Code RDP

Company name Rang Dong Holding Joint Stock Company

Date 11/22/2024

Subject Handling violations for RDP shares

Content:

On November 21, 2024, the Hochiminh Stock Exchange issued Announcement to handle violations for RDP shares of Rang Dong Holding Joint Stock Company as follows:

- 1. For trading suspension status: The stock changed from trading restriction status to trading suspension status as of November 28, 2024 according to Decision No. 651/QĐ-SGDHCM dated November 21, 2024 by the Hochiminh Stock Exchange because the listed company continues to violate information disclosure regulations on the securities market after the company put into trading restriction status. This is the case of securities being warned under Point c, Clause 1, Article 41 of the Rules of listing and trading of listed securities promulgated with Decision No.17/QD-HDTV dated 03/31/2022 by the Members' Council of the Vietnam Stock Exchange.
- 2. For warning status: The stock put into warning status according to Decision No. 212/QĐ-SGDHCM dated April 16, 2024 by the Hochiminh Stock Exchange because the listed company delayed the submission of the 2023 audited financial statements by more than 15 days compared to the specified time. The stock has not met the rule under Point d, Clause 4, Article 37 of the Rules of Listing and Trading of Listed Securities promulgated under Decision No. 17/QD-HDTV dated March 31, 2022 by the Members' Council of the Vietnam Stock Exchange.
- 3. For warning status: The stock put into warning status according to Decsion No. 397/QĐ-SGDHCM dated June 17, 2022 by the Hochiminh Stock Exchange because the profit after tax of parent company's shareholders was VND -142.5 billion and the undistributed profit after tax was VND -205.7 billion according to the consolidated audited financial statements in 2023. The stock has not met the rule under Point b, Clause 4, Article 37 of the Rules of Listing and Trading of Listed Securities promulgated under Decision No. 17/QD-HDTV dated March 31, 2022 by the Members' Council of the Vietnam Stock Exchange.