**MTA: Annual Corporate Governance Report 2023**

On January 29, 2024, Ha Tinh Minerals and Trading Joint Stock Corporation announced Report No. 06/BC-HDQT-MTA on corporate governance in 2023 as follows:

* Name of listed company: Ha Tinh Minerals and Trading Joint Stock Corporation
* Head office address: No. 2 - Vu Quang - Ha Tinh City - Ha Tinh Province.
* Business Registration Certificate No. 3000310977 - Issued for the 1st time on: March 04, 2014; Registered for the 4th change on March 03, 2020; Place of issue: Department of Planning and Investment of Ha Tinh Province.
* Tel: (84-393) 855 603 Fax: (84-393) 855 506
* Email: contact@mitraco.com.vn
* Website: [www.mitraco.com.vn](http://www.mitraco.com.vn)
* Charter capital: VND 1,101,135,910,000
* Securities code: MTA
* Corporate governance model: The General Meeting, Board of Directors, Supervisory Board and Managing Director (according to Point a Article 137 of the Law on Enterprises 2020).
* Internal audit execution: Unimplemented
1. Activities of the General Meeting

Information about Meetings and General Mandates/Decisions of the General Meeting (including General Mandates approved by collecting ballots)

The Annual General Meeting 2023 of Ha Tinh Minerals and Trading Joint Stock Corporation (Corporation) was held on June 30, 2023. Accordingly, the contents approved were as follows:

|  |  |  |
| --- | --- | --- |
| General Mandate/Decision of the General Meeting  | Date | Contents |
| 71/NQ-DHDCD  | June 30, 2023 | * Corporate Governance Report; production and business results in 2022, implementation of the 2023 plan;
* Report of the Supervisory Board in 2022 and the operational plan for 2023;

- Approve the Proposal including the following contents:+ Appraise the Audited Financial Statements 2022;+ Profit distribution in 2022;+ Production and business plan 2023;+ Final settlement of the remuneration for the Board of Directors, Supervisory Board and Secretariat of the Corporation in 2023 plan;+ Select an audit company for the Financial Statements 2023. |

(The contents of the Minutes and the Annual General Mandate 2023 of the Corporation were disclosed information as per regulations of the Board of Directors (2023):

1. Board of Directors (2023):
2. Information about members of the Board of Directors: The Board of Directors at the time of reporting had 04 members, specifically as follows:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position (independent member, non-executive member of the Board of Directors) | Date of appointment/dismissal as member/independent member of the Board of Directors |
| Appointment date | Dismissal date |
| 1 | Mr. Nguyen Dinh Toan | Chair | January 04, 2022 |  |
| 2 | Mr. Le Viet Thao | Member | June 26, 2020 |  |
| 3 | Mr. Vo Van Luu | Member | June 26, 2020 |  |
| 4 | Mr. Nguyen Anh Thang | Member | June 28, 2019 |  |

1. Board Resolutions/Decisions (2023):

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board Resolution/Decision  | Date | Contents |
| 1 | 04/NQ-HDQT | January 16 | Change the audit company for the Financial Statements of Ha Tinh Minerals and Trading Joint Stock Corporation in 2022 |
| 2 | 06/NQ-HDQT | January 30 | Approve the plan to issue private corporate bonds to implement Phase 1 - Construction Investment Project of Wharf No. 3, Vung Ang Port - Ha Tinh |
| 3 | 09/NQ-HDQT | February 01 | Assign tasks to operate the Corporation's Economic Department |
| 4 | 10/NQ-HDQT | February 10 | Resolution of Q1/2023 meeting |
| 5 | 13/NQ-HDQT | February 17 | Approve the policy of importing breeding herds; Install lightning protection system and replace transformers at Ky Phong Pig Production Center, Mitraco Livestock Joint Stock Company |
| 6 | 21/NQ-HDQT | March 14 | Approve the name change of Viet - Lao Limited Liability Company to Viet - Lao One-member Limited Liability Company |
| 7 | 26/NQ-HDQT | April 12 | Approve the content of the Annual General Meeting of Vung Ang Petroleum Joint- Stock Company in 2023 |
| 8 | 30/QD-HDQT | April 18 | Re-appoint the Chief Accountant of Ha Tinh Minerals and Trading Joint Stock Corporation |
| 9 | 32/NQ-HDQT | April 24 | Extend the the organization time of the Annual General Meeting of Ha Tinh Minerals and Trading Joint Stock Corporation in 2023 |
| 10 | 33/NQ-HDQT | April 24 | Approve the plan of organizing the Annual General Meeting of Ha Tinh Minerals and Trading Joint Stock Corporation in 2023 |
| 11 | 35/NQ-HDQT | April 24 | Regarding staff work at subsidiaries |
| 12 | 37/QD-HDQT | April 24 | Appoint a representative for the capital of Ha Tinh Minerals and Trading Joint Stock Corporation at Mitraco Livestock Joint Stock Company |
| 13 | 38/QD-HDQT | April 24 | Appoint a representative for the capital of Ha Tinh Minerals and Trading Joint Stock Corporation at Hatinh Agro-Forestry Development Joint Stock Company |
| 14 | 39/QD- HDQT | April 24 | Appoint members for the Board of Members of Viet - Lao One-member Limited Liability Company |
| 15 | 40/NQ-HDQT | April 25 | Approve the content of the Annual General Meeting of Mitraco Livestock Joint Stock Company in 2023 |
| 16 | 42/NQ-HDQT | May 05 | Resolution of Q2/2023 meeting |
| 17 | 43/NQ-HDQT | May 15 | Approving the content of the Annual General Meeting of Hatinh Agro-Forestry Development Joint Stock Company in 2023 |
| 18 | 44/NQ-CT | May 15 | Approve the content of the Annual General Meeting of Thien Loc Animals Feed Stock Company in 2023. |
| 19 | 45/NQ-HDQT | May 15 | Approve the content of the Annual General Meeting of Mitraco Trading Joint Stock Company in 2023 |
| 20 | 46/NQ-HDQT | May 15 | Approve the content of the Annual General Meeting of Mitraco Mechanical And Construction Joint Stock Company in 2023 |
| 21 | 47/NQ-HDQT | May 15 | Approve the content of the Annual General Meeting of Công ty CP Thiên Ý 2 (tentatively translated as “Thien Y 2 Joint Stock Company”) in 2023 |
| 22 | 48/NQ-HDQT | May 15 | Approve the content of the Annual General Meeting of Huong Son Hydro Power Jont Stock Company in 2023 |
| 23 | 54/QD-HDQT | May 15 | Re-appoint a representative for the capital of Ha Tinh Minerals and Trading Joint Stock Corporation2023 at Lam Hong ICT Joint Stock Company |
| 24 | 63/NQ-HDQT | June 19 | Human resource work at Manganese Mineral Joint Stock Company and Mitraco Brick And Tile Joint Stock Company |
| 25 | 65/NQ-HDQT | June 21 | Approve the content of the Annual General Meeting of Lao - Viet International Port Joint Stock Company |
| 26 | 67/NQ-HDQT | June 27 | Approve the production and business results in 2022, the 2023 plan of Viet - Lao One-member Limited Liability Company, the policy of liquidating damaged machinery and equipment that are no longer in use and a number of other contents. |
| 27 | 69/NQ-HDQT | June 28 | Approve a number of contents on investment work at Lao - Viet International Port Joint Stock Company |
| 28 | 71B/NQ-HDQT | July 02 | Approve topographic survey tasks - estimates, preparation of detailed planning project for construction of super lean pig farm, Luu Vinh Son Commune, Thach Ha District, Ha Tinh Province with 1/500 plan and the contractor selection plan |
| 29 | 73/NQ-HDQT | July 03 | Approve the dividend payment plan 2022 of Huong Son Hydro Power Jont Stock Company |
| 30 | 80/NQ-HDQT | July 28 | Regarding the selection of the unit to supply and install fire protection equipment for Huong Son 2 Hydropower Plant Project. |
| 31 | 82/NQ-HDQT | August 15 | Regarding the merger of the Investment - Development Department and the Economic Department of the Corporation and the mobilization and appointment of officers. |
| 32 | 83/QD-HDQT | August 15 | Decision on merging the Investment - Development Department and the Economic Department of the Corporation |
| 33 | 85/NQ-HDQT | August 25 | Regarding the approval of the investment policy for generators at Thien Y 2 Joint Stock Company. |
| 34 | 88/NQ-HDQT | September 15 | Regarding the approval of the consulting unit selection for the bidding package: HS2-TV07 - Audit of completed project settlement report - Huong Son 2 Hydroelectric Plant Project.  |
| 35 | 89/NQ-HDQT | September 15 | Regarding the establishment of the Council to reorganize and handle the Corporation's houses and land. |
| 36 | 91/NQ-HDQT | October 23 | Regarding the approval of the dividend payment plan 2022 of Ha Tinh Minerals and Trading Joint Stock Corporation |
| 37 | 94/NQ-HDQT | November 10 | Resolution of Q4/2023 meeting. |
| 38 | 96/NQ-HDQT | November 13 | Regarding the approval of the investment policy for restaurants off the coast at Thien Y 2 Joint Stock Company. |
| 39 | 98/NQ-HDQT | November 17 | Regarding the approval of carrying out procedures to adjust the Decision on investment policy and the Certificate of Investment of Huong Son Hydropower Plant Project. |

1. Supervisory Board (2023):
2. Information about members of the Supervisory Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Full name | Position | Date of appointment as member of the Supervisory Board. | Qualification |
| 1 | Mr. Phung Van Tan | Chief | June 26, 2020 | Bachelor in Finance & Accounting |
| 2 | Mr. Dao Anh Dung | Member | June 26, 2020 | Bachelor in Business Administration |

On August 14, 2023, Ms. Nguyen Thi Hong Van - Member of the Corporation's Supervisory Board submitted a letter of resignation effective from August 15 with the reason for changing jobs based on personal wishes (This content was published in accordance with regulations).

1. Executive Board.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment as member of the Executive Board |
| 1 | Mr. Le Viet Thao | August 07, 1980 | University of Mining Economics | March 27, 2020 |
| 2 | Mr. Vo Van Luu | September 15, 1969 | Industrial University of Mechanical Engineering | March 27, 2020 |
| 3 | Mr. Nguyen Anh Thang | November 23, 1981 | Master of Economics | December 01, 2022 |

1. Chief Accountant:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Full name | Date of birth | Qualification | Appointment date (re-appointment) |
| 1 | Mr. Bui Van Minh | August 13, 1973 | Master of Economics (Financial & Accounting) | April 18, 2023 |

1. Training on corporate governance:
2. List of related persons of the listed company as prescribed in Section 34 Article 6 of the Law on Securities (Report of 2023 and transactions between related persons of the Company and the Company itself)
3. Transactions between the Company and related persons of the Company; or between the Company and principal shareholders, PDMR, or related persons of PDMR (not incurred)
4. Transactions between the PDMR of the listed company, related persons of PDMR and subsidiaries, companies controlled by the Company (not incurred)
5. Share transactions of PDMR and related persons of PDMR (Report of 2023)
6. Corporation’s share transactions of PDMR and related persons of PDMR (no transactions incur)
7. Other significant issues: (None)