pressure reducing valves |
| 122 | 635.1/2023/QD-NSL-HDQT | October 06, 2023 | Approve on the purchase of HDPE DI60 PN10 plastic pipes |
| 123 | 648/2023/QD-NSL-HDQT | October 23, 2023 | Approve the contractor selection results of Constructing and installing equipment for the raw water pipeline project to bring water from Ta Xua to Phieng Ban Water Treatment Station, Bac Yen |
| 124 | 655/2023/QD-NSL-HDQT | October 25, 2023 | Approve the plan on organizing the 60th anniversary of the establishment of Son La Water Supply Joint Stock Company |
| 125 | 674/2023/QD-NSL-HDQT | November 14, 2023 | Approval the purchase of Grundfos multi-stage vertical submersible well pumps |
| 126 | 675/2023/QD-NSL-HDQT | November 14, 2023 | Approve the purchase of Grundfos multistage centrifugal pump |
| 127 | 676/2023/QD-NSL-HDQT | November 14, 2023 | Approve the purchase of Grundfos horizontal centrifugal pumps with separate shafts |
| 128 | 677.1/2023/QD-NSL-HDQT | November 17, 2023 | Approve the purchase of HDPE plastic pipes DI 10 PN10, D90 PN10 and repair materials |
| 129 | 677.2/2023/QD-NSL-HDQT | November 17, 2023 | Approve the purchase of HDPE DI 10 PN10 plastic pipes and repair materials |
| 130 | 683/2023/QD-NSL-HDQT | November 23, 2023 | Approve the purchase of HDPE plastic pipes of all sizes and connection accessories |
| 131 | 684/2023/QD-NSL-HDQT | November 23, 2023 | Approval to purchase BE connection accessories, D150, D100 rubber gaskets and steel flanges with D150, D100 edges |
| 132 | 721.1/2023/QD-NSL-HDQT | December 23, 2023 | Approve the purchase of regular double alum chemicals |
| 133 | 723/2023/QD-NSL-HDQT | December 25, 2023 | Approve the cost of purchasing electronic invoices used to issue water supply service invoices in 2024 |
| 134 | 724/2023/QD-NSL-HDQT | December 26, 2023 | Approve on the purchase of cold water meters D32, D40, D65, D150 |

1. The Supervisory Board
2. Information about members of the Supervisory Board

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| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment as member of the Supervisory Board. | Qualification |
| 1 | Ms. Le Thi Nha Trang | Chief of the Supervisory Board | December 12, 2020 | Bachelor of Accounting |
| 2 | Mr. Bui Hong Nam | Member of the Supervisory Board | December 12, 2020 | Master in Economic Management |
| 3 | Mr. Le Anh Vu | Member of the Supervisory Board | March 05, 2022 | Bachelor of Accounting |

1. The Executive Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Professional Qualification | Date of appointment/dismissal as the member of the Executive Board |
| 1 | Mr. Tran Quyet Chien | August 28, 1973 | Bachelor of Accounting | Appointed on December 12, 2020 |
| 2 | Mr. Pham Ngoc Dung | September 11, 1981 | Construction Engineer | August 01, 2018 |
| 3 | Mr. Nguyen Van Ba | November 04, 1963 | Urban Construction Engineer - Major in Water Supply and Drainage |  |

1. Chief Accountant.

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Date of appointment / dismissal |
| Mr. Bui Thanh Tung | February 01, 1993 | Bachelor of Accounting | Appointed on December 12, 2020 |

1. Training on corporate governance:
2. List of related persons of the public Company and transactions between the related person of the Company with the Company itself
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR, affiliated persons of PDMR:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name of organization/individual | Relations with the Company | NSH\* No., Date of issue, Place of issue | Head office address/Contact address | Time of transaction | General Mandate/Decision of the General Meeting of Shareholder No. or Board Resolution/Decision No. (including date of promulgation, if any) | Content, quantity, total value of transaction (VND) | Note |
| 1 | VIBC Son La Joint Stock Company | Joint venture | 5500522701, July 23, 2015 by Department of Planning and Investment of Son La Province | No. 55 To Hieu Street, Son La City, Son La Province | 2023 |  | * Purchasing goods: 8,334,097,500 * Selling goods: 311,887,087 * Prepaying:   1,600,417,380  Other receivables:  800,000,000 | VIBC Son La Joint Stock Company sells water through general water meter to Son La Water Supply Joint Stock Company |
| 2 | MILLS Vietnam Joint Stock Company | Chair of the Board of Directors is the General Manager of MILLS Joint Stock Company | 0106432793, January 13, 2014, by Hanoi Authority for Planning and Investment | No. 20, Lane 4, Phuong Mai Street, Phuong Mai Ward, Dong Da District, Hanoi City | 2023 |  | Purchasing goods: 992,600,000 | MILLS Vietnam Joint Stock Company sells Poly Aluminium Chloride to Son La Water Supply Joint Stock Company |
| 3 | DNP HAWACO Joint Stock Company | Member of the Board of Directors is the President of DNP HAWACO Joint Stock Company |  | Floor 18, Ngoc Khanh Plaza Building, No. 1 Pham Huy Thong Street, Ngoc Khanh Wảd, Ba Dinh District, Hanoi City | 2023 |  | Purchasing: 4,766,445,800 | Son La Water Supply Joint Stock Company buys water meters of DNP HAWACO Joint Stock Company |
| 4 | DNP HAWACO Joint Stock Company | Member of the Board of Directors is the President of DNP HAWACO Joint Stock Company, DNP HAWACO Joint Stock Company is the holding company of DNP HAWACO Mechanical Electrical Joint Stock Company |  | Floor 18, Ngoc Khanh Plaza Building, No. 1 Pham Huy Thong Street, Ngoc Khanh Wảd, Ba Dinh District, Hanoi City | 2023 |  | Prepaying: 1,306,908,000 | Son La Water Supply Joint Stock Company buys water production machinery and equipment from DNP HAWACO Mechanical Electrical Joint Stock Company |
| 5 | Viet Nam Water, Sanitation and Environment Joint Stock Company | Member of the Board of Directors is the General Manager of Viet Nam Water, Sanitation and Environment Joint Stock Company |  | No. 5 Duong Thanh Street, Cua Dong Ward, Hoan Kiem District, Hanoi City | 2023 |  | Prepaying: 1,635,739,600 | Consulting on making documents of bidding package of providing equipment and implementing construction works |

1. Transactions between Company’s PDMR, affiliated persons of PDMR and subsidiaries or companies controlled by the Company None
2. Transactions between the Company and other entities/
   1. Transactions between the Company and the company in which members of the Board of Directors, members of the Supervisory Board, the Manager (the General Manager) and other managers have been founding members or members of the Board of Directors, the Executive Manager (the General Manager) for the past three (03) years (calculated at the time of reporting).

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| No. | Name of organization/individual | NSH No., Date of issue, Place of issue | Affiliated person | Position of affiliated persons at the Company | Head office address | Time of transaction | Note |
| 1 | VIBC Son La Joint Stock Company | 5500522701, July 23, 2015 by Department of Planning and Investment of Son La Province | Mr. Nguyen Van Hong - Chair of the Board of Directors; Mr. Bui Van Dinh, Mr. Le Van Tuan - Members of the Board of Directors; Mr. Luong The Cong - Manager | Chair of the Board of Directors | No. 55 To Hieu Street, Son La City, Son La Province | From 2018 to present : | VIBC Son La Joint Stock Company sells water through general water meter to Son La Water Supply Joint Stock Company |
| 2 | MILLS Vietnam Joint Stock Company | 0106432793 - January 13, 2014, by Hanoi Authority for Planning and Investment | Mr. Nguyen Van Hong - Manager | Chair of the Board of Directors | No. 20, Lane 4, Phuong Mai Street, Phuong Mai Ward, Dong Da District, Hanoi City | From 2017 to present : | MILLS Vietnam Joint Stock Company  MILLS Vietnam Joint Stock Company sells Poly Aluminium Chloride to Son La Water Supply Joint Stock Company |
| 3 | DNP HAWACO Joint Stock Company | 0108859703, August 08, 2019, by Hanoi Authority for Planning and Investment | Mr. Nguyen Trong Hieu - Chair of the Board of Directors | Member of the Board of Directors | Floor 18, Ngoc Khanh Plaza Building, No. 1 Pham Huy Thong Street, Ngoc Khanh Wảd, Ba Dinh District, Hanoi City | From 2019 to present | Purchase water meter |
| 4 | DNP HAWACO Joint Stock Company | 0109280323 - July 31, 2020, by Hanoi Authority for Planning and Investment | Mr. Nguyen Trong Hieu - Chair of the Board of Directors of DNP HAWACO Joint Stock Company, DNP HAWACO Joint Stock Company is the holding company of DNP HAWACO Mechanical Electrical Joint Stock Company | Member of the Board of Directors | Floor 18, Ngoc Khanh Plaza Building, No. 1 Pham Huy Thong Street, Ngoc Khanh Ward, Ba Dinh District, Hanoi City | From 2020 to present : | Purchase machinery and equipment for water production |
| 5 | Viet Nam Water, Sanitation and Environment Joint Stock Company |  | Mr. Le Van Tuan - General Manager | Member of the Board of Directors | No. 5 Duong Thanh Street, Cua Dong Ward, Hoan Kiem District, Hanoi. | From 2022 to present : | Consulting on making documents of bidding package of providing equipment and implementing construction works |

* 1. Transactions between the Company and companies executed by the affiliated people of members of the Board of Directors, members of the Supervisory Board, the Manager (the General Manager) and other managers who are members of the Board of Directors, the Executive Manager (the General Manager).
  2. Other transactions of the Company (if any) that can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, and the Manager (General Manager) and other managers.

1. Share transactions of PDMR and affiliated persons of PDMR
   1. Company’s share transaction of PDMR and affiliated persons

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Relations with PMDR | Number of shares owned at the beginning of the period | | Number of shares owned at the end of the period | | Reasons for increase or decrease (purchase, sell, convert, reward, ...) |
| Number of shares | Rate | Number of shares | Rate |
| 1 | Luong Phuong Thao | Daughter of Mr. Luong The Cong - member of the Board of Directions | 83,819 | 0.83 | 91,935 | 0.91 | Purchase shares |

1. Other significant issues: None