**CKD: Annual Corporate Governance Report 2023**

On January 30, 2024, Dong Anh Mechanical JSC announced Report No. 31A/2023/BC-CKDA on the corporate governance in 2023 as follows:

* Name of company: Dong Anh Mechanical JSC
* Head office address: Km 12+800, 3 Road, Group 6, Dong Anh town, Dong Anh District, Ha Noi city
* Tel: 024 3883 3818 Fax: 024 3883 2718 Email: [info@ckda.vn](mailto:info@ckda.vn)
* Charter capital: 310,000,000,000
* Securities code: CKD
* Corporate Governance Model: The General Meeting of Shareholders, the Board of Directors, the Supervisory Board, and the General Manager.
* Internal audit execution: Unimplemented.

1. Activities of the General Meeting of Shareholders:

Information about meetings and General Mandates/Decisions of the General Meeting of Shareholders:

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| No. | General Mandate/Decision of the General Meeting of Shareholders No. | Date | Content |
| 1 | Annual General Mandate 2023 | April 28, 2023 | Approve the contents of the Annual General Meeting of Shareholders 2023 |

1. The Board of Directors
2. Information about members of the Board of Directors:

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| No. | Members of the Board of Directors | Position | Date of appointment/dismissal as member/independent | |
| member | Chair of the Board of Directors |
| Appointment date | Dismissal date |
| 1 | Mr. Cao Truong Thu | Chair of the Board of Directors | April 28, 2023 |  |
| 2 | Mr. Nguyen Danh Quan | Vice Chair of the Board of Directors | April 28, 2023 |  |
| 3 | Mr. La Quy Duan | Member of the Board of Directors | March 1, 2019 |  |
| 4 | Mr. Pham Viet Thang | Member of the Board of Directors | April 28, 2023 |  |
| 5 | Ms. Do Thi Hieu | Member of the Board of Directors | April 28, 2023 |  |

1. Board Resolutions/Board Decisions:

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| No. | Board Resolution/Board Decision No. | Date | Content |
| 1 | 57 NQ/CKDA/HDQT | March 3, 2023 | Approve the convening of the Annual General Meeting of Shareholders 2023 |
| 2 | 59 NQ/CKDA/HDQT | March 3, 2023 | Approve the salary regulations of Dong Anh Mechanical JSC |
| 3 | 01 NQ/CKDA/HDQT | March 30, 2023 | Approval of loan from LiCOGI Corporation - Joint Stock Company |
| 4 | 02 NQ/CKDA/HDQT | April 20, 2023 | Approve lunch payments for shareholders attending the Annual General Meeting of Shareholders 2023 |
| 5 | 03 QD/CKDA/HDQT | April 20, 2023 | Establish a Committee to check the qualifications of shareholders to attend the Annual General Meeting of Shareholders 2023 |
| 6 | 12 NQ/CKDA/HDQT | May 1, 2023 | Adjust the organizational structure, functions and tasks, rearranging personnel of the Aluminum Production Planning and Dispatching Department and related units |
| 7 | 13 NQ/CKDA/HDQT | May 1, 2023 | Transfer Mr. Le Hai Son to the position of Aluminum Processing Workshop Manager |
| 8 | 14 QD/CKDA/HDQT | June 1, 2023 | Officially appoint Mr. Pham Viet Long as Manager of Sales and Marketing |
| 9 | 15 QD/CKDA/HDQT | June 1, 2023 | Officially appoint Mr. Nguyen Minh Ngoc as Manager of Casting Production |
| 10 | 16 QD/CKDA/HDQT | June 1, 2023 | Officially appoint Mr. Nguyen Minh Ngoc as Manager of Casting Production |
| 11 | 17 QD/CKDA/HDQT | June 9, 2023 | Appoint the position of Deputy General Manager of the Company-cum-Manage of Sales and Marketing for Mr. Pham Viet Long |
| 12 | 18 NQ/CKDA/HDQT | June 16, 2023 | Approve the bonus and welfare fund in 2023 |
| 13 | 19 QD/CKDA/HDQT | June 19, 2023 | Approve the unit providing audit/review consulting services for the financial statements 2023 |
| 14 | 20 QD/CKDA/HDQT | July 5, 2023 | Dismiss Mr. Le Xuan Dang from the position of KCT Laboratory Manager |
| 15 | 21 QD/CKDA/HDQT | July 5, 2023 | Assign the concurrent task of KCT Factory Manager to Mr. Doan Huy Phuong - KCT Production Manager |
| 16 | 50NQ/CKDA/HDQT | July 10, 2023 | Approve signing a contract to provide GKG structures for coal warehouses (zones 4, 5, 7, 8) of Vung Ang 2 Thermal Power Plant |
| 17 | 22 NQ/CKDA/HDQT | July 28, 2023 | Dividend payment to shareholders in 2022 |
| 18 | 23 NQ/CKDA/HDQT | July 28, 2023 | Approve signing credit contract 2023-2024 with Vietnam Joint Stock Commercial Bank for Industry and Trade Dong Anh branch |
| 19 | 24 NQ/CKDA/HDQT | July 28, 2023 | Approve signing credit contract 2023-2024 with Joint Stock Commercial Bank for Foreign Trade of Vietnam Chuong Duong branch |
| 20 | 25 NQ/CKDA/HDQT | July 28, 2023 | Approve signing credit contract 2023-2024 with Joint Stock Commercial Bank for Investment and Development of Vietnam East Hanoi branch |
| 21 | 26NQ/CKDA/HDQT | July 28, 2023 | Approve signing credit contract 2023-2024 with HSBC Vietnam Bank Limited Hanoi branch |
| 22 | 27NQ/CKDA/HDQT | July 28, 2023 | Approve signing a credit contract for 2023-2024 with Vietnam Technological and Commercial Joint Stock Bank - Head Office's Trading Center |
| 23 | 28NQ/CKDA/HDQT | July 28, 2023 | Approv signing credit contract 2023-2024 with Military Commercial Joint Stock Bank Dong Anh branch |
| 24 | 29NQ/CKDA/HDQT | July 28, 2023 | Approve the provision and reversal of provisions for inventory devaluation in the first 6 months of 2023 |
| 25 | 30NQ/CKDA/HDQT | July 28, 2023 | Approve setting up and reversing provisions for bad debts in the first 6 months of 2023 |
| 26 | 31NQ/CKDA/HDQT | July 28, 2023 | Supplement the investment and major repair plans for 2023 |
| 27 | 32NQ/CKDA/HDQT | July 28, 2023 | Approve the policy of research, investment, and development of paint lines at KCT PX |
| 28 | 33 NQ/CKDA/HDQT | July 28, 2023 | Approve the policy of renovating, repairing, expanding factories, improving production capacity of KCT PX |
| 29 | 34 NQ/CKDA/HDQT | July 28, 2023 | Approve the liquidation of fixed assets |
| 30 | 35 NQ/CKDA/HDQT | July 28, 2023 | Approve the plan to pay the surrender value of Life Insurance |
| 31 | 36 QD/CKDA/HDQT | July 28, 2023 | Assign the concurrent task of being in charge of the Human Resources Department to Ms. Tran Thi Hong Van |
| 32 | 37 NQ/CKDA/HDQT | August 29, 2023 | Postpone the dividend payment date to shareholders in 2022 |
| 33 | 38 QD/CKDA/HDQT | September 15, 2023 | Appoint the position of Deputy Head of Aluminum Production Technology Department for Mr. Chu Van Thuan |
| 34 | 39 QD/CKDA/HDQT | September 15, 2023 | Appoint the position of Manager of the film coating anode laboratory to Mr. La The Khanh |
| 35 | 40 QD/CKDA/HDQT | September 29, 2023 | Dismiss the position of KCT Laboratory Manager for Mr. Doan Huy Phuong |
| 36 | 41 QD/CKDA/HDQT | September 29, 2023 | Transfer Mr. Nguyen Tuan Cuong to the position of KCT Laboratory Manager |
| 37 | 42 QD/CKDA/HDQT | September 29, 2023 | Assign the responsibility of being in charge of the M&E and BDTB workshop to Mr. Nguyen Ha Tho |
| 38 | 43 QD/CKDA/HDQT | September 29, 2023 | Dismiss Mr. Le Dinh Xuan from the position of Manager of the Mechanical Processing Laboratory |
| 39 | 44 QD/CKDA/HDQT | September 29, 2023 | Dismiss Mr. Doan Huy Phuong from the position of KCT Production Director |
| 40 | 45 QD/CKDA/HDQT | September 29, 2023 | Appoint the position of Manager of Mechanical Processing Workshop to Mr. Doan Huy Phuong |
| 41 | 46QD/CKDA/HDQT | September 29, 2023 | Appoint the position of Acting Director of KCT Production cum Manager of KCT Laboratory for Mr. Nguyen Tuan Cuong |
| 42 | 51 NQ/CKDA/HDQT | October 11, 2023 | Approve signing a contract to provide GKG structures for coal warehouses (zones 1, 2, 3, 6) of Vung Ang 2 Thermal Power Plant |
| 43 | 47NQ/CKDA/HDQT | October 16, 2023 | Approve loan from LICOGI Corporation - Joint Stock Company |
| 44 | 48NQ/CKDA/HDQT | October 26, 2023 | Distribute bonus to the Board of Directors, Supervisory Board, Executive Board and officers at deputy level and above for the period January 1, 2018 to October 31, 2023 |
| 45 | 49NQ/CKDA/HDQT | October 16, 2023 | Approve the floor price for liquidation of fixed assets in 2022 |
| 46 | 52 NQ/CKDA/HDQT | October 16, 2023 | Approve the new investment in 01 car to serve the Company's foreign transactions |
| 47 | 47A NQ/CKDA/HDQT | November 3, 2023 | Approve additional working capital limits and bank guarantees at BIDV East Hanoi branch |
| 48 | 53 NQ/CKDA/HDQT | November 27, 2023 | Organize a meeting for generations of CKDA people to celebrate the 60th anniversary of the Company's founding |
| 49 | 54 NQ/CKDA/HDQT | December 11, 2023 | Approve loan from Xuan Cau Holdings Joint Stock Company |

1. The Supervisory Board.
2. Information about the members of the Supervisory Board:

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| --- | --- | --- | --- | --- |
| No. | Members of the Supervisory Board | Position | Date of appointment as member of the Supervisory Board. | Qualification |
| 1 | Mr. Nguyen Anh Dung | Chief of the Supervisory Board | March 1, 2019 | Master of Economics, Bachelor of Finance and Accounting |
| 2 | Mr. Hoang Nhu Thai | Member of the Supervisory Board | March 01, 2019 | Master of Construction Management, Economic Construction Engineer |
| 3 | Ms. Le Thi Thanh Noi | Member  The Supervisory Board | March 1, 2019 | Master of Economics |

1. The Executive Board

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| --- | --- | --- | --- | --- | --- |
| No. | Member of The Executive Board | Date of birth | Position | Qualification | Appointment date |
| 1 | Mr. La Quy Duan | October 1, 1973 | General Manager | Bachelor of Economics | March 1, 2019 |
| 2 | Mr. Pham Viet Long | July 26, 1965 | Deputy General Manager and Manager of Sales and Marketing | Manufacturing Mechanical Engineer | April 28, 2022 |
| 3 | Mr. Nguyen Minh Ngoc | September 12, 1983 | Casting production manager | Metallurgical casting engineer | April 28, 2022 |
| 4 | Mr. Nguyen Tuan Cuong | September 21, 1973 | . Steel Structure Production Manager | Mechanical Engineer manufacturing machines | October 1, 2023 |
| 5 | Mr. Thai Hong Khanh | November 9, 1975 | Aluminum Production Manager | Mechanical Engineer | August 04, 2022 |

1. The Chief Accountant

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| --- | --- | --- | --- | --- |
| No. | Full name | Date of birth | Qualification | Appointment date |
| 1 | Nguyen Viet Hung | September 1, 1989 | Bachelor of Accounting and Auditing | August 4, 2022 |

1. Training on corporate governance: None.
2. List of affiliated persons of the Company and transactions between affiliated persons of the Company and the Company itself:
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR and affiliated persons of PDMR: None
4. Transactions between Company’s PDMR, affiliated persons of PDMR and subsidiaries or companies controlled by the Company None
5. Transactions between the Company and other entities:
   1. Transactions between the Company and the companies that members of the Board of Directors, members of the Supervisory Board, The Manager (General Manager) and other managers have been founding members, members of the Board of Directors. Executive Manager (General Manager) for the past three (03) years (as at the time of reporting): None
   2. Transactions between the Company and the companies where affiliated person of members of Board of Directors, members of the Supervisory Board, Manager and other managers are members of Board of Directors, the Executive Manager: Manager (General Manager) and other managers are members of the Board of Directors, Executive Manager (General Manager). None
   3. Other transactions of the Company (if any) which can bring material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers: None
6. Share transactions between PDMR and affiliated persons of PDMR
7. Company’s shares transactions between PDMR and affiliated persons: None.
8. Other significant issues: None.