**CVT122007: Annual Corporate Governance Report 2023**

On January 30, 2024, CMC Joint Stock Company announced Report No. 01/BC-HDQT on the corporate governance in 2023 as follows:

* Name of company: CMC Joint Stock Company
* Head office address: Lot B10.B11 - Thuy Van Industrial Park, Thuy Van Commune, Viet Tri City, Phu Tho Province
* Tel: (02103) 991 706 991703 Fax: 02103 991800
* Email:
* Charter capital: VND 366,908,870,000
* Securities code: CVT
* Corporate Governance Model:

1. The General Meeting of Shareholders, the Board of Directors, the Supervisory Board, and the General Manager.
2. Internal audit execution: Implemented.
3. Activities of the General Meeting of Shareholders

Information about meetings and General Mandates/Decisions of the General Meeting of Shareholders (including General Mandates approved by collecting opinions via a ballot)

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| --- | --- | --- | --- |
| No. | General Mandate/Decision of the General Meeting of Shareholders No. | Date | Content |
| 1 | 01/2023/NQ-DHDCD | April 24, 2023 | General Mandate on approving issues under the authority of the General Meeting of Shareholders. |

1. The Board of Directors
2. Information about members of the Board of Directors:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position | Date of appointment/dismissal as member/independent member of the Board of Directors | |
|  |  |  | Appointment date | Date of dismissal |
| 1 | Mr. Tran Duc Huy | Chair of the Board of Directors | August 30, 2021 |  |
| 2 | Mr. Nguyen Van Quy | Member of the Board of Directors | April 27, 2022 |  |
| 3 | Ms. Vu Thi Loan | Member of the Board of Directors | April 27, 2022 |  |
| 4 | Mr. Tran Huy Anh | Member of the Board of Directors | April 27, 2022 |  |
| 5 | Mr. Phan Anh Tuan | Independent member of the Board of Directors | April 27, 2022 |  |

1. Board Resolutions/Board Decisions:

|  |  |  |  |
| --- | --- | --- | --- |
| No. | Board Resolution/  Board Decision No. | Date | Content |
| 1 | 01/2023/NQ-HDQT | February 01, 2023 | Resolution on investment in technical infrastructure construction of Ngoc Quan Industrial Cluster. |
| 2 | 01A/2023/NQ-HDQT | February 20, 2023 | Resolution on approving the adjustment of the investment project for the construction of a comprehensive service area by CMC at Plot TT-03, Thuy Van Industrial Zone, Viet Tri. |
| 3 | 02/2023/NQ-HDQT | February 21, 2023 | Resolution on approving the convening of the Annual General Meeting of Shareholders for 2023 of CMC. |
| 4 | 03/2023/NQ-HDQT | February 27, 2023 | Resolution on approving the adjustment of the investment project for the expansion of CMC Brick Factory No. 2, granite tile production line with a capacity of 5 million m2 per year at Plot B10-B11, Thuy Van Industrial Zone, Viet Tri. |
| 5 | 04/2023/NQ-HDQT | April 05, 2023 | Resolution on approving the appointment of the company's General Manager. |
| 6 | 05/2023/NQ-HDQT | September 28, 2023 | Resolution on approving the registration for custody and trading of CMC's finished products. |
| 7 | 06/2023/NQ-HDQT | October 09, 2024 | Resolution on approving the business plan for Q4/2023 |

1. Supervisory Board
2. Information about members of the Supervisory Board:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the the Supervisory Board  The Supervisory Board | Qualification |
| 1 | Ms. Phan Thuy Giang | Chief of the Supervisory Board | March 20, 2021 | Master of Finance |
| 2 | Mr. Tran Duc Thanh | Member of the Supervisory Board | March 20, 2021 | Master of Economics |
| 3 | Ms. Nguyen Hong Oanh | Member of the Supervisory Board | August 30, 2021 | Master of Economics majoring in Accounting |

1. The Board of Management:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Board of Management | Date of birth | Qualification | Date of appointment/dismissal as member of the Board of Management |
| 1 | Mr. Tran Duc Huy |  | Master in Business Administration | Appointed on December 15, 2021 |
| 2 | Ms. Vu Thi Loan |  | Bachelor of Chemistry | Appointed on August 01, 2021 |
| 3 | Mr. Nguyen Viet Cuong |  | Doctor of Building Materials Engineering | Appointed on September 01, 2021 |
| 4 | Mr. Nguyen Van Quy |  | Bachelor of Economics - Master of Marketing | Appointed on January 01, 2022 |
| 5 | Mr. Vu Ho Duc Vien |  | Bachelor of Finance and Banking | Dismissed on January 04, 2024 |
| 6 | Mr. Vu Quoc Toan |  | Engineer specialized in Computer Science | Appointed on March 01, 2022 |
| 7 | Mr. Tran Huy Anh |  | Bachelor of Economics | Appointed on April 25, 2022 |

1. Chief Accountant

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Full name | Date of birth | Qualification | Date of appointment/dismissal |
| 1 | Nguyen Thi Binh Minh |  | Bachelor of Economics | May 01, 2021 |

1. Training on corporate governance
2. List of affiliated persons of the public company in 2023 and transactions between the affiliated person of the Company with the Company itself
3. Transactions between the Company and affiliated persons of the Company, or between the Company and major shareholders, PDMR, or affiliated persons of PDMR: None.
4. Transactions between Company’s PDMR, affiliated persons of PDMR and subsidiaries or companies controlled by the Company: None
5. Transactions between the Company and other entities
   1. Transactions between the Company and companies where members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers have been founding members or members of the Board of Directors, the Executive Manager (General Manager) for the past three (03) years (as at the time of reporting): None
   2. Transactions between the Company and companies where affiliated persons of members of the Board of Directors, members of the Supervisory Board and the Manager (General Manager) and other managers are members of the Board of Directors, the Executive Manager (General Manager): None
   3. Other transactions of the Company (if any) which can bring material or non-material benefits to members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers: None
6. Share transactions of PDMR and affiliated persons of PDMR (Annual Report in 2022):
7. Company’s share transaction of PDMR and affiliated persons: None
8. Other significant issues: None