DTE: Annual Corporate Governance Report 2023

On January 30, 2024, Dai Truong Thanh Holdings Energy Investment Joint Stock Company announced Report No. 01/BC-DTE on the corporate governance as follows:

* Name of company: Dai Truong Thanh Holdings Energy Investment Joint Stock Company
* Head office address: Villa CPV 5-41 Vimhomes Central Park (New Port area) 720A Dien Bien Phu, Ward 22, Binh Thanh District, Ho Chi Minh City
* Tel: 0906578677 Fax: Email: dttholdings.vn@gmail.com
* Charter capital: VND 507,258,870,000
* Securities code: DTE
* Corporate Governance Model: The General Meeting of Shareholders: The Board of Directors Supervisory Board and General Manager/Manager.
* Internal audit execution: Not executed
1. Activities of the General Meeting of Shareholders:

Information about meetings and General Mandates/Decisions of the General Meeting of Shareholders (including General Mandates approved by collecting opinions via a ballot) None.

1. The Board of Directors 2023
2. Information about members of the Board of Directors:

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| --- | --- | --- | --- |
| No. | Member of the Board of Directors | Position (independent member of the Board of Directors, non-executive member of the Board of Directors) | Date of appointment/dismissal as member/independent member of the Board of Directors |
| Appointment date | Dismissal date |
| 1 | Mr. Nguyen Ngoc Tuong | Chair of the Board of Directors | November 25, 2019 |  |
| 2 | Mr. Nguyen Ngoc Trung | Member of the Board of Directors | November 25, 2019 |  |
| 3 | Mr. Le Van Khoa | Member of the Board of Directors -cum- General Manager | November 25, 2019 |  |
| 4 | Mr. Nguyen Van Quan | Member of the Board of Directors | November 25, 2019 |  |
| 5 | Mr. Pham Van Loi | Member of the Board of Directors-cum-Deputy General Manager | November 25, 2019 |  |
| 6 | Ms. Do Thi Bich Huyen | Member of the Board of Directors | November 25, 2019 |  |
| 7 | Le Xuan Thanh | Member of the Board of Directors | November 25, 2019 | Dismissed on May 14, 2022 |
| 8 | Mr. Le Ho Hoang Ha | Member of the Board of Directors |  | Dismissed on May 14, 2022 |
| 9 | Ms. Le Thi Hoai | Member of the Board of Directors |  | Dismissed on May 14, 2022 |
| 10 | Mr. Ha Van Son | Member of the Board of Directors | May 14, 2022 |  |
| 11 | Ms. Hoang Thi Ty | Independent member of the Board of Directors | May 14, 2022 |  |

1. Board Resolutions/Board Decisions (Annual Report 2023):

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| --- | --- | --- | --- |
| No. | Board Resolution/Board Decision No. | Time | Content |
| 1 | 3103/2023/NQ-HDQT-DTE | March 31, 2023 | Report on production and business activities for Q1/2023 and plan for production and business activities for Q2/2023 |
| 2 | 1506/2023/NQ-HDQT-DTE | June 15, 2023 | Postponement of the Annual General Meeting of Shareholders for the year 2023 |
| 3 | 3009/2023/NQ-HDQT-DTE | September 30, 2023 | Meeting of the Board of Directors on the report on investment, production, and business activities of the Company for Q2, Q3/2023 |
| 4 | 3112/2023/NQ-HDQT-DTE | December 31, 2023 | Meeting of the Board of Directors on the report on investment, production, and business activities of the Company for the year 2023 and plan for activities in 2024 |

1. The Supervisory Board (Annual Report 2023):
2. Information about members of the Supervisory Board:

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| --- | --- | --- | --- | --- |
| No. | Member of the Supervisory Board | Position | Date of appointment/dismissal as member of the Supervisory/the Audit Committee | Qualification |
| 1 | Mr. Phan Duy Phuoc | Chief of the Supervisory Board | November 25, 2019 | Auditor |
| 2 | Mr. Do Dang Du | Member of the Supervisory Board | November 25, 2019 | Bachelor of Finance and Banking |
| 3 | Mr. Truong Vinh Manh Hung | Member of the Supervisory Board | May 14, 2022 | Bachelor of Laws |
| 4 | Duong Thi Kim Cuc |  | Dismissed on May 14, 2022 | Bachelor of Laws |

1. The Executive Board

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal as member of the Executive Board |
| 1 | Mr. Le Van Khoa | April 08, 1982 | Bachelor of Accounting | Appointed to be the General Manager on March 17, 2020 |
| 2 | Mr. Le Xuan Thanh | April 04, 1975 | Bachelor of Business Administration, Civil and Industrial Construction engineer | Dismissal of the General Manager on March 17, 2020 and Appointment of the Deputy General Manager on March 17, 2020. |
| 3 | Mr. Pham Van Loi | July 19, 1978 | Bachelor of Finance and Accounting | Appointed on March 17, 2020 |
| 4 | Mr. Le Ho Hoang Ha | December 16, 1981 | Construction Engineer | Appointed on March 17, 2020. Dismissed on May 14, 2022 |
| 5 | Ms. Pham Nu Thu Thuy | October 06, 1980 | Architect | Appointed on March 17, 2020 Dismissed on April 26, 2021. |
| 6 | Nguyen Cong Thanh | April 12, 1985 |  | Appointed on August 06, 2021 |

1. Chief Accountant

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| --- | --- | --- | --- | --- |
| No. | Full name | Date of birth | Qualification: | Date of appointment /dismissal |
| 1 | Ms. Nguyen Thi Thu Phuong | May 16, 1987 | Bachelor of Accounting | Appointed on March 16, 2020. Dismissed on July 13, 2022 |
| 2 | Ms. Dao Thi Le Thuy | February 16, 1984 | Bachelor of Accounting | Appointed on July 13, 2022. Dismissed on December 15, 2022 |
| 3  | Ms. Tran Thi Ngoc Bich | October 12, 1976 | Bachelor of Accounting | Appointed on December 15, 2022  |

1. Training on corporate governance:
2. List of affiliated persons of the public company (Annual report) and transactions between the affiliated persons of the Company and the Company itself
3. Transactions between the Company and affiliated persons of the Company; or between the Company and major shareholders, PDMR and affiliated persons of PDMR: None.
4. Transactions of the Company’s PDMR, affiliated persons of PDMR with subsidiaries, companies controlled by the Company: None.
5. Transactions between the Company and other entities: None.
	1. Transactions between the Company and the companies in which members of the Board of Directors, members of the Supervisory Board, the Manager (General Manager) and other managers have been founding members or members of the Board of Directors, the Executive Manager (General Manager) for the past three (03) years (as at the time of reporting): None.
	2. Transactions between the Company and companies in which affiliated persons of members of the Board of Directors, members of the Supervisory Board, the Manager (the General Manager) and other managers are members of the Board of Directors or the Executive Manager (the General Manager) : None.
	3. Other transactions of the Company (if any) which can bring about material or non-material benefits to the members of the Board of Directors, the members of the Supervisory Board, Manager (General Manager) and other managers: None.
6. Share transactions of PDMR and affiliated persons of PDMR (Annual report)
7. Company’s share transaction of PDMR and affiliated persons: None.