**DDH: Annual Corporate Governance Report 2023**

On January 24, 2024, Hai Phong Waterway Traffic Assurance Joint Stock Company promulgated Report No. 03/BC-GTDT on corporate governance situation in 2023 as follows:

* Name of company: Hai Phong Waterway Traffic Assurance Joint Stock Company
* Headquarters address: No. 225 Tan Ha Street, Lam Ha Ward, Kien An District, Hai Phong City, Viet Nam
* Tele 0225.3877853/0225.3876428 Fax: 0225.3878388
* Charter capital: VND 36,000,000,000
* Securities code: DDH:
* Exchange: UPCoM
* Corporate governance model: The General Meeting of Shareholders, the Board of Directors, the Supervisory Board and the General Manager
* Internal audit execution: None.
1. Activities of the General Meeting of Shareholders:

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| No. | General Mandate No. | Date | Content |
| 1 | Annual General Mandate 2023 No. 04/NQ-DHDCD | June 23, 2023 | 1. Approve the Report on the activities of the Board of Directors in 2022 and the activities plan for 2023
2. Approve the Report on production and business results in 2022 and the production and business plan for 2023
3. Approve the Report on the activities of the Supervisory Board in 2022 and the plan for 2023.
4. Approve the Audited Financial Statements in 2022.
5. Approve the Proposal on the Audited Financial Statement 2019.
6. Approve the Proposal on profit distribution in 2019
7. Approve the Proposal on electing an audit company to audit the 2023 financial statements
8. Approve the profit distribution plan for the year 2022 and the dividend payment plan for the year 2023
9. Approve the settlement of salaries, remuneration for the Board of Directors, and the Supervisory Board for the year 2022 and the plan for salary and remuneration payments for the Board of Directors and the Supervisory Board for the year 2023
10. Approve the dismissal and election of members of the Supervisory Board
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1. Activities of the Board of Directors
2. Information on members of the Board of Directors:

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| --- | --- | --- | --- |
| No. | Members of the Board of Directors | Position | Date of appointment/dismissal as member/independent member of the Board of Directors |
| Appointment date | Date of dismissal |
| 1 | Mr. Nguyen Xuan Phat | Chair of the Board of Directors | June 2021 | - |
| 2 | Mr. Do Van Thuan | Members of the Board of Directors, General Manager of the Company | June 2021 | - |
| 3 | Ms. Nguyen Thi Sang | Non-executive member of the Board of Directors | June 2021 | - |
| 4 | Mr. Nguyen Quoc Vinh | Non-executive member of the Board of Directors | June 2021 | - |
| 5¬ | Ms. Bui Thi Ninh | Member of the Board of Directors, the Chief Accountant | June 2021 | - |

1. Board Resolutions/Board Decisions:

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| --- | --- | --- | --- |
| No. | Board Resolution/Board Decision No. | Date | Content |
| 1. | Resolution No 01/NQ-HDQT | February 15, 2023 | Enforce the tasks of Q1/2023 |
| 2. | Resolution No 02/NQ-HDQT | April 05, 2023 | Extend the time to organize the General Meeting of Shareholders 2023 |
| 3. | Resolution No 03/NQ-HDQT | May 10, 2023 | Enforce the tasks of Q2/2023 |
| 4. | Resolution No 04/NQ-HDQT | July 14, 2023 | Enforce the tasks of Q3/2023. |
| 5. | Resolution No 05/NQ-HDQT | dated October 05, 2023 | Enforce the tasks of Q4/2023 |
| 6. | Resolution No 06/NQ-HDQT | dated November 10, 2023 | On the cessation of the representative of state capital and nomination of the representative of state capital to participate in the Board of Directors, term 2021-2026, and to hold the position of General Manager of the Company |
| 7. | Resolution No 06/NQ-HDQT | dated December 30, 2023 | 1. On the dismissal of the General Manager, Mr. Do Van Thuan (due to retirement for social insurance benefits, effective from January 1, 2024)
2. Appointment of Mr. Nguyen Thanh Son, Deputy General Manager, to hold the position of General Manager of the Company from January 1, 2024
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1. The Supervisory Board:
2. Information about members of the Supervisory Board:

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| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment as member of the Supervisory Board. | Qualification |
| 1 | Ms. Pham Thi Ngoc Hoa | Chief of the Supervisory Board | June 2021 | Bachelor of Accounting and Auditing |
| 2 | Ms. Vu Thuy Duong | Members of the Supervisory Board | June 2021 | Bachelor of Economics |
| 3 | Mr. Giang Ngoc Tan | Members of the Supervisory Board | June 2023 | Marine safety engineer |

1. The Executive Board:

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| --- | --- | --- | --- | --- |
| No. | Members of the Executive Board | Date of birth | Qualification | Date of appointment as member of the Executive Board |
| 1 | Mr. Do Van Thuan | dated March 18, 1963 | Industrial power engineer, corporate management engineer | June 2021 |
| 2 | Mr. Nguyen Thanh Son | February 18, 1972 | Bachelor of Economics | January 2021 |

1. Chief Accountant:

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| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Date of appointment |
| Ms. Bui Thi Ninh | dated October 25, 1969 | Bachelor of Economics | 01/2021 |

1. Training on corporate governance:
2. List of affiliated persons of the public Company and transactions between the affiliated person of the Company with the Company itself
3. Transactions between the Company and affiliated persons of the Company, or between the Company and major shareholders, PDMR, or affiliated persons of PDMR: Appendix 02 attached. None.
4. Transactions between PDMR of the Company, affiliated persons of PDMR and subsidiaries, companies under the authority of the Company: None.
5. Transactions between the Company and other entities None.
	1. Transactions between the Company and the company in which members of the Board of Directors, members of the Supervisory Board, the General Manager and other managers have been founding members or members of the Board of Directors, the Executive General Manager for the past three (03) years (at the time of reporting): None
	2. Transactions between the Company and companies where affiliated persons of members of the Board of Directors, members of the Supervisory Board, the General Manager and other managers are members of the Board of Directors, the Executive General Manager: None.
	3. Other transactions of the Company (if any) which can bring material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the General Manager and other managers: None.
6. Trading in shares of insiders and related persons of insiders:
7. Company’s share transactions of PDMR and affiliated persons of PDMR
8. Other significant issues: None.