**LCM: Annual Corporate Governance 2023**

On January 25, 2024, Lao Cai Mineral Exploitation & Processing Joint Stock Company announced Report No. 02/BC-LCM on corporate governance in 2023 as follows:

* Name of company: Lao Cai Mineral Exploitation & Processing Joint Stock Company
* Head office address: No. 28B, Phan Dinh Giot Street, Lao Cai Ward, Lao Cai City, Lao Cai Province

-Tel: 0214.383.0036 Fax: 0214.383.0036;

* Charter capital: VND 246,330,000,000
* Securities code: LCM
* Corporate Governance Model:
* The General Meeting, Board of Directors, Supervisory Board and Managing Director.
* Internal audit:
1. Activities of the General Meeting:

Information about meetings and General Mandates/Decisions of the General Meeting (including General Mandates approved by collecting ballots)

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| --- | --- | --- | --- |
| No. | General Mandate/Decision of the General Meeting No. | Date | Content |
| **1**  | 01/NQ-DHDCD | June 22, 2023 | The Annual General Meeting 2023 approved: (1) Report of the Board of Managers; (2) Report of the Board of Directors; (3) Report of the Supervisory Board;(4) The approval of the Audited Financial Statements 2022; (5) The approval of authorizing the Board of Directors to select an audit company for the Financial Statements 2023; (6) The approval of business results in 2022 and business plan for 2023; (7) The approval of authorizing the Board of Directors to decide on a number of contents within the authority of the General Meeting; (8) Remuneration plan for the Board of Directors and Supervisory Board in 2023; |

1. Board of Directors (1 year):
2. Information about members of the Board of Directors:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position (independent member, non-executive member of the Board of Directors) | Date of appointment/dismissal as member/independent member of the Board of Directors |
| Appointment date | Dismissal date |
| 1 | Mr. Vu Dinh Vinh | Chair of the Board of Directors | June 25, 2021 |  |
| **2** | Mr. Nguyen Duc Thang | Member of the Board of Directors | May 26, 2016 |  |
| **3** | Mr. Ngo Truong An | Member of the Board of Directors | June 14, 2014 |  |
| **4** | Mr. Hoang Quoc Tung | Independent, non-executive member of the Board of Directors | June 24, 2020 |  |
| 5¬ | Mr. Bui Thanh Binh | Independent, non-executive member of the Board of Directors | June 24, 2020 |  |

1. Board Resolutions/Board Decisions (2023):

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board Resolution/Board Decision | Date | Contents |
| 1  | 01/2023/NQ-HDQT | March 30, 2023 | Extend the convening date of the Annual General Meeting 2023 |
| 2 | 02/2023/NQ-HDQT | March 30, 2023 | Convene the Annual General Meeting 2023 |
| 3 | 03/2023/NQ-HDQT | June 29, 2023 | Select an audit company for the fiscal year 2023 |
| 4 | 04/2023/NQ-HDQT | December 30, 2023 | Approve the partial divestment of capital contribution at Gia Long Hoa Binh Company Limited |

1. Supervisory Board (Report of the year)
2. Information about members of the Supervisory Board:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board | Qualification |
| 1 | Mr. Nhu Ngoc Quang | Head of the Supervisory Board | June 26, 2019 | Bachelor in Accounting |
| 2 | Mr. Ngo Gia Huy | Member of the Supervisory Board | May 20, 2016 | Bachelor in Economics |
| 3 | Mr. Nguyen Van Tuan | Member of the Supervisory Board | July 12, 2022 | Master of Economics |

1. Executive Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| 1 | Nguyen Duc Thang | March 30, 1979 | Telecommunication - Electronic Engineering | June 22, 2016 |
| 2 | Ngo Truong An | February 07, 1985 | Bachelor | May 05, 2020 |

1. Chief Accountant

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Date of appointment /dismissal |
| Nguyen Thi Hoa | September 30, 1960 | Bachelor | September 16, 2011 |

1. Training on corporate governance
2. List of related persons of the public company (Annual report) and transactions between the related persons of the Company with the Company itself:
3. Transactions between the Company and related persons of the Company; or between the Company and principal shareholders, PDMR and related persons of PDMR
4. Transactions between Company’s PDMR, related persons of PDMR and subsidiaries, companies controlled by the Company.
5. Transactions between the Company and other entities/
6. Transactions between the Company and the company in which members of the Board of Directors, members of the Supervisory Board, Executive Manager (Managing Director) and other managers have been founding members or members of the Board of Directors or Executive Manager (Managing Director) for the past three (03) years (calculated at the date of reporting). None
7. Transactions between the Company and the companies whose related persons of members of Board of Directors, members of the Supervisory Board, Executive Manager (Managing Director) and other managers who are members of Board of Directors or Executive Manager (Managing Director) None
8. Other transactions of the Company (if any) that can bring about material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, Executive Manager (Managing Director) and other managers: None
9. Share transactions of PDMR and related persons of PDMR (Annual Report):
10. Transaction of PDMR and related persons related to the Company’s shares:
11. Other significant issues: