**CE1: Annual Corporate Governance Report 2023**

On December 31, 2023, Construction And Industry Equipment Joint Stock Company - CIE1 announced Report No. ../BC-HDQT on the corporate governance in 2023 as follows:

* Name of listed company: Construction And Industry Equipment Joint Stock Company - CIE1
* Head office address: Lot 22 + 23, Quang Minh Industrial Zone, Quang Minh Town, Me Linh District, Hanoi
* Tel: 02438182859 Fax: 02438182977
* Charter capital: VND 60,000,000,000
* Securities code: CE1
1. Activities of the General Meeting of Shareholders:

Information about the meetings and General Mandates of the General Meeting of Shareholders:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | General Mandate/Decision No. | Date | Content |
| 1 | 01/2023/NQ-DHDCD | June 15, 2023 | Annual General Mandate |

1. The Board of Directors in 2023:
2. Information about members of the Board of Directors:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member/independent member of the Board of Directors |
| Date of appointment | Date of dismissal |
| 1 | Mr. Le Quoc Tuan | Chair | April 27, 2018 | June 15, 2023 |
| 2 | Mr. Do Van Vu | Chair  | June 15, 2023 |  |
| 3 | Mr. Vu Trong Hien | Member | June 15, 2023 |  |
| 4 | Mr. Truong Minh Khoi | Member | April 27, 2018 | June 15, 2023 |
| 5 | Mr. Ta Dinh Lan | Member | June 15, 2023 |  |

1. Board Resolutions/Board Decisions in 2023:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Resolution/Decision No. | Date | Content |
| 1 | 01/2023/NQ-HDQT | April 20, 2023 | Convene the Annual General Meeting of Shareholders 2023 |
| 2 | 02/2023/NQ-HDQT | May 19, 2023 | Dismiss the Chief Accountant and assign the person in charge of accounting of the Company |
| 3 | 04/2023/NQ-HDQT | June 06, 2023 | Approve the draft proposal on amending the profit distribution plan of 2022 and adjust the after-tax profit distribution of the previous years. |
| 4 | 05/2023/NQ-HDQT | June 30, 2023 | Approve the contents of Resolutions at the meeting of the Board of Directors in Q2/2023. |
| 5 | 06/2023/NQ-HDQT | July 27, 2023 | Approve the liquidation of fixed assets of trucks, plates No. 29C-173.01 |
| 6 | 07/2023/NQ-HDQT | September 21, 2023 | Approve the contents of Resolutions at the meeting of the Board of Directors in Q3/2023. |
| 7 | 08/2023/NQ-HDQT | December 15, 2023 | Approve the contents of Resolutions at the meeting of the Board of Directors in Q4/2023. |
| 8 | 09/2023/NQ-HDQT | December 15, 2023 | Convene the Extraordinary General Meeting of Shareholders 2024 |

1. The Supervisory Board;
2. Information about members of the Supervisory Board:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Members of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board |
| Date of appointment | Date of dismissal |
| 1 | Ms. Dinh Thi Huyen Trang | Chief of the Supervisory Board | June 15, 2023 |  |
| 2 | Mr. Nguyen Phuc Thanh Son | Members of the Supervisory Board | April 27, 2018 | June 15, 2023 |
| 3 | Ms. Duong Thi Ha Bich | Member of the Supervisory Board | June 15, 2023 |  |
| 4 | Ms. Do Thi Phuong | Members of the Supervisory Board | June 15, 2023 |  |

1. The Executive Board.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Members of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| 1 | Mr. Ta Dinh Lan | July 06, 1971 | Manufacturing Mechanical Engineer | Appointed on July 01, 2023 |
| 2 | Mr. Nguyen Hung Son | July 23, 1971 | Electrical systems engineer | Appointed on July 01, 2023 |
| 3 | Mr. Phan Trong Phuong | December 20, 1964 | Manufacturing Mechanical Engineer | Dismissed on July 01, 2023 |
| 4 | Mr. Dinh Truong Giang | June 03, 1980 | Mechanical Engineer | Appointed on July 01, 2023 |
| 5 | Mr. Hoang Anh Son | October 30, 1976 | Mechanical Engineer | Appointed on July 01, 2023 |

1. The Chief Accountant.

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date of birth | Date of appointment | Date of dismissal |
| Ms. Dao Thi Liem | April 07, 1961 | May 02, 2018 | May 19, 2023 |

* Person in charge of the Company’s accounting

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Date of appointment |
| Ms. Pham Thi Thu Trang | November 21, 1984 | Bachelor of Accounting | May 19, 2023 |
| Appointed on July 01, 2023 |

1. Training on corporate governance
2. List of affiliated persons of the public company (report of 2023) and transactions between the affiliated persons of the Company with the Company itself
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR and affiliated persons of PDMR None
4. Transactions between PDMR of the listed company, affiliated persons of PDMR and subsidiaries, and companies controlled by the listed company. None
5. Transactions between the Company and other entities:
	1. Transactions between the Company and the company in which members of the Board of Directors, members of the Supervisory Board, the Executive General Manager have been being founding members or members of the Board of Directors, the Executive General Manager for the past three (03) years (calculated at the time of reporting):

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Affiliated PDMR | Relations with the Company | Content of transaction | Note |
| 1 | Industrial Machinery And Instruments Holding Joint Stock Company | Mr. Do Van Vu - Chair of the Board of Directors of Construction And Industry Equipment Joint Stock Company - CIE1 | Mr. Do Van Vu is the Chair of the Board of Directors of Industrial Machinery And Instruments Holding Joint Stock Company | Economic contract |  |
| 2 | Construction and Industry Equipment Group Corporation | 1. Mr. Do Van Vu - Chair of the Board of Directors of Construction And Industry Equipment Joint Stock Company - CIE1
2. Mr. Ta Dinh Lan - Member of the Board of Directors - General Manager of Construction And Industry Equipment Joint Stock Company - CIE1
 | 1. Mr. Do Van Vu is a member of the Board of Directors of Construction and Industry Equipment Group Corporation
2. Mr. Ta Dinh Lan is a member of the Board of Directors of Construction and Industry Equipment Group Corporation
 | Economic contract |  |

* 1. Transactions between the Company and the companies where affiliated persons of members of the Board of Directors, members of the Supervisory Board, the Executive General Manager are members of the Board of Directors, the Executive General Manager None.
	2. Other transactions (if any) that can bring about material or non-material benefits to the members of the Board of Directors, the members of the Supervisory Board and the Executive General Manager: None.
1. Share transactions of PDMR and affiliated persons of PDMR
2. Transaction of PDMR and affiliated persons related to the Company’s shares: None
3. Other significant issues: None