**BVB122028: Annual Corporate Governance Report 2023**

On January 30, 2024, Viet Capital Bank announced Report No. 23/24/BC-HDQT on the corporate governance from January 01, 2023 to December 31, 2023 as follows:

* Name of company: Viet Capital Bank
* Head office address: HM Town Building, No. 412, Nguyen Thi Minh Khai Street, Ward 5, District 3, Ho Chi Minh City
* Tel: (84-28) 62679679 Fax: (84-28) 62638668

Email: bvbank247@bvbank.net.vn

* Charter capital: VND 5,016,800,000,000
* Securities code: BVB
* Corporate Governance Model: The General Meeting of Shareholders, the Board of Directors, the Supervisory Board, and the General Manager.
* Internal audit execution: Implemented

1. Activities of the General Meeting of Shareholders:

On April 26, 2023, Viet Capital Bank held the Annual General Meeting of Shareholders 2023 with the specific contents as follows:

* Approve the Report of the Board of Directors on the evaluation of the work of the Board of Directors, the implementation results of the of General Mandate and the business results of Viet Capital Bank in 2022.
* Approve the operational orientation and business plan for 2023 of Viet Capital Bank
* Approve the Report on the implementation results of the orientations in 2022 and the implementation plan of the orientations in 2023 of the Executive Board.
* Approve the Report on performance of tasks in 2022 of the Supervisory Board.
* Approve the remuneration and operating expenses of the Supervisory Board in 2023.
* Approve the selection of an independent audit company for the Financial Statements 2023 of Viet Capital Commercial Joint Stock Bank
* Approve the Audited Separate and Consolidated Financial Statements 2022.
* Approve the Profit distribution plan 2022
* Approve the total remuneration, executive allowances and operating expenses of the members of the Board Directors in 2023
* Approve the plan to increase charter capital for 2023
* Approve the transfer of BVB share trading from UPCOM to listing on the Stock Exchange.
* Approve the change of the English abbreviation name of Viet Capital Bank
* Approve the addition of the Charter of Viet Capital Bank
* Approve the authorization of the General Meeting of Shareholders for the Board of Directors of the Bank to decide on a number of issues under the authority of the General Meeting of Shareholders.

Information about the meetings and General Mandates/Decisions of the General Meeting of Shareholders

(Including General Mandates approved by collecting opinions via a ballot):

|  |  |  |  |
| --- | --- | --- | --- |
| No. | General Mandate No. | Date | Content |
| 1 | 01/23/NQ-DHDCD. | April 26, 2023 | Annual General Mandate 2023 |

1. The Board of Directors
2. Information about members of the Board of Directors:

As of December 31, 2023, the Board of Directors of Viet Capital Bank has 05 members, including 04 executive members and 01 independent member. Specifically:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Members of the Board of Directors | Position | Date of appointment/dismissal as members/independent members of the Board of Directors | |
| Appointment date for the term of 2020-2025 | Dismissal date for the term of 2020-2025 |
| 1 | Mr. Le Anh Tai | Chair of the Board of Directors | June 26, 2020 |  |
| 2 | Ms. Nguyen Thanh Phuong | Vice Chair of the Board of Directors | June 26, 2020 |  |
| 3 | Mr. Ngo Quang Trung | Member of the Board of Directors | June 26, 2020 |  |
| 4 | Mr. Nguyen Nhat Nam | Member of the Board of Directors | June 26, 2020 |  |
| 5 | Mr. Pham Quang Khanh | Independent member of the Board of Directors | August 26, 2020 |  |

1. Board Resolutions/Board Decisions of the Board of Directors in 2023

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board Resolution No. | Date | Contents |
| 1 | 01/2023/CV-CT.HDQT | January 04, 2023 | On clarifying the registration documents for offering additional shares to the public |
| 2 | 02/23/NQ-HDQT | January 06, 2023 | On changing the headquarters location of Branches/Transaction Offices of Hung Yen Branch |
| 3 | 03/23/NQ-HDQT. | January 06, 2023 | On changing the headquarters location of Branches/Transaction Offices of Ninh Kieu Transaction Office |
| 4 | 04/2023/QD-HDQT | January 13, 2023 | On commending and rewarding the board office |
| 5 | 05/23/QD-HDQT | January 13, 2023 | On paying for additional remuneration, collective and individual emulation rewards in 2022 |
| 6 | 06/23/QD-HDQT | January 13, 2023 | On paying bonus to the Board of Leaders |
| 7 | 06A/23/QD-HDQT | January 17, 2023 | On adjusting the Business plan for the fiscal year of 2023 |
| 8 | 07/2023/BC-HDQT | January 27, 2023 | On reporting on corporate governance in 2022 |
| 9 | 08/23/QD-HDQT | January 27, 2023 | On continuing to apply regulations and procedures for preventing and combating money laundering |
| 10 | 09/23/QD-HDQT | February 06, 2023 | On calling away the bonds issued with a term of 07 years (BVBLH2128004, BVBLH2128006, BVBLH2229001, BVB121034 bonds) |
| 11 | 10/23/VB-HDQT | February 07, 2023 | On the directive document of the Standing Board of Directors on the orientation of debt management and handling. |
| 12 | 11/23/NQ-HDQT. | February 08, 2023 | On promulgating the ESOP Regulations in 2023 |
| 13 | 11A/23/NQ-HDQT | February 09, 2023 | On approving the list of employees with the right to buy ESOP in 2023 |
| 14 | 12/2023/CV-CT.HDQT | February 20, 2023 | On the submission of registration documents to offer additional shares to the public according to Official Dispatch No. 482/UBCK-QLCB |
| 15 | 13/23/NQ-HDQT. | February 20, 2023 | On updating the headquarters address of Dong Sai Gon Branch |
| 16 | 14/23/NQ-HDQT. | February 20, 2023 | On updating the headquarters address of Thu Duc Transaction Office |
| 17 | 14A/HP12/23/NQ-HDQT | February 20, 2023 | On carrying out the contents at the meeting of the Board of Directors of the 12th session for the term of 2020-2025 |
| 18 | 15/23/QD-HDQT | February 28, 2023 | On promulgating the policy on exemption and reduction of interest and fees when processing and recovering overdue debt and bad debt |
| 19 | 16/23/QD-HDQT | March 02, 2023 | On adjusting the organizational structure of a number of Head Office units |
| 20 | 17/23/QD-HDQT | March 03, 2023 | On establishing the Organizing Committee of the General Meeting of Shareholders 2023 |
| 21 | 18/23/QD-HDQT | March 09, 2023 | On assigning staff for overseas business trips |
| 22 | 18A/23/QD-HDQT | March 10, 2023 | On the termination of the Regulation on Prevention and Combat of Money Laundering |
| 23 | 18B/23/QD-HDQT | March 10, 2023 | On approving the business and capital increase plan for the period of 2023-2026 |
| 24 | 19/23/QD-HDQT | March 10, 2023 | On approving regulations and procedures for preventing and combating money laundering |
| 25 | 19/23/TB/CT.HDQT | March 15, 2023 | On the notice of the record date to exercise the right to buy additional shares issued to existing shareholders and issue shares to increase share capital from the source of owners' equity - sent to VSD |
| 26 | 20/2023/TB-CT.HDQT | March 16, 2023 | On the notice of the record date to exercise the right to buy additional shares issued to existing shareholders and issue shares to increase share capital from the source of owners' equity) |
| 27 | 21/2023/CV-CT.HDQT | March 16, 2023 | On the notice on share issuance to increase share capital from the source of owners' equity |
| 28 | 22/2023/CV-CT.HDQT | March 16, 2023 | On the notice on the public offering |
| 29 | 23/23/CV-CT.HDQT | March 16, 2023 | On the report on share issuance under the ESOP |
| 30 | 24/2023/CV-CT.HDQT | March 16, 2023 | On the Official Dispatch of explaining the separation of votes of shareholders who are officers and employees according to General Mandate No. 01/22/NQ-DHDCD dated April 8, 2022 |
| 31 | 25/23/NQ-HDQT. | March 16, 2023 | On approving the issuance plan, the dossier of registering to issue shares under the ESOP |
| 32 | 26/2023/QD-HDQT | March 16, 2023 | On adjusting the regime of the Head of the Board of Directors Office |
| 33 | 27/2023/CV-CT.HDQT | March 30, 2023 | On providing data on foreign investors owning shares of Viet Capital Bank according to Official Dispatch No. 1235/TTGSNH6 dated March 28, 2023 |
| 34 | 28/23/QD-HDQT | April 03, 2023 | On approving the maintenance expense for information technology system in 2023 |
| 35 | 28A/2023/CV-CT.HDQT | Dated April 03, 2023 | On the notice of confirming the general list of securities owners receiving shares issued to increase share capital from the source of owners' equity |
| 36 | 28B/2023/CV-CT.HDQT | April 03, 2023 | On the notice of confirming the general list of stock owners who are allocated the right to buy shares |
| 37 | 29/2023/CV-CT.HDQT | April 05, 2023 | On the notice of public offering of shares - General notice |
| 38 | 30/23/QD-HDQT | April 06, 2023 | On approving the Regulations on Organization and Operations of the Risk Management Committee |
| 39 | 30A/23/QD-HDQT | April 06, 2023 | On the establishment of the Risk Management Committee |
| 40 | 31/23/TM-HDQT | April 07, 2023 | On the Invitation letter to shareholders to attend the Annual General Meeting of Shareholders 2023 |
| 41 |  |  | Meeting agenda |
| 42 |  |  | Meeting regulations |
| 43 |  |  | On the Proposal to the General Meeting of Shareholders on the establishment of the list of the Presiding Delegation, the Secretariat, and the Vote Counting Committee |
| 44 | 35/23/BC-HDQT | April 07, 2023 | On the Report on the performance evaluation of the Board of Directors, the implementation results of the General Mandate and the business results of the Bank in 2022 |
| 45 | 36/23/TT-HDQT | April 07, 2023 | On the Proposal on the operational orientation and business plan for 2023 of Viet Capital Bank |
| 46 | 37/23/TT-HDQT | April 07, 2023 | On the Proposal on approving the Audited Separate and Consolidated Financial Statements for the fiscal year of 2022 |
| 47 | 38/23/TT-HDQT | April 07, 2023 | On the Proposal on approving the profit distribution plan of 2022; |
| 48 | 39/23/TT-HDQT | April 07, 2023 | On the Proposal on the total remuneration, executive allowances and operating expenses of the members of the Board of Directors in 2022 |
| 49 | 40/23/TT-HDQT | April 07, 2023 | On the Proposal on the plan to increase charter capital in 2023. |
| 50 | 41/23/TT-HDQT | April 07, 2023 | On the Proposal on transferring BVB shares trading from UPCOM to listing on the Stock Exchange |
| 51 | 42/23/TT-HDQT | April 07, 2023 | On changing the Bank's English abbreviation |
| 52 | 43/23/TTr-HDQT | April 07, 2023 | On the Proposal on the contents authorized by the General Meeting of Shareholders to the Board of Directors |
| 53 | 44/23/TTr-HDQT | April 07, 2023 | On the Proposal on supplementing the Charter of the Bank |
| 54 | 45/23/NQ-HDQT. | April 07, 2023 | On approving the draft document of the General Meeting of Shareholders 2023 |
| 55 | 45A/23/NQ-HDQT | April 11, 2023 | On changing the name and location of Ha Long Transaction Office |
| 56 | 45B/23/NQ-HDQT | April 11, 2023 | On changing the location of Thuan An Branch’s headquarters |
| 57 | 45C/23/NQ-HDQT | April 11, 2023 | On changing the location of Vinh Hai Transaction Office’s headquarters |
| 58 | 45D/23/NQ-HDQT | April 11, 2023 | On changing the location of Chu Se Transaction Office’s headquarters |
| 59 | 46/23/QD-HDQT | April 12, 2023 | On approving the result of share issuance to increase share capital from the source of owners' equity |
| 60 | 47/23/QD-HDQT | April 12, 2023 | On the promulgation of Regulations on organizational structure of Viet Capital Bank |
| 61 | 47A/23/QD-HDQT | April 17, 2023 | On approving the draft document of the General Meeting of Shareholders 2023 (amended and supplemented) |
| 62 | 48/23/TT-HDQT | April 20, 2023 | On the Proposal on the plan to increase charter capital in 2023 (amended) |
| 63 | 48/23/QD-HDQT | April 20, 2023 | On adjusting the plan to issue bonds to the public to increase tier 2 capital in 2021-2022 |
| 64 | 49/23/NQ-HDQT. | April 20, 2023 | On approving the draft document of the General Meeting of Shareholders 2023 (amended and supplemented for the 2nd time) |
| 65 | 50/23/BC-HDQT | April 27, 2023 | On the Report on approving the Annual General Mandate 2023 - Sending to the State Bank supervision and inspection agency |
| 66 | 51/23/BC-HDQT | April 27, 2023 | On the Report on approving the Annual General Mandate 2023 - Send to the State Securities Commission |
| 67 | 52/23/QD-HDQT | May 08, 2023 | On approving the results of share issuance to existing shareholders and approving the list of investors allowed to redistribute fractional shares and unsubscribed shares |
| 68 | 53/2023/CV-CT.HDQT | May 08, 2023 | On stopping the issuance of shares under the ESOP in 2022 |
| 69 | 53A/2023/CV-CT.HDQT | May 09, 2023 | On adjusting the securities type |
| 70 | 54/23/NQ-HDQT. | May 10, 2023 | On changing the name and location of Song Han Branch headquarters |
| 71 | 55/23/NQ-HDQT. | May 10, 2023 | On changing the name and location of Nha Trang Branch headquarters |
| 72 | 56/23/NQ-HDQT. | May 10, 2023 | On changing the name and location of Rach Gia Branch headquarters |
| 73 | 57/23/NQ-HDQT. | May 10, 2023 | On changing the name and location of Kien Giang Branch headquarters |
| 74 | 58/23/NQ-HDQT. | May 10, 2023 | On amending the charter capital of Viet Capital Bank |
| 75 | 59/23/CV-CT.HDQT | May 11, 2023 | On the commitment to supplement the dossier to change the securities registration certificate at VSD |
| 76 | 60/2023/CV-CT.HDQT | May 11, 2023 | On the notice of confirming the list of investors purchasing additional issued securities |
| 77 | 61/23/QD-HDQT | May 15, 2023 | On calling away the bonds issued with a term of 07 years (BVBL2229002, BVBL2229003, BVBL2229004, BVBL2229005, BvBL2229006 bonds) |
| 78 | 61/HP13/23/NQ-HDQT | May 17, 2023 | On implementing the contents at the meeting of the Board of Directors of the 13th session for the term of 2020-2025 |
| 79 | 61A/23/NQ-HDQT | May 19, 2023 | On changing the name and location of Rach Gia Transaction Office headquarters |
| 80 | 61B/23/NQ-HDQT | May 19, 2023 | On changing the name and location of Kien Giang Branch headquarters |
| 81 | 63/23/NQ-HDQT. | May 25, 2023 | On approving the results of implementing the plan to issue shares to increase capital in 2022 of Viet Capital Bank |
| 82 | 64/2023/CV-CT.HDQT | May 25, 2023 | On the Official Dispatch on reporting the results of the public offering |
| 83 | 65/23/CD-HDQT | May 30, 2023 | On reporting, adjsuting and developing handling plans according to the requirements of the Supervisory Board |
| 84 | 65A/23/QD-HDQT | June 01, 2023 | On the application of the English abbreviation "BVBank" throughout the system |
| 85 | 66/23/CV-CT.HDQT | June 02, 2023 | On supplementing dossiers for VSD |
| 86 | 67/23/NQ-HDQT. | June 02, 2023 | On approving the change in charter capital of the Bank |
| 87 | 68/23/QD-HDQT | June 05, 2023 | On the decentralization of approval for interest exemption and reduction and handling of collateral in debt settlement activities |
| 88 | 69/23/CV-CT.HDQT | June 06, 2023 | On the commitment to supplement the dossier of changing the securities registration certificate at VSD |
| 89 | 70/23/CV-CT.HDQT | June 06, 2023 | On the list of shareholders with restrictions on transfer of additional issued shares |
| 90 | 71/23/QD-HDQT | June 12, 2023 | On approving the amendment of the charter capital of Viet Capital Bank |
| 91 | 72/23/TB-HDQT | June 14, 2023 | On the appointment of Ms. Van Thanh Khanh Linh to the position of Deputy General Manager |
| 92 | 73/23/CV-CT.HDQT | June 14, 2023 | On the appointment of Mr. Phan Viet Hai to the position of Deputy General Manager |
| 93 | 74/23/CV-CT.HDQT | June 14, 2023 | On the appointment of Mr. Nguyen Thanh Tu to the position of Deputy General Manager |
| 94 | 75/23/TB-HDQT | June 15, 2023 | On receiving the application to terminate the labor contract according to Mr. Pham Anh Tu's wishes |
| 95 | 75A/23/BC-CT.HDQT | June 15, 2023 | On changing the personnels of the Board of Management of BVBank |
| 96 | 76/23/QD-HDQT | June 16, 2023 | On increasing the office rental area at HMTown Building |
| 97 | 77/23/CV-CT.HDQT | June 20, 2023 | On supplementing dossiers to VSD |
| 98 | 78/2023/CV-CT.HDQT | June 23, 2023 | On supplementing dossiers to Hanoi Stock Exchange |
| 99 | 79/23/QD-HDQT | June 27, 2023 | On approving the Restructuring Plan associated with handling bad debts for the period of 2021-2025 |
| 100 | 79A/23/VB-HDQT | June 28, 2023 | On the directions of the Standing Board of Directors on debt management and handling in the last 6 months of 2023 |
| 101 | 80/23/QD-HDQT | June 30, 2023 | On terminating the labor contract from July 1, 2023 as Mr. Pham Anh Tu’s wishes |
| 102 | 81/23/BC-CT.HDQT | June 30, 2023 | On changing the personnels of the Board of Management of BVBank |
| 103 | 82/2023/BVB | June 30, 2023 | Confirmation letter |
| 104 | 83/2023/BVB | June 30, 2023 | Shareholder structure |
| 105 | 84/23/QD-HDQT | July 17, 2023 | On adjusting the plan to issue bonds to the public to increase tier 2 capital in 2021-2022 |
| 106 | 85/23/QD-HDQT | July 20, 2023 | On calling away the individual bonds issued in 2020 TPRL012020 |
| 107 | 86/23/NQ-HDQT. | July 20, 2023 | On changing the location of Kien Giang Branch’s headquarters |
| 108 | 87/23/NQ-HDQT. | July 20, 2023 | On changing the location of Rach Gia Transaction Office’s headquarters |
| 109 | 88/23/QD-HDQT | July 24, 2023 | On the promulgation of regulations and plans to maintain continuous operations in crisis conditions |
| 110 | 89/23/NQ-HDQT. | July 26, 2023 | On amending and supplementing the Charter of Viet Capital Bank |
| 111 | 90/2023/BC-HDQT | July 28, 2023 | On the Report on the Company's governance situation from January 1, 2023 to June 30, 2023 |
| 112 | 90A/23/NQ-HDQT | August 08, 2023 | On the network development in 2024 |
| 113 | 90B/HP14/23/NQ-HDQT | August 08, 2023 | On implementing the contents at the meeting of the Board of Directors of the 14th session for the term of 2020-2025 |
| 114 | 91/23/NQ-HDQT. | August 18, 2023 | On approving the policy of receiving transfer of land use rights, housing and other assets attached to land in land parcel No. 59, map sheet No. 20, at the address of A9, Commercial Quarter, Street 30-4 , Quarter 1, Ward 3, Tay Ninh Town, Tay Ninh Province |
| 115 | 92/23/QD-HDQT | August 18, 2023 | On carrying out the new BVBank Logo and applying the new brand image in the activities of Viet Capital Bank |
| 116 | 92A/23/QD-HDQT | August 24, 2023 | On approving the results of the public offering round 3 |
| 117 | 93/2023/QD-HDQT | August 28, 2023 | On the implementation of the requests and recommendations of the Supervisory Board in Report No. 33/2023/BKS-BVBank; Report No. 38/2023/BC-BKS |
| 118 | 93A/23/QD-HDQT | August 30, 2023 | On approving the policy of selling bad debt to VAMC in 2023 |
| 119 | 94/23/QD-HDQT | August 31, 2023 | On the promulgation of credit granting regulations |
| 120 | 95/2023/CV-CT.HDQT | September 08, 2023 | On a number of notes related to the proposal on selling debt to VAMC |
| 121 | 96/23/QD-HDQT | September 14, 2023 | On the delegation of authority to approve interest exemption and reduction for heads of business unit in debt settlement activities |
| 122 | 96A/23/QD-HDQT | September 20, 2023 | On adjusting the business plan for fiscal year of 2023 |
| 123 | 97/23/QD-HDQT | September 28, 2023 | On adjusting the organizational structure of a number of headquarters units |
| 124 | 98/23/QD-HDQT | September 28, 2023 | On the registration of private bond trading of BVBank |
| 125 | 99/23/QD-HDQT | October 11, 2023 | On the amendment and supplement to BVBank’s Charter on organization and operation |
| 126 | 100/23/QD-HDQT | October 24, 2023 | On calling away the bonds issued with a term of 07 years BVBL2229008 |
| 127 | 101/23/CD-HDQT | October 24, 2023 | On the implementation according to the requirements of the Supervisory Board stated in the Report on inspection and supervision results for Q3/2023 |
| 128 | 102/23/QD-HDQT | October 31, 2023 | On approving the plan and budget for implementing the campaign to launch the new brand identity of BVBank |
| 129 | 103/23/QD-HDQT | November 17, 2023 | On adjusting the limit for selling bad debt to VAMC in 2023 |
| 130 | 103/HP13/NQ-HDQT | December 01, 2023 | Resolution of the 15th session of the Board of Directors |
| 131 | 103A/23/QD-HDQT | December 04, 2023 | On the amendment and supplement to loan products for employees at BVBank |
| 132 | 104/23/QD-HDQT | December 18, 2023 | On amending and supplementing the Charter of Viet Capital Bank |
| 133 | 105/23/QD-HDQT | December 18, 2023 | On calling away bad debts of Matexim Hai Phong Joint Stock Company sold to VAMC |
| 134 | 106/23/QD-HDQT | December 18, 2023 | On calling away bad debts of KHVATEC Thai Nguyen Company Limited sold to VAMC |
| 135 | 107/23/QD-HDQT | December 25, 2023. | On calling away bad debts of TBT Group |
| 136 | 108/23/QD-HDQT | December 27, 2023 | On amending and supplementing the Charter of Ban Viet Commercial Joint Stock Bank |
| 137 | 109/23/QD-HDQT | December 27, 2023 | On the issuance of bonds to the public to increase tier 2 capital in 2024-2025 |

1. The Supervisory Board;
2. Information about members of the Supervisory Board:

Currently, the Supervisory Board of the Bank for the term of 2020-2025 has 03 members, including: 02 executive members and 01 non-executive member.

Specifically:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Members of the Supervisory Board | Position | Appointment date for the term of 2020-2025 | Dismissal date for the term of 2020-2025 |
| 1 | Ms. Phan Thi Hong Lan | Chief of the Supervisory Board | June 26, 2020 |  |
| 2 | Mr. Le Hoang Nam | Member of the Supervisory Board | June 26, 2020 |  |
| 3 | Ms. Nguyen Thi Thanh Thuy | Member of the Supervisory Board | August 26, 2020 |  |

1. The Executive Board

The list of members of the Executive Board as of December 31, 2023 includes 05 members, details are as follows:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| No. | Members of the Executive Board | Position | Date of birth | Qualification | Date of appointment/dismissal |
| 1 | Ngo Quang Trung | General Manager | December 10, 1973 | Master | June 08, 2016 |
| 2 | Pham Anh Tu | Deputy General Manager | July 14, 1974 | Bachelor | Appointed on April 01, 2012, dismissed on July 01, 2023 |
| 3 | Le Van Be Muoi | Deputy General Manager | March 10, 1972 | Master | May 01, 2017 |
| 4 | Nguyen Thanh Tu | Deputy General Manager | February 27, 1983 | Master | June 15, 2023 |
| 5 | Phan Viet Hai | Deputy General Manager | December 18, 1979 | Master | June 15, 2023 |
| 6 | Van Thanh Khanh Linh | Deputy General Manager | August 30, 1979 | Master | June 15, 2023 |

1. The Chief Accountant

|  |  |  |  |
| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Date of appointment/dismissal |
| Mr. Ly Cong Nha | November 01, 1967 | Bachelor | March 17, 2017 |

1. Training on corporate governance
2. List of affiliated persons of the public company (from January 1, 2023 to June 31, 2021) and transactions between the affiliated persons of the Company with the Company itself
3. Transactions between the Company and affiliated persons of the Company, or between the Company and major shareholders, PDMR, or affiliated persons of PDMR

Transactions arising from January 1, 2023 to December 31, 2023

Unit: Million VND

|  |  |  |
| --- | --- | --- |
| **Relationship** | **Transaction types** | **Amount** |
| Subsidiaries | Term Deposit | 213,000 |
|  | Principal and interest on deposit payment | 215,849 |
|  | Term Deposit | 242,200 |
| Joint ventures | Principal and interest on deposit payment | 349,880 |
| Loan disbursement | 359,220 |
|  | Principal and interest on deposit payment | 345,825 |
|  | Remuneration of the Board of Directors:  In which: | 6,105 |
|  | Mr. Le Anh Tai - Chair | 2,380 |
|  | Ms. Nguyen Thanh Phuong - Vice Chair | 1,936 |
|  | Mr. Nguyen Nhat Nam - Member | 1,489 |
| Affiliated parties: | Mr. Pham Quang Khanh - Independent member | 300 |
|  | Remuneration of the Supervisory Board: | 1,755 |
|  | Income of the Board of Management and Chief Accountant  In which: | 12,106 |
|  | Salary of the General Manager | 4,589 |
|  | Salaries of other key managers | 7,517 |

1. Transactions between the Company’s PDMR, affiliated persons of PDMR and subsidiaries, companies under the authority of the Company: None.
2. Transactions between the Company and other entities: None.
3. Share transactions of PDMR and affiliated persons of PDMR (from January 01, 2021 to December 31, 2023):
4. Company’s share transactions of PDMR and affiliated persons of PDMR: None.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Relations with PMDR | Number of shares owned at the beginning of the period | | Number of shares owned at the end of the period | | Reasons for increase or decrease (buy, sell, convert, reward, ...) |
| Number of shares | Rate | Number of offered shares | Rate |
| 1 | Le Anh Tai |  | 10,265,928 | 2.80% | 14,372,299 | 2.86% | 4:1 buying rights and 15% share dividend |
| 2 | Nguyen Thanh Phuong |  | 16,333,333 | 4.45% | 22,866,665 | 4.56% | 4:1 buying rights and 15% share dividend |
| 3 | Ngo Quang Trung |  | 11,200,000 | 3.05% | 15,680,000 | 3.13% | 4:1 buying rights and 15% share dividend |
| 4 | Nguyen Nhat Nam |  | 3,573,455 | 0.97% | 5,002,836 | 0.99% | 4:1 buying rights and 15% share dividend |
| 4.1 | Tran Thi Dang Ngoc | Wife | 3,759,258 | 1.02% | 5,262,960 | 1.05% | 4:1 buying rights and 15% share dividend |
| 5 | Le Van Be Muoi |  | 4,229,628 | 1.15% | 5,921,479 | 1.18% | 4:1 buying rights and 15% share dividend |
| 6 | Ly Cong Nha |  | 3,870,370 | 1.05% | 5,418,517 | 1.08% | 4:1 buying rights and 15% share dividend |
| 7 | Van Thanh Khanh Linh |  | 3,502,592 | 0.95% | 5,763,530 | 1.15% | 4:1 buying rights and 15% share dividend |
| 7.1 | Vo Xuan Nam | Husband | 19.100 | 0.01% | 23.600 | 0.01% | 4:1 buying rights and 15% share dividend |
| 8 | Nguyen Thanh Tu |  | 4,269,171 | 1.16% | 6,747,361 | 1.34% | 4:1 buying rights and 15% share dividend |
| 8.1 | Nguyen Tran Thanh Truc | Sister | 3,333,333 | 0.91% | 3,833,332 | 0.76% | 4:1 buying rights and 15% share dividend |
| 9 | Phan Viet Hai |  | 4,704,444 | 1.28% | 7,502,110 | 1.50% | 4:1 buying rights and 15% share dividend |

1. Other significant issues: None