**KCB: Annual Corporate Governance Report 2023**

On January 18, 2024, Cao Bang Mineral And Metallurgical Joint Stock Company announced Report No. 69/BC-KSLK on corporate governance 2023 as follows:

* Name of Company: Cao Bang Mineral And Metallurgical Joint Stock Company
* Head office address: Kim Dong Street, Hop Giang Ward, Cao Bang City, Cao Bang Province
* Tel: 0263 505 505 Fax: 0263.852.579
* Email: kslkcaobang2013@gmail.com
* Website: http.7/cmamc.com.vn
* Charter capital: VND 80,000,000,000
* Securities code: KCB
* Corporate governance model: The General Meeting, the Board of Directors, the Supervisory Board and Managing Director.
* Internal audit: Unimplemented.
1. Activities of the General Meeting:

On May 10, 2023, Cao Bang Mineral And Metallurgical Joint Stock Company organized the Annual General Meeting 2023 at the hall of Cao Bang Mineral And Metallurgical Joint Stock Company; Address: Tinh Tuc Town, Nguyen Binh District, Cao Bang Province.

The contents at the General Meeting are presented in Minute No. 03/DB-DHDCD dated May 10, 2023 and General Mandate No. 05/NQ-DHDCD dated May 10, 2023 of the Annual General Meeting 2023 under applicable laws.

1. Board of Directors
2. Information about members of the Board of Directors:

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| --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member/independent member of the Board of Directors |
| Appointment date | Dismissal date |
| 1 | Ly Xuan Tuyen | Chair of the Board of Directors | April 26, 2022 |  |
| 2 | Tran Van Chuong | Member of the Board of Directors, Manager of the Company | April 26, 2022 |  |
| 3 | Dang Van Lam | Member of the Board of Directors | April 26, 2022 | May 10, 2023 |
| 4 | Ha Ngoc Binh | Member of the Board of Directors | April 26, 2022 |  |
| 5 | Do Huu Chiem | Member of the Board of Directors | April 26, 2022 |  |
| 6 | Nguyen Manh Hung | Member of the Board of Directors | May 10, 2023 |  |

1. Board Resolutions/Board Decisions in 2023

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| --- | --- | --- | --- |
| No. | Board Resolution/Board Decision | Date | Contents |
| 1 | 01 | January 12, 2023 | Resolution on approving plan to outsource tin ingot smelting in 2023 |
| 2 | 02 | March 29, 2023 | Resolution on holding the Annual General Meeting 2023 |
| 3 | 03 | March 29, 2023 | Resolution on approving the plan to outsource additional capacity of equipment for loading, transporting, and leveling waste after recruitment in 2023 |
| 4 | 04 | March 29, 2023 | Resolution on the extension of convening date of the Annual General Meeting 2023 |
| 5 | 05 | May 10, 2023 | Annual General Mandate 2023 |
| 6 | 06 | March 29, 2023 | Resolution on approving management regulations in Cao Bang Mineral And Metallurgical Joint Stock Company |
| 7 | 07 | August 09, 2023 | Resolution on the appointment and dismissal of Company’s officials |
| 8 | 08 | August 09, 2023 | Resolution on approving the Estimated Price and Contractor Selection Plan for the investment project of 02 transport cars under the "Project for salvage mining of tin ore and accompanying minerals in waste soil and rock at the yard in Western Area, Tinh Tuc Tin Mine, Tinh Tuc Town, Nguyen Binh District, Cao Bang Province” |
| 9 | 09 | August 17, 2023 | Resolution on approving the Technical-Economic Report and contractor selection plan for the investment package of hydraulic excavator E > 2.0 m3 under the project "Investment in equipment for production" |

1. Supervisory Board

Information about members of the Supervisory Board

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| --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member of the Supervisory Board |
| Appointment date | Dismissal date |
| 1 | Nguyen Thanh Long | Chief | April 26, 2022 | May 10, 2023 |
| 2 | Dang Giang Nam | Member | April 26, 2022 |  |
| 3 | Dam Nong Minh | Member | April 26, 2022 |  |
| 4 | Do Ngoc Hai | Chief | May 10, 2023 |  |

1. Executive Board

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No. | Members of the Executive Board | Position | Date of birth | Qualification | Date of appointment as member of the Executive Board |
| 1 | Tran Van Chuong | Manager | February 16, 1987 | Mining Engineer | January 03, 2020. |
| 2 | Do Huu Chiem | Deputy Manager | July 13, 1980 | Master of Mineral Engineering | February 06, 2020. |

1. Chief Accountant

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| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Date of appointment |
| Cung Le Hoang | August 05, 1984 | Bachelor in Accounting | September 15, 2021 |

1. Training on corporate governance
2. List of related persons of listed companies as prescribed in Section 34 Article 6 of the Law on Securities (Annual Report 2023) and transactions between related persons of the Company with the Company itself.
3. Transactions between the Company and related persons of the Company, or between the Company and major shareholders, PDMR, or related persons of PDMR: None.
4. Transactions between Company’s PDMR, related persons of PDMR and subsidiaries, companies under the authority of the Company: None.
5. Transactions between the Company and other entities:
	1. Transactions between the Company and the companies in which members of the Board of Directors, the Supervisory Board or Executive Manager who have been and founding members or members of the Board of Directors or Executive Manager (General Manager) for the past three years (as at the date of reporting): None.
	2. Transactions between the Company and companies in which related persons of members of the Board of Directors, members of the Supervisory Board, Managing Director and other managers who are members of the Board of Directors or Executive Manager: None.
	3. Other transactions of the Company (if any) that can bring about material or non-material benefits to the members of the Board of Directors, members of the Supervisory Board, Managing Director and other managers: None.
6. Share transactions between PDMR and related persons of PDMR
7. Transactions between PDMR and related persons related to the Company’s shares: None
8. Other significant issues: None.