**LG9: Annual Corporate Governance Report 2023**

On January 02, 2024, Mechanized Construction and Installation Joint Stock Company announced Report No. 01/2024/BC-LG9 on corporate governance in 2023 as follows:

Transaction name: Mechanized Construction and Installation Joint Stock Company

Securities code: LG9  Exchange for listing: UPCOM

Business Registration No. 0301442322 Place of issue: Dong Nai Province

Head office: No. 2, Street No. 1, Bien Hoa 1 Industrial Park, An Binh Ward, Bien Hoa City, Dong Nai Province.

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* Corporate governance model:

The General Meeting, Board of Directors, Supervisory Board and Managing Director.

* Internal audit execution:
1. Activities of the General Meeting:

Information about the meetings and General Mandates/Decisions of the General Meeting (including General Mandates approved by collecting shareholders' ballots):

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| --- | --- | --- | --- |
| No. | General Mandate/Decision No. | Date | Content |
| 1 | Annual General Mandate 2023 No. 61/2023/NQ-LG9 | May 12, 2023 | 1. Report of the Board of Directors on operations in 2022 and directions of operation in 2023;
2. Report of the Managing Director on the results of production and business activities in 2022 and the production and business plan in 2023;
3. Audited Financial Statements 2022;
4. Report of the Supervisory Board in 2022 proposed to the Annual General Meeting 2023.
5. Approve the Proposal on approving the production and business results in 2022 and the production and business plan for 2023;
6. Proposal on approving the remuneration of the Board of Directors, the Supervisory Board and the Secretariat of the Company in 2023;
7. Proposal on the profit distribution plan in 2022 and expected plan in 2023;
8. Proposal on the selection of auditing company for the Financial Statements 2023;
9. Proposal on approving the amendment and supplement to the Company’s Charter (6th time) in accordance with Law on Enterprises 2020;
10. Proposal on dismissing members of the Supervisory Board;
11. Proposal on the number of members for the election for the Board of Directors and the Supervisory Board of the Company.
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1. Board of Directors:
2. Information about members of the Board of Directors:

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| --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position (independent member of the Board of Directors, non-executive member of the Board of Directors) | Date of appointment/dismissal as member/independent member of the Board of Directors |
| Appointment date(2023 - 2028 term) | Dismissal date(2023 - 2028 term) |
| 1 | Vu Nguyen Vu | Chair of the Board of Directors, Non-executive independent member of the Board of Directors | May 12, 2023 |  |
| 2 | Dao Duy Hien | Managing Director - Executive independent member of the Board of Directors | May 12, 2023 |  |
| 3 | Dao Hong Khanh | Non-executive independent member of the Board of Directors | May 12, 2023 |  |

1. Board Resolutions/Board Decisions in the first 06 months of 2023

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| No. | Meeting contents/opinion ballot | Document No. | Result of approval | Date of promulgation |
| 1 | Resolution on the proposal to continue liquidating assets and approval of the reserve price for the auction of the 60m3/h concrete station (Dai Binh) | 04/2023/NQ-HDQT | 3/3 | February 17, 2023 |
| 2 | Resolution on the proposal to continue liquidating assets and approval of the reserve price for the auction of the 60m3/h concrete station (Dai Binh) (2nd round) | 04C/2023/NQ-HDQT | 3/3 | February 19, 2023 |
| 3 | Resolution on the proposal to continue liquidating assets and approval of the reserve price for the auction of the 60m3/h concrete station (Dai Binh) (3rd round) | 10/2023/NQ-HDQT | 3/3 | March 17, 2023 |
| 4 | Resolution on requesting to extend the time to hold the Annual General Meeting 2023 | 14/2023/NQ-HDQT | 3/3 | March 31, 2023 |
| 5 | Resolution on approving the plan to hold the Annual General Meeting 2023 | 16/2023/NQ-HDQT | 3/3 | March 31, 2023 |
| 6 | Resolution on approving the organization of the Annual General Meeting 2023 of LICOGI 9.2 Joint-Stock Company | 21/2023/NQ-HDQT | 3/3 | April 03, 2023 |
| 7 | Resolution on the personnel plan for the capital representative of LICOGI 9 at LICOGI 9.2 Joint-Stock Company | 23/2023/NQ-HDQT | 3/3 | April 05, 2023 |
| 8 | Decision on the dismissal of Mr. Pham Manh Dung as the capital representative of LICOGI 9 at LICOGI 9.2 Joint-Stock Company  | 24/2023/QD-HDQT | 3/3 | April 05, 2023 |
| 9 | Decision on the appointment of Mr. Tran Huu Dung as the capital representative of LICOGI 9 at LICOGI 9.2 Joint-Stock Company | 25/2023/QD-HDQT | 3/3 | April 05, 2023 |
| 10 | Decision on the appointment of Mr. Hoang Huong Son as the capital representative of LICOGI 9 at LICOGI 9.2 Joint-Stock Company | 26/2023/QD-HDQT | 3/3 | April 05, 2023 |
| 11 | Decision on the appointment of Mr. Nguyen Dinh Trinh as the capital representative of LICOGI 9 at LICOGI 9.2 Joint-Stock Company | 27/2023/QD-HDQT | 3/3 | April 05, 2023 |
| 12 | Resolution on the personnel plan for members of the Board of Directors and Supervisory Board of LICOGI 9.2 Joint-Stock Company for the 2023-2028 term | 30/2023/QD-HDQT | 3/3 | April 08, 2023 |
| 13 | Resolution on the approval of the Plan to dismiss and appoint the Manager and legal representative of LICOGI 9.2 Joint-Stock Company for the 2023-2028 term | 53/2023/NQ-HDQT | 3/3 | May 09, 2023 |
| 14 | Resolution on the Annual General Mandate 2023 | 61/2023/NQ-HDQT | 3/3 | May 12, 2023 |
| 15 | Resolution on the election of the Chair of the Board of Directors, Vice Chair of the Board of Directors, Managing Director, Deputy Managing Director and person in charge of the Company's accounting department | 63/2023/NQ-HDQT | 3/3 | May 12, 2023 |
| 16 | Decision on the appointment of the Company's Managing Director in the 2023-2028 term for Mr. Dao Duy Hien | 64/2023/QD-HDQT | 3/3 | May 12, 2023 |
| 17 | Decision on the appointment of Mr. Tran Huu Dung as the Deputy Managing Director for the 2023-2028 term | 65/2023/QD-HDQT | 3/3 | May 12, 2023 |
| 18 | Decision on assigning the position of person in charge of the Company's accounting department to Mr. Pham Manh Dung | 66/2023/QD-HDQT | 3/3 | May 12, 2023 |
| 19 | Decision on borrowing capital and using assets as collaterals at Joint stock Commercial Bank for Investment and Development of Viet Nam - Dong Nai Branch | 69/2023/QD-HDQT | 3/3 | May 15, 2023 |
| 20 | Resolution on approving the plan to apply for a line of credit at VIETABANK - Dong Nai Branch | 77/2023/NQ-HDQT | 3/3 | July 10, 2023 |
| 21 | Resolution on approving the 2018 dividend payment in cash plan. | 80/2023/NQ-HDQT | 3/3 | September 08, 2023 |
| 22 | Resolution on signing the Construction Contract for Provincial Road B, Phuoc Ly, Can Giuoc, Long An | 33/2023/NQ-HDQT | 3/3 | April 12, 2023 |
| 23 | Resolution on signing the Construction Contract for Tan Van-Nhon Trach GD9 section of Ring Road 3, Ho Chi Minh City  | 36/2023/NQ-HDQT | 3/3 | June 17, 2023 |
| 24 | Resolution on the organization and personnel of the company's Executive Board for the 2023-2028 term | 84/2023/NQ-HDQT | 3/3 | October 23, 2023 |
| 25 | Resolution on approving the liquidation plan for the Company assets | 87/2023/NQ-HDQT | 3/3 | September 15, 2023 |
| 26 | Decision on the dismissal of Mr. Pham Manh Dung from being in charge of the Accounting Department | 85/2023/QD-HDQT | 3/3 | October 23, 2023 |
| 27 | Decision on the appointment of Ms. Nguyen Thi Lan Huong as the person in charge of the Accounting Department  | 88/2023/QD-HDQT | 3/3 | October 23, 2023 |
| 28 | Decision on the appointment of Mr. Pham Manh Dung as the Head of the Administration and Organization Department | 89/2023/QD-HDQT | 3/3 | October 23, 2023 |
| 29 | Resolution on the appointment of Mr. Do Tien Nam as the Deputy Managing Director in charge of construction for the 2023-2028 term | 91/2023/NQ-HDQT | 3/3 | November 13, 2023 |
| 30 | Decision on the appointment of Mr. Do Tien Nam as the Deputy Managing Director in charge of construction for the 2023-2028 term | 92/2023/QD-HDQT | 3/3 | November 13, 2023 |
| 31 | Decision on borrowing capital and using assets as collaterals at Joint Stock Commercial Bank for Investment and Development of Viet Nam - Dong Nai Branch | 101/2023/QD-HDQT | 3/3 | November 30, 2023 |
| 32 | Resolution on signing Contract to construct a clean water reservoir and tailings pond for the Dakchung Bauxite-Alumina Industrial Complex Project, Laos | 104/2023/NQ-HDQT | 3/3 | December 05, 2023 |
| 33 | Resolution on the plan of appointing Mr. Pham Manh Dung as the Deputy Managing Director in charge of Finance and Human Resources of LICOGI 9.2 Joint-Stock Company for the term of 2023-2028 | 107/2023/NQ-HDQT | 3/3 | December 28, 2023 |

1. Supervisory Board in 2023:
2. Information about members of the Supervisory Board:

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| --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as members of the Supervisory Board |
| Appointment date | Dismissal date |
| 1 | Nguyen Anh Dung | Chief of the Supervisory Board |  | May 12, 2023 |
| 2 | Phung Quang Minh | Chief of the Supervisory Board | May 12, 2023 |  |
| 3 | Hoang Nhu Thai | Supervisor | May 12, 2023 |  |
| 4 | Vu Thanh An | Supervisor | May 12, 2023 |  |

1. Executive Board:
2. Information about members of the Executive Board:

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| --- | --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Year of birth | Qualification | Appointment date | Dismissal date |
| 1 | Dao Duy Hien | 1977 | Bachelor in Finance and Accounting | June 29, 2018 |  |
| 2 | Dao Hong Khanh | 1966 | Tractor engineer | November 29, 2018 | May 12, 2023 |
| 3 | Nguyen Van Thanh | 1972 | Road and bridge engineer | November 29, 2018 | May 12, 2023 |
| 4 | Pham Manh Dung | 1976 | Bachelor in Accounting | September 25, 2020 and re-appointment on August 19, 2022 | July 01, 2022 and dismissed on November 01, 2023 |
| 5 | Tran Huu Dung | 1981 | Bachelor in Development Economics | May 12, 2023 |  |
| 6 | Do Tien Nam | 1979 | Road and Bridge Construction Engineer | November 13, 2023 |  |
| 7 | Nguyen Thi Lan Huong | 1981 | Bachelor in Accounting | November 01, 2023 |  |

1. Training on corporate governance:
2. List of persons related to the public company and transactions between persons related to the Company with the Company itself:
3. Transactions between the Company and related persons of the Company; or between the Company and major shareholders, PDMR and related persons of PDMR.
4. Transactions between the Company’s PDMR, related persons of PDMR with subsidiaries and companies controlled by the Company.
5. Transactions between the Company and other entities:
	1. Transactions between the Company and the companies where members of the Board of Directors, members of the Supervisory Board, Executive Board and other managers have been founding members or members of the Board of Directors, Executive Board for the past 03 years
	2. Transactions between the Company and the Company where related persons of members of the Board of Directors, members of the Supervisory Board, Executive Board and other managers are members of the Board of Directors, Supervisory Board and Executive Board
	3. Other transactions of the Company (if any) that can bring about material or non-material benefits to members of the Board of Directors, Supervisory Board and Executive Board and other managers.
6. Share transactions of PDMR and related persons of PDMR
7. Company’s share transactions of PDMR and related persons: None
8. Other significant issues