**HAC: Annual Corporate Governance Report 2023**

On January 30, 2024, Hai Phong Securities Joint Stock Company announced Report No. 26/BC-CKHP on the corporate governance of the Company in 2023 as follows:

* Name of company: Hai Phong Securities Joint Stock Company
* Head office address: No. 7, lot 28A Le Hong Phong Street, Dong Khe Ward, Ngo Quyen District, Hai Phong City
* Tel: 0225 3842335 Fax: Fax: 0225 3746266
* Email: haseco@haseco.vn
* Charter capital: VND 291,810,960,000
* Securities code: HAC
* Corporate Governance Model:
* The corporate governance model includes: The General Meeting, the Supervisory Board, the Board of Directors and the Managing Director.
* The organizational structure of the Company was structured based on the principle of assignment of duties and management according to the functions of each department and their solid cooperation. The Managing Director manages and operates by directly appointing and authorizing persons to resolve specific duties of departments by managing said departments.
* Internal audit:

In 2023, the operations of the internal audit were independent, objective, genuine and were able to implement the following tasks:

* Review, check and evaluate the sufficiency, efficiency and validity of the internal audit system.
* Assess the compliance of business operations with legal policies, the Charter, decisions of the General Meeting, the Board of Directors and Internal Regulations.
* Plan on setting up Internal Policies and Regulations.
* Assess the compliance with applicable laws, control safety measures to ensure safety of assets.
* Assess the efficiency of operations and compliance with contract commitments.
* Implement the supervision of the information technology system.
* Investigate internal violations and implement the internal audit function.

There are still some restrictions such as:

* The identification process of business risks has not been assessed.
1. Activities of the General Meeting:

Information about meetings and General Mandates/Decisions of the General Meeting (including General Mandates approved by collecting ballots)

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| No. | General Mandate/Decision | Date | Contents |
| 1 | 72/2023/NQ-CKHP | April 22, 2023 | * Apprive the audited financial statements and business performance results for the year 2022 and the business mission for 2023.
* Approve the Board of Directors' assessment report; Approve the Supervisory Board's report.

Approve the Proposal on remuneration of the Board of Directors and the Supervisory Board in 2023.* Approve Proposal on selecting an audit company for Financial Statements 2023.
* Approve the Proposal on amending the Company’s Charter
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1. Board of Directors
2. Information about members of the Board of Directors:

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| No. | Members of the Board of Directors | Position (independent member, non-executive member of the Board of Directors) | Date of appointment/dismissal as member/independent member of the Board of Directors |
| Appointment date | Date of dismissal |
| 1 | Mr. Vu Duong Hien | Chair of the Board of Directors | March 20, 2021 | - |
| 2 | Mr. Vu Xuan Thuy | Vice Chair of the Board of Directors | March 20, 2021 | - |
| 3 | Mr. Doan Duc Luyen | Member | March 20, 2021 | - |
| 4 | Ms. Nguyen Thi Nguyet | Member | March 20, 2021 | - |
| 5 | Ms. Vu Thi Thanh Nga | Member | March 20, 2021 |  |

1. Board Resolutions/Board Decisions:

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| --- | --- | --- | --- |
| No. | Board Resolution/Decision  | Date | Contents |
| 1 | 06/2023/NQ-CKHP | January 05, 2023 | * Approve the final results of the Business plan in 2022
* Evaluate the operational situations in 2022.
* Approve the estimated plan for 2023 and solutions to be made.
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| 2 | 21/2023/NQ-CKHP | February 09, 2023 | * Approve the results of the Business plan in the first 01 months of 2023.
* Evaluate the operations in the first 01 months of 2023.
* Approve the February 2023 plan and proposing some implementation solutions.
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| 3 | 36/2023/NQ-CKHP | March 06, 2023 | * Approve the results of the Business plan in the first 2 months of 2023.
* Evaluate the operations in the first 2 months of 2023.
* Approve the March 2023 plan and proposing some implementation solutions.
* Approve the organization plan for the Annual General Meeting 2023.
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| 4 | 60/2023/NQ-CKHP | April 05, 2023 | * Approve the results of the Business plan in the first 3 months of 2023.
* Evaluate the operations in the first 3 months of 2023.
* Approve the April 2023 plan and proposing some implementation solutions.
* Approve the documents, the agenda of the Annual General Meeting 2023
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| 5 | 76/2023/NQ-CKHP | April 27, 2023 | Approve the resignation of the Managing Director of the Company:The Company's Board of Directors approves Mr. Vu Duong Hien - Chair of the Board of Directors to resign from the position of Managing Director to serve as Deputy Managing Director in charge of the Company from April 27, 2023 |
| 6 | 80/2023/NQ-CKHP | May 05, 2023 | * Approve the results of the business plan in the first 04 months of 2023.
* Evaluate the operations in the first 4 months of 2023.
* Approve the May 2023 plan and proposing some implementation solutions
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| 7 | 97/2023/NQ-CKHP | June 05, 2023 | * Approve the results of the Business plan in the first 05 months of 2023.
* Evaluate the operations in the first 5 months of 2023.
* Approve the June 2023 plan and proposing some implementation solutions
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| 8 | 118/2023/NQ-CKHP | July 07, 2023 | * Approve the results of the Business plan in the first 6 months of 2023.
* Evaluate the operations in the first 6 months of 2023.

Approve the July 2023 plan and proposing some implementation solutions |
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| 9 | 130/2023/NQ-CKHP | July 27, 2023 | * Approve the appointment of the position of Managing Director- cum-Manager of HCM City Brand for Ms. Nguyen Thi Nguyet.
* Term of appointment from July 27, 2023 - until the end of the 5th term (2021 - 2026).
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| 10 | 138/2023/NQ-CKHP | August 04, 2023 | * Approve the results of the Business plan in the first 7 months of 2023.
* Evaluate the operations in the first 7 months of 2023.
* Approve the August 2023 plan and proposing some implementation solutions
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| 11 | 165/2023/NQ-CKHP | September 05, 2023 | * Approve the results of the Business plan in the first 8 months of 2023.
* Evaluate the operations in the first 8 months of 2023.
* Approve the September 2023 plan and proposing some implementation solutions
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| 12 | 183/2023/NQ-CKHP | October 06, 2023 | * Approve the results of the Business plan in the first 9 months of 2023.
* Evaluate the operations in the first 9 months of 2023.
* Approve the October 2023 plan and proposing some implementation solutions
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| 13 | 204/2023/NQ-CKHP | November 07, 2023 | * Approve the results of the Business plan in the first 10 months of 2023.
* Evaluate the operations in the first 10 months of 2023.
* Approve the November 2023 plan and proposing some implementation solutions
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| 14 | 231/2023/NQ-CKHP | December 04, 2023 | * Approve the results of the Business plan in the first 11 months of 2023.
* Evaluate the operations in the first 11 months of 2023.
* Approve the December 2023 plan and proposing some implementation solutions
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| 15 | 240/2023/NQ-CKHP | December 25, 2023 | * Approve the investment in purchasing shares of Green International Hospital Joint Stock Company, specifically as follows:
1. Information about shares offered
* Name of Issuer: Green International Hospital Joint Stock Company
* Head office No. 738 Nguyen Van Linh Street, Niem Nghia Ward, Le Chan District, Hai Phong
* Par value: VND 10,000
* Securities type: Common shares, not yet listed
1. Investment purpose: Seeking profit for the company.
2. Quantity of shares and purchase price.
* Number of shares invested: 3,800,000 share
* Purchase price: VND 10,000/share
1. Transaction execution time: Transaction execution time:Immediately after the Company's Board of Directors issues a resolution approving promulgates the investment.
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1. Supervisory Board;
2. Information about members of the Supervisory Board:

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| No. | Members of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory Board | Qualification |
| 1 | Ms. Le Thi Mai Anh | Chief of the Supervisory BoardChief of the Supervisory Board | March 20, 2021 | Master of Economics |
| 2 | Ms. Nguyen Thi My Trang | Member | March 20, 2021 | Bachelor in Laws |
| 3 | Ms. Doan Thi Thuy | Member | March 20, 2021 | Bachelor in Economics |

1. Executive Board.

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| No. | Members of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as the member of the Executive Board |
| 1 | Mr. Vu Duong Hien | September 14, 1942 | Doctor of Economic Sciences | March 05, 2016: Appointed to be Managing DirectorApril 27, 2023: Resigned as Deputy Managing Director |
| 2 | Ms. Nguyen Thi Nguyet | April 14, 1981 | Bachelor in Finance and Banking | March 16, 2016: Appointment of Deputy Managing DirectorJuly 27, 2023: Appointed to be Managing Director |

1. Chief Accountant.

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| --- | --- | --- | --- |
| Full name | Date of birth | Qualification | Date of appointment/dismissal |
| Ms. Vu Thi Thanh Nga | June 03, 1985 | Bachelor in Economics | May 01, 2020 |

1. Training on corporate governance None.
2. List of related persons of the public company (report for the first 6 months of the year) and transactions between related persons of the Company with the Company itself:
3. Transactions between the Company and related persons of the Company, or between the Company and principal shareholders, PDMR, or related persons of PDMR:

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| --- | --- | --- | --- | --- | --- | --- |
| No. | Name of organizations/individuals | Relations with the Corporation | Time of transaction with the Company | General Mandate/Decision of the General Meeting of Shareholder No. or Board Resolution/Decision No. (including date of promulgation, if any) | Content, quantity, total value of transaction | Note |
| 1 | Mr. Vu Xuan Cuong | Son of the Chair of the Board of Directors | December 25, 2023 | Resolution No. 240/2023/NQ-CKHP announced on December 25, 2023 of the Company on the approval of investment in purchasing shares of Green International Hospital Joint Stock Company | Transaction of purchasing shares of Green International Hospital Joint Stock Company* Quantity of shares invested:

3,800,000 share* Purchase price: VND 10,000/share
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1. Transactions between Company’s PDMR, related persons of PDMR and subsidiaries or companies controlled by the Company None
2. Transactions between the Company and other entities:
	1. Transactions between the Company and companies where members of the Board of Directors, members of the Supervisory Board, Executive Manager (the Managing Director) and other managers have been founding members or members of the Board of Directors or Executive Manager (the Managing Director) for the past three (03) years (calculated at the date of reporting): None
	2. Transactions between the Company and the companies that related persons of members of the Board of Directors, members of the Supervisory Board and Executive Manager (Managing Director) and other managers who are members of the Board of Directors or Executive Manager (Managing Director): None
	3. OOther transactions of the Company (if any) which can bring material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, Executive Manager (Managing Director) and other managers: None
3. Share transactions of PDMR and related persons of PDMR (Report 6 months/year).
4. Transactions of PDMR and related persons of PDMR for shares of listed companies None
5. Other significant issues: None