Code ITA

**Company name** Tan Tao Investment and Industry Corporation

**Date** 10/18/2024

**Subject** Handling violations for ITA shares

## **Content:**

On October 17, 2024, the Hochiminh Stock Exchange issued Announcement to handle violations for ITA shares of Tan Tao Investment and Industry Corporation as follows:

- 1. For supervision status: The stock put into supervision status as of October 24, 2024 according to Decision No. 589/QĐ-SGDHCM dated October 17, 2024 by the Hochiminh Stock Exchange because the listed firm delayed the submission of the 2024 reviewed semi-annual financial statements by more than 30 days compared to the specified time. This is the case of securities being warned under Point g, Clause 1, Article 38 of the Rules of listing and trading of listed securities promulgated with Decision No.17/QD-HDTV dated 03/31/2022 by the Members' Council of the Vietnam Stock Exchange.
- 2. For trading suspension status: The stock put into trading suspension status according to Decision No.539/QĐ-SGDHCM dated September 19, 2024 by the Hochiminh Stock Exchange because the listed firm continues to violate information disclosure regulations on the securities market after the Company put into trading restriction status.
- 3. For warning status: The stock put into warning status according to Decision No.586/QĐ-SGDHCM dated August 26, 2022 by the Hochiminh Stock Exchange because the Company continuted to violate information disclosure regulations from four or more times within 01 year.