**CC1: Annual Corporate Governance Report 2023**

On January 30, 2024, Construction Corporation No.1 Joint Stock Company announced Report No. 140/CV-TCT on corporate governance 2023 as follows:

* Name of company: Construction Corporation No.1 Joint Stock Company
* Headquarters address: No. 111A Pasteur, Ben Nghe Ward, District 1, Ho Chi Minh City, Viet Nam.
* Tel: 028.38.222.059; Fax: 028.38.290.500
* Email: [info@cc1.vn](mailto:info@cc1.vn) Website: [www.cc1.vn](http://www.cc1.vn)
* Charter capital: VND 3,585,078,250,000.
* Securities code: CC1
* Corporate governance model: The General Meeting of Shareholders, the Board of Directors, the General Manager and the Audit Committee under the Board of Directors
* Internal audit execution: Implemented.

1. Activities of the General Meeting of Shareholders

Information about the meetings and General Mandates/Decisions of the General Meeting of Shareholders (including General Mandates approved by collecting shareholders' opinions via a ballot):

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| --- | --- | --- | --- |
| No. | General Mandate/Decision of the General Meeting of Shareholders No. | Date | Content |
| 1 | No. 85/NQ-DHDCD | May 12, 2023 | Annual General Mandate 2023 |

1. The Board of Directors
2. Information about members of the Board of Directors:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Members of the Board of Directors | Position | Date of appointment/dismissal as member/independent member of the Board of Directors | |
| Appointment date | Dismissal date |
| 1 | Mr. Nguyen Van Huan | Chair of the Board of Directors | January 21, 2021 |  |
| 2 | Mr. Le Huu Viet Duc | Member of the Board of Directors  Vice Chair of the Board of Directors | May 12, 2022  September 16, 2022 | May 12, 2023 |
| 3 | Mr. Phan Van Chinh | Member of the Board of Directors  Vice Chair of the Board of Directors | May 12, 2022  September 16, 2022 |  |
| 4 | Mr. Nguyen Huu Nam | Member of the Board of Directors  Vice Chair of the Board of Directors | September 13, 2022  September 16, 2022 | May 12, 2023 |
| 5 | Mr. Nguyen Thanh Vinh | Member of the Board of Directors  Independent member of the Board of Directors | January 21, 2021  September 08, 2022 |  |
| 6 | Mr. Le Bao Anh | Member of the Board of Directors  Vice Chair of the Board of Directors | May 12, 2023  May 15, 2023 |  |
| 7 | Mr. Nguyen Thien Tam | Member of the Board of Directors  Vice Chair of the Board of Directors | May 12, 2023  May 15, 2023 |  |

1. Board Resolutions/Board Decisions: According to attached Appendix 01.
2. The Audit Committee:
3. Information about members of the Audit Committee:

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| --- | --- | --- | --- | --- |
| No. | Member of the Audit Committee | Position | Date of appointment as member of the Audit Committee | Qualification |
| 1 | Nguyen Thanh Vinh | Chair of the Audit Committee | September 16, 2022 | Bachelor of Economics |
| 2 | Phan Van Chinh | Member of the Audit Committee | September 16, 2022 | Architect |

1. Executive Board

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| --- | --- | --- | --- | --- |
| No. | Member of the Executive Board | Date of birth | Qualification | Date of appointment/dismissal |
| 1 | Mr. Le Bao Anh - General Manager | March 28, 1981 | Construction Engineer | Appointed on December 16, 2022 |
| 2 | Mr. Hoang Trung Thanh - Deputy General Manager | June 09, 1971 | Construction Engineer | Appointed on November 01, 2016 |
| 3 | Mr. Pham Van Ky Trung - Deputy General Manager | October 24, 1973 | Construction Engineer | Appointed on June 01, 2019  Dismissed on January 01, 2023 |
| 4 | Mr. Nguyen Viet Hung - Deputy General Manager | October 01, 1984 | Master of Business Administration | Appointed on January 01, 2022 |
| 5 | Mr. Vu Gia Binh - Deputy General Manager | April 27, 1973 | Construction Engineer | Appointed on January 24, 2022  Dismissed on February 01, 2023 |
| 6 | Mr. Nguyen Van Ngoc - Deputy General Manager | February 18, 1982 | Mechanical and Electrical Engineer | Appointed on July 18, 2022 |
| 7 | Mr. Tran Huu Phong - Deputy General Manager | December 30, 1973 | Master of Business Administration | Appointed on October 15, 2022  Dismissed on June 10, 2023 |
| 8 | Mr. Ha Van Han - Deputy General Manager | October 28, 1984 | Master of Engineering | Appointed on November 21, 2022 |
| 9 | Mr. Dinh Van Hung - Deputy General Manager | January 10, 1980 | Construction Engineer | Appointed on November 21, 2022 |
| 10 | Mr. La Thai Hiep - Deputy General Manager | December 28, 1977 | Master | Appointed on August 04, 2023 |
| 11 | Mr. Pham Canh Dong - Deputy General Manager | July 13, 1978 | Architect | Appointed on August 04, 2023 |
| 12 | Mr. Tran Minh Doanh - Deputy General Manager | May 01, 1977 | Master | Appointed on December 01, 2023 |

1. Chief Accountant:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Full name | Date of birth | Qualification | Date of appointment/dismissal |
| 1 | Mr. Nguyen Dinh Hieu - Person in charge of Accounting | July 16, 1982 | Bachelor of Finance - Accounting | Appointed on November 01, 2022  Dismissed on April 22, 2023 |
| 2 | Ms. Tran Thi Ngoc Thuy - Chief Accountant | August 25, 1975 | Bachelor of Economics - Major in Accounting | Appointed on April 22, 2023 (person in charge of accounting)  Appointed October 2, 2023 (Chief Accountant) |

1. Training on corporate governance:
2. List of affiliated persons of the public company and transactions of the affiliated persons of the Company with the Company itself:
3. Transactions between the Company and affiliated persons of the Company, or between the Company and major shareholders, PDMR, or affiliated persons of PDMR: According to attached Appendix 03.
4. Transactions between the Company’s PDMR, affiliated persons of PDMR and subsidiaries, companies under the authority of the Company: According to attached Appendix 03.
5. Transactions between the Company and other entities:
   1. Transactions between the Company and the companies that members of the Board of Directors, members of the Audit Committee, the General Manager and other managers have been founding members or members of the Board of Directors, the Executive General Manager for the past three (03) years (as at the time of reporting): According to attached Appendix 03.
   2. Transactions between the company and the Company where affiliated persons of members of the Board of Directors, members of the Audit Committee, the General Manager and other managers are members of the Board of Directors, the Executive General Manager: None.
   3. Other transactions of the Company (if any) that can bring about material or non-material benefits to the members of the Board of Directors, members of the Audit Committee, the General Manager and other managers: None.
6. Share transactions of PDMR and affiliated persons of PDMR:
7. Transaction of PDMR and affiliated persons related to the Company’s shares: According to attached Appendix 05.
8. Other significant issues: None

APPENDIX 1

Board Resolutions/Decisions (2023)

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| --- | --- | --- | --- |
| No. | Board Resolution/Board Decision No. | Date | Content |
| 1 | 02/NQ-HDQT | January 03, 2023 | Approve the signing of the contract and approve the content of the Draft Expert Hiring Service Contract between CC1 and affiliated persons, PDMR of CC1. |
| 2 | 05/NQ-HDQT | January 03, 2023 | Adjust and promulgate CC1's organizational chart. |
| 3 | 06/NQ-HDQT | January 03, 2023 | Appoint the Head of Internal Audit Committee of CC1. |
| 4 | 07/NQ-HDQT | January 03, 2023 | Appoint the person in charge of corporate governance and secretariat of the Board of Directors of CC1. |
| 5 | 09/NQ-HDQT | January 16, 2023 | Appoint Mr. Dinh Van Hung to hold the position of Deputy General Manager of Engineering. |
| 6 | 11/NQ-HDQT | January 17, 2023 | Approve the request to issue a financial arrangement commitment/capital financing commitment/credit grant commitment at SHB Bank - Ho Chi Minh City Branch. |
| 7 | 13/NQ-HDQT | January 31, 2023 | Dismiss the position of Deputy General Manager of Business Development for Mr. Vu Gia Binh due to the end of the contract term, from February 1, 2023. |
| 8 | 15/NQ-HDQT | February 16, 2023 | Approve the signing of the contract and approve the content of the Draft Construction Contract between CC1 and affiliated persons, PDMR of CC1. |
| 9 | 17/NQ-HDQT | February 24, 2023 | Approve the Financial Plan targets for 2023 of the Holding Company and Subsidiaries. |
| 10 | 18/QD-HDQT | February 24, 2023 | Approve the Holding Company's Financial Plan targets for 2023. |
| 11 | 19/QD-HDQT | February 24, 2023 | Approve DNC Company's Financial Plan targets for 2023. |
| 12 | 20/QD-HDQT | February 24, 2023 | Approve the Financial Plan targets for 2023 of No.1 Viet Quang Construction Joint Stock Company. |
| 13 | 21/QD-HDQT | February 24, 2023 | Approve the Financial Plan targets for 2023 of No.1 Viet Nguyen Construction Joint Stock Company. |
| 14 | 22/QD-HDQT | February 24, 2023 | Approve the Financial Plan targets for 2023 of Vina-PSMC Precast Concrete Company Limited. |
| 15 | 23/QD-HDQT | February 24, 2023 | Approve the Financial Plan targets for 2023 of No.1 Viet Hung Construction Joint Stock Company. |
| 16 | 24/QD-HDQT | February 24, 2023 | Approve the Financial Plan targets for 2023 of Hai Phong Coast Road Investment Company Limited (HPRC). |
| 17 | 26/NQ-HDQT | March 01, 2023 | Appoint a capital representative at No.1 Viet Hung Construction Joint Stock Company. |
| 18 | 27/NQ-HDQT | March 01, 2023 | Appoint a capital representative at Hai Phong Coast Road Investment Company Limited. |
| 19 | 28/NQ-HDQT | March 01, 2023 | Appoint a capital representative at Vina-PSMC Precast Concrete Company Limited. |
| 20 | 33/NQ-HDQT | March 09, 2023 | Approve the policy of transferring all investment capital of CC1 at No.1 Viet Nguyen Construction Joint Stock Company. |
| 21 | 36/NQ-HDQT | March 09, 2023 | Replace CC1's capital representative at CC1-Cienco 6 Joint Venture. |
| 22 | 38/NQ-HDQT | March 15, 2023 | Approve loan approval at Ban Viet Commercial Joint Stock Bank. |
| 23 | 40/NQ-HDQT | March 16, 2023 | Decide on the time to organize and carry out the Annual General Meeting of Shareholders 2023. |
| 24 | 42/NQ-HDQT | March 16, 2023 | Approve the policy of authorizing Vietnam Electricity to use cars. |
| 25 | 47/NQ-HDQT | March 28, 2023 | Approve the signing of the contract and approve the content of the Draft Construction Contract between CC1 and affiliated persons, PDMR of CC1. |
| 26 | 49/NQ-HDQT | March 28, 2023 | Approve the policy of capital contribution to establish Materials and Materials And Agricultural Product Number 1 Joint Stock Company. |
| 27 | 51/NQ-HDQT | March 28, 2023 | Cancel Resolution No. 26/NQ-HDQT dated March 1, 2023, of the Board of Directors on appointing Mr. Tran Mai Cuong as the capital representative at No.1 Viet Hung Construction Joint Stock Company. |
| 28 | 54/NQ-HDQT | April 06, 2023 | Adjust CC1's organizational chart. |
| 29 | 56/NQ-HDQT | April 06, 2023 | Approve investment policy for construction machinery and equipment projects. |
| 30 | 58/NQ-HDQT | April 18, 2023 | Invest in assets in the form of financial leasing at Vietnam Joint Stock Commercial Bank for Industry and Trade - Ho Chi Minh City Branch. |
| 31 | 60/NQ-HDQT | April 18, 2023 | Invest in assets in the form of financial leasing at BIDV - Sumi Trust Leasing Company., Ltd - Ho Chi Minh City Branch. |
| 32 | 62/NQ-HDQT | April 21, 2023 | Dismissal and appointment of senior personnel. |
| 33 | 63/QD-HDQT | April 21, 2023 | Remove the person in charge of company accounting for Mr. Nguyen Dinh Hieu. |
| 34 | 64/QD-HDQT | April 21, 2023 | Appoint the person in charge of company accounting for Ms. Tran Thi Ngoc Thuy. |
| 35 | 66/NQ-HDQT | April 21, 2023 | Approve documents of the Annual General Meeting of Shareholders 2023. |
| 36 | 89/QD-HDQT | May 16, 2023 | Appoint the position of Vice Chair of the Board of Directors of CC1. |
| 37 | 90/QD-HDQT | May 16, 2023 | Dismiss the position of Deputy General Manager of Mr. Tran Huu Phong. |
| 38 | 91/QD-HDQT | May 17, 2023 | Implement the contents of CC1's Annual General Mandate 2023. |
| 39 | 93/NQ-HDQT | June 14, 2023 | Appoint an authorized representative at No.1 Viet Quang Construction Joint Stock Company |
| 40 | 94/NQ-HDQT | June 14, 2023 | Approve the request for credit limit at Vietnam Joint Stock Commercial Bank for Industry and Trade - Thu Thiem Branch. |
| 41 | 96/NQ-HDQT | June 22, 2023 | Approve the support plan for Hai Phong Coast Road Investment Company Limited to ensure construction capital and ensure the fulfillment of financial obligations to Tien Phong Commercial Joint Stock Bank. |
| 42 | 98/NQ-HDQT | June 22, 2023 | Approve the policy of using CC1's assets to secure the loan of Sun Flower Import-Export Transport Company Limited. |
| 43 | 101/NQ-HDQT | June 30, 2023 | Promulgate Regulations on Management of Representatives of CC1's investment capital in other enterprises and Regulations on Financial Management of CC1. |
| 44 | 103/NQ-HDQT | July 26, 2023 | Approve loan approval at Nam A Commercial Joint Stock Bank. |
| 45 | 106/NQ-HDQT | July 28, 2023 | Choose PwC (Vietnam) Limited to audit the Financial Statements 2023 for CC1 and its subsidiaries. |
| 46 | 108/NQ-HDQT | July 28, 2023 | Approve the contract signing and approve the content of 02 Draft Construction Contracts between CC1 and CC1's affiliated persons. |
| 47 | 112/NQ-HDQT | August 01, 2023 | Promulgate regulations on management and storage of dossiers. |
| 48 | 114/NQ-HDQT | August 03, 2023 | Dismissal and appointment of senior personnel. |
| 49 | 119/NQ-HDQT | August 08, 2023 | Approve the policy of contributing capital to establish CC1 Investment Joint Stock Company. |
| 50 | 121/NQ-HDQT | August 09, 2023 | Approve the implementation of the dividend payment plan by shares in 2022. |
| 51 | 123/NQ-HDQT | August 11, 2023 | Agree to use assets as guarantee at Vietnam Joint Stock Commercial Bank for Industry and Trade - Thu Thiem Branch. |
| 52 | 125/NQ-HDQT | August 14, 2023 | Approve borrowing capital from Vietnam Joint Stock Commercial Bank for Investment and Development. |
| 53 | 127/NQ-HDQT | August 16, 2023 | Payment of remuneration for members of the Board of Directors in 2022 and prepay remuneration in 2023. |
| 54 | 137/NQ-HDQT | August 30, 2023 | Approve the policy of redeeming all outstanding bonds of CC1. |
| 55 | 141/NQ-HDQT | September 13, 2023 | Approve the record date to pay dividends in 2022. |
| 56 | 145/NQ-HDQT | October 02, 2023 | Appoint the Chief Accountant. |
| 57 | 147/NQ-HDQT | October 03, 2023 | Approve the policy and plan to transfer shares of Rach Chiec Concrete Joint Stock Company. |
| 58 | 150/NQ-HDQT | October 03, 2023 | Approve borrowing capital at Joint Stock Commercial Bank for Foreign Trade of Vietnam - West Saigon Branch. |
| 59 | 152/NQ-HDQT | October 09, 2023 | Approve the results of issuing shares to pay dividends in 2022. |
| 60 | 154/NQ-HDQT | October 10, 2023 | Approve the policy of establishing a Branch of Construction Corporation No.1 Joint Stock Company in Dong Thap. |
| 61 | 156/NQ-HDQT | October 16, 2023 | Approve the contents of Proposal No. 1695/2023/TCT-PC dated October 13, 2023 on handling a number of outstanding and arising issues at the A1 bidding package - Da Nang - Quang Ngai Expressway Project. |
| 62 | 159/NQ-HDQT | October 17, 2023 | Approve increasing charter capital, amending the charter and making changes to the Business Registration Certificate. |
| 63 | 161/NQ-HDQT | October 24, 2023 | Approve the policy of contributing capital to establish Krong Pac Investment and Development Joint Stock Company. |
| 64 | 164/NQ-HDQT | November 13, 2023 | Change the authorized representative to manage the capital of CC 1 at No.1 Viet Hung Construction Joint Stock Company; change and add members of the Board of Directors at No.1 Viet Quang Construction Joint Stock Company. |
| 65 | 169/NQ-HDQT | November 21, 2023 | Approve CC1's policy of divesting investment capital in other enterprises. |
| 66 | 171/NQ-HDQT | December 01, 2023 | Appoint the Deputy General Manager of CC1. |
| 67 | 173/NQ-HDQT | December 11, 2023 | Appoint an authorized representative to manage the capital at CC1 Trading Services Joint Stock Company. |
| 68 | 175/NQ-HDQT | December 11, 2023 | Support the partners. |
| 69 | 177/NQ-HDQT | December 11, 2023 | Support the partners. |
| 70 | 193/NQ-HDQT | December 19, 2023 | Establish Branch of Construction Corporation No.1 Joint Stock Company in Soc Trang Province. |
| 71 | 195/NQ-HDQT | December 22, 2023 | Support the partners. |
| 72 | 197/NQ-HDQT | December 25, 2023 | Establish Branch of Construction Corporation No.1 Joint Stock Company in Phu Yen Province. |
| 73 | 199/NQ-HDQT | December 25, 2023 | Support the partners. |
| 74 | 201/NQ-HDQT | December 27, 2023 | Support the partners. |
| 75 | 203/NQ-HDQT | December 27, 2023 | Request for credit at Saigon-Hanoi Commercial Joint Stock Bank - Ho Chi Minh City Branch. |
| 76 | 205/NQ-HDQT | December 28, 2023 | Approve Contracts/Transactions between CC1 and affiliated persons of CC1 in 2023 under the authority of the Board of Directors that have not yet been approved. |

APPENDIX 3

Transactions between the Company and affiliated persons of the Company, PDMR, affiliated persons of PDMR, other entities

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Name of organizations/ individuals | Relations with the Company | NSH No.\* | Date of issue | Place of issue | Head office address/Contact address | Time of transaction with the Company | General Mandate/Decision of the General Meeting of Shareholder No. or Board Resolution/Decision No. (including date of promulgation, if any) | Transaction content | Transaction value (Unit: VND) |
| 1 | Construction Corporation No.1 Joint Stock Company - Hanoi Branch | Subsidiary | 0301429113-  006 | July 28, 2006 | Hanoi Department of Planning and Investment | No. 45, Lane 61, Alley 17, Phung Chi Kien Street, Nghia Do Ward, Cau Giay District, Hanoi | January 01, 2023 -  December 31, 2023 |  | Short-term internal payables | 492,529,657 |
| Long-term internal payables | 4,456,502,272 |
| Purchasing goods and services by contracting | 1,222,396,303 |
| 2 | Construction Corporation No.1 Joint Stock Company - Central Branch | Subsidiary | 0301429113-  007 | March 04, 2015 | Department of Planning and Investment of Da Nang City | No. 10 Dang Huy Ta, Hoa Minh Ward, Lien Chieu District, Da Nang City | January 01, 2023 -  December 31, 2023 |  | Short-term internal receivables | 4,755,742,159 |
| Long-term internal payables | 32,837,752,119 |
| Purchasing goods and services by contracting | 3,955,586,051 |
| 3 | Construction Corporation No.1 Joint Stock Company - Cambodia Branch | Subsidiary | 3000068944 | June 11, 2021 | Ministry of Commerce of the Kingdom of Cambodia | Lot 185 Room 1508, 15th Floor, St, 329 Ph, 3 Sk, Boeng Kak 2 Kh, Tuol Kouk, Phnom Penh,  Cambodia | January 01, 2023 -  December 31, 2023 |  | Business capital in subsidiary | 699,600,000 |
| Short-term internal receivables | 281,500,000 |
| 4 | Construction Corporation No.1 Joint Stock Company - Dong Thap Branch | Branch | 0301429113-  008 | October 11, 2023 | Department of Planning and Investment of Dong Thap Province | 79 Le Thi Rieng, Ward 1, Cao Lanh City, Dong Thap Province, Vietnam | October 11, 2023-  December 31, 2023 | No. 154/NQ-HDQT dated October 10, 2023 | Short-term internal receivables | 8,194,847,475 |
| Short-term internal payables | 17,100,815,775 |
| Purchasing goods and services by contracting | 18,701,923,680 |
| 5 | Construction Corporation No.1 Joint Stock Company - Phu Yen Branch | Branch | 0301429113-  010 | December 27, 2023 | Department of Planning and Investment of Dong Thap Province | 99 Le Thanh Phuong, Ward 8, Tuy Hoa City, Phu Yen Province, Vietnam | December 27, 2023 -  December 31, 2023 | No. 197/NQ-HDQT dated December 25, 2023 | Short-term internal receivables | 9,174,527,814 |
| Short-term internal payables | 15,063,055,700 |
| Purchasing goods and services by contracting | 13,693,687,000 |
| 6 | Cam Lo - Tuy Loan BT Investment Company Limited | General Manager - Mr. Le Bao Anh is a Member of the Board of Directors | 0106028622 | November 05, 2012 | Hanoi Department of Planning and Investment | 475 Nguyen Trai Street, Thanh Xuan Nam Ward, Thanh Xuan District, Hanoi | January 01, 2023 -  December 31, 2023 |  | Revenue from construction activities | 1,185,280,123 |
| Short-term receivables from customers | 27,634,422,327 |
| Contribute owners’ equity | 128,914,000 |
| Receive the reversal from owners' equity | 17,617,930,000 |
| 7 | Công ty CP Đầu tư Nhân Phúc Đức (tentatively translated as Nhan Phuc Duc Investment Joint Stock Company) | Joint venture | 0309325155 | September 29, 2010 | Department of Planning and Investment of Ho Chi Minh City | Sailing Tower Building, 111A Pasteur Street, Ben Nghe Ward, District 1, Ho Chi Minh City | January 01, 2023 -  December 31, 2023 | No. 169/NQ-HDQT dated November 21, 2023 | Short-term prepayments to sellers | 261,902,521 |
| Transfer of part of capital contribution | 331,500,000,000 |
| 8 | Dong Nai Bridge Investment And Construction Joint Stock Company | Subsidiary | 3600990139 | March 21, 2008 | Department of Planning and Investment of Dong Nai Province | 939A, Xa Lo Ha Noi Street, Binh Duong Quarter, Long Binh Tan Ward, Bien Hoa City, Dong Nai Province. | January 01, 2023 -  December 31, 2023 |  | Other short-term receivables | 84,581,690,255 |
| Other long-term receivables | 419,833,767,304 |
| Other long-term payables | 505,326,758,532 |
| 9 | Hai Phong Coast Road Investment Company Limited | Subsidiary | 0201793207 | June 19, 2017 | Department of Planning and Investment of Hai Phong City | Plot LK2-27, Anh Dung V Residential Area, Anh Dung Ward, Duong Kinh District, Hai Phong City | January 01, 2023 -  December 31, 2023 |  | Revenue from construction activities | 377,939,212,265 |
| Short-term prepayments to sellers | 953,128,829 |
| Long-term loan receivables | 652,700,000,000 |
| Other short-term receivables | 76,541,614,000 |
| Loan interest and loan management fees | 55,392,712,000 |
| Short-term deferred revenue | 53,927,912,683 |
| 10 | No.1 Viet Nguyen Construction Joint Stock Company | Subsidiary | 0304762338 | May 19, 2008 | Department of Planning and Investment of Ho Chi Minh City | 60 Street 2, Van Phuc Urban Area, Hiep Binh Phuoc Ward, Thu Duc City, Ho Chi Minh City | April 2023 | No. 33/NQ-HDQT dated March 09, 2023 | Transfer the entire capital contribution | 93,840,000,000 |
| 11 | Vina-PSMC Precast Concrete Company Limited | Subsidiary | 1100636640 | July 22, 2008 | Department of Planning and Investment of Long An Province | Lot 1699, Long Dinh Industrial Cluster, Long Dinh Commune, Can Duoc District, Tinh Long An | January 01, 2023 -  December 31, 2023 |  | Revenue from sales of materials | 46,410,496,738 |
| Short-term receivables from customers | 12,237,079,827 |
| Other short-term receivables | 3,082,560,421 |
| 12 | No.1 Viet Quang Construction Joint Stock Company | Subsidiary | 0304654396 | March 14, 2008 | Department of Planning and Investment of Ho Chi Minh City | 34 - 36 Street 2, Cityland Residential Area, Ward 5, Go Vap District, HCMC | January 01, 2023 -  December 31, 2023 | No. 01/NQ-DHDCD2023 dated June 21, 2023 | Revenue from construction activities | 850,163,853 |
| Purchasing goods and services by contracting | 421,576,966,242 |
| Revenue from sales of materials | 159,774,559,582 |
| Short-term receivables from customers | 9,254,264,709 |
| Other short-term receivables | 496,734,614 |
| Short-term payables to sellers | 54,188,208,448 |
| Long-term payables to sellers | 65,874,797,986 |
| 13 | Mekong No.1 Construction Investment Joint Stock Company | Subsidiary | 0314301907 | March 21, 2017 | Department of Planning and Investment of Ho Chi Minh City | 2nd Floor, Lexington Building, 67 Mai Chi Tho, An Phu Ward, Thu Duc City, HCMC | January 02, 2023 | No. 263/NQ-HDQT dated October 10, 2022 | Transfer the entire capital contribution | 114,841,800,000 |
| 14 | No.1 Viet Hung Construction Joint Stock Company | Joint venture | 0306118182 | October 08, 2008 | Department of Planning and Investment of Ho Chi Minh City | 136 - 138 Co Bac, Co Giang Ward, District 1, Ho Chi Minh City | January 01, 2023 -  December 31, 2023 |  | Revenue from construction activities | 460,334,246 |
| Purchasing goods and services by contracting | 158,812,840,599 |
| Revenue from service provision | 3,895,424,165 |
| Revenue from sales of materials | 6,710,978,190 |
| Loan interest and loan management fees | 6,307,991,328 |
| Short-term prepayments to sellers | 574,032,028,403 |
| Short-term deferred revenue | 39,346,190,773 |
| Short-term loan receivables | 19,188,891,670 |
| Long-term loan receivables | 14,139,967,545 |
| Other short-term receivables | 14,320,492,000 |
| Other long-term receivables | 2,352,159,064 |
| Long-term payables to sellers | 18,433,609,434 |
| 15 | Công ty CP Xây dựng Số Một Việt Tổng (tentatively translated as No.1 Viet Tong Construction Joint Stock Company) | Joint venture | 0304716204 | June 29, 2010 | Department of Planning and Investment of Ho Chi Minh City | 168/38C D2 Street, Ward 25, Binh Thanh District, Ho Chi Minh City | January 01, 2023 -  December 31, 2023 |  | Short-term prepayments to sellers | 39,143,753,135 |
| Other short-term receivables | 466,667,000 |
| Long-term payables to sellers | 3,790,733,687 |
| 16 | Chuong Duong Corp. | Joint venture | 0303146167 | December 29, 2003 | Department of Planning and Investment of Ho Chi Minh City | 328 Vo Van Kiet, Co Giang Ward, District 1, Ho Chi Minh City | January 01, 2023 -  December 31, 2023 |  | Short-term loan receivables | 6,613,894,973 |
| Long-term loan receivables | 33,069,474,867 |
| Short-term prepayments to sellers | 2,969,558,064 |
| Long-term payables to sellers | 3,063,058,065 |
| Loan interest and loan management fees | 3,110,442,851 |
| 17 | Mien Trung Construction And Manufacture Building Materials Joint Stock Company | Joint venture | 4300208380 | June 12, 2007 | Department of Planning and Investment of Quang Ngai Province | Km 1052, National Highway 1A, Truong Tho Tay residential group, Truong Quang Trong Ward, Quang Ngai City, Quang Ngai Province | January 01, 2023 -  December 31, 2023 |  | Short-term receivables from customers | 4,004,737,951 |
| Short-term prepayments to sellers | 66,680,543,502 |
| 18 | Materials and Materials And Agricultural Product Number 1 Joint Stock Company | Joint venture | 0317761807 | March 30, 2023 | Department of Planning and Investment of Ho Chi Minh City | No. 51 Nguyen Thi Minh Khai, Ben Nghe Ward, District 1, Ho Chi Minh City | April 2023 | No. 49/NQ-HDQT dated March 28, 2023 | Contribute capital to establish | 94,000,000,000 |
| 19 | 3H Building Materials Joint Stock Company | Joint venture | 0317605156 | December 13, 2022 | Department of Planning and Investment of Ho Chi Minh City | 51 Nguyen Thi Minh Khai, Ben Nghe Ward, District 1, Ho Chi Minh City | January 01, 2023 -  December 31, 2023 |  | Short-term deferred revenue | 1,000,000,000 |
| 20 | CC1 Trading Services Joint Stock Company | Subsidiary | 0317579890 | November 22, 2022 | Department of Planning and Investment of Ho Chi Minh City | No. 111A Pasteur, Ben Nghe Ward, District 1, Ho Chi Minh City | January 01, 2023 -  December 31, 2023 |  | Revenue from sales of materials | 360,240,000 |
| Purchasing goods and services by contracting | 140,526,255,873 |
| Short-term receivables from customers | 389,059,200 |
| Short-term prepayments to sellers | 38,815,615,013 |
| Other short-term payables | 1,999,889,988 |
| 21 | CC1 Investment Joint Stock Company | Subsidiary | 0317983976 | August 10, 2023 | Department of Planning and Investment of Ho Chi Minh City | No. 111A Pasteur, Ben Nghe Ward, District 1, Ho Chi Minh City | December 2023 | No. 119/NQ-HDQT dated August 08, 2023 | Contribute capital to establish | 130,000,000,000 |
| 22 | Krong Pac Investment And Development Joint Stock Company | Subsidiary | 6001763823 | November 02, 2023 | Dak Lak Province Department of Planning and Investment | Village 16, Hoa Dong Commune, Krong Pac District, Dak Lak Province | November 2023 | No. 161/NQ-HDQT dated October 24, 2023 | Contribute capital to establish | 6,500,000,000 |
| 23 | CC1 North Investment And Construction Joint Stock Company | Joint venture | 0109754189 | September 27, 2021 | Hanoi Department of Planning and Investment | No. 106 Yen Lac Street, Vinh Tuy Ward, Hai Ba Trung District, Hanoi City | December 2023 | No. 169/NQ-HDQT dated November 21, 2023 | Transfer the entire capital contribution | 540,000,000,000 |
| 24 | No.1 Viet Hoa Construction Joint Stock Company | Other investment company | 0304525457 | June 25, 2010 | Department of Planning and Investment of Ho Chi Minh City | C10, Rio De Vista Project, No. 72 Duong Dinh Hoi, Phuoc Long B Ward, Thu Duc City, Ho Chi Minh City | December 2023 | No. 169/NQ-HDQT dated November 21, 2023 | Transfer the entire capital contribution | 12,960,000,000 |
| 25 | Construction Corporation No.1 Joint Stock Company - Soc Trang Branch | Branch | 0301429113 - 009 | December 25, 2023 | Department of Planning and Investment of Soc Trang Province | Land plot No. 93, map sheet No. 76, Provincial Road 8, Hoi Trung, Lich Hoi Thuong Town, Tran De District, Soc Trang Province, Vietnam | December 2023 | No. 193/NQ-HDQT dated December 19, 2023 | Establish a Branch | Branch of Construction Corporation No.1 Joint Stock Company in Soc Trang Province |

Note: NSH Number\*: ID Card/Passport No. (for individuals) or Business Registration Certificate No., License on Operations No. or equivalent legal documents (for institutions)

APPENDIX 5

Company’s share transactions between PDMR and affiliated persons

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| No. | Transaction conductor | Relations with PMDR | Number of shares owned at the beginning of the period | | Number of shares owned at the end of the period | | Reasons for increase or decrease (purchase, sell, convert, reward, etc.) |
| Number of shares | Rate | Number of shares | Rate |
| 1 | Nguyen Van Huan | Chair of the Board of Directors | 36,257,020 | 11.023% | 39,520,151 | 11.02% | Receive dividends. |
| 2 | Do Thi Phong Lan | Affiliated person of Mr. Nguyen Van Huan - Chair of the Board of Directors | 19,476 | 0.006% | 21,228 | 0.006% | Receive dividends. |
| 3 | Le Bao Anh | Vice Chair of the Board of Directors-cum-General Manager | 6,313 | 0.002% | 13,718,708 | 3.83% | Purchase; Receive dividends. |
| 4 | Hoang Trung Thanh | Deputy General Manager | 20,375 | 0.006% | 22,208 | 0.006% | Receive dividends. |
| 5 | Hoang Thi Loan | Affiliated person of Mr. Hoang Trung Thanh - Deputy General Manager | 9,588 | 0.003% | 10,450 | 0.003% | Receive dividends. |
| 6 | Dinh Van Hung | Deputy General Manager | 17,978 | 0.005% | 19,596 | 0.005% | Receive dividends. |
| 7 | Tran Thi Quynh Nhu | Affiliated person of Mr. Nguyen Van Ngoc - Deputy General Manager | 14,734,151 | 4.479% | 16,060,769 | 4.479% | Purchase; Receive dividends. |
| 8 | Tran Minh Doanh | Deputy General Manager | 5,078 | 0.002% | 5,535 | 0.002% | Receive dividends. |
| 9 | Nguyen Thi Kim Hoa | Affiliated person of Mr. Tran Minh Doanh - Deputy General Manager | 5,158 | 0.002% | 5,621 | 0.002% | Receive dividends. |
| 10 | Mai Thai Thi Ha Thuy | Person in charge of corporate governance-cum-Secretariat of the Board of Directors | 9,245 | 0.003% | 10,077 | 0.003% | Receive dividends. |